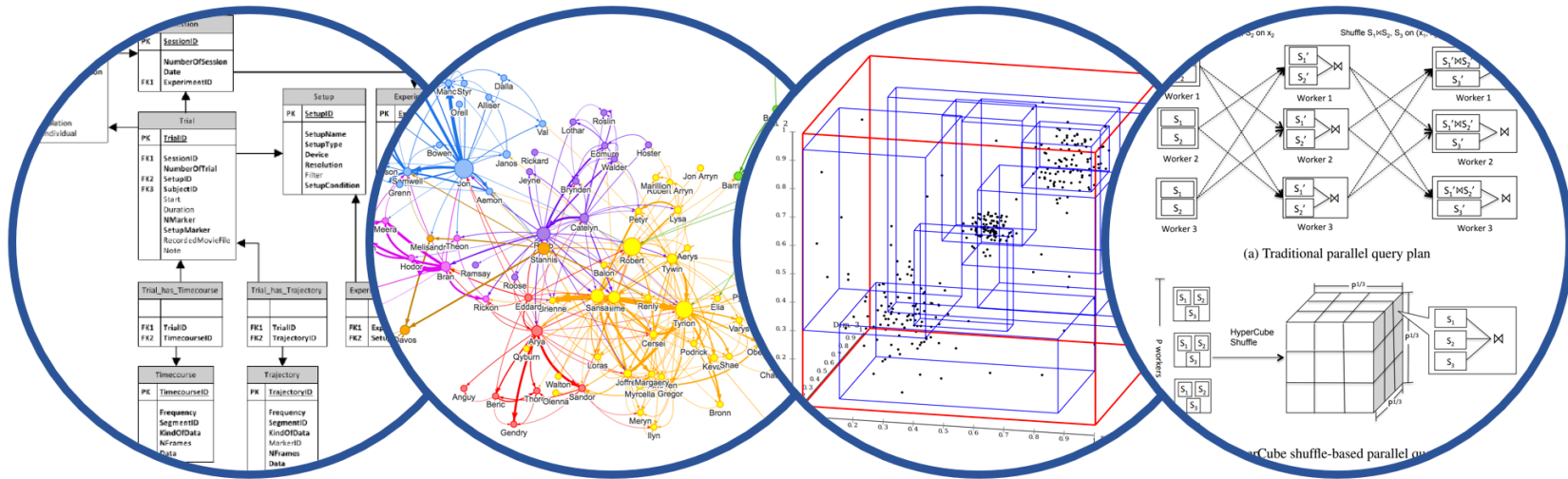


Course Evals

- Please take a few minutes before we start to fill out the course evals

<https://uw.iasystem.org/survey/253721>

- We read all your comments and appreciate the feedback
- Thank you so much for your hard work this quarter!



Database System Internals

Replication

Paul G. Allen School of Computer Science and Engineering
University of Washington, Seattle

References

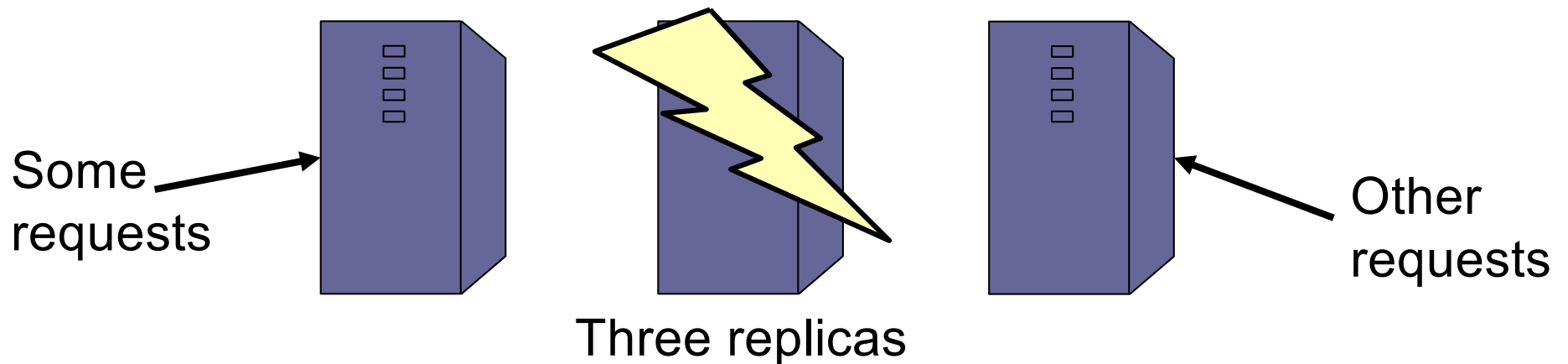
- Ullman Book Chapter 20.6
- **Database management systems.**
Ramakrishnan and Gehrke.
Third Ed. **Chapter 22.11**

Outline

- Goals of replication
- Three types of replication
 - Synchronous (aka eager) replication
 - Asynchronous (aka lazy) replication
 - Two-tier replication


Goals of Replication

- Goal 1: availability
- Goal 2: performance



- But, it's easy to build a replicated system that reduces performance and availability

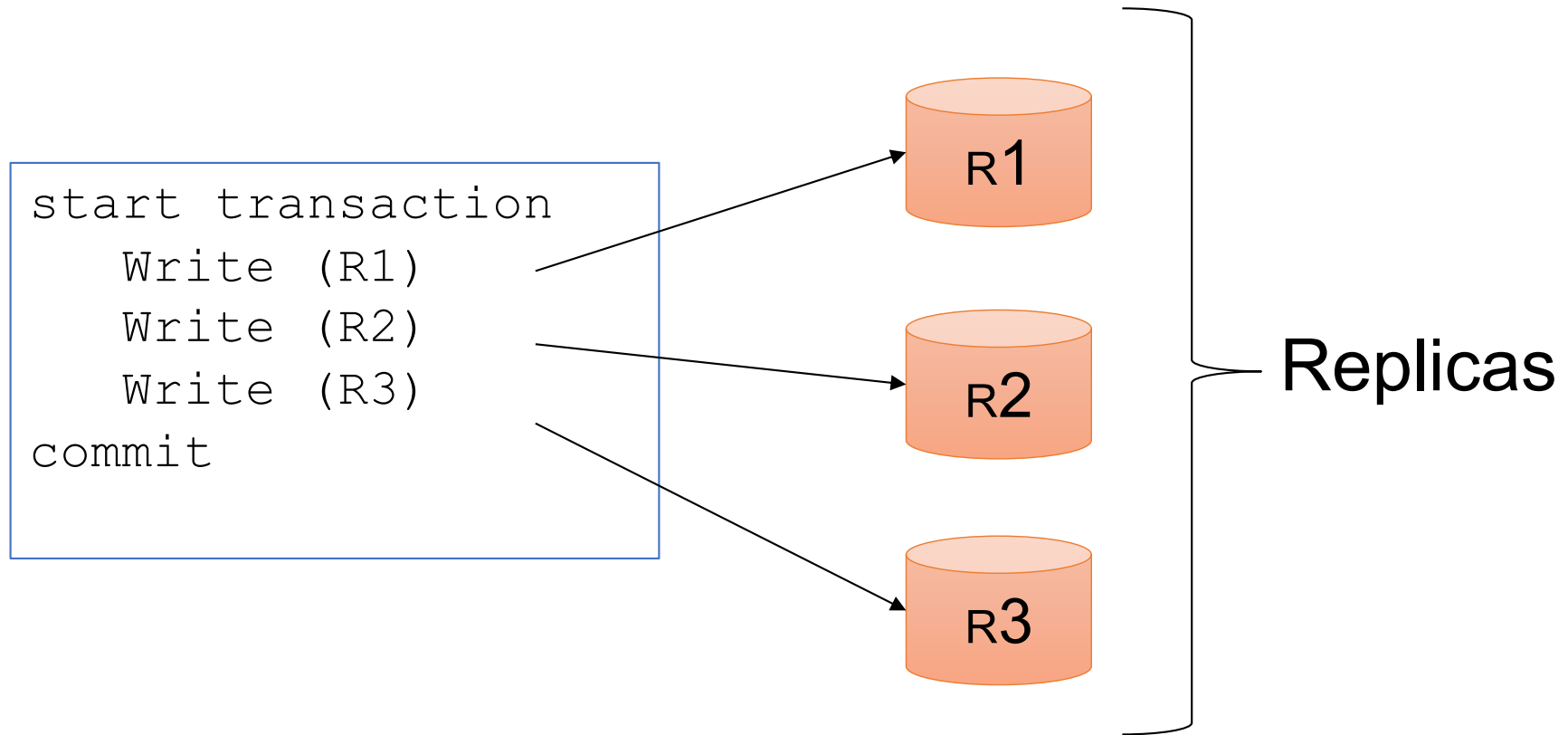
Types of Replication

	Master	Group
Synchronous		
Asynchronous		

Synchronous Replication

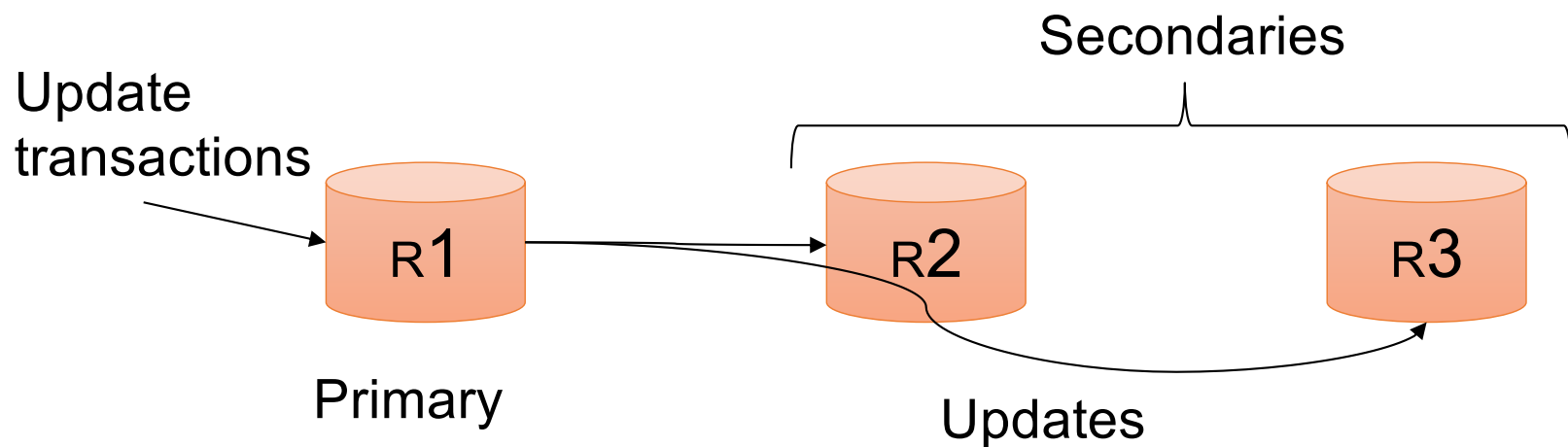
- Also called **eager replication**
- All updates are applied to all replicas (or to a majority) as part of a single transaction (need two phase commit)
- Main goal: as if there was only one copy
 - Maintain **consistency**
 - Maintain **one-copy serializability**
 - I.e., execution of transactions has same effect as an execution on a non-replicated db
- Transactions must acquire **global locks**

Synchronous Replication



Synchronous Master Replication

- **One master for each object holds primary copy**
 - The “Master” is also called “Primary”
 - To update object, transaction must acquire a lock at the master
 - Lock at the master is global lock
- Master propagates updates to replicas synchronously
 - Updates propagate as part of the same distributed transaction
 - Need to run 2PC at the end
 - For example, using triggers



Crash Failures

- **What happens when a secondary crashes?**
 - Nothing happens
 - When secondary recovers, it catches up

- **What happens when the master/primary fails?**
 - Blocking would hurt availability
 - Must chose a new primary: run election



Network Failures

- **Network failures can cause trouble...**
 - Secondaries think that primary failed
 - Secondaries elect a new primary
 - But primary can still be running
 - Now have two primaries!

Majority Consensus

- To avoid problem, only majority partition can continue processing at any time
- In general,
 - Whenever a replica fails or recovers...
 - a set of communicating replicas must determine...
 - whether they have a majority before they can continue

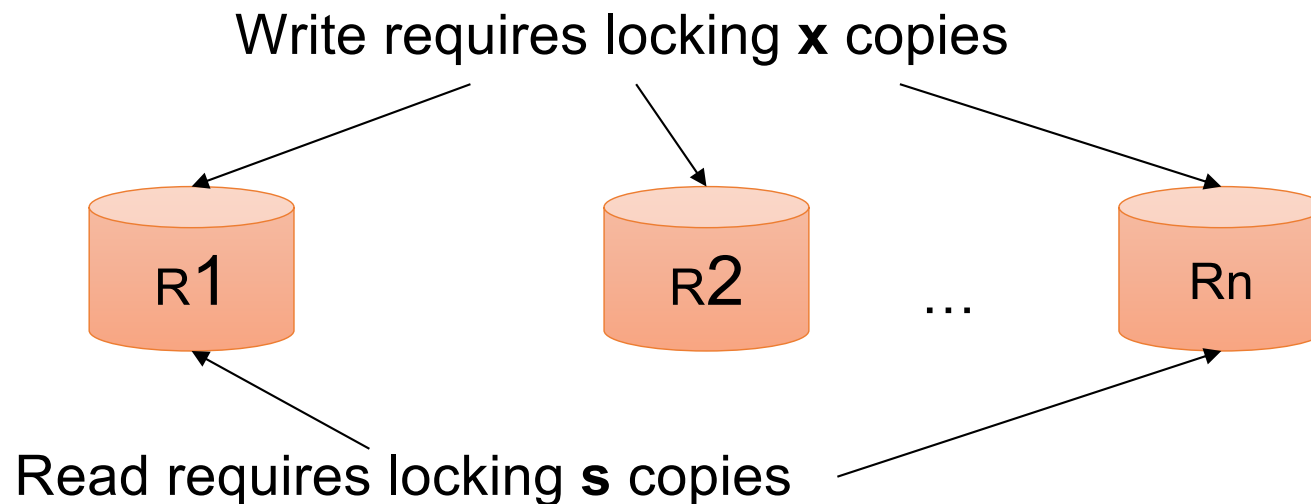
Types of Replication

	Master	Group
Synchronous		
Asynchronous		

Synchronous Group Replication

■ Master-less

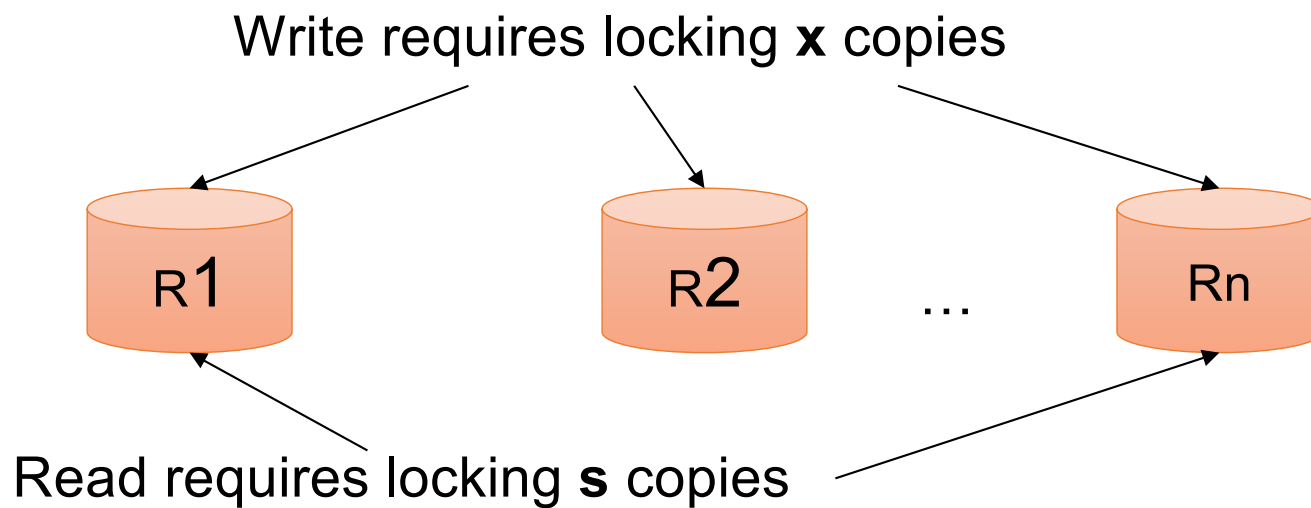
- Any node can initiate a transaction!
- Need to gather a number of nodes that agree on a particular transaction



Synchronous Group Replication

■ With n copies

- Exclusive lock on x copies is global exclusive lock
- Shared lock on s copies is global shared lock
- Must have: $2x > n$ and $s + x > n$
- Version numbers serve to identify current copy



Synchronous Group Replication

■ Majority locking

- $s = x = \lceil (n+1)/2 \rceil$ eg: 11 nodes: need 6 locked
- No need to run any reconfiguration algorithms




■ Read-locks-one, write-locks-all

- $s=1$ and $x = n$, high read performance
- Need to make sure algo runs on quorum of computers

Synchronous Replication Properties

- Favours **consistency** over availability
 - Only majority partition can process requests
 - There appears to be a single copy of the db
- **High runtime overhead**
 - Must lock and update at least majority of replicas
 - Two-phase commit
 - Runs at pace of slowest replica in quorum
 - So overall system is now slower
 - Higher deadlock rate (transactions take longer)

Types of Replication

	Master	Group
Synchronous		
Asynchronous		

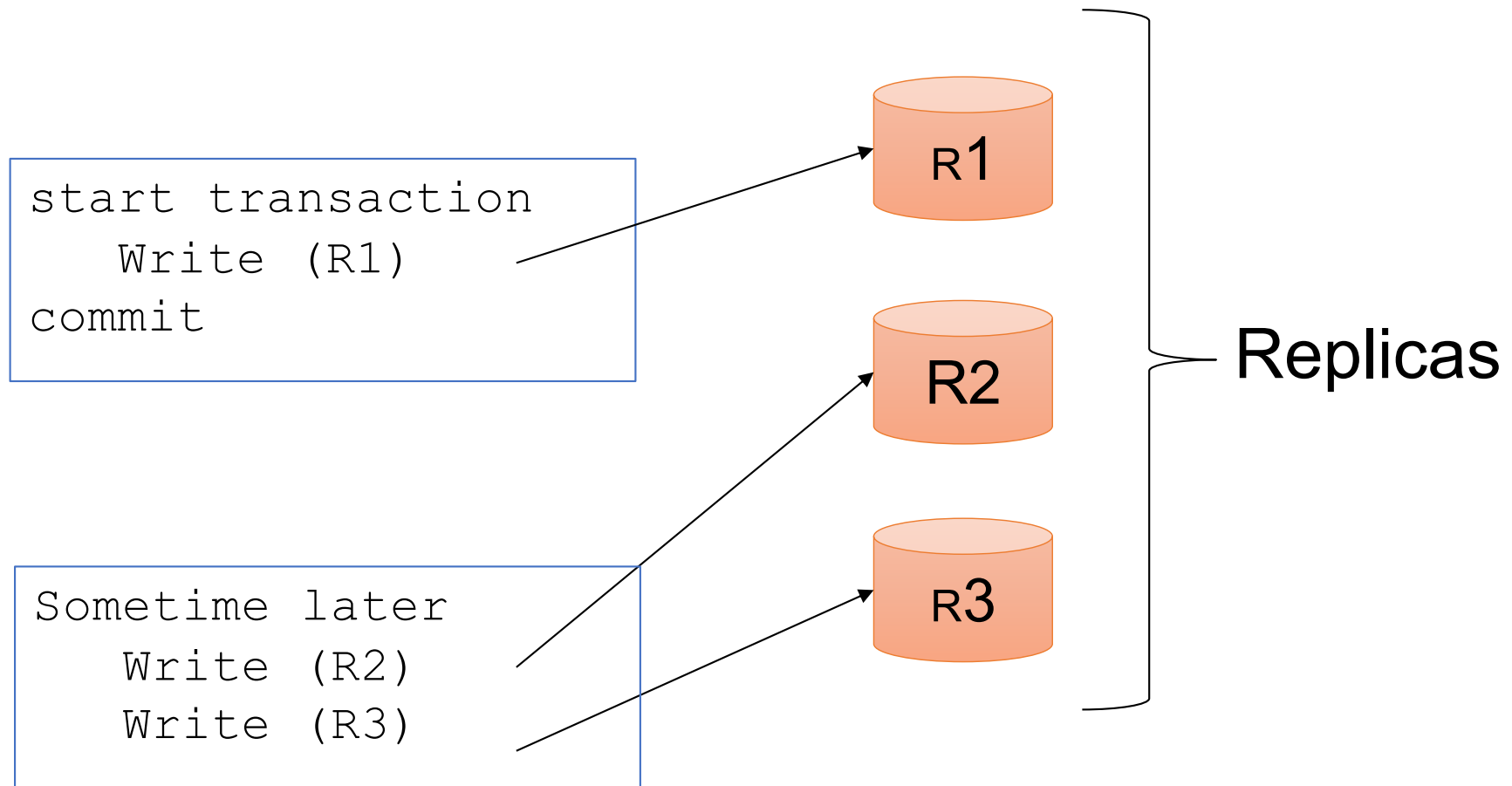
Asynchronous Replication

- Also called **lazy replication**
- Also called **optimistic replication**

- Main goals: availability and performance

- Approach
 - One replica updated by original transaction
 - Updates propagate asynchronously to other replicas

Asynchronous Replication







Asynchronous Master Replication

- **One master holds primary copy**
 - Transactions update primary copy
 - Master asynchronously propagates updates to replicas, which process them in same order (e.g. through log shipping)
 - Ensures single-copy serializability

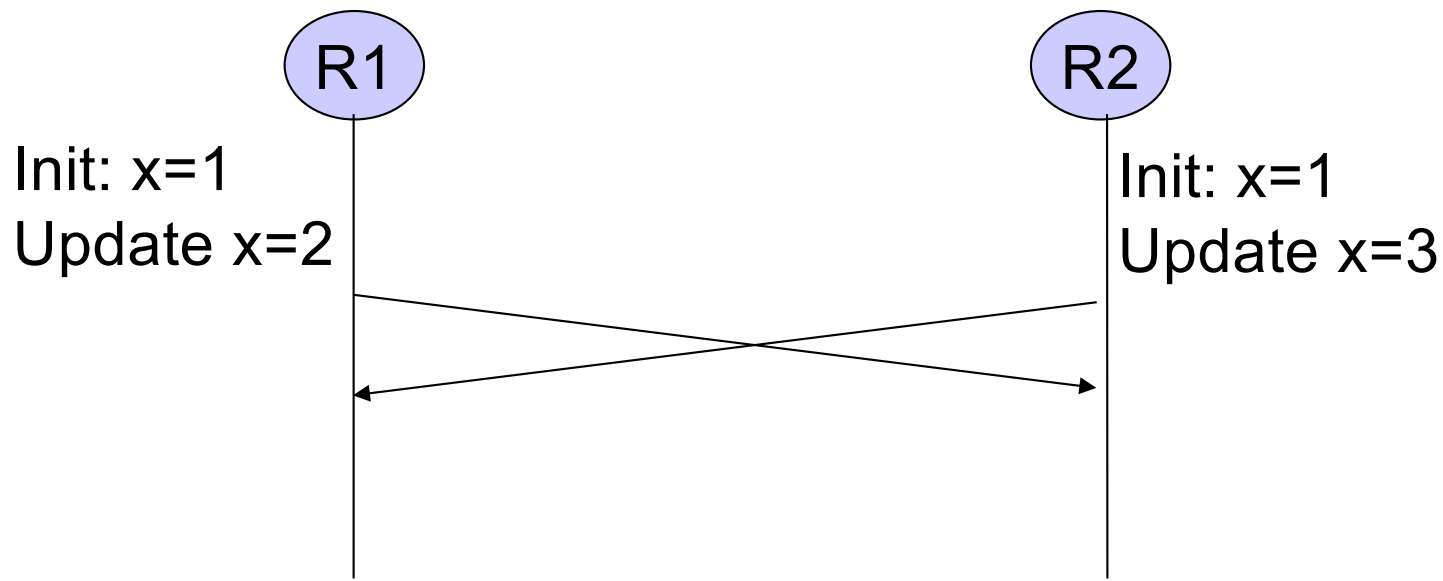
- **What happens when master/primary fails?**
 - Can lose most recent transactions when primary fails!
 - After electing a new primary, secondaries must agree who is most up-to-date

Types of Replication

	Master	Group
Synchronous		
Asynchronous		

Asynchronous Group Replication

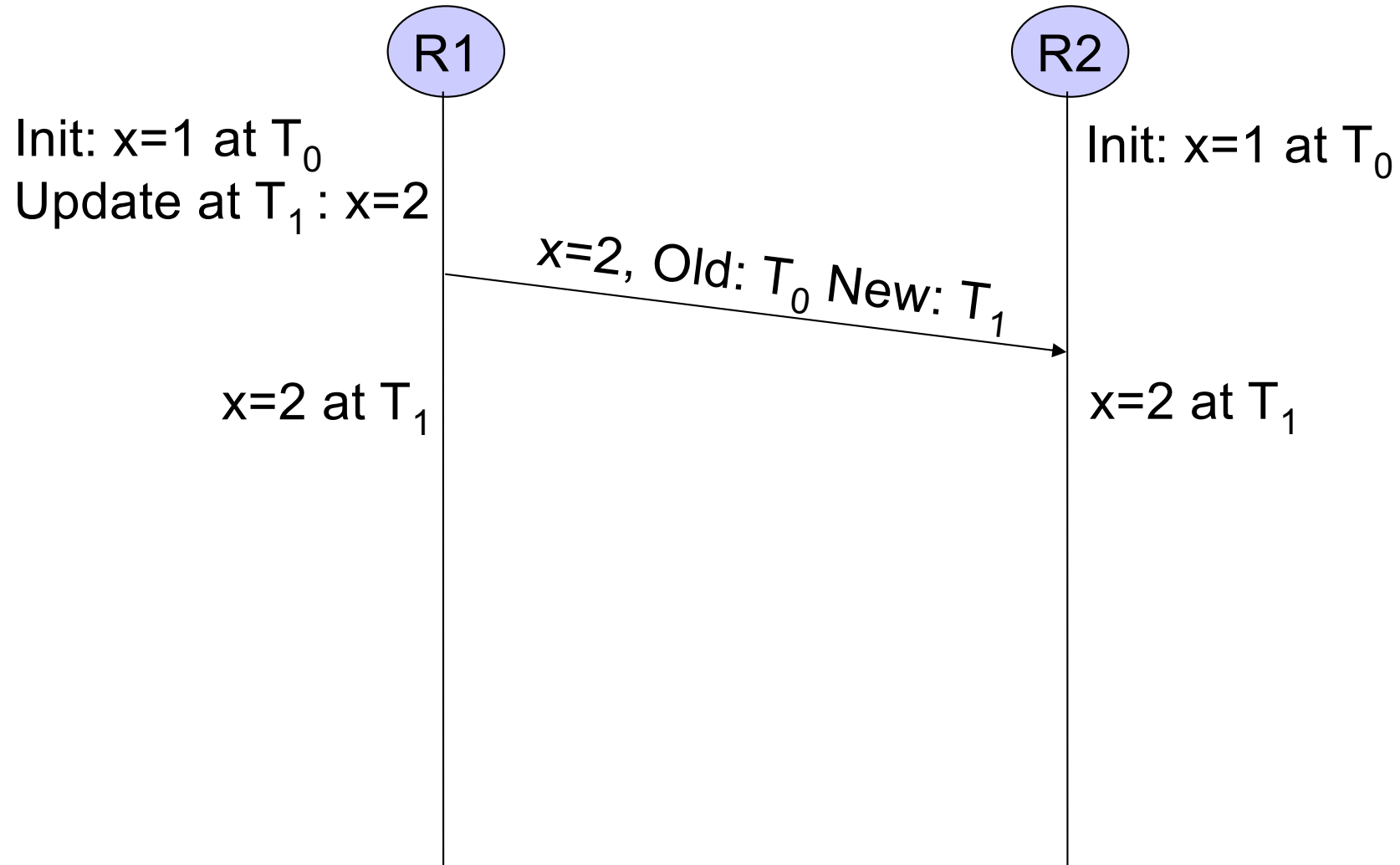
- Also called **multi-master**
- Best scheme for availability
- **Cannot guarantee one-copy serializability!**



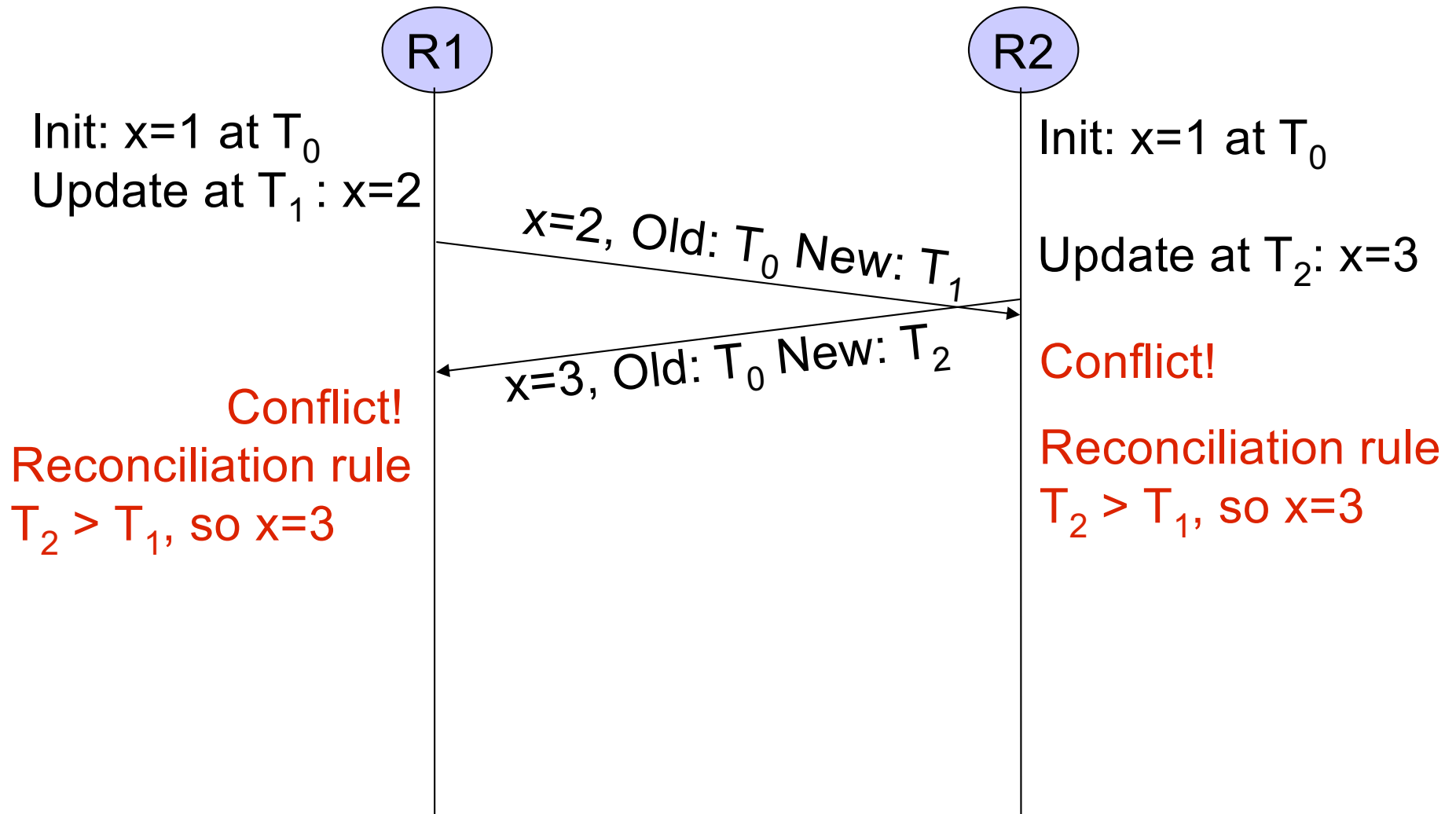
Asynchronous Group Replication

- **Cannot guarantee one-copy serializability!**
- **Instead guarantee convergence**
 - Db state does not reflect any serial execution
 - But all replicas have the same state
- **Detect conflicts and reconcile replica states**
- **Different reconciliation techniques are possible**
 - Manual
 - Most recent timestamp wins
 - Site A wins over site B
 - User-defined rules, etc.

Detecting Conflicts Using Timestamps



Detecting Conflicts Using Timestamps



Vector Clocks

- An extension of Multiversion Concurrency Control (MVCC) to multiple servers
- Standard MVCC:
each data item X has a timestamp t :
 $X_4, X_9, X_{10}, X_{14}, \dots, X_t$
- Vector Clocks:
 X has set of [server, timestamp] pairs
 $X([s_1, t_1], [s_2, t_2], \dots)$

Asynchronous Group Replication Properties

- Favours **availability** over consistency
 - Can read and update any replica
 - High runtime performance
- **Weak consistency**
 - Conflicts and reconciliation

Outline

- Goals of replication
- Three types of replication
 - Synchronous (aka eager) replication
 - Asynchronous (aka lazy) replication
 - Two-tier replication

Two-Tier Replication

- Benefits of lazy master and lazy group
- Each object has a master with primary copy
- When disconnected from master
 - Secondary can only run **tentative transactions**
- When reconnects to master
 - Master reprocesses all tentative transactions
 - Checks an acceptance criterion
 - If passes, we now have **final commit order**
 - Secondary **undoes tentative and redoes committed**

Conclusion

- Replication is a very important problem
 - Fault-tolerance (various forms of replication)
 - Caching (lazy master)
 - Warehousing (lazy master)
 - Mobility (two-tier techniques)
- Replication is complex, but basic techniques and trade-offs are **very well known**
 - Synchronous or asynchronous replication
 - Master or quorum

SCALABILITY

HIGH
(Many Nodes)

NOSQL

NEWSQL

LOW
(One Node)

TRADITIONAL

WEAK
(None/Limited)

GUARANTEES

STRONG
(ACID)

Slide from Andy Pavlo @ CMU

Some Popular NewSQL Systems

■ H-Store

- Research system from Brown U., MIT, CMU, and Yale
- Commercialized as VoltDB

■ Hekaton

- Microsoft
- Fully integrated into SQL Server

■ Hyper

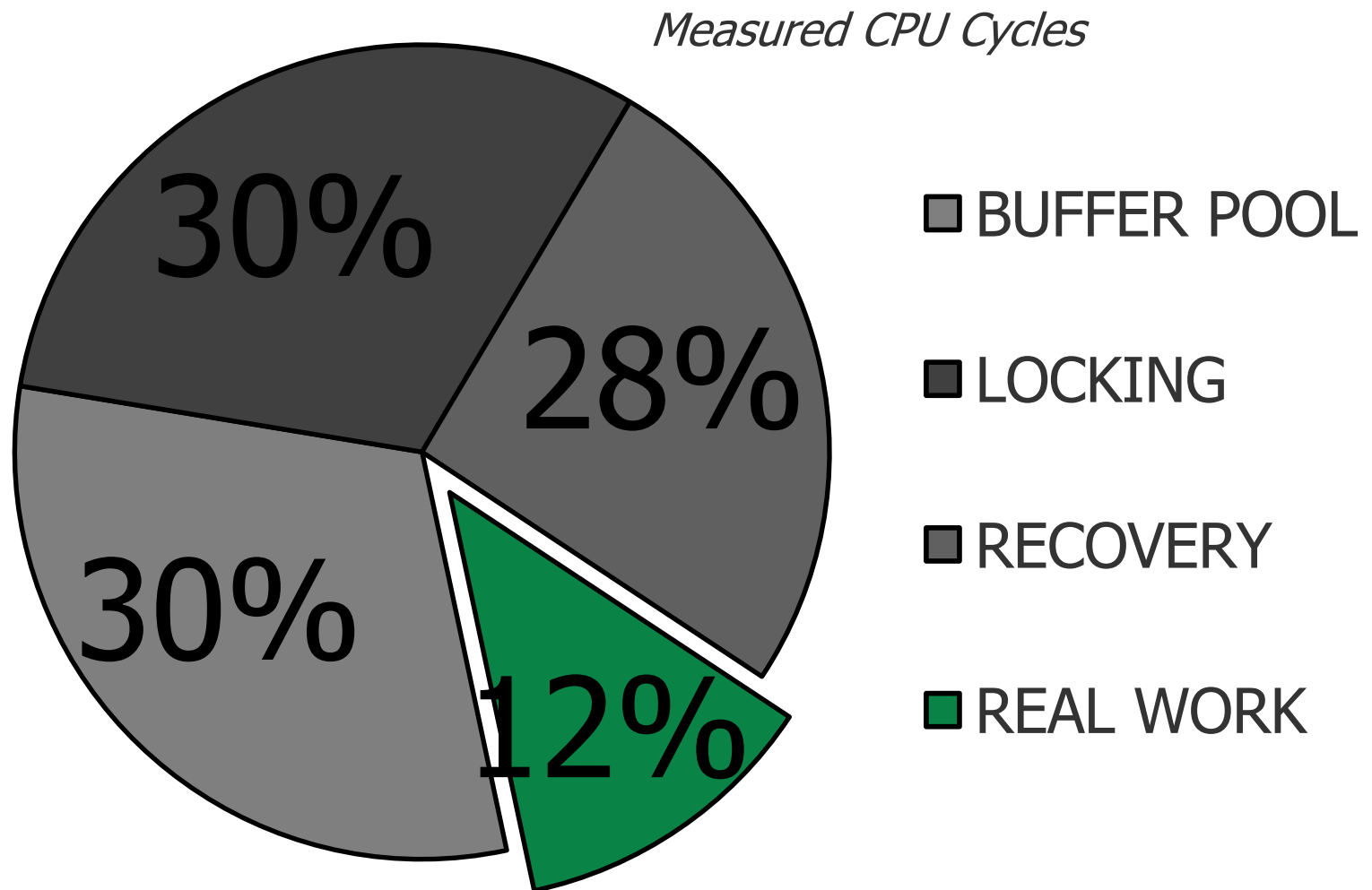
- Hybrid OLTP/OLAP
- Research system from TU Munich. Bought by Tableau

■ Spanner

- Google

H-Store Insight

TRADITIONAL DBMS:



OLTP THROUGH THE LOOKING GLASS,
AND WHAT WE FOUND THERE
SIGMOD, pp. 981-992, 2008.

Slide from Andy Pavlo @ CMU

H-Store Key Ideas

- **Main-memory storage**
 - Avoids disk IO costs / buffer pool costs
 - Durability through snapshots + cmd log
 - Replication
- **Serial execution**
 - One database partition per thread on one core
 - Avoid overheads related to locking
- **All transactions are stored procedures**
 - Command logging avoids heavy recovery overheads
- **Avoid distributed transactions**
 - But when needed, run 2PC

STORED PROCEDURE

VoteCount:

```
SELECT COUNT(*)  
FROM votes  
WHERE phone_num = ?;
```

InsertVote:

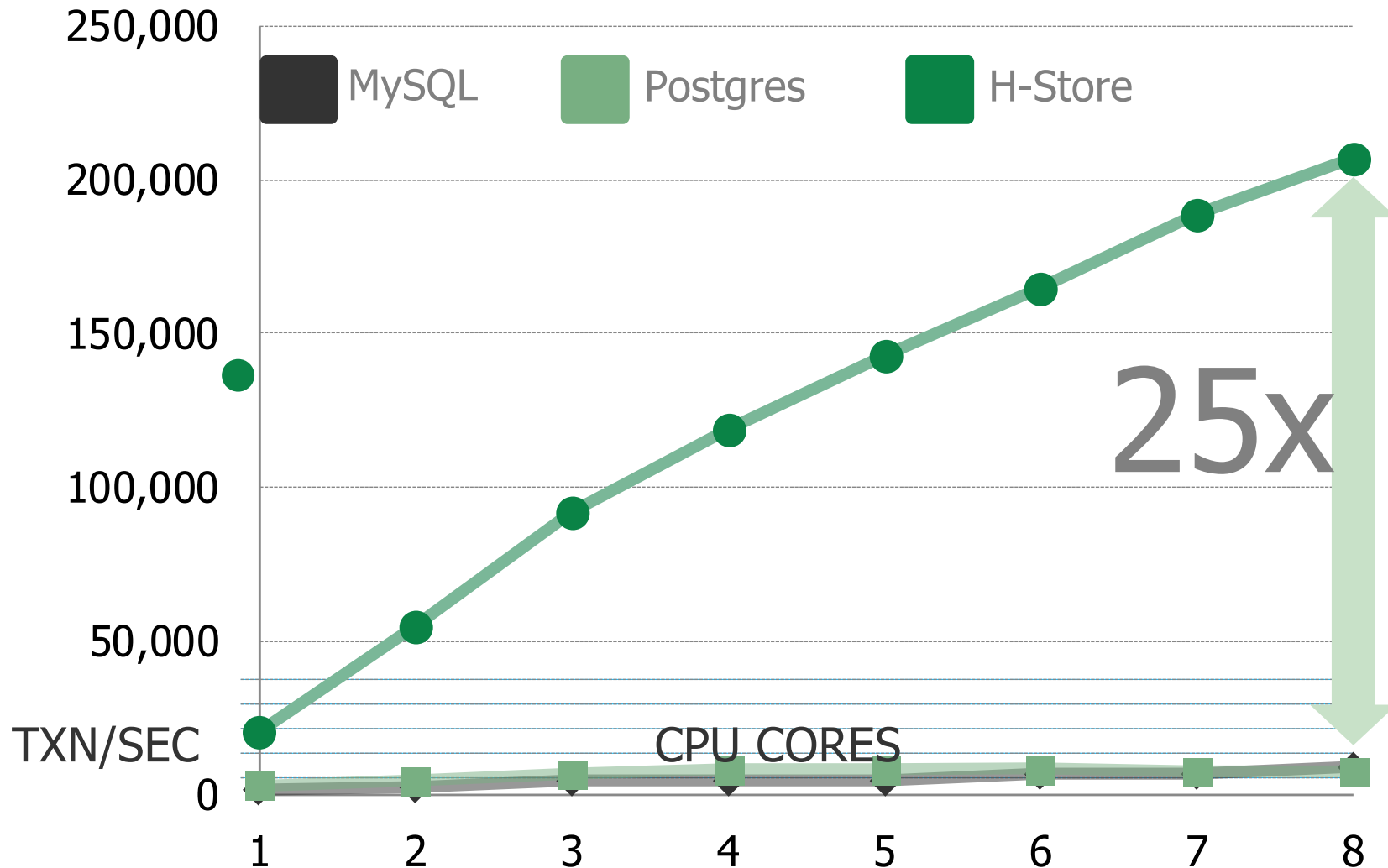
```
INSERT INTO votes  
VALUES (?, ?, ?);
```

```
run(phoneNum, contestantId, currentTime) {  
    result = execute(VoteCount, phoneNum);  
    if (result > MAX_VOTES) {  
        return (ERROR);  
    }  
    execute(InsertVote, phoneNum,  
           contestantId,  
           currentTime);  
    return (SUCCESS);  
}
```

Application

Voter Benchmark

Japanese "American Idol"



Slide from Andy Pavlo @ CMU

Hekaton

- Focus: DBMS with large main memories and many core CPUs
- Integrated with SQL Server
- Key user-visible features
 - Simply declare a table “memory resident”
 - Hekaton tables are fully durable and transactional, though non-durable tables are also supported
 - Query can touch both Hekaton and regular tables

Hekaton Key Details

- Idea: To increase transaction throughput must decrease number of instructions / transaction
- Main-memory DBMS
 - Optimize indexes for memory-resident data
 - Durability by logging and checkpointing records to external storage
- No partitioning
 - Any thread can touch any row of any table
- No locking
 - Uses a new MVCC method for isolation

Hekaton More Details

- **Optimized stored procedures**
 - Compile statements and stored procedures into customized, highly efficient machine code

- Hybrid OLTP and OLAP
- In-memory data management
 - Including optimized indexes for memory-resident data
 - Data compression for cold data
- Data-centric code generation
 - SQL translated to LLVM
- OLAP separated from OLTP using MVCC
- Exploits hardware transactional memory
- Data shuffling and distribution optimizations

Conclusion

- Many innovations recently in
 - Big data analytics
 - Transaction processing at very large scale
- Many more problems remain open
- This course teaches foundations
- Innovate with an open mind!