

# Natural Language Processing

## Sequence labeling

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# Announcements

- HW 2 released
  - Start early

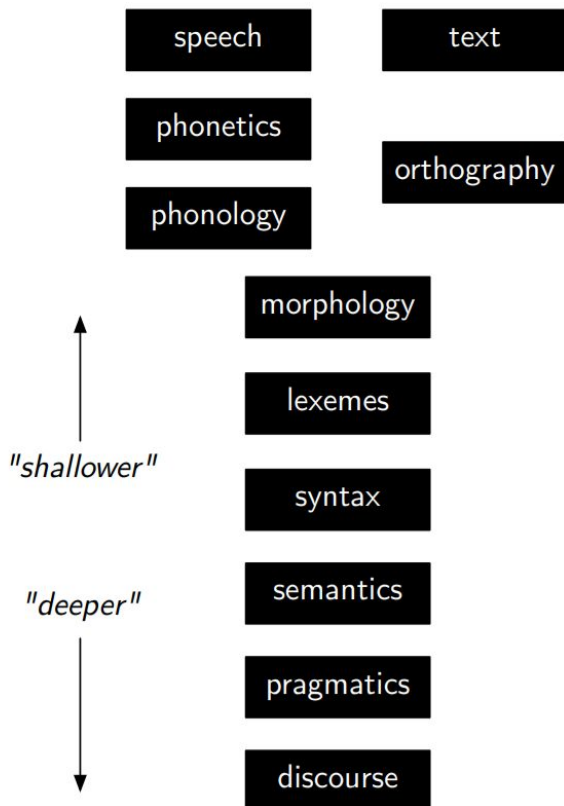


- HW2 content will be covered in lectures by the end of the next week

# Readings

- J&M SLP3 <https://web.stanford.edu/~jurafsky/slp3/8.pdf>
- Collins (2011) <http://www.cs.columbia.edu/~mcollins/hmms-spring2013.pdf>
- Eis 7.1-7.4, 8.1

# Levels of linguistic knowledge



Phonetics	The study of the sounds of human language
Phonology	The study of sound systems in human language
Morphology	The study of the formation and internal structure of words
Syntax	The study of the formation and internal structure of sentences
Semantics	The study of the meaning of sentences
Pragmatics	The study of the way sentences with their semantic meanings are used for particular communicative goals

# Factorizing solutions for linguistic analysis

- Formalism
  - map text to some representation
- Theoretical grounding from linguistics
  - why this representation?
- An algorithmic solution
  - how to solve the mapping problem?
    - Rule based
    - Supervised learning: symbolic or neural solutions
    - Unsupervised learning

# Supervised algorithms for **sequence labeling** problems

## Map a sequence of words to a sequence of labels

- Part-of-speech tagging (Church, 1988; Brants, 2000)
- Named entity recognition (Bikel et al., 1999)
- Text chunking and shallow parsing (Ramshaw and Marcus, 1995)
- Word alignment of parallel text (Vogel et al., 1996)
- Compression (Conroy and O'Leary, 2001)
- Acoustic models, discourse segmentation, etc.

# Part of speech tagging

**PART OF SPEECH**

**WORDS**

DT

VBZ

DT

JJ

NN

This is a simple sentence

# Parts of speech

- **Open classes**

- nouns
- verbs
- adjectives
- adverbs

- **Closed classes**

- prepositions
- determiners
- pronouns
- conjunctions
- auxiliary verbs



# Parts of speech, more fine-grained classes

- Open classes

- nouns
  - proper
  - common
    - count
    - mass
- verbs
- adjectives
- adverbs
  - directional
  - degree
  - manner
  - temporal

*Actually, I ran home extremely quickly yesterday*

# Parts of speech, closed classes

**prepositions:** on, under, over, near, by, at, from, to, with

**particles:** up, down, on, off, in, out, at, by

**determiners:** a, an, the

**conjunctions:** and, but, or, as, if, when

**pronouns:** she, who, I, others

**auxiliary verbs:** can, may, should, are

**numerals:** one, two, three, first, second, third

# Part of speech tagsets

- Penn treebank tagset (Marcus et al., 1993)

Tag	Description	Example	Tag	Description	Example	Tag	Description	Example
CC	coordinating conjunction	<i>and, but, or</i>	PDT	predeterminer	<i>all, both</i>	VBP	verb non-3sg present	<i>eat</i>
CD	cardinal number	<i>one, two</i>	POS	possessive ending	<i>'s</i>	VBZ	verb 3sg pres	<i>eats</i>
DT	determiner	<i>a, the</i>	PRP	personal pronoun	<i>I, you, he</i>	WDT	wh-determ.	<i>which, that</i>
EX	existential 'there'	<i>there</i>	PRP\$	possess. pronoun	<i>your, one's</i>	WP	wh-pronoun	<i>what, who</i>
FW	foreign word	<i>mea culpa</i>	RB	adverb	<i>quickly</i>	WP\$	wh-possess.	<i>whose</i>
IN	preposition/ subordin-conj	<i>of, in, by</i>	RBR	comparative adverb	<i>faster</i>	WRB	wh-adverb	<i>how, where</i>
JJ	adjective	<i>yellow</i>	RBS	superlatv. adverb	<i>fastest</i>	\$	dollar sign	<i>\$</i>
JJR	comparative adj	<i>bigger</i>	RP	particle	<i>up, off</i>	#	pound sign	<i>#</i>
JJS	superlative adj	<i>wildest</i>	SYM	symbol	<i>+, %, &amp;</i>	"	left quote	<i>' or "</i>
LS	list item marker	<i>1, 2, One</i>	TO	"to"	<i>to</i>	"	right quote	<i>' or "</i>
MD	modal	<i>can, should</i>	UH	interjection	<i>ah, oops</i>	(	left paren	<i>[, (, {, &lt;</i>
NN	sing or mass noun	<i>llama</i>	VB	verb base form	<i>eat</i>	)	right paren	<i>], ), }, &gt;</i>
NNS	noun, plural	<i>llamas</i>	VBD	verb past tense	<i>ate</i>	,	comma	<i>,</i>
NNP	proper noun, sing.	<i>IBM</i>	VBG	verb gerund	<i>eating</i>	.	sent-end punc	<i>. ! ?</i>
NNPS	proper noun, plu.	<i>Carolinas</i>	VBN	verb past part.	<i>eaten</i>	:	sent-mid punc	<i>: ; ... --</i>

# Example of POS tagging

The/DT grand/JJ jury/NN commented/VBD on/IN a/DT number/NN of/IN  
other/JJ topics/NNS ./.

**There/EX** are/VBP 70/CD children/NNS **there/RB**

Preliminary/JJ findings/NNS were/VBD **reported/VBN** in/IN today/NN  
's/**POS** New/NNP England/NNP Journal/NNP of/IN Medicine/NNP ./.

# The Universal Dependencies

## Universal Dependencies

Universal Dependencies (UD) is a framework for consistent annotation of grammar (parts of speech, morphological features, and syntactic dependencies) across different human languages. UD is an open community effort with over 300 contributors producing more than 150 treebanks in 90 languages. If you're new to UD, you should start by reading the first part of the Short Introduction and then browsing the annotation guidelines.

- [Short introduction to UD](#)
- [UD annotation guidelines](#)
- More information on UD:
  - [How to contribute to UD](#)
  - [Tools for working with UD](#)
  - [Discussion on UD](#)
  - [UD-related events](#)
- Query UD treebanks online:
  - [SETS treebank search](#) maintained by the University of Turku
  - [PML Tree Query](#) maintained by the Charles University in Prague
  - [Kontext](#) maintained by the Charles University in Prague
  - [Grew-match](#) maintained by Inria in Nancy
  - [INESS](#) maintained by the University of Bergen
- [Download UD treebanks](#)

Open class words	Closed class words	Other
<a href="#">ADJ</a>	<a href="#">ADP</a>	<a href="#">PUNCT</a>
<a href="#">ADV</a>	<a href="#">AUX</a>	<a href="#">SYM</a>
<a href="#">INTJ</a>	<a href="#">CCONJ</a>	<a href="#">X</a>
<a href="#">NOUN</a>	<a href="#">DET</a>	
<a href="#">PROPN</a>	<a href="#">NUM</a>	
<a href="#">VERB</a>	<a href="#">PART</a>	
	<a href="#">PRON</a>	
	<a href="#">SCONJ</a>	

# Why POS tagging

- Goal: resolve ambiguities
- Text-to-speech
  - record, lead, protest
- Lemmatization
  - saw/V → see, saw/N → saw
- Preprocessing for harder disambiguation problems
  - syntactic parsing
  - semantic parsing

# Ambiguities in POS tags

<b>Types:</b>		<b>WSJ</b>	<b>Brown</b>
<b>Unambiguous</b>	(1 tag)	44,432 ( <b>86%</b> )	45,799 ( <b>85%</b> )
<b>Ambiguous</b>	(2+ tags)	7,025 ( <b>14%</b> )	8,050 ( <b>15%</b> )

# Ambiguities in POS tags

<b>Types:</b>		<b>WSJ</b>		<b>Brown</b>	
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<b>Tokens:</b>					
<b>Unambiguous</b>	(1 tag)	577,421	<b>(45%)</b>	384,349	<b>(33%)</b>
<b>Ambiguous</b>	(2+ tags)	711,780	<b>(55%)</b>	786,646	<b>(67%)</b>



# Most frequent class baseline

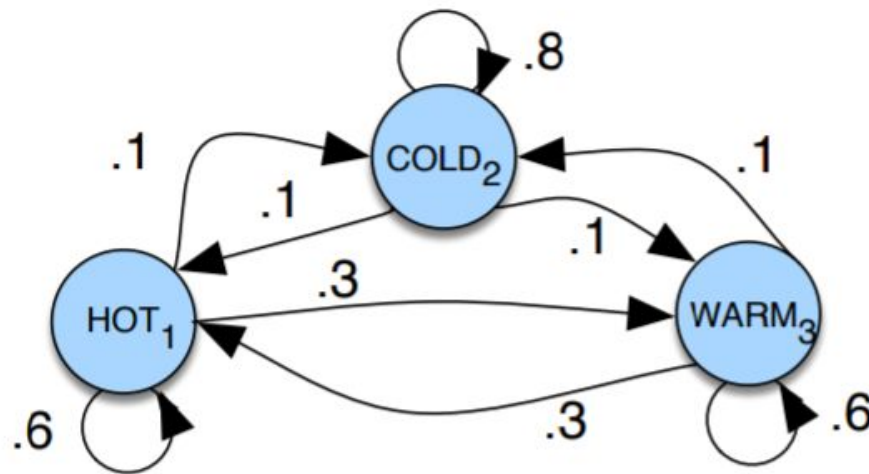
- Assigning each token to **the class it occurred in most often** in the training set
- Always compare a classifier against a baseline at least as good as the most frequent class baseline
- The WSJ training corpus and test on sections 22-24 of the same corpus the most-frequent-tag baseline achieves an accuracy of 92.34%.
- 97% tag accuracy achievable by most algorithms (HMMs, MEMMs, neural networks, rule-based algorithms)

# Sequence labeling as text classification

$$\hat{y}_i = \operatorname{argmax}_{y \in \mathcal{L}} s(\mathbf{x}, i, y)$$

# Generative sequence labeling: Hidden Markov Models

# Markov Chain: weather



**Markov Assumption:**  $P(q_i = a | q_1 \dots q_{i-1}) = P(q_i = a | q_{i-1})$

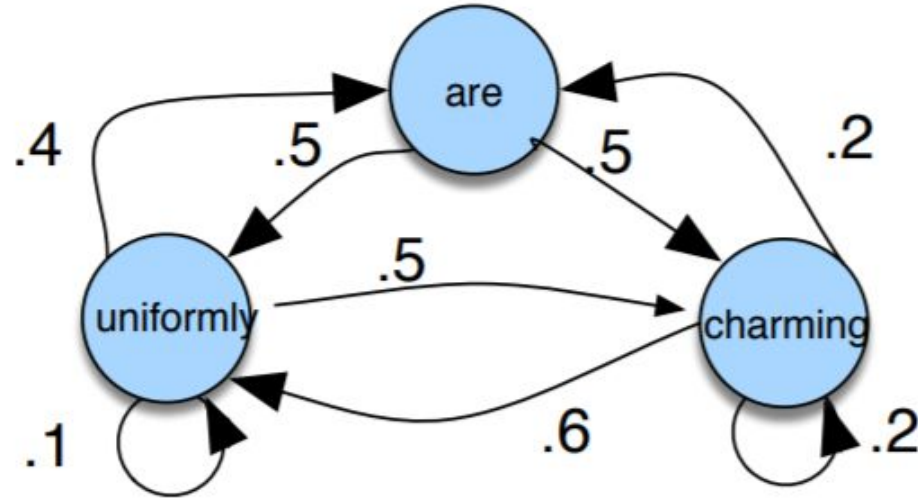
the future is independent of the past given the present

# Markov chain

Formally, a Markov chain is specified by the following components:

$Q = q_1 q_2 \dots q_N$	a set of $N$ <b>states</b>
$A = a_{11} a_{12} \dots a_{n1} \dots a_{nn}$	a <b>transition probability matrix</b> $A$ , each $a_{ij}$ representing the probability of moving from state $i$ to state $j$ , s.t. $\sum_{j=1}^n a_{ij} = 1 \quad \forall i$
$\pi = \pi_1, \pi_2, \dots, \pi_N$	an <b>initial probability distribution</b> over states. $\pi_i$ is the probability that the Markov chain will start in state $i$ . Some states $j$ may have $\pi_j = 0$ , meaning that they cannot be initial states. Also, $\sum_{i=1}^n \pi_i = 1$

# Markov Chain: words

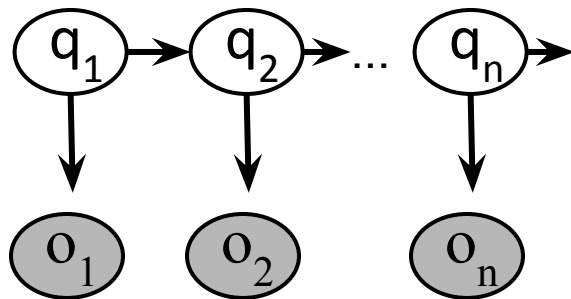


$$\pi = [0.1, 0.7, 0.2]$$

the future is independent of the past given the present

# Hidden Markov Models

- In real world many events are not observable
- Speech recognition: we observe acoustic features but not the phones
- POS tagging: we observe words but not the POS tags



**Markov Assumption:**  $P(q_i | q_1 \dots q_{i-1}) = P(q_i | q_{i-1})$

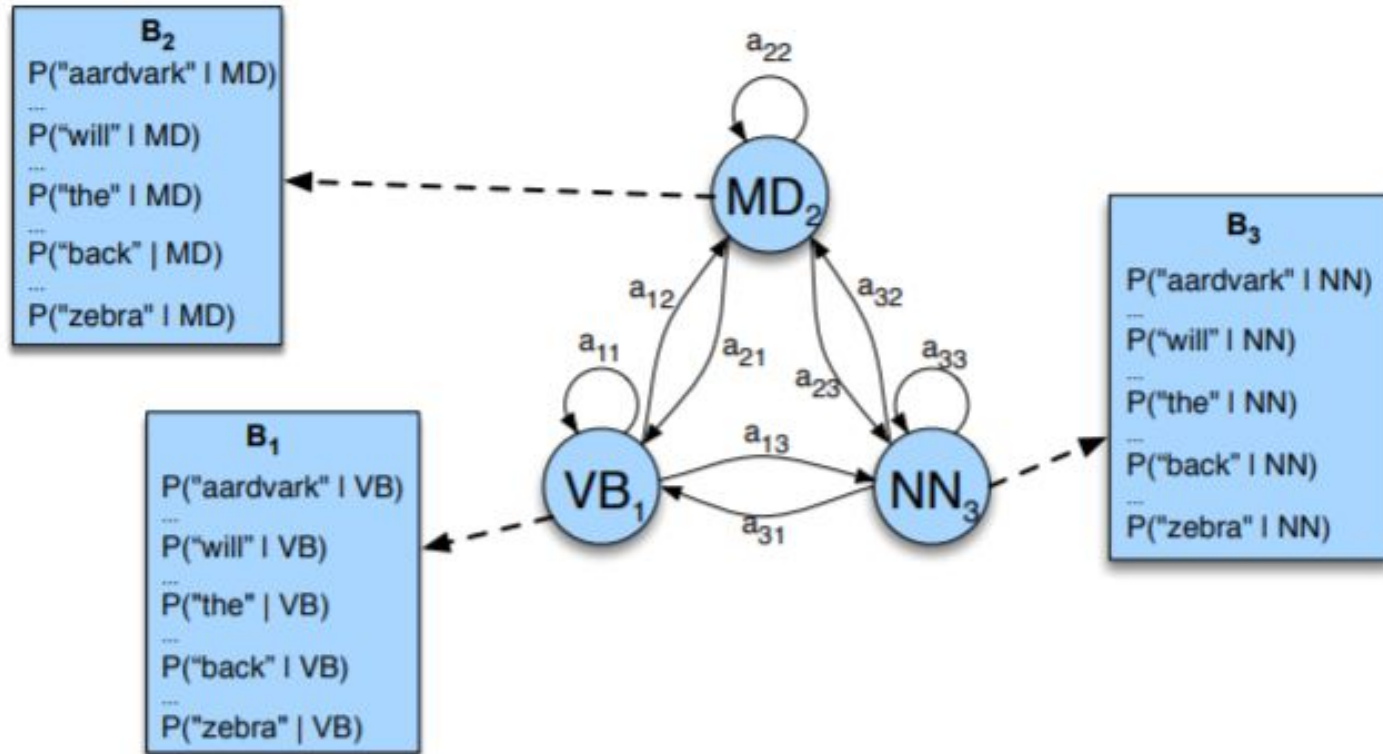
**Output Independence:**  $P(o_i | q_1 \dots q_i, \dots, q_T, o_1, \dots, o_i, \dots, o_T) = P(o_i | q_i)$

# Hidden Markov Models (HMMs)

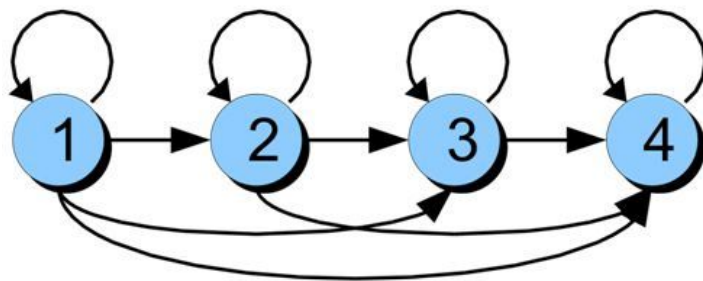
$Q = q_1 q_2 \dots q_N$	a set of $N$ <b>states</b>
$A = a_{11} \dots a_{ij} \dots a_{NN}$	a <b>transition probability matrix</b> $A$ , each $a_{ij}$ representing the probability of moving from state $i$ to state $j$ , s.t. $\sum_{j=1}^N a_{ij} = 1 \quad \forall i$
$O = o_1 o_2 \dots o_T$	a sequence of $T$ <b>observations</b> , each one drawn from a vocabulary $V = v_1, v_2, \dots, v_V$
$B = b_i(o_t)$	a sequence of <b>observation likelihoods</b> , also called <b>emission probabilities</b> , each expressing the probability of an observation $o_t$ being generated from a state $q_i$
$\pi = \pi_1, \pi_2, \dots, \pi_N$	an <b>initial probability distribution</b> over states. $\pi_i$ is the probability that the Markov chain will start in state $i$ . Some states $j$ may have $\pi_j = 0$ , meaning that they cannot be initial states. Also, $\sum_{i=1}^n \pi_i = 1$



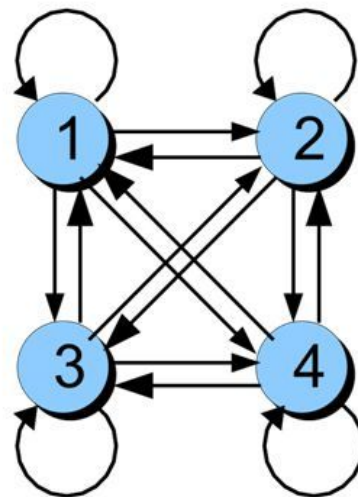
# HMM example



# Types of HMMs



Bakis = left-to-right



Ergodic =  
fully-connected

# HMMs in language technologies

- Part-of-speech tagging (Church, 1988; Brants, 2000)
- Named entity recognition (Bikel et al., 1999) and other information extraction tasks
- Text chunking and shallow parsing (Ramshaw and Marcus, 1995)
- Word alignment of parallel text (Vogel et al., 1996)
- Acoustic models in speech recognition (emissions are continuous)
- Discourse segmentation (labeling parts of a document)

# HMM parameters

$$Q = q_1 q_2 \dots q_N$$

a set of  $N$  **states**



$$A = a_{11} a_{12} \dots a_{n1} \dots a_{nn}$$

a **transition probability matrix**  $A$ , each  $a_{ij}$  representing the probability of moving from state  $i$  to state  $j$ , s.t.  $\sum_{j=1}^n a_{ij} = 1 \quad \forall i$

$$O = o_1 o_2 \dots o_T$$

a sequence of  $T$  **observations**, each one drawn from a vocabulary  $V = v_1, v_2, \dots, v_V$



$$B = b_i(o_t)$$

a sequence of **observation likelihoods**, also called **emission probabilities**, each expressing the probability of an observation  $o_t$  being generated from a state  $i$



$$q_0, q_F$$

a special **start state** and **end (final) state** that are not associated with observations, together with transition probabilities  $a_{01} a_{02} \dots a_{0n}$  out of the start state and  $a_{1F} a_{2F} \dots a_{nF}$  into the end state

# HMMs: algorithms

Forward

**Problem 1 (Likelihood):** Given an HMM  $\lambda = (A, B)$  and an observation sequence  $O$ , determine the likelihood  $P(O|\lambda)$ .

Viterbi

**Problem 2 (Decoding):** Given an observation sequence  $O$  and an HMM  $\lambda = (A, B)$ , discover the best hidden state sequence  $Q$ .

Forward–  
Backward;  
Baum–Welch

**Problem 3 (Learning):** Given an observation sequence  $O$  and the set of states in the HMM, learn the HMM parameters  $A$  and  $B$ .