

# Introduction to Data Management BCNF Decomposition 

Paul G. Allen School of Computer Science and Engineering University of Washington, Seattle

## Recap

## Superkey

A Superkey is a set of attributes $A_{1}, \ldots, A_{n}$ s.t. for any single attribute $B$ :

$$
A_{1}, \ldots, A_{n} \rightarrow B
$$

In other words, for the set of all attributes $C$ in the relation $R$, the set $\left\{A_{1}, \ldots, A_{n}\right\}$ is a superkey iff $\left\{A_{1}, \ldots, A_{n}\right\}^{+}=C$

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## Key

A Key is a minimal superkey, i.e. no subset of a key is a superkey.

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## Superkeys

## Keys

## Recap

Restaurants(rid, name, rating, popularity) rid $\rightarrow$ name
rid $\rightarrow$ rating
rating $\rightarrow$ popularity

|  | Closure | Superkey? | Key? |
| :--- | :--- | :--- | :--- |
| \{rid, rating\} | \{rid, name, rating, popularity\} |  |  |
| rid | \{rid, name, rating, popularity\} |  |  |
| rating | \{rating, popularity |  |  |
| popularity | \{popularity\} |  |  |

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| rid | \{rid, name, rating, popularity\} | Yes | Yes |
| rating | \{rating, popularity\} | No | No |
| popularity | \{popularity\} | No | No |

## Usefulness of Keys in Design

What intuitions do we get from data interrelationships?

- FDs that are not superkeys hint at redundancy
- If a FD antecedent is not a superkey, we can remove redundant information, i.e. the FD consequent
- Rephrased
- $\{A\} \rightarrow\{B\}$ is fine if $\{A\}$ is a superkey
- Otherwise, we can extract $\{B\}$ into a separate table

| Name | SSN | Phone | City |
| :--- | :--- | :--- | :--- |
| Fred | $123-45-6789$ | $206-555-9999$ | Seattle |
| Fred | $123-45-6789$ | $206-555-8888$ | Seattle |
| Joe | $987-65-4321$ | $415-555-7777$ | San Francisco |

SSN is not a superkey!

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SSN is not a superkey!

## Think About This

$$
\{S S N\}+=?
$$

## Previously we converted this

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into this

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## Think About This

\{SSN\}+ = \{SSN, Name, City\}

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## Database Design

## Database Design is about

(1) characterizing data and (2) organizing data

How to talk about properties
we know or see in the data

## Database Design

## Database Design is about

(1) characterizing data and (2) organizing data

How to organize data to promote ease of use and efficiency

## Normal Forms

## Normal Forms

- 1NF $\rightarrow$ Flat
- 2NF $\rightarrow$ No partial FDs (obsolete)
- 3NF $\rightarrow$ Preserve all FDs, but allow anomalies
- BCNF $\rightarrow$ No transitive FDs, but can lose FDs
-4NF Considers multi-valued dependencies
- 5NF $\rightarrow$ nsiders join dependencies (hard to do)

In 414, we only discuss this

## Normal Forms

## 1NF

A relation $R$ is in First Normal Form if all attribute values are atomic. Attribute values cannot be multivalued. Nested relations are not allowed.

We call data in 1NF "flat."

## BCNF

## BCNF

A relation $R$ is in Boyce-Codd Normal Form (BCNF) if for every non-trivial dependency, $X \rightarrow A, X$ is a superkey.

Equivalently, a relation $R$ is in BCNF if $\forall X$ either $X^{+}=X$ or $X^{+}=C$ where $C$ is the set of all attributes in $R$

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SSN $\rightarrow$ SSN, Name, City
We often call these "bad FDs" because they prevent the relation from being in BCNF

If we remove all the bad FDs, then the relation is in BCNF

## Decomposition

- "Extracting" attributes can be done with decomposition (split the schema into smaller parts)
- For this class, decomposition means the following:

$$
R\left(A_{1}, \ldots, A_{n}, B_{1}, \ldots, B_{m}, C_{1}, \ldots, C_{k}\right)<\begin{aligned}
& R_{1}\left(A_{1}, \ldots, A_{n}, B_{1}, \ldots, B_{m}\right) \\
& R_{2}\left(A_{1}, \ldots, A_{n}, C_{1}, \ldots, C_{k}\right)
\end{aligned}
$$

## Decomposition

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R_{1}\left(A_{1}, \ldots, A_{n}, B_{1}, \ldots, B_{m}\right) \\
R_{2}\left(A_{1}, \ldots, A_{n}, C_{1}, \ldots, C_{k}\right)
\end{array} \\
\\
\begin{array}{c}
\text { Some common } \\
\text { attributes are present } \\
\text { so we can rejoin data }
\end{array} \\
\hline
\end{array}
$$

## BCNF

## BCNF Decomposition Algorithm

|  | Normalize $(R)$ |  |
| :--- | :--- | :--- |
| $C \leftarrow$ the set of all attributes in $R$ |  |  |
|  | find $X$ s.t. $X^{+} \neq X$ and $X^{+} \neq C$ |  |
| if $X$ is not found |  |  |
|  | then "R is in BCNF" |  |
|  | else |  |
|  | decompose $R$ into $R_{1}\left(X^{+}\right)$and $R_{2}\left(\left(C-X^{+}\right) \cup X\right)$ |  |
|  | $\operatorname{Normalize}\left(R_{1}\right)$ |  |
|  | $\operatorname{Normalize}\left(R_{2}\right)$ |  |

## BCNF

## BCNF Decomposition Algorithm



## BCNF

## BCNF Decomposition Algorithm



## BCNF

## BCNF Decomposition Algorithm



## BCNF Decomposition Example



Restaurants(rid, name, rating, popularity, recommended) rid $\rightarrow$ name, rating rating $\rightarrow$ popularity popularity $\rightarrow$ recommended

Restaurants(rid, name, rating, popularity, recommended)

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Restaurants(rid, name, rating, popularity, recommended)
(1) rating $\rightarrow$ rating, popularity, recommended ("bad" FD)

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(1) rating $\rightarrow$ rating, popularity, recommended ("bad" FD)
(2) R1 = rating, popularity, recommended

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(2) R1 = rating, popularity, recommended
(3) R2 = rid, name, rating

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Finished?

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(1) rating $\rightarrow$ rating, popularity, recommended ("bad" FD)
(2) R1 = rating, popularity, recommended
(3) R2 = rid, name, rating

Finished? NO! (popularity $\rightarrow$ recommended) is still "bad" We decompose R1 into R3, R4

## BCNF Decomposition Example

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Restaurants(rid, name, rating, popularity, recommended) rid $\rightarrow$ name, rating rating $\rightarrow$ popularity popularity $\rightarrow$ recommended

Restaurants(rid, name, rating, popularity, recommended)
(1) rating $\rightarrow$ rating, popularity, recommended ("bad" FD)
(2) R1 = rating, popularity, recommended
(3) R2 = rid, name, rating

Finished? NO! (popularity $\rightarrow$ recommended) is still "bad"
We decompose R1 into R3, R4
$\mathrm{R} 2=$ ride, name, rating $\quad \mathrm{R} 3=$ rating, popularity $\quad \mathrm{R} 4=$ popularity, recommended

## BCNF Decomposition Example

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| if $X$ is not found |  |  |
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Restaurants(rid, name, rating, popularity, recommended)
(1) rating $\rightarrow$ rating, popularity, recommended ("bad" FD)
(2) R1 = rating, popularity, recommended
(3) R2 = rid, name, rating

Finished? NO! (popularity $\rightarrow$ recommended) is still "bad"

These three tables are the final decomp.

We decompose R1 into R3, R4

$$
\mathrm{R} 2=\text { ride, name, rating } \quad \mathrm{R} 3=\text { rating, popularity } \quad \mathrm{R} 4=\text { popularity, recommended }
$$

## BCNF Decomposition Order

```
Restaurants(rid, name, rating, popularity, recommended)
rid }->\mathrm{ name, rating
rating }->\mathrm{ popularity
popularity }->\mathrm{ recommended
```

Note that we chose to split the tables on (rating $\rightarrow$ rating, popularity, recommended) first. We could have instead chosen (popularity $\rightarrow$ recommended) first.

In this case the final tables in BCNF will have the same attributes, but not always.

As long as the end result is in BCNF, the particular distribution of attributes doesn't matter for correctness.

## Losslessness

## Definition

Lossless Decomposition is a reversible decomposition, i.e. rejoining all decomposed relations will always result exactly with the original data.

This is the opposite of a Lossy Decomposition, an irreversible decomposition, where rejoining all decomposed relations may result something other than the original data, specifically with extra tuples.

This concept might be familiar if you have ever encountered lossless data compression (e.g. Huffman encoding or PNG) or lossy data compression (e.g. JPEG).

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Neural nets trying to solve the "Zoom... enhance!" problem
(link from Google Research)

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## Losslessness

## Is BCNF decomposition lossless?

## Losslessness

Is BCNF decomposition lossless?

Yes!

In our example:

R2 = ride, name, rating
R3 = rating, popularity
R4 = popularity, recommended

## Losslessness

Is BCNF decomposition lossless?

Yes!

In our example:

R2 = rid, name, rating
R3 = rating, popularity
R4 = popularity, recommended
...gives us original R

## More examples

Consider this example:
$R(A, B, C, D, E, F)$
$A->C D$
$F->A E$
$D \rightarrow B$

## More examples

Consider this example:

$$
\begin{aligned}
& R(A, B, C, D, E, F) \\
& A->C D \\
& F->A E \\
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\end{aligned}
$$

Good idea to start with closures first:
A $+=\{A B C D\}$
So what's our first decomp?

## More examples

Consider this example:

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So what's our first decomp?

## More examples

## $R(A B C D E F)$

$$
\begin{aligned}
& A->C D \\
& F->A E \\
& D->B
\end{aligned}
$$

## More examples

$R(A B C D E F)$


R1 (?)

$$
\begin{aligned}
& \text { A -> CD } \\
& F->A E \\
& D->B
\end{aligned}
$$

## More examples

$R(A B C D(E F)$
$R 1$ ( $A B C D$ ) 2 (?) $\quad \widehat{A}=\{A B C D\}$

## More examples

## $R$ (ABCDEF)

$$
\begin{aligned}
& \text { A -> CD } \\
& \text { F -> AE } \\
& \text { D -> B }
\end{aligned}
$$

$R 1(A B C D) \quad R 2(A E F) \quad A+=\{A B C D\}$

## More examples

## $R(A B C D E F)$

$$
\begin{aligned}
& \text { A -> CD } \\
& \text { F -> AE } \\
& D->B
\end{aligned}
$$

Done?

## More examples

## $R(A B C D E F)$

$$
\begin{aligned}
& \text { A -> CD } \\
& \text { F -> AE } \\
& D->B
\end{aligned}
$$

Done? No!

## More examples

## $R(A B C D E F)$

R1 (ABCD) R2 (AEF)

$A C D$

$$
\begin{aligned}
& \text { A -> CD } \\
& \text { F -> AE } \\
& \text { D -> B }
\end{aligned}
$$

Next attribute(s)?

