CSE 451: Operating Systems
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Module 5.5
Processes, Kernel Threads, User-Level Threads

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What's "in" a process?

- A process consists of (at least):
  - An address space, containing
    - the code (instructions) for the running program
    - the data for the running program
  - CPU state, consisting of
    - The program counter (PC), indicating the next instruction
    - The stack pointer register
    - Other general purpose register values
  - A set of OS resources
    - open files, network connections, sound channels, …
- In other words, it's all the stuff you need to run the program
  - or to re-start it, if it's interrupted at some point

The OS gets control because of …

- Trap: Program executes a syscall
- Exception: Program does something unexpected (e.g., page fault)
- Interrupt: A hardware device requests service

PCBs and CPU state

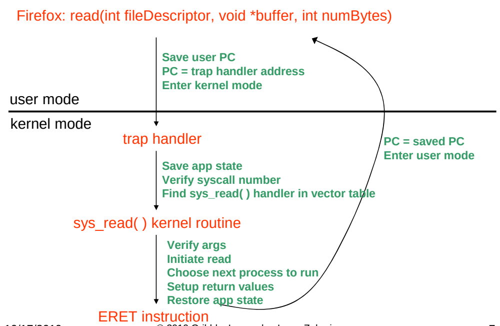
- When a process is running, its CPU state is inside the CPU
  - PC, SP, registers
  - CPU contains current values
- When the OS gets control (trap, exception, interrupt), the OS saves the CPU state of the running process in that process's PCB
  - when the OS returns the process to the running state, it loads the hardware registers with values from that process's PCB
- This is called a context switch

The syscall

- How do user programs do something privileged?
  - e.g., how can you write to a disk if you can't execute an I/O instructions?
- User programs must call an OS procedure – that is, get the OS to do it for them
  - OS defines a set of system calls
  - User-mode program executes system call instruction
- Syscall instruction
  - Like a protected procedure call

- The syscall instruction atomically:
  - Saves the current PC
  - Sets the execution mode to privileged
  - Sets the PC to a handler address
- With that, it's a lot like a local procedure call
  - Caller puts arguments in a place callee expects (registers or stack)
    - One of the args is a syscall number, indicating which OS function to invoke
  - Callee (OS) saves caller's state (registers, other control state) so it can use the CPU
  - OS function code runs
    - OS must verify caller's arguments (e.g., pointers)
  - OS returns using a special instruction
    - Automatically sets PC to return address and sets execution mode to user

A kernel crossing illustrated



Interrupts and exceptions work the same way as traps

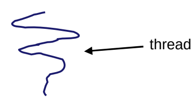
- Transition to kernel mode
- Save state of running process in PCB
- Handler routine deals with whatever occurred
- Choose a next process to run
- Restore that process's CPU state from its PCB
- Execute an instruction that returns you to user mode at the appropriate instruction

The OS kernel is not a process

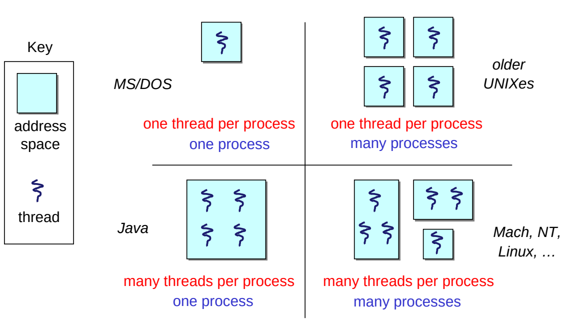
- It's just a block of code!
- (In a microkernel OS, many things that you normally think of as the operating system execute as user-mode processes. But the OS kernel is just a block of code.)

Threads

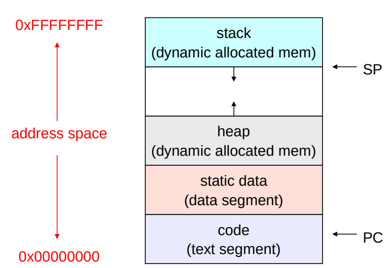
- Key idea:
  - separate the concept of a process (address space, OS resources)
  - … from that of a minimal "thread of control" (execution state: stack, stack pointer, program counter, registers)
- This execution state is usually called a thread, or sometimes, a lightweight process



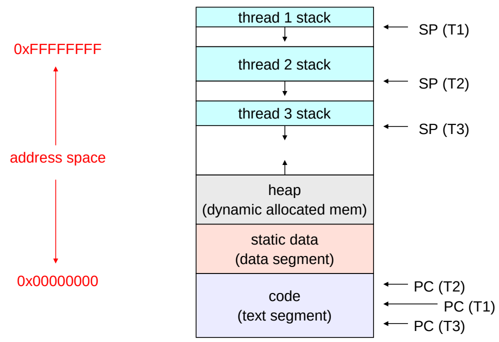
The design space



(old) Process address space



(new) Address space with threads



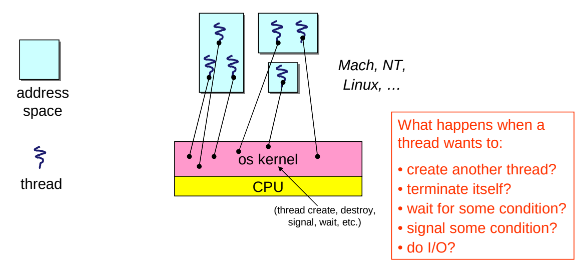
Kernel threads

- OS now manages threads *and* processes / address spaces
  - all thread operations are implemented in the kernel
  - OS schedules all of the threads in a system
    - if one thread in a process blocks (e.g., on I/O), the OS knows about it, and can run other threads from that process
    - possible to overlap I/O and computation inside a process
- Kernel threads are cheaper than processes
  - less state to allocate and initialize
- But, they're still pretty expensive for fine-grained use
  - orders of magnitude more expensive than a procedure call
  - thread operations are all system calls
    - context switch
    - argument checks
  - must maintain kernel state for each thread

In the beginning …

- Fork a process
  - Creates an address space that's a clone of the parent, with one thread
  - There's a PCB that describes the address space and the OS resources
  - There's a TCB that holds the CPU state and is the unit of scheduling
  - The TCB and the PCB are linked – e.g., so the OS knows which set of page tables to use when scheduling a particular thread
- First thread can create additional threads
  - OS creates a new TCB, initializes CPU state (an entry point must be provided in the "create" syscall)

Kernel threads



User-level threads