

Database System Internals

Query Optimization (part 3)

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Selinger Optimizer History

- 1960's: first database systems
 - Use tree and graph data models
- 1970: Ted Codd proposes relational model
 - E.F. Codd. A relational model of data for large shared data banks. Communications of the ACM, 1970
- 1974: System R from IBM Research
 - One of first systems to implement relational model
- 1979: Seminal query optimizer paper by P. Selinger et. al.
 - Invented cost-based query optimization
 - Dynamic programming algorithm for join order computation

References

- P. Selinger, M. Astrahan, D. Chamberlin, R. Lorie, and T. Price. Access Path Selection in a Relational Database Management System. Proceedings of ACM SIGMOD, **1979**. Pages 22-34.

Selinger Algorithm

Selinger enumeration algorithm considers

- Different logical and physical plans *at the same time*
- Cost of a plan is IO + CPU
- Concept of *interesting order* during plan enumeration
 - A *sorted order* as that requested by ORDER BY or GROUP BY
 - Or order on attributes that appear in equi-join predicates
 - Because they may enable cheaper sort-merge joins later

More about the Selinger Algorithm

- Step 1: Enumerate all access paths for a single relation
 - File scan or index scan
 - Keep the cheapest for each *interesting order*
- Step 2: Consider all ways to join two relations
 - Use result from step 1 as the outer relation
 - Consider every other possible relation as inner relation
 - Estimate cost when using sort-merge or nested-loop join
 - Keep the cheapest for each *interesting order*
- Steps 3 and later: Repeat for three relations, etc.

Example From Selinger Paper

EMP	NAME	DNO	JOB	SAL
	SMITH	50	12	8500
	JONES	50	5	15000
	DOE	51	5	9500

DEPT	DNO	DNAME	LOC
	50	MFG	DENVER
	51	BILLING	BOULDER
	52	SHIPPING	DENVER

JOB	JOB	TITLE
	5	CLERK
	6	TYPIST
	8	SALES
	12	MECHANIC

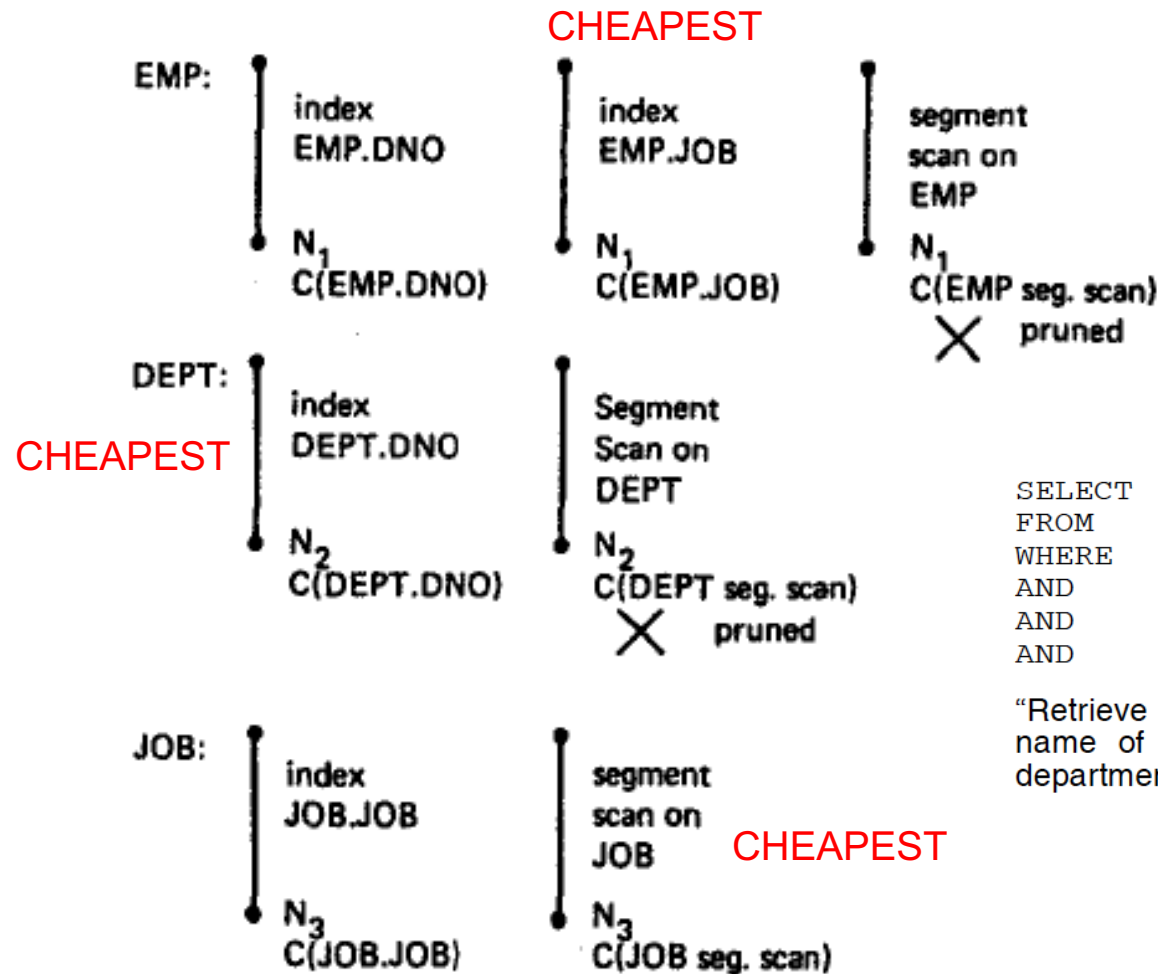
```
SELECT  NAME, TITLE, SAL, DNAME
FROM    EMP, DEPT, JOB
WHERE   TITLE = 'CLERK'
AND     LOC = 'DENVER'
AND     EMP.DNO = DEPT.DNO
AND     EMP.JOB = JOB.JOB
```

“Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver.”

Figure 1. JOIN example

Step1: Access Path Selection for Single Relations

- Eligible Predicates: Local Predicates Only
- “Interesting” Orderings: DNO, JOB



```

SELECT  NAME, TITLE, SAL, DNAME
FROM    EMP, DEPT, JOB
WHERE   TITLE='CLERK'
AND     LOC='DENVER'
AND     EMP.DNO=DEPT.DNO
AND     EMP.JOB=JOB.JOB
    
```

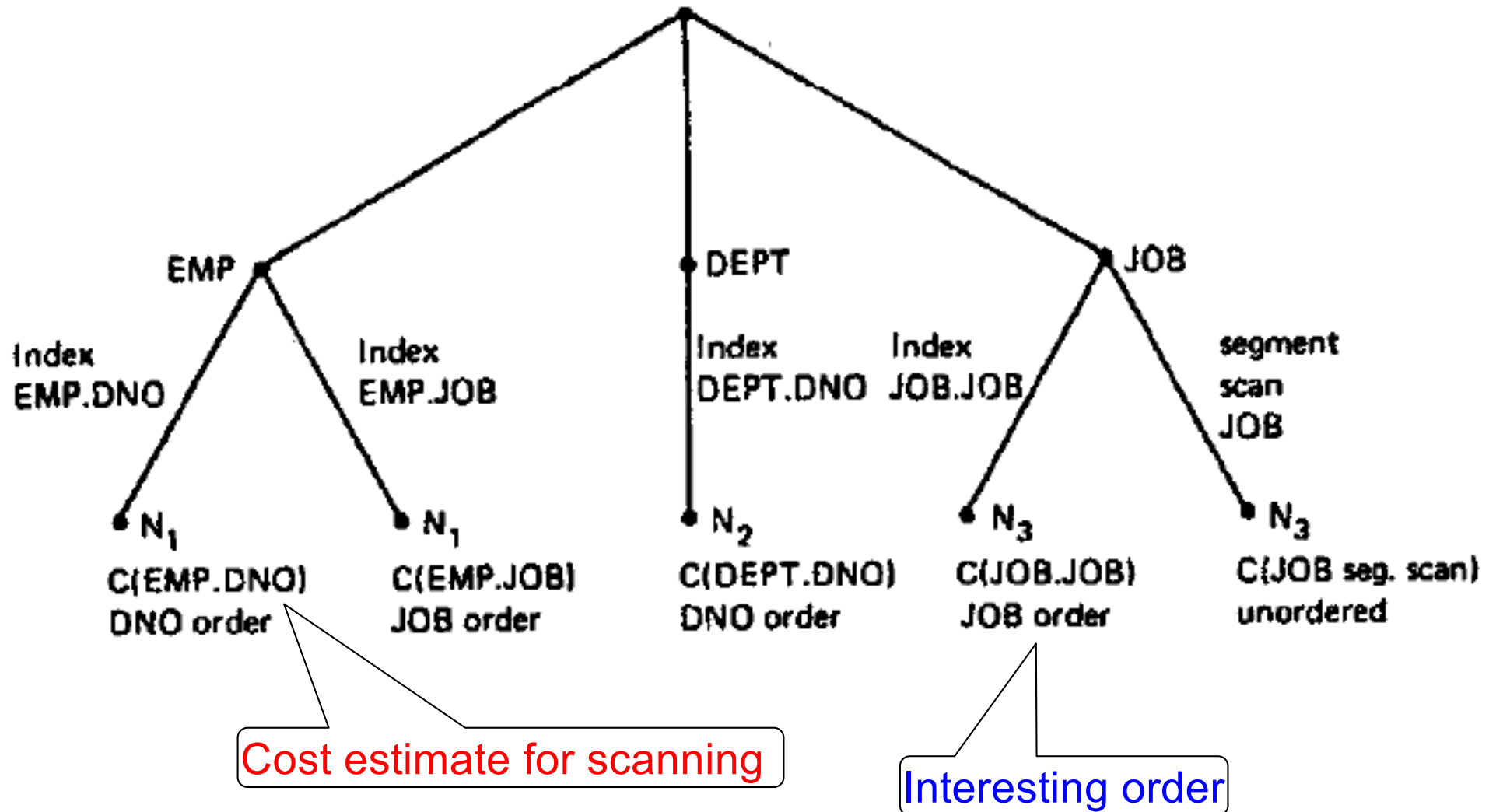
“Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver.”

Figure 1. JOIN example

```

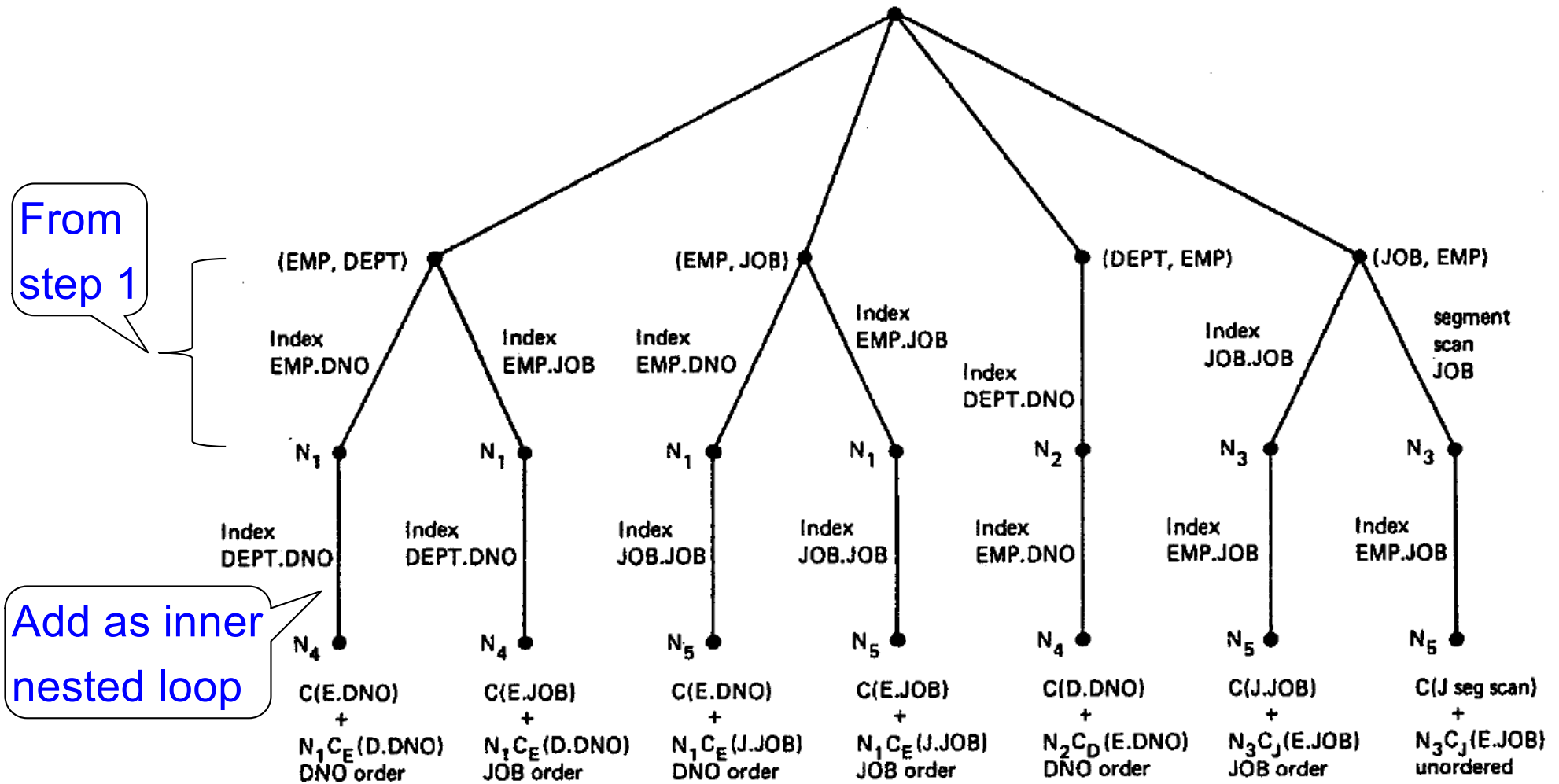
SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB
WHERE TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB
    
```

Step1: Resulting Plan Search Tree for Single Relations



```
SELECT NAME, TITLE, SAL, DNAME  
FROM EMP, DEPT, JOB  
WHERE TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB
```

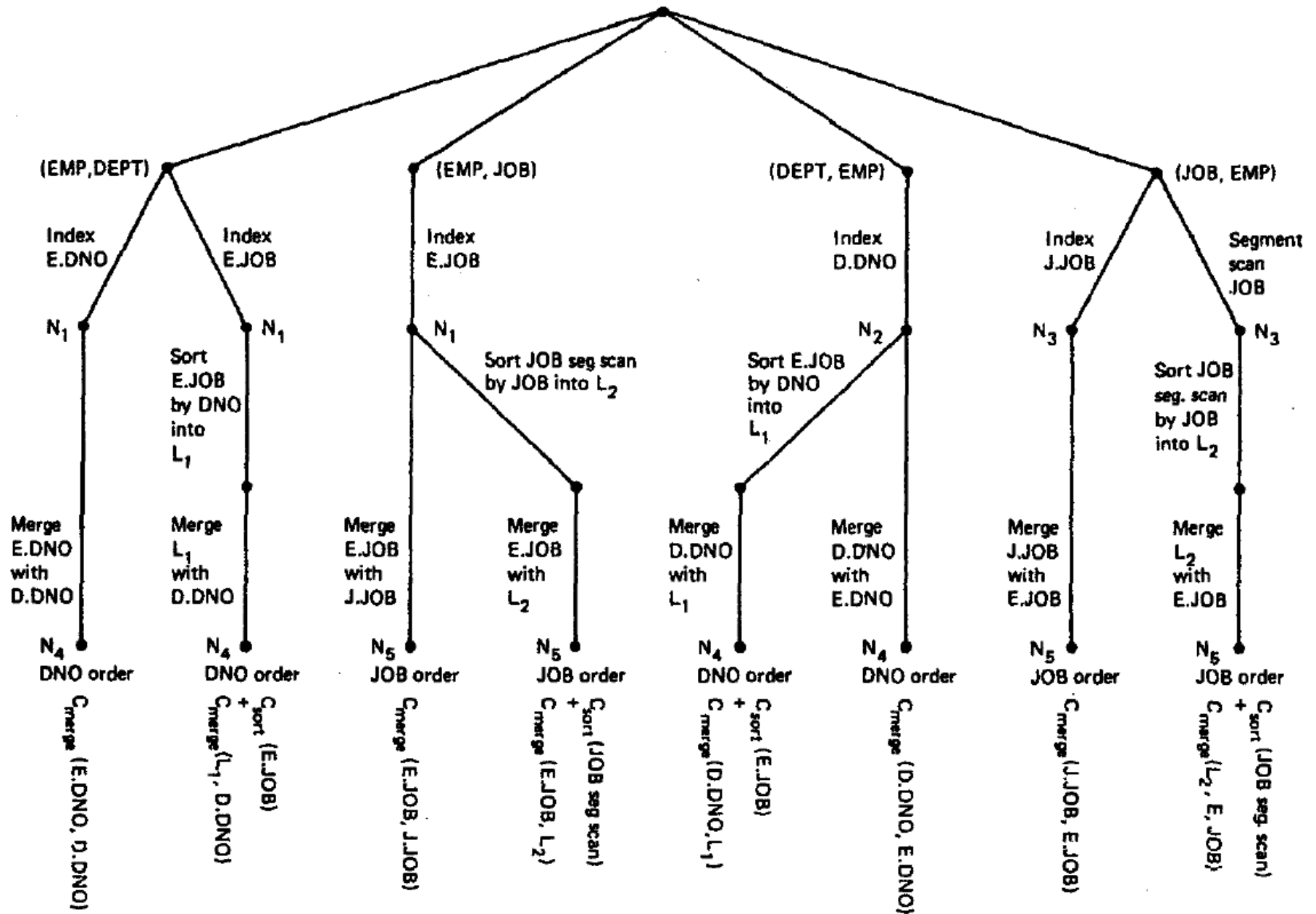

Step2: Pairs of Relations (nested loop joins)



```

SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB
WHERE TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB
    
```

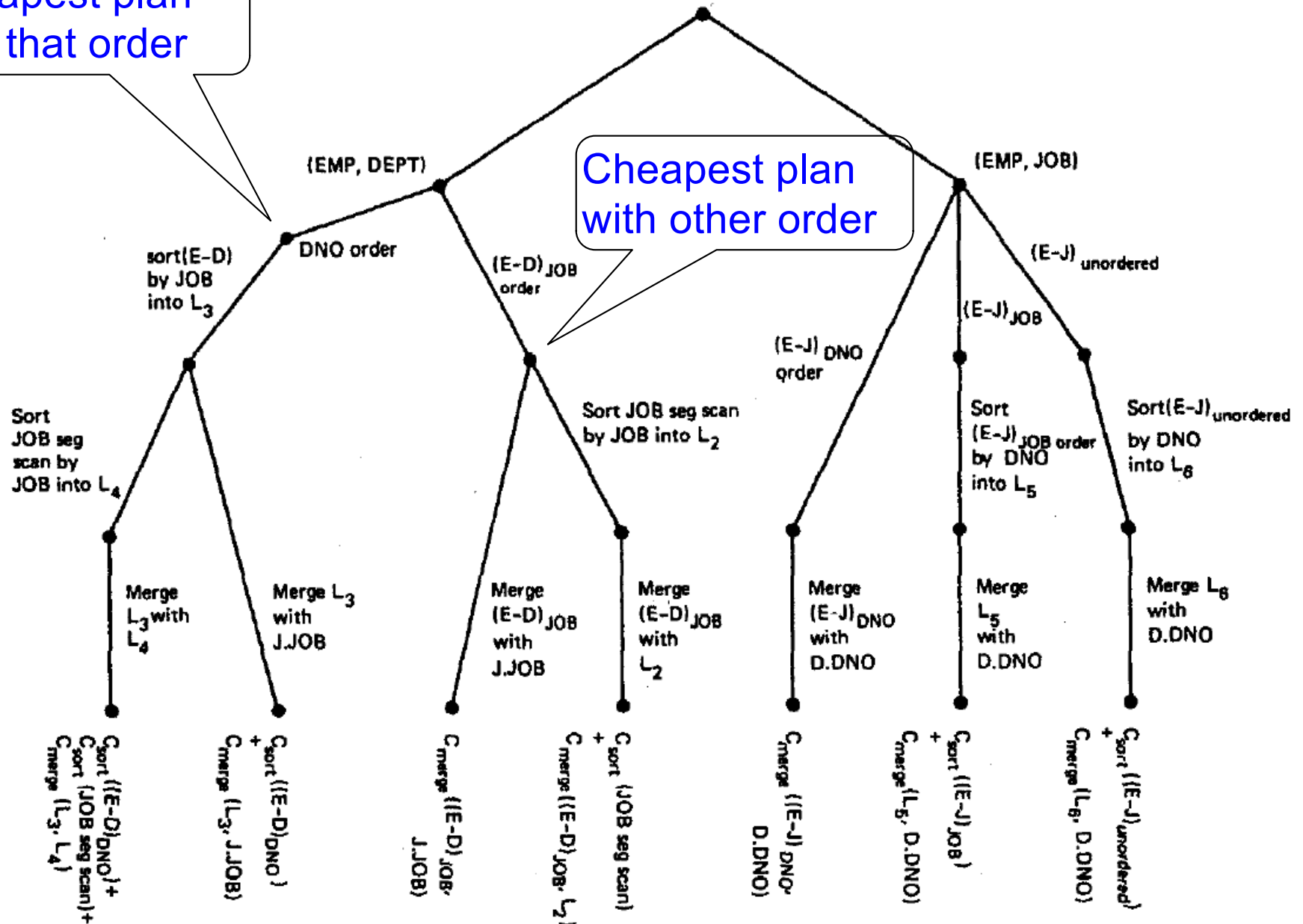
Step2: Pairs of Relations (sort-merge joins)



Step3: Add Third Relation (sort-merge join)

Cheapest plan with that order

Cheapest plan with other order



Selinger Optimizer

Problem:

- How to order a series of joins over N tables A,B,C,...

E.g. A.a = B.b AND A.c = D.d AND B.e = C.f

- N! ways to order joins; e.g. ABCD, ACBD,

- $$C_{N-1} = \frac{1}{N} \binom{2(N-1)}{N-1}$$

plans/ordering; e.g.

((AB)C)D, ((AB)(CD)))

- Multiple implementations (hash, nested loops)
- Naïve approach does not scale
 - E.g. N = 20, #join orders $20! = 2.4 \times 10^{18}$; many more plans

Selinger Optimizer

- Only **left-deep plans**: $((AB)C)D$ – eliminate C_{N-1} .
 - In SimpleDB, we consider all linear plans, not only left-deep.
- Push down selections
- Don't consider cartesian products
- Dynamic programming algorithm

Why Left-Deep

■ Advantages of left-deep trees?

1. Fits well with standard join algorithms (nested loop, one-pass), more efficient
2. One pass join: Uses smaller memory
 1. $((R, S), T)$, can reuse the space for R while joining (R, S) with T
 2. $(R, (S, T))$: Need to hold R, compute (S, T), then join with R, worse if more relations
3. Nested loop join, consider top-down iterator next()
 1. $((R, S), T)$, Reads the chunks of (R, S) once, reads stored base relation T multiple times
 2. $(R, (S, T))$: Reads the chunks of R once, reads computed relation (S, T) multiple times, either more time or more space

Next Example Acks

Implement variant of Selinger optimizer in SimpleDB

Designed to help you understand how this would work in SimpleDB (not the homework)

Many following slides from Sam Madden at MIT

SimpleDBs Optimizer

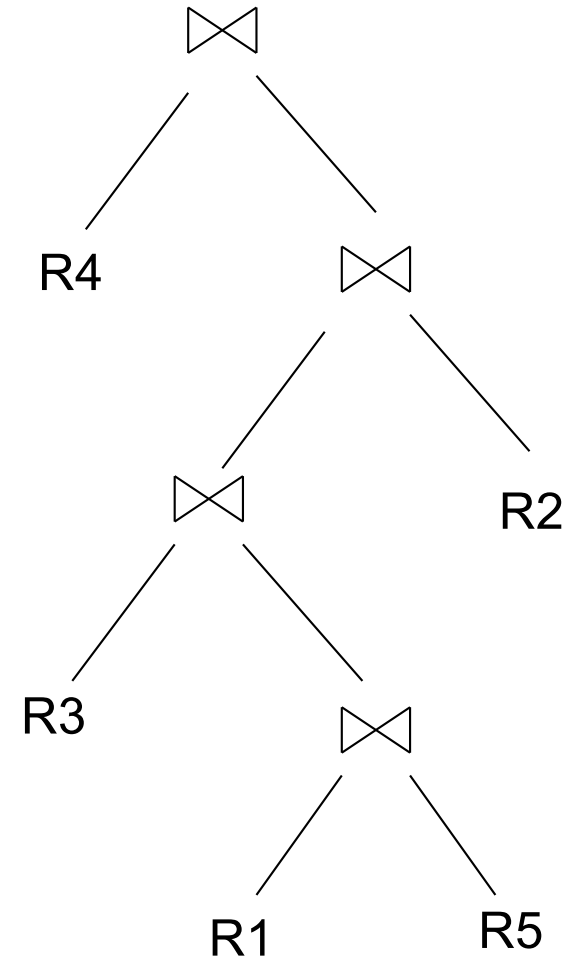
Exists within JoinOptimizer.java

In all the beginning labs, there is no optimization!

The relevant parts of JoinOptimizer are empty

One major difference in SimpleDB compared to Selinger optimizer:

We consider **linear trees**, not left-deep only



Dynamic Programming

OrderJoins(...):

R = set of relations to join

For d = 1 to N: /* where N = |R| */

For S in {all size-d subsets of R}:

optjoin(S) = (S - a) join a,

where a is the single relation that minimizes:

cost(**optjoin**(S - a)) +
min.cost to join (S - a) with a +
min.access cost for a

SimpleDB Lab5:
you implement **orderJoins**

Use: **enumerateSubsets**

Use:
computeCostAndCardOfSubplan

Note: **optjoin**(S-a) is cached from previous iterations

Example

- **orderJoins(A, B, C, D)**
- Assume all joins are Nested Loop

Subplan S	optJoin(S)	Cost(OptJoin(S))
A		

Example

- **orderJoins(A, B, C, D)**
- Assume all joins are NL
- $d = 1$
 - A = best way to access A (sequential scan, predicate-pushdown on index, etc)
 - B = best way to access B
 - C = best way to access C
 - D = best way to access D

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
C	Seq scan	120
D	B+tree scan	400

Example

- **orderJoins(A, B, C, D)**
- $d = 2$
 - $\{A, B\} = AB$ or BA
use previously computed best way to access A and B

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		

Example

- **orderJoins(A, B, C, D)**
- $d = 2$
 - $\{A, B\} = AB$ or BA
use previously computed
best way to access A and B

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156

Example

- **orderJoins(A, B, C, D)**
- $d = 2$
 - $\{A, B\} = AB$ or BA
use previously computed best way to access A and B
 - $\{B, C\} = BC$ or CB

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156
{B, C}	BC	98

Example

- **orderJoins(A, B, C, D)**

- $d = 2$

- $\{A, B\} = AB$ or BA
use previously computed
best way to access A and B
- $\{B, C\} = BC$ or CB

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
$\{A, B\}$	BA	156
$\{B, C\}$	BC	98

Example

▪ $\text{orderJoins}(A, B, C, D)$

▪ $d = 2$

- $\{A, B\} = AB$ or BA
use previously computed
best way to access A and B
- $\{B, C\} = BC$ or CB
- $\{C, D\} = CD$ or DC
- $\{A, C\} = AC$ or CA
- $\{B, D\} = BD$ or DB
- $\{A, D\} = AD$ or DA

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
$\{A, B\}$	BA	156
$\{B, C\}$	BC	98
.....		

Example

- **orderJoins(A, B, C, D)**

- $d = 2$

- $\{A,B\} = AB$ or BA
use previously computed
best way to access A and B

- $\{B,C\} = BC$ or CB
- $\{C,D\} = CD$ or DC
- $\{A,C\} = AC$ or CA
- $\{B,D\} = BD$ or DB
- $\{A,D\} = AD$ or DA

- Total number of steps: $\text{choose}(N, 2) \times 2$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
$\{A, B\}$	BA	156
$\{B, C\}$	BC	98
.....		

Example

- **orderJoins(A, B, C, D)**

- $d = 3$

- $\{A, B, C\} =$
Remove A: compare $A(\{B, C\})$ to $(\{B, C\})A$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

Example

- **orderJoins(A, B, C, D)**

- $d = 3$

- $\{A, B, C\} =$
Remove A: compare $A(\{B, C\})$ to $(\{B, C\})A$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

optJoin(B,C)
and its cost are
already cached
in table

Example

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

▪ **orderJoins(A, B, C, D)**

▪ $d = 3$

- $\{A, B, C\} =$
Remove A: compare $A(\{B, C\})$ to $(\{B, C\})A$
Remove B: compare $B(\{A, C\})$ to $(\{A, C\})B$
Remove C: compare $C(\{A, B\})$ to $(\{A, B\})C$

optJoin(B,C)
and its cost are
already cached
in table

Example

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

▪ orderJoins(A, B, C, D)

▪ d = 3

• {A,B,C} =

- Remove A: compare A({B,C}) to ({B,C})A
- Remove B: compare B({A,C}) to ({A,C})B
- Remove C: compare C({A,B}) to ({A,B})C

optJoin(B,C) and its cost are already cached in table

Example

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

▪ orderJoins(A, B, C, D)

▪ d = 3

• {A,B,C} =

Remove A: compare A({B,C}) to ({B,C})A
Remove B: compare B({A,C}) to ({A,C})B
Remove C: compare C({A,B}) to ({A,B})C

• {A,B,D} =

Remove A: compare A({B,D}) to ({B,D})A

....

• {A,C,D} = ...

• {B,C,D} = ...

optJoin(B,C)
and its cost are
already cached
in table

Example

▪ $\text{orderJoins}(A, B, C, D)$

▪ $d = 4$

- $\{A, B, C, D\} =$

Subplan S	$\text{optJoin}(S)$	$\text{Cost}(\text{OptJoin}(S))$
A	Index scan	100
B	Seq. scan	50
{A, B}	BA	156
{B, C}	BC	98
{A, B, C}	BAC	500
{B, C, D}	DBC	150
.....		

Remove A: compare A $\{B, C, D\}$ to $(\{B, C, D\})A$
Remove B: compare B $\{A, C, D\}$ to $(\{A, C, D\})B$
Remove C: compare C $\{A, B, D\}$ to $(\{A, B, D\})C$
Remove D: compare D $\{A, B, C\}$ to $(\{A, B, C\})D$

$\text{optJoin}(B, C, D)$
and its cost are
already cached
in table

Interesting Orders

- Some query plans produce data in sorted order
 - E.g scan over a primary index, merge-join
 - Called *interesting order*
- Next operator may use this order
 - E.g. can be another merge-join
- For each subset of relations, compute multiple optimal plans, one for each interesting order
- Increases complexity by factor $k+1$, where k =number of interesting orders

Why Left-Deep

Asymmetric, cost depends on the order

- Left: Outer relation Right: Inner relation
- For nested-loop-join, we try to load the outer (typically smaller) relation in memory, then read the inner relation one page at a time
$$B(R) + B(R) * B(S) \text{ or } B(R) + B(R)/M * B(S)$$
- For index-join,
we assume right (inner) relation has index

Implementation in SimpleDB (lab5)

1. `JoinOptimizer.java` (and the classes used there)

2. Returns vector of “`LogicalJoinNode`”

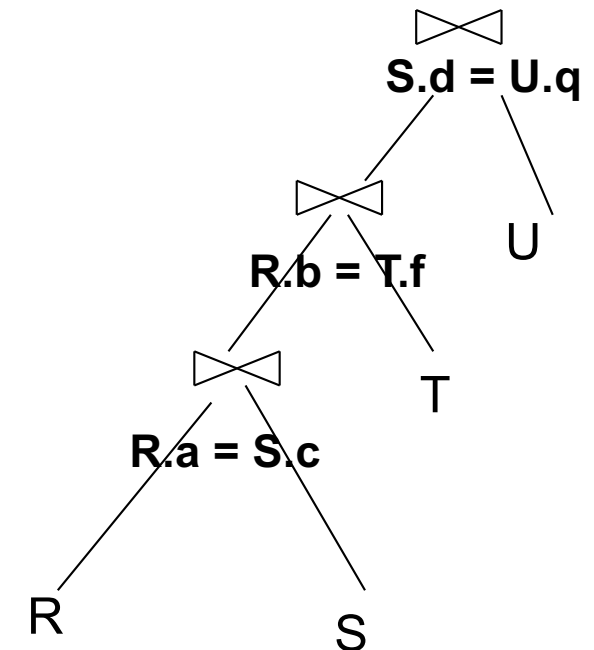
Two base tables, two join attributes, predicate

e.g. $R(a, b), S(c, d), T(a, f), U(p, q)$

$(R, S, R.a, S.c, =)$

Recall that SimpleDB keeps all attributes of

R, S after their join $R.a, R.b, S.c, S.d$



3. Output vector looks like:

$\langle (R, S, R.a, S.c), (R, T, R.b, T.f), (S, U, S.d, U.q) \rangle$

