

## CSE 451: Operating Systems Spring 2012

### Module 28 Course Review

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## Architectural Support

- Privileged instructions
  - what are they?
  - how does the CPU know whether to execute them?
  - why do they need to be privileged?
  - what do they manipulate?
- Protected memory
  - what are the various ways it can be implemented?
- System call
  - what are the steps in handling?
- Interrupts, exceptions, traps
  - definition of each
  - what are the steps in handling each?

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## OS Structure

- What are the major components of an OS?
- How are they organized?
  - what is the difference between monolithic, layered, microkernel OS's?
    - advantages and disadvantages?

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## Processes

- What is a process? What does it virtualize?
  - differences between program, process, thread?
  - what is contained in process?
    - what does PCB contain?
    - PCB vs. address space
  - state queues?
    - which states, what transitions are possible?
    - when do transitions happen?
- Process manipulation
  - what does fork() do? how about exec()?
  - how do shells work?

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## Threads

- What is a thread?
  - why are they useful?
  - what's the address space look like?
  - TCB vs. PCB
  - user-level vs. kernel-level threads?
    - performance implications
    - functionality implications
- How does thread scheduling differ from process scheduling?
  - what operations do threads support?
  - what happens on a thread context switch? what is saved in TCB?
  - preemptive vs. non-preemptive scheduling?

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## Scheduling

- Long term vs. short term
- When does scheduling happen?
  - job changes state, interrupts, exceptions, job creation
- Scheduling goals?
  - maximize CPU utilization
  - maximize job throughput
  - minimize {turnaround time | waiting time | response time}
  - batch vs. interactive: what are their goals?
- What is starvation? what causes it?
- FCFS/FIFO, SPT, SRPT, priority, RR, MLFQ...

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## Synchronization

- Why do we need it?
  - data coordination? execution coordination?
  - what are race conditions? when do they occur?
  - when are resources shared? (variables, heap objects, ...)
- What is mutual exclusion?
  - what is a critical section?
  - what are the requirements of critical sections?
    - mutex, progress, bounded waiting, performance
  - what are mechanisms for programming critical sections?
    - locks, semaphores, monitors, condition variables

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## Locks

- What does it mean for acquire/release to be atomic?
- how can locks be implemented?
  - spinlocks? interrupts? OS/thread-scheduler?
  - test-and-set?
  - limitations of locks?

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## Semaphores and Monitors

- Semaphores
  - basic operations: wait vs. signal?
  - difference between semaphore and lock?
  - when and how do threads block on semaphores? when do they wake?
  - bounded buffers problem
    - producer/consumer
  - readers/writers problem
  - how is all of this implemented
    - moving descriptors on and off queues
- Monitors
  - the operations and their implementation

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- Understand clearly the queue manipulations involved in implementing semaphores, monitors, condition variables, etc.

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## Deadlock

- static prevention, dynamic avoidance, detection/recovery
- tradeoffs among these
- graph reducibility
- approaches
  - Hold and wait
  - Resource ordering
  - Banker's algorithm
  - Detect and eliminate

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## Memory Management

- Mechanisms for implementing memory management
  - physical vs. virtual addressing
  - base/limit registers
  - partitioning, paging, segmentation
- Internal and external fragmentation

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## Paged Virtual Memory

- Virtual memory
- Page faults
- Demand paging
  - don't try to anticipate
- Page replacement
  - local, global, hybrid
- Locality
  - temporal, spatial
- Working set
- Thrashing
- What is the complete set of steps for handling a page fault – start to finish?

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## Page replacement algorithms

- Belady's – optimal, but unrealizable
- FIFO – replace page loaded furthest in the past
- LRU – replace page referenced furthest in the past
  - approximate using PTE reference bit
- LRU Clock – replace page that is "old enough"
- Working Set – keep the working set in memory
- Page Fault Frequency – grow/shrink number of frames as a function of fault rate
- VAX/VMS (two-level FIFO due to lack of a referenced bit)

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## Multi-level page tables, TLBs

- How to reduce overhead of paging?
  - how do multi-level page tables work?
  - what problem does TLB solve?
  - why do they work?
  - how are they managed?
    - software vs. hardware managed
- Page faults
  - what is one? how is it used to implement demand paging?
  - what is complete sequence of steps for translating a virtual address to a PA?
    - all the way from TLB access to paging in from disk
- MM tricks
  - shared memory? Mapped files? copy-on-write?

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## Disks

- Memory hierarchy and locality
- Physical disk structure
  - platters, surfaces, tracks, sectors, cylinders, arms, heads
- Disk interface
  - how does OS make requests to the disk?
- Disk performance
  - access time = seek + rotation + transfer
- Disk scheduling
  - how does it improve performance?
  - FCFS, SSTF, SCAN, C-SCAN?
- Implications of solid state drives

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## Files and Directories

- What is a file
  - what operations are supported?
  - what characteristics do they have?
  - what are file access methods?
- What is a directory
  - what are they used for?
  - how are they implemented?
  - what is a directory entry?
- How does path name translation work?
- ACLs vs. capabilities
  - matrix
  - advantages and disadvantages of each

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## File system data structures

- General strategies
  - contiguous, linked, indexed?
  - tradeoffs?
- What is a Unix inode?
  - how are they different than directories?
  - how are inodes and directories used to do path resolution, and find files?
- Everything about the Unix File System (UFS)

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## FS buffer cache

- What is a buffer cache?
  - why do OS's use them?
- What are differences between caching reads and writes?
  - write-through, write-back, write-behind?
  - read-ahead?

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## FFS, JFS, LFS

- What is FFS, how specifically does it improve over original Unix FS?
- How about JFS, what is the key problem that it solves, what are the basic ideas?
- How about LFS, what are the basic ideas, when does it yield an improvement, when does it not?

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## RAID

- Basic concepts of RAID
  - stripe files across multiple disks to improve throughput
  - compensate for decreased reliability with parity/ECC
- Sources of improvement as you go from RAID-0 to RAID-5
- RAID vs. backup (they are different!)

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## Networking

- ISO 7-layer model
- Ethernet protocol
- IP and routing
- TCP principles (sending a long message via postcards)
- Protocol encapsulation

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## RPC

- Basic idea – what does it buy you over message passing?
- Subtopics: interface description language, stubs, stub generation, parameter marshaling, binding, runtime/transport, error handling, performance, thread pools
- Transparency: when is distribution transparent, when is it not?

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## Distributed file systems

- Issues:
  - Basic abstraction, naming, caching, sharing/coherency, replication, performance
- Examples – compare and contrast various aspects (and goals/environments) of:
  - NFS
  - AFS
  - Sprite
  - GFS

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## Distributed systems

- Loosely-coupled, closely-coupled, tightly-coupled
- Grapevine as an example, in some detail
- Google web search as an example, in some detail
- BOINC
- For Grapevine and Google, focus on reliability, scalability – how do they achieve these properties?

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## Virtual Machine Monitors

- Basic concepts of VMM's
- Modern examples:
  - OS-X and Windows on the same laptop
  - Server consolidation
  - Amazon Web Services
- In some detail, what is the relationship between an application, the guest OS on which it runs, the VMM, and the hardware?
  - How does control transfer appropriately?
  - How do reconcile the fact that both the apps and the guest OS's are running in user mode?
  - Be able to trace the handling of a syscall

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## Security

- Principals, objects, rights
- Authentication, authorization, auditing
- "Gotchas" with simple password protection
- The distributed world
  - Privacy
  - Integrity
  - Achieving them using symmetric (shared key) and asymmetric (public/private key) systems
  - Certificate authorities
  - Spyware
  - Confinement

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## Cloud Computing

- Understand the OS aspects that it illustrates
  - Commodity PCs (boards with CPUs, disks, memory) running Unix
  - Connected via LANs
  - VMMs
  - Load balancing
  - Scheduling

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