

10/19

## Locks

- provides mutual exclusion
- API: `acquire()`, `release()`

## Types of Locks

### ① Spinlock:

→ spins until you can grab the lock

```
while (test&set(state) != 0) { ; }
```

↓  
atomic instruction

(can't be interrupted)

★ You can use both types of lock in user & kernel mode.

user: `pthread_spin_lock`,  
`pthread_mutex_lock`

### ② Sleeplock/Mutex

→ sleeps until you can acquire the lock

```
while (lock is busy) { sleep(); }
```

release wakes up a waiter

Uses of locks are more tricky in the kernel

- interrupt handlers (time sensitive) may use locks
- can't sleep in ↑, may use spinlock
- but can things go wrong w/ a spinlock?

Example

I/O Completion handler runs

- needs to wake up threads waiting on the I/O
- grabs scheduler lock (ptable lock in x1c)
- gets interrupted by timer interrupt (higher priority)

Timer interrupt handler runs (while it runs, no other timer interrupts will be delivered on this CPU)

- runs the scheduler, tries to grab the scheduler lock

↳ what happens? spins forever cause lock is held by I/O handler (I/O handler can't finish cause the scheduler is using the CPU & can't schedule anything else!)

so what do we do?

Kernel supports a special spinlock that disables interrupts while the lock is busy.

\*only for locks that are used in interrupt handlers!

★ Sometimes we need more than mutual exclusion

```
function get_breakfast() {  
    acquire (fridge_lock);  
    while (milk == 0 || berries == 0) {  
        release (fridge_lock);  
        acquire (fridge_lock);  
    }  
    milk --;  
    berries --;  
    release (fridge_lock);  
}
```

```
function fill_fridge() {  
    acquire (fridge_lock);  
    milk ++;  
    berries ++;  
    release (fridge_lock);  
}
```

→ checks nonstop in a loop,  
takes lots of energy (CPU cycles),  
can we make this better?

Yes! With the help of condition variables 😊

## Condition Variables

- synchronization primitive that lets threads sleep on a condition and wake up when the condition might be true.
- always used with a lock (all ops are done while holding the lock)

APIs:

- `wait()`: put a thread to sleep & atomically release the lock
- `signal()`: notify / wake up a sleeping thread
- `broadcast()`: wake up all threads sleeping on that condition

```
function get_breakfast() {
  acquire(fridge_lock);
  * while (milk == 0 || berries == 0) {
    fridge_cv.wait();
  }
  milk--;
  berries--;
  release(fridge_lock);
}
```

why wait in  
a while loop?

```
function fill_fridge() {
  acquire(fridge_lock);
  milk++;
  berries++;
  fridge_cv.signal();
  release(fridge_lock);
}
```

puts thread to sleep  
& releases the lock atomically;  
reacquires the lock before returning.