COMPUTER SCIENCE & ENGINEERING UNIVERSITY of WASHINGTON

CSE 451 Fall 2018 Final Solutions



I. (20 points) Warm-up

Circle true or false for each statement (no need to justify your answers here).

True	False	Both xv6 and JOS enable virtual memory for user applications and disable
		virtual memory in the kernel.

Solution: F

True	False	The xv6 kernel can take new timer interrupts while handling a timer interrupt, and the JOS kernel handles each timer interrupt to completion.

Solution: F		

True	False	When JOS runs on multiple x86 CPUs, at most one CPU can be in the privilege
		level of ring 0 (i.e., kernel mode).

Solution: F			

True	False	Dune provides applications with access to privileged CPU features, but incurs
		a performance penalty, such as slower garbage collection.

Solution: F			

 True
 False
 One reason that IX outperforms Linux is that its network stack is based on lwIP, which is more optimized than the Linux TCP/IP stack.

Solution: F

II. (20 points) Memory addresses

Check either "physical address" or "virtual address" for underlined values from JOS (no need to justify your answers here).

The kernel pointer <u>pages</u> pointing to an array of PageInfo structures:

□ physical address ■ virtual address

The top of the first CPU's kernel stack KSTACKTOP (0xf000000):

□ physical address ■ virtual address

A memory range starting from 0xf0000000 in an E820 memory map:

■ physical address □ virtual address

The value of tf_eip in struct Trapframe passed into the trap() function in the kernel:

□ physical address ■ virtual address

The <u>address of the Interrupt Descriptor Table (IDT)</u> in a Dune process (VMX non-root, ring 0):
□ physical address ■ virtual address

III. User space

(a) (5 points) Ben Bitdiddle tries to run the following user program on xv6.

```
#include "types.h"
#include "stat.h"
#include "user.h"
int main(int argc, char *argv[])
{
    char *message = "hello";
    int pid;
    pid = fork();
    if (pid > 0) {
        /* parent process */
        message = "world";
        close(1);
    }
    write(1, message, 5);
    if (pid > 0) {
        /* parent process */
        wait();
    }
    exit();
}
```

Assume that file descriptor 1 is connected to the terminal when the program starts, and that write() does not output anything when called on an invalid file descriptor. Which of the following outputs may Ben observe? Check <u>all</u> that apply.

- "hello"
- □ "hellohello"
- $\hfill \square$ "helloworld"
- \square "world"
- □ "worldhello"
- \square "worldworld"

(b) (5 points) After seeing the lab X demos, Ben wants to try fine-grained locking. Recall the multi-threaded hash table from lecture: initially, some keys were missing after being inserted into the hash table; this was caused by a race condition between two threads executing put(). To achieve fine-grained locking, Ben now adds acquire() and release() calls with a global lock to insert(), as follows:

```
struct entry {
    int key;
    int value;
    struct entry *next;
};
struct entry *table[NBUCKET];
struct lock lock;
. . .
void insert(int key, int value, struct entry **p, struct entry *n)
{
    struct entry *e;
    e = malloc(sizeof(struct entry)); /* (1) */
    e->key = key;
                                        /* (2) */
    e->value = value;
                                        /* (3) */
    e \rightarrow next = n;
                                        /* (4) */
    acquire(&lock);
    *p = e;
                                        /* (5) */
    release(&lock);
}
void put(int key, int value)
{
    int i = key % NBUCKET;
    insert(key, value, &table[i], table[i]);
}
```

Ben observes that some keys still are missing. Which of the lines marked with (1)-(5) might have caused data races? Check all that apply.

(1)
(2)
(3)
(4)
(5)

IV. Page tables

Alyssa P. Hacker plans to port JOS to 32-bit RISC-V, an open-source instruction set architecture also known as RV32. The following is a slightly modified excerpt from the *RISC-V Instruction Set Manual*. Your job is to help Alyssa based on your experience with JOS. <u>You may want to read the questions before reading the excerpt</u>.

RISC-V defines the following privilege levels: machine, supervisor, and user. Machine-mode can be used to manage secure execution environments on RISC-V. User-mode and supervisor-mode are intended for conventional application and operating system usage respectively.

On RV32, the 32-bit Supervisor Address Translation and Protection (satp) register controls address translation and protection, formatted as shown below. The satp register holds the physical page number (PPN) of the root page table, i.e., its physical address divided by 4 KiB; an address space identifier (ASID), which is reserved and must be zero; and the top bit, which must be 1. Storing a PPN in satp supports a physical address space larger than 4 GiB for RV32.

31	30 22	21 0
1	ASID	PPN
1	9	22

RV32 provides a paged virtual-memory scheme called Sv32. Sv32 supports a 32-bit virtual address space, divided into 4KiB pages. An Sv32 virtual address is partitioned into a virtual page number (VPN) and page offset, as shown below:

31 22	21 12	11 0
VPN[1]	VPN[0]	page offset
10	10	12

When Sv32 is used, virtual addresses are translated into physical addresses via a two-level page table. The 20-bit VPN is translated into a 22-bit physical page number (PPN), while the 12-bit page offset is untranslated. A resulting physical address is shown below:

33 22		21 12	11 0
	PPN[1]	PPN[0]	page offset
	12	10	12

Sv32 page tables consist of 2¹⁰ page-table entries (PTEs), each of four bytes. A page table is exactly the size of a page and must always be aligned to a page boundary. The physical page number of the root page table is stored in the satp register.

The PTE format is as follows. The V bit indicates whether the PTE is valid; if it is 0, all other bits in the PTE are don't-cares and may be used freely by software. The permission bits, R, W, and X, indicate whether the page is readable, writable, and executable, respectively. When all three are zero, the PTE is a pointer to the next level of the page table; otherwise, it is a leaf PTE. Writable pages must also be marked readable; the contrary combinations are reserved for future use.

31 20	19 10	9	8 3	7 (6	5	4	3	2	1	0
PPN[1]	PPN[0]	RSV	N I		4	0	U	Х	W	R	V
12	10	2		1	1	1	1	1	1	1	1

Х	W	R	Meaning
0	0	0	Pointer to next level of page table
0	0	1	Read-only page
0	1	0	Reserved for future use
0	1	1	Read-write page
1	0	0	Execute-only page
1	0	1	Read-execute page
1	1	0	Reserved for future use
1	1	1	Read-write-execute page

The above table summarizes the encoding of the permission bits.

- Attempting to fetch an instruction from a page that does not have execute permissions raises a fetch page-fault exception. Attempting to execute a load instruction whose effective address lies within a page without read permissions raises a load page-fault exception. Attempting to execute a store instruction whose effective address lies within a page without write permissions raises a store page-fault exception.
- The U bit indicates whether the page is accessible to user mode. User-mode software may only access the page when U=1. The supervisor may not execute code on pages with U=1.
- The RSW field is reserved for use by supervisor software.
- Each leaf PTE contains an accessed (A) and dirty (D) bit. When a virtual page is accessed and the A bit is clear, or is written and the D bit is clear, a page-fault exception is raised.
- For non-leaf PTEs, the D, A, and U bits are reserved for future use and must be cleared by software for forward compatibility.

To summarize, a virtual address *va* is translated into a physical address *pa* as follows:

- 1. Let *a* be satp.*ppn* × PAGESIZE, and let i = LEVELS 1. (For Sv32, PAGESIZE = 2^{12} and LEVELS = 2.)
- 2. Let *pte* be the value of the PTE at address $a + va.vpn[i] \times PTESIZE$. (For Sv32, PTESIZE = 4.)
- 3. If pte.v = 0, or if pte.r = 0 and pte.w = 1, stop and raise a page-fault exception.
- 4. Otherwise, the PTE is valid. If *pte.r* = 1 or *pte.x* = 1, go to step 5. Otherwise, this PTE is a pointer to the next level of the page table. Let i = i 1. If i < 0, stop and raise a page-fault exception. Otherwise, let $a = pte.ppn \times PAGESIZE$ and go to step 2.
- 5. If i > 0, this is an unsupported superpage; stop and raise a page-fault exception.
- 6. A leaf PTE has been found. Determine if the requested memory access is allowed by the *pte.r*, *pte.w*, *pte.x*, and *pte.u* bits. If not, stop and raise a page-fault exception.
- 7. If pte.a = 0, or if the memory access is a store and pte.d = 0, raise a page-fault exception.
- 8. The translation is successful. The translated physical address is given as follows:
 - *pa.pgoff* = *va.pgoff*.
 - *pa.ppn*[LEVELS 1 : *i*] = *pte.ppn*[LEVELS 1 : *i*].
- *** END OF EXCERPT ***

To make it easier to read, integer literals in this question are separated with underscores. For instance, we use 0x0000_cafe and 0x0000cafe interchangeably.

- (a) (5 points) Check <u>one</u> correct answer. The RV32 architecture supports a <u>physical</u> address space of up to _____ bits.
 - □ 30 □ 31 □ 32 □ 33
 - **34**
 - $\hfill\square$ none of the above

- (b) (5 points) Check <u>all</u> that apply. Suppose executing a store instruction in user mode succeeds (i.e., no page faults). In the <u>leaf</u> PTE corresponding to the virtual address the store instruction attempts to write, which of the following fields <u>must</u> be 1 during the execution of the store instruction?
 - V (valid)
 - R (readable)
 - W (writable)
 - □ X (executable)
 - A (accessed)
 - D (dirty)
 - $\hfill\square$ none of the above

(c) Recall that on x86 the CR3 register points to a page-directory page, which in turn points to the next level. Alyssa sets up a similar two-level page table on RV32: the satp register points to a non-leaf page-table page, which in turn points to a leaf page-table page.

Specifically, the non-leaf page-table page resides at physical address 0x8000_1000, and the leaf page-table page resides at physical address 0x8000_2000. This page table maps the 4 MiB range of virtual addresses [0x0, 0x003f_ffff] to physical addresses [0x8100_0000, 0x813f_ffff], with R and W permissions in supervisor-mode only.

Below is the content of the non-leaf page-table page:

1023	0x0000_0000
•••	
1	0x0000_0000
0	??

Hint: the binary representation of 0x8 is 1000.

- i. (5 points) Check <u>one</u> correct answer. The value of the satp register is _____.
 - □ 0x8000_1000
 - □ 0x1008_0000
 - □ 0x1008_0001
 - 0x8008_0001
 - $\hfill\square$ none of the above
- ii. (5 points) Check <u>all</u> that apply. For entry 0 of the non-leaf page-table page, which of the following fields <u>must</u> be 1?
 - V (valid)
 - \Box R (readable)
 - \Box W (writable)
 - \Box X (executable)
 - \Box A (accessed)
 - \Box D (dirty)
 - \Box none of the above
- iii. (5 points (bonus)) Fill in the blank. Assume the RSW field is 0. The value of entry 0 of the non-leaf page-table page is 0x <u>2000_0801</u>.

V. File systems

0	1	2	3	32	58	59
boot	super	log	log	inode	free	data
block	block	header	blocks	blocks	bitmap	blocks

The disk layout of the xv6 file system is illustrated in the above figure:

- the super block is in block 1;
- the log header is in block 2 and the log is in blocks 3–31;
- inodes are in blocks 32–57;
- the bitmap of free blocks is in block 58; and
- data blocks start from block 59 to end of the disk.

A <u>hard link</u> is a directory entry that associates a name with an inode. Initially, the README file under the root directory has one hard link. Alyssa types in the command "1n README ALIAS" to create another hard link ALIAS to the README file. This gives the file two hard links (i.e., README and ALIAS), in other words, two directory entries to the same inode. The nlink field in the inode tracks the number of hard links to this inode; in this case, nlink changes from 1 to 2 after running 1n.

To trace disk writes, Alyssa modifies iderw() in the IDE driver code (ide.c) to print the block number of each block written and observes the following output:

\$ In README ALIAS
write 3
write 4
write 2
write 32
write 59
write 2

Using 1s, Alyssa observes the inode number of the root directory is 1 and that of README (ALIAS) is 2.

(a) (15 points) Match writes to their descriptions: the left side contains the last three writes observed by Alyssa; for each write, choose the letter of the most appropriate description from the right side and fill in the blank. Each letter may be used once, more than once, or not at all.

d	write 32
e	write 59
b	write 2

- a. complete the transaction in the log
- b. erase the transaction from the log
- c. update the root directory's inode
- d. update README's inode
- e. write the root directory's data block
- f . write README's data block

(b) (5 points) Alyssa optimizes the xv6 file system by skipping writes to the log (i.e., blocks 2–31) altogether. On the optimized file system, invoking the 1n command to create a hard link ALIAS to README (from the original disk state) incurs only the following 2 writes (instead of 6 writes):

```
$ In README ALIAS
write 32
write 59
```

Assume each write is atomic and the disk may cache and reorder writes. If the power suddenly goes off during the execution of 1n on the optimized file system, which of the following states may Alyssa observe on disk? Check all that apply.

- ALIAS exists and the README file's nlink is 1
- ALIAS exists and the README file's nlink is 2
- ALIAS does not exist and the README file's nlink is 1
- ALIAS does not exist and the README file's nlink is 2
- $\hfill\square$ none of the above

VI. CSE 451

We would like to hear your opinions. Any answer, except no answer, will receive full credit.

(a) (3 points) What is the most important thing you would like to see fixed about CSE 451?

Solution: slides (12), no final (10), answers in notes (7), more structured sections (6).

(b) (3 points) Which paper you would like to see removed for next year?

Solution: IX (31), Hyperkernel (10), Dune (8), Meltdown (5)

(c) (3 points) Are there any topics you would like to see added to the class?

Solution: more on networking (19), security (6), virtual machine (5), other OSes (4)

- (d) (1 point) Check one: which of the following is most effective for debugging JOS?
 - \Box running gdb
 - □ inserting cprintfs
 - \Box discussing with others
 - \Box none of the above

End — Enjoy the break!