

10/26 Deadlock

Single lock vs. Multiple Locks

& performance

→ Single lock: simple, coarse (protects the whole system), poor concurrency ↑

→ Multiple locks: more complex, fine-grained (protects specific data structure), more concurrency (more things can be scheduled), better perf.

Problems w/  
Multiple locks

Thread 1

(copy from directory A to B)

acquire (A-lock);

acquire (B-lock);

Thread 2

(copy from directory B to A)

acquire (B-lock);

acquire (A-lock);

\* **Deadlock**: cycle of waiting amongst threads, where each thread waits for thread in the cycle to take some actions

## Nested Waiting Readlock Example

```
pipe_read() {  
    acquire(global-ftable-lock);  
    acquire(pipe-lock);  
    while(...) {  
        wait(pipe, pipe-lock);  
    }  
    release(pipe-lock);  
    release(global-ftable-lock);  
}
```

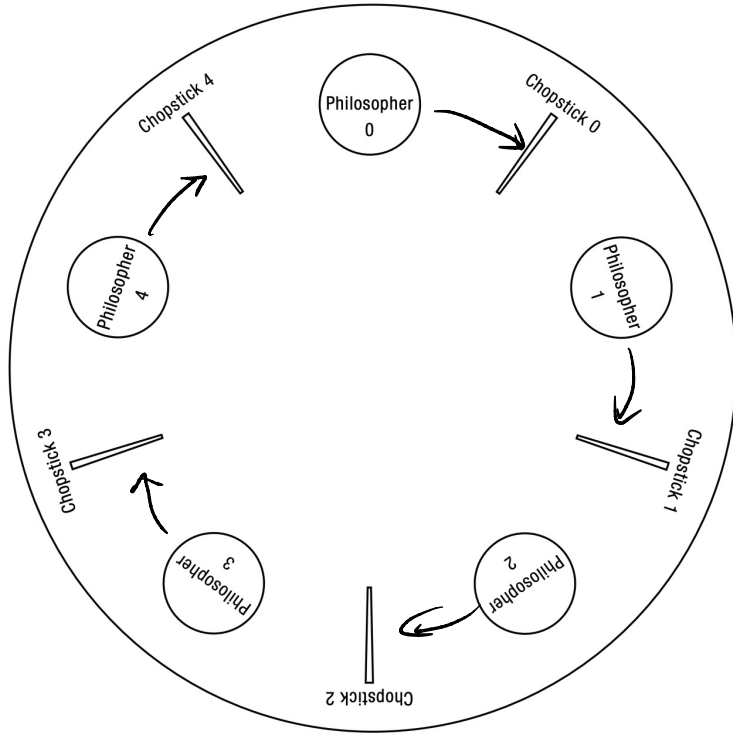
*t1* has  
releases

```
pipe_write() {  
    acquire(global-ftable-lock);  
    acquire(pipe-lock);  
    signal(pipe);  
    release(pipe-lock);  
    release(global-ftable-lock);  
}
```

*t2*  
can't acquire  
can't make progress to signal reader

\* Can end up with deadlocks with condition variables & even if you acquire locks in the same order.

# Dining Philosopher



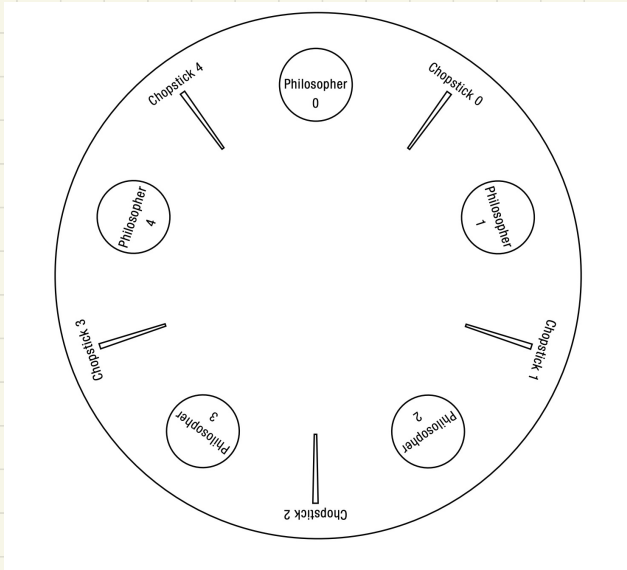
5 Philosophers (each needs 2 chopsticks to eat)  
5 chopsticks

Necessary (but not sufficient) conditions for deadlock

- ① Bounded Resources
- ② No preemption
- ③ Hold & wait
- ④ Circular Wait

# Deadlock Prevention

→ structure the program so that one of the necessary conditions won't be met



Breaking:

- ① Bounded Resources  
→ add more chopsticks
- ② No preemption  
→ take away a chopstick from a philosopher
- ③ Hold & Wait  
→ release the chopstick while waiting for another
- ④ Circular wait  
→ even # philosopher grabs right first then left, odd # does the opposite

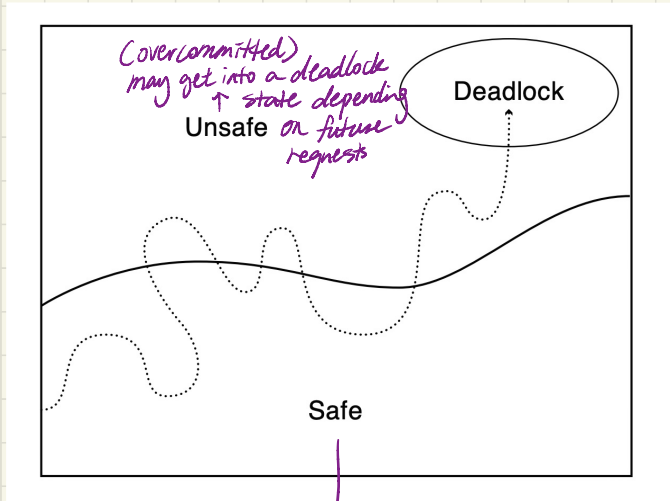
In the kernel context:

- change global-stable lock to individual file into lock
- try\_lock() to see if you can grab it, release existing locks and try again if some locks aren't free.

→ lock ordering: all threads acquire locks in the same order

## Deadlock Avoidance

- execution/scheduling strategy to avoid getting deadlock
- requirement = know what resources and maximum resources each thread needs



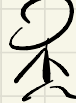
Banker's Algorithm  
(details in the book)

# Dining Philosopher (with Deadlock Avoidance)

→ 5 philosophers

→ 1 kitchen fairy

5 chopsticks



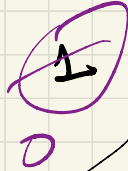
~~5~~ ~~4~~ ~~3~~ ~~2~~ ~~1~~ 0  
1

1 safe

1 safe

1 safe

1 safe



unsafe.

safe

possible that one philosopher figured out how to eat w/ 1 chopstick