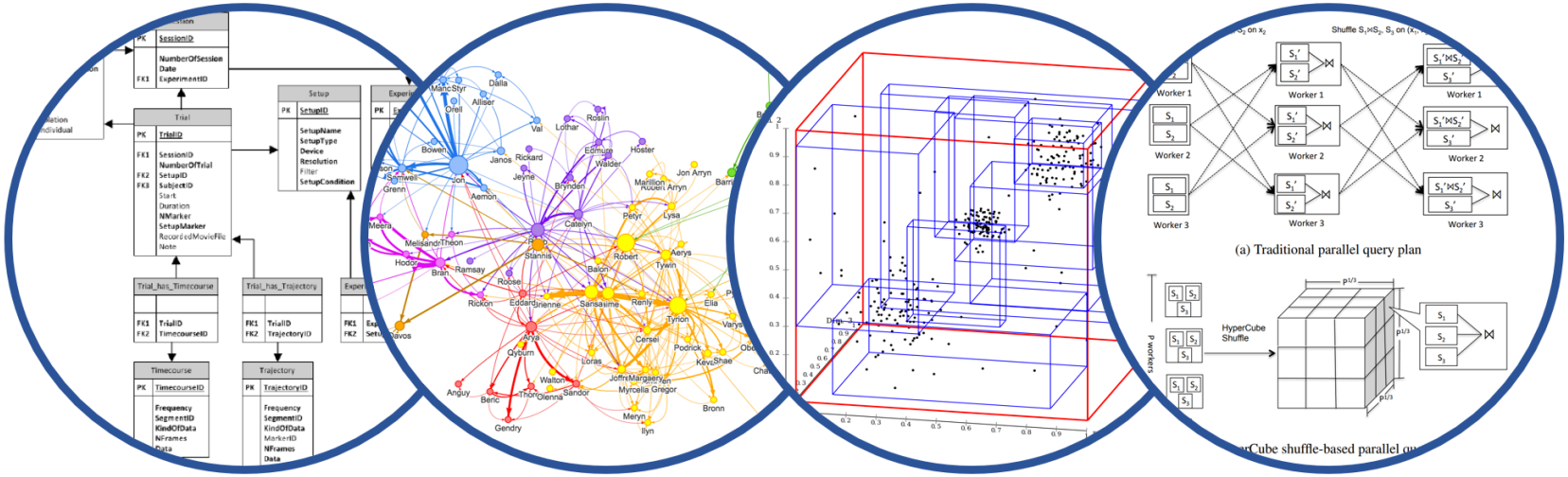

Database System Internals

Replication

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Announcements

- Master's students: Please wrap-up your remaining paper reviews by March 18th

References

- Ullman Book Chapter 20.6

- **Database management systems.**
  Ramakrishnan and Gehrke.
  Third Ed. **Chapter 22.11**

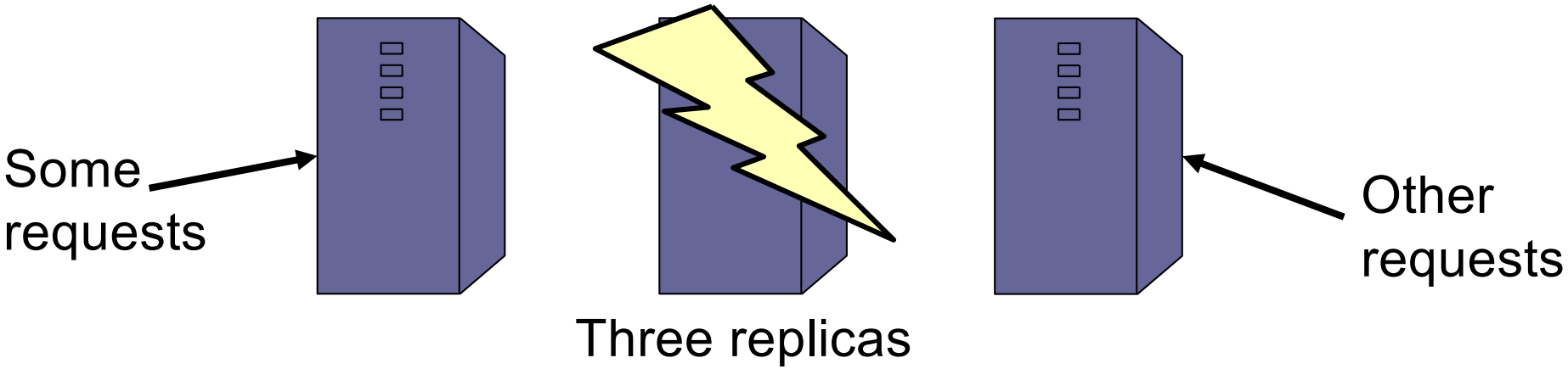
Outline

- Goals of replication

- Three types of replication
  - Synchronous (aka eager) replication
  - Asynchronous (aka lazy) replication
  - Two-tier replication

Goals of Replication

- Goal 1: availability
- Goal 2: performance



- But, it's easy to build a replicated system that reduces performance and availability

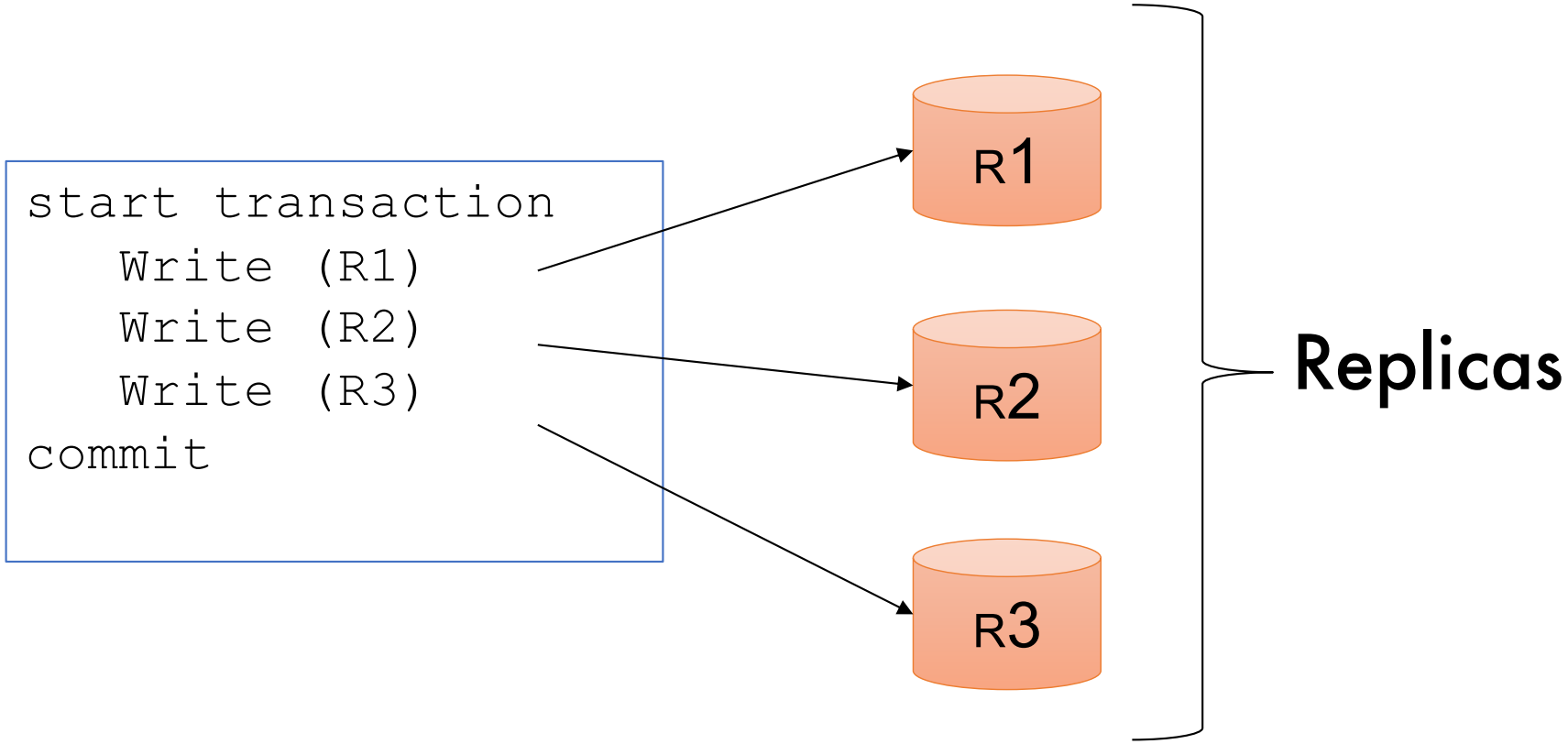
Types of Replication

	Master	Group
Synchronous	✓	
Asynchronous		

Synchronous Replication

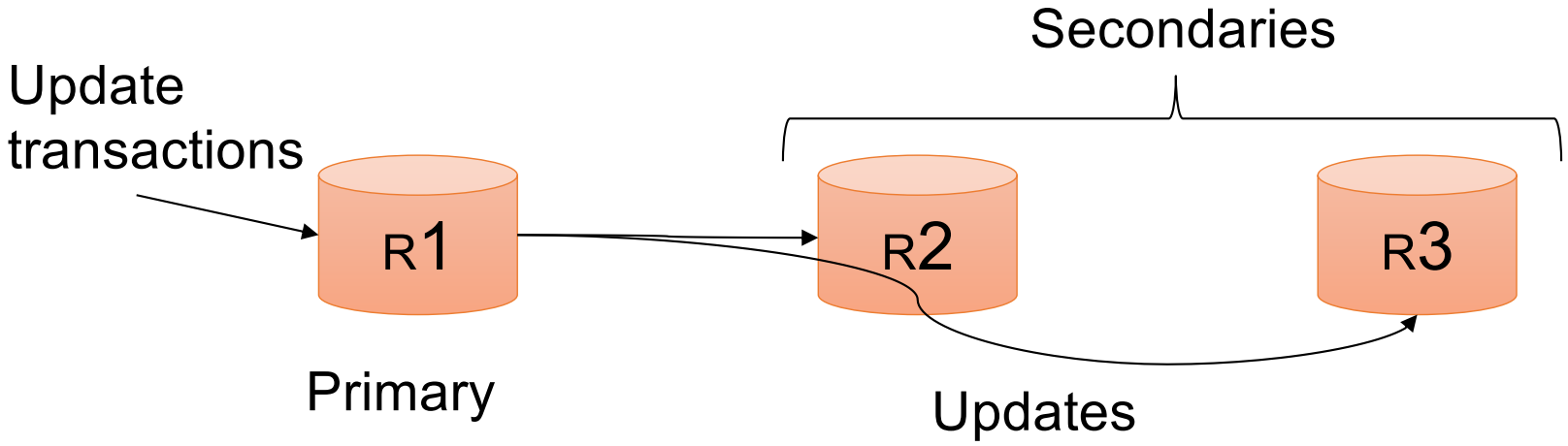
- Also called eager replication
- All updates are applied to all replicas (or to a majority) as part of a single transaction (need two phase commit)
- Main goal: as if there was only one copy
  - Maintain **consistency**
  - Maintain **one-copy serializability**
  - I.e., execution of transactions has same effect as an execution on a non-replicated db
- Transactions must acquire global locks

Synchronous Replication



Synchronous Master Replication

- One master for each object holds primary copy
  - The "Master" is also called "Primary"
  - To update object, transaction must acquire a lock at the master
  - Lock at the master is global lock
- Master propagates updates to replicas synchronously
  - Updates propagate as part of the same distributed transaction
    - Need to run 2PC at the end
  - For example, using triggers



Crash Failures

- What happens when a secondary crashes?
  - Nothing happens
  - When secondary recovers, it catches up

- What happens when the master/primary fails?
  - Blocking would hurt availability
  - Must chose a new primary: run election

Network Failures

- Network failures can cause trouble…
  - Secondaries think that primary failed
  - Secondaries elect a new primary
  - But primary can still be running
  - Now have two primaries!

Majority Consensus

- To avoid problem, only majority partition can continue processing at any time

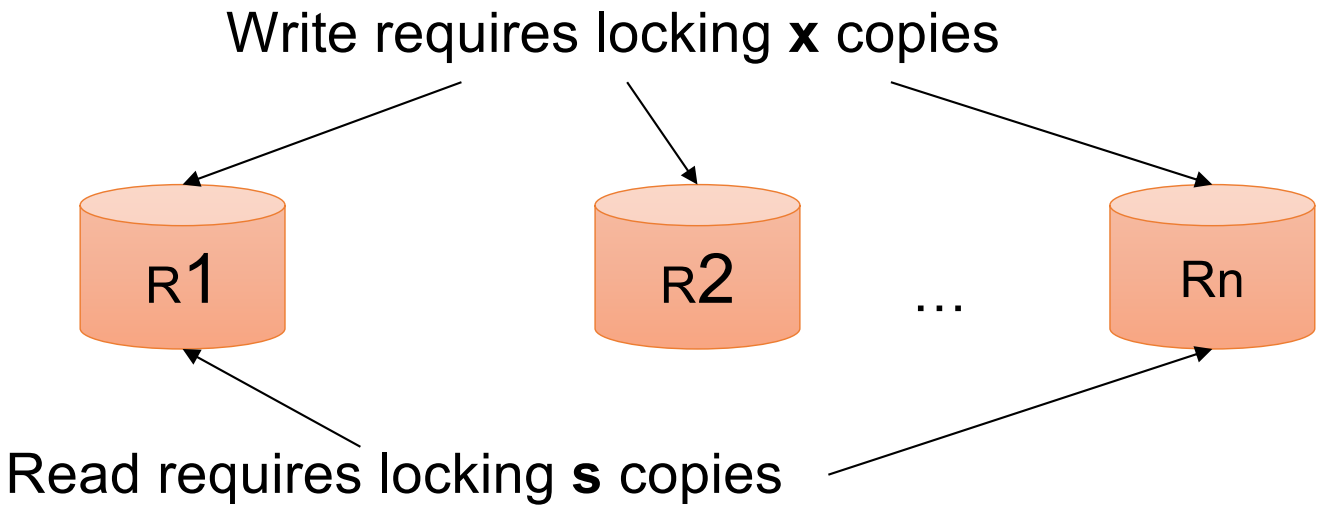
- In general,
  - Whenever a replica fails or recovers…
  - a set of communicating replicas must determine…
  - whether they have a majority before they can continue

Types of Replication

	Master	Group
Synchronous	✓	✓
Asynchronous		

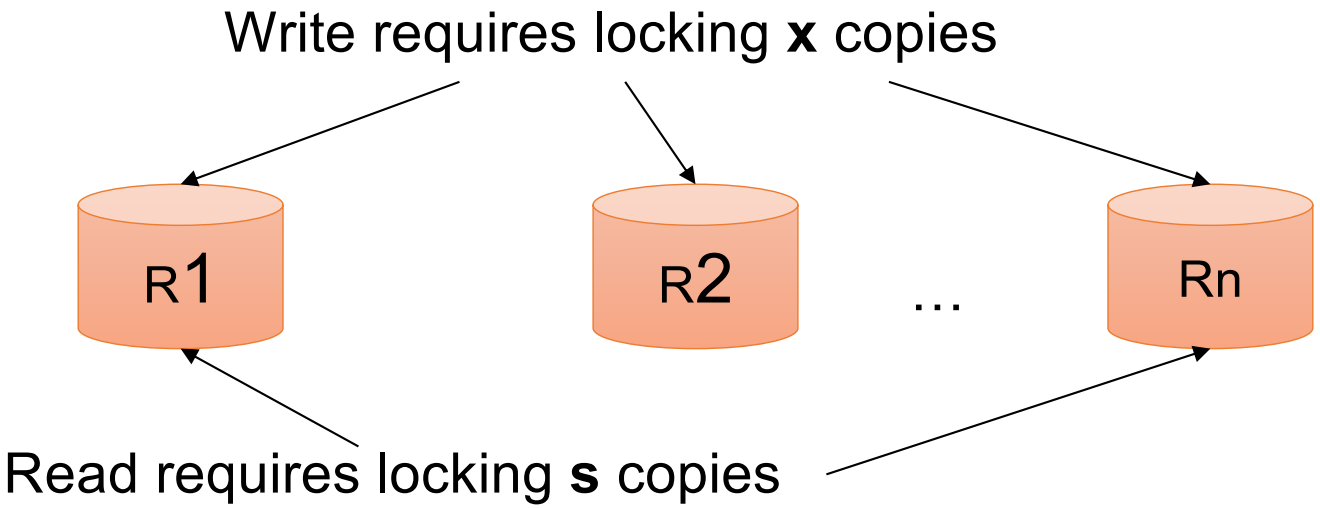
Synchronous Group Replication

- Master-less
  - Any node can initiate a transaction!
  - Need to gather a number of nodes that agree on a particular transaction



Synchronous Group Replication

- With n copies
  - Exclusive lock on x copies is global exclusive lock
  - Shared lock on s copies is global shared lock
  - Must have: 2x > n and s + x > n
  - Version numbers serve to identify current copy



Synchronous Group Replication

- Majority locking
  - s = x = ⌈(n+1)/2⌉ eg: 11 nodes: need 6 locked
  - No need to run any reconfiguration algorithms

- Read-locks-one, write-locks-all
  - s=1 and x = n, high read performance
  - Need to make sure algo runs on quorum of computers

Synchronous Replication Properties

- Favours consistency over availability
  - Only majority partition can process requests
  - There appears to be a single copy of the db

- High runtime overhead
  - Must lock and update at least majority of replicas
  - Two-phase commit
  - Runs at pace of slowest replica in quorum
  - So overall system is now slower
  - Higher deadlock rate (transactions take longer)

Types of Replication

	Master	Group
Synchronous	✓	✓
Asynchronous	✓	

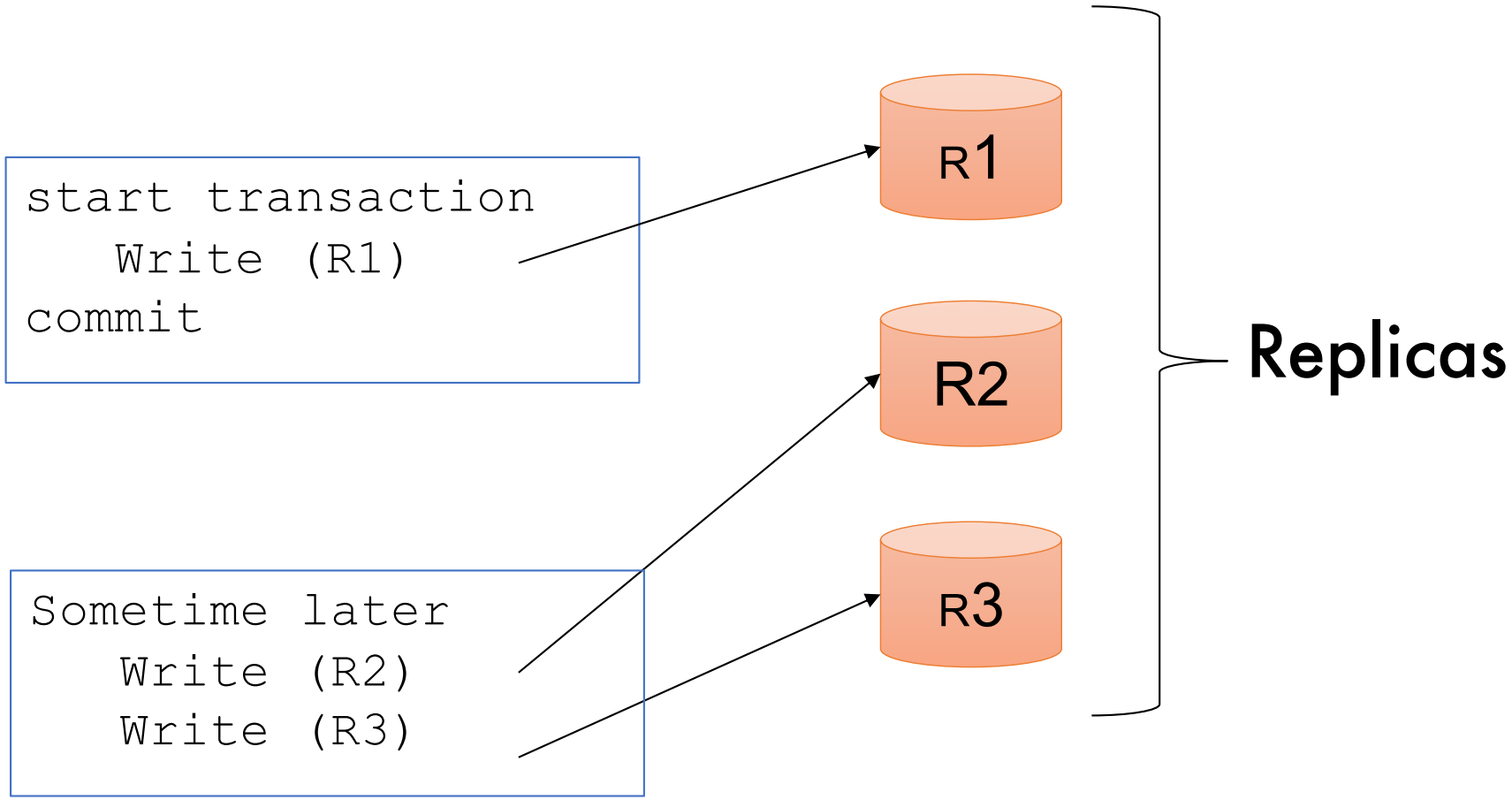
Asynchronous Replication

- Also called lazy replication
- Also called optimistic replication

- Main goals: availability and performance

- Approach
  - One replica updated by original transaction
  - Updates propagate asynchronously to other replicas

Asynchronous Replication



Asynchronous Master Replication

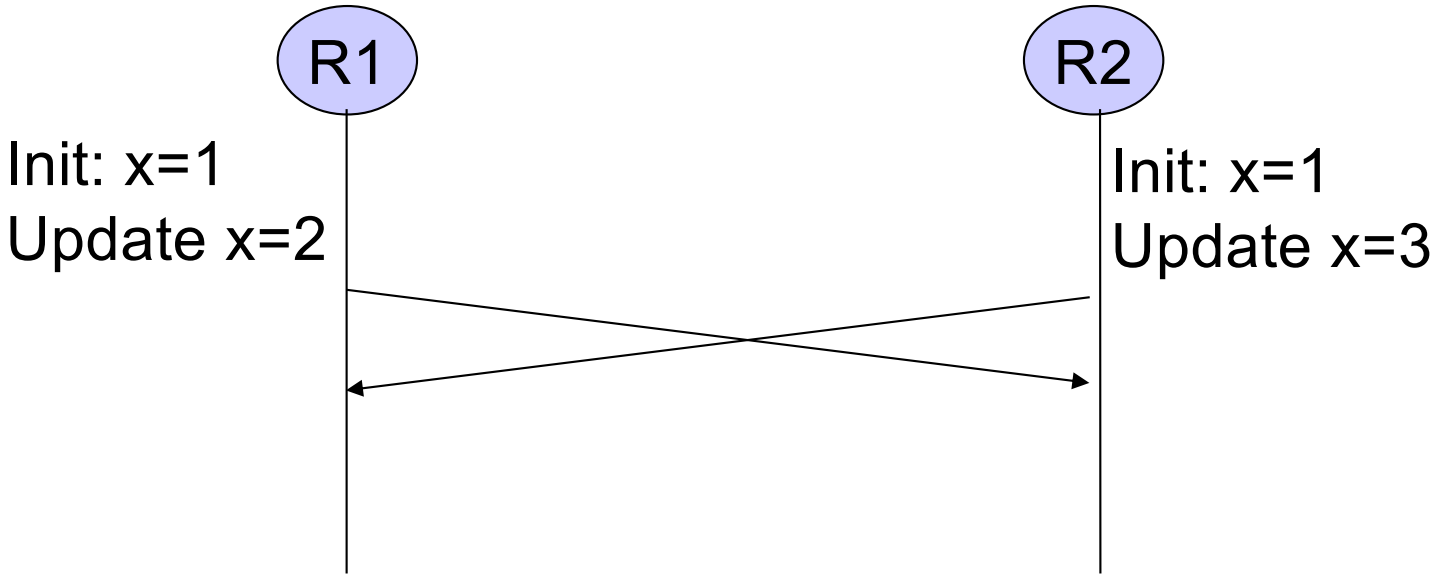
- One master holds primary copy
  - Transactions update primary copy
  - Master asynchronously propagates updates to replicas, which process them in same order (e.g. through log shipping)
  - Ensures single-copy serializability
- What happens when master/primary fails?
  - Can lose most recent transactions when primary fails!
  - After electing a new primary, secondaries must agree who is most up-to-date

Types of Replication

	Master	Group
Synchronous	✓	✓
Asynchronous	✓	✓

Asynchronous Group Replication

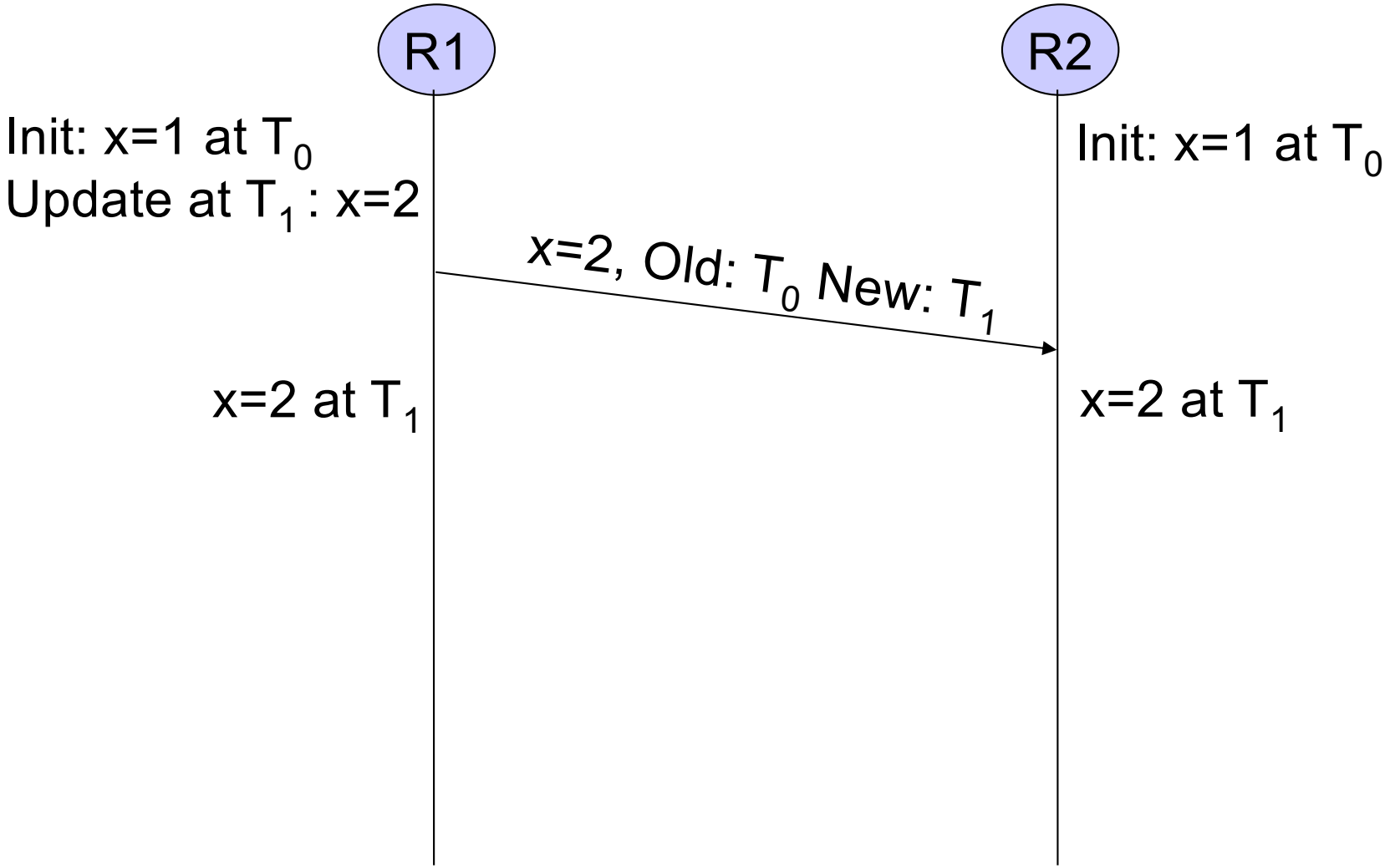
- Also called multi-master
- Best scheme for availability
- Cannot guarantee one-copy serializability!



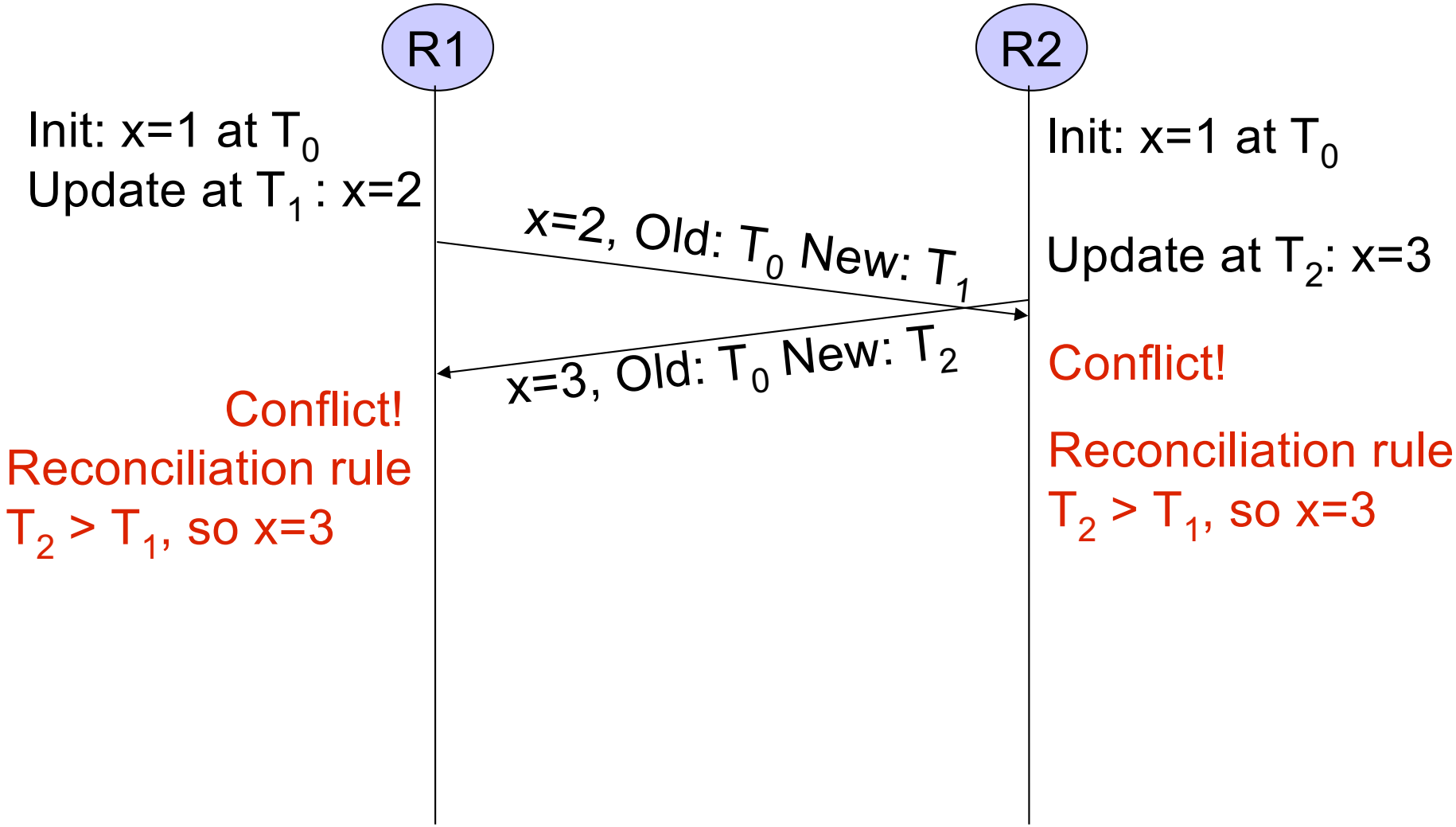
Asynchronous Group Replication

- Cannot guarantee one-copy serializability!
- Instead guarantee convergence
  - Db state does not reflect any serial execution
  - But all replicas have the same state
- Detect conflicts and reconcile replica states
- Different reconciliation techniques are possible
  - Manual
  - Most recent timestamp wins
  - Site A wins over site B
  - User-defined rules, etc.

Detecting Conflicts Using Timestamps



Detecting Conflicts Using Timestamps



Vector Clocks

- An extension of Multiversion Concurrency Control (MVCC) to multiple servers

- Standard MVCC:
  each data item X has a timestamp t:
  X_4, X_9, X_{10}, X_{14}, …, X_t

- Vector Clocks:
  X has set of [server, timestamp] pairs
  X([s1,t1], [s2,t2],…)

Asynchronous Group Replication Properties

- Favours availability over consistency
  - Can read and update any replica
  - High runtime performance
- Weak consistency
  - Conflicts and reconciliation

Outline

- Goals of replication

- Three types of replication
  - Synchronous (aka eager) replication
  - Asynchronous (aka lazy) replication
  - Two-tier replication

Two-Tier Replication

- Benefits of lazy master and lazy group
- Each object has a master with primary copy
- When disconnected from master
  - Secondary can only run tentative transactions
- When reconnects to master
  - Master reprocesses all tentative transactions
  - Checks an acceptance criterion
  - If passes, we now have final commit order
  - Secondary undoes tentative and redoes committed

Conclusion

- Replication is a very important problem
  - Fault-tolerance (various forms of replication)
  - Caching (lazy master)
  - Warehousing (lazy master)
  - Mobility (two-tier techniques)
- Replication is complex, but basic techniques and trade-offs are **very well known**
  - Synchronous or asynchronous replication
  - Master or quorum

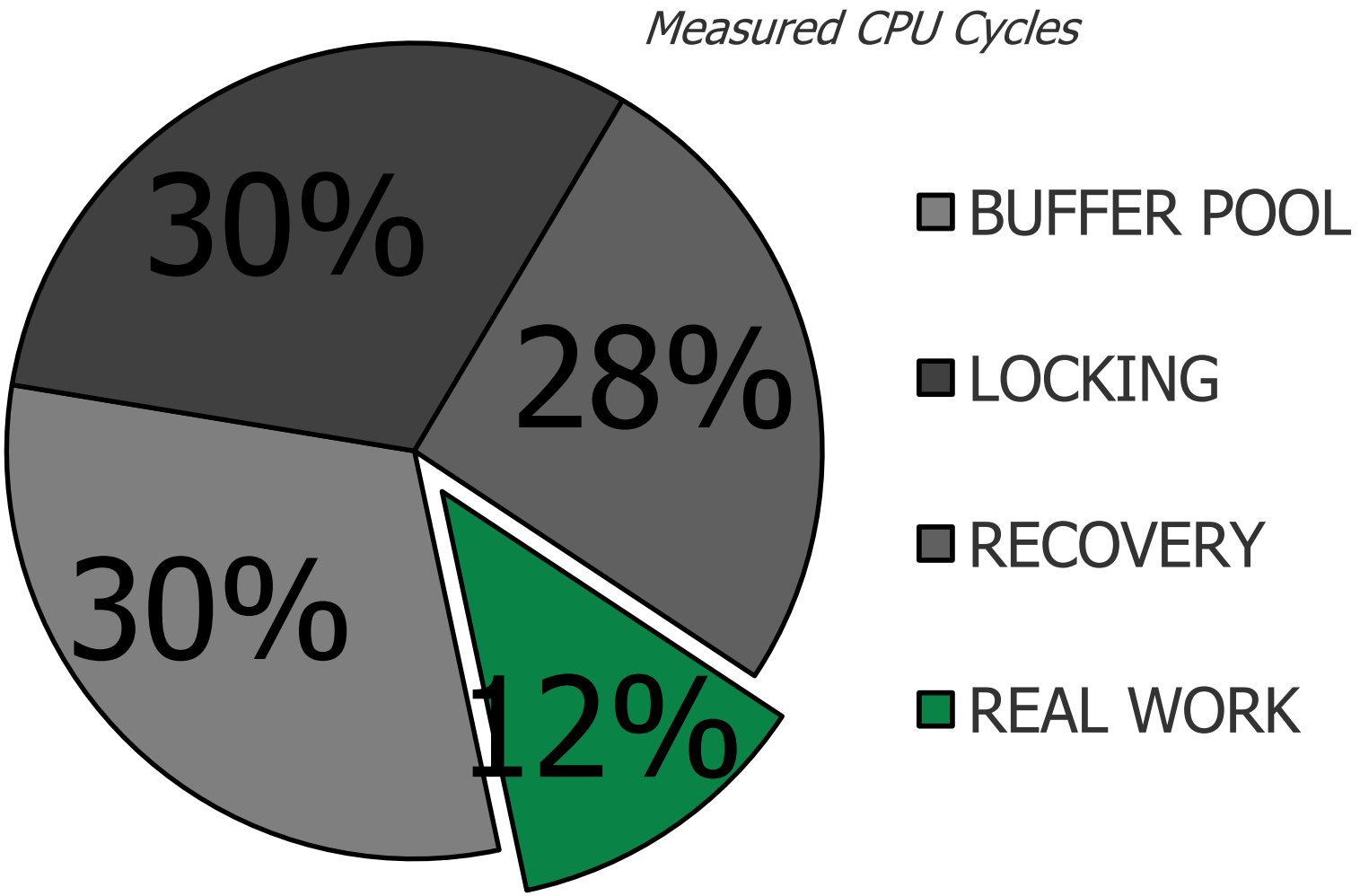
Slide from Andy Pavlo @ CMU

Some Popular NewSQL Systems

- H-Store
  - Research system from Brown U., MIT, CMU, and Yale
  - Commercialized as VoltDB
- Hekaton
  - Microsoft
  - Fully integrated into SQL Server
- Hyper
  - Hybrid OLTP/OLAP
  - Research system from TU Munich. Bought by Tableau
- Spanner
  - Google

H-Store Insight

TRADITIONAL DBMS:



OLTP THROUGH THE LOOKING GLASS, AND WHAT WE FOUND THERE
SIGMOD, pp. 981-992, 2008.

Slide from Andy Pavlo @ CMU

H-Store Key Ideas

- Main-memory storage
  - Avoids disk IO costs / buffer pool costs
  - Durability through snapshots + cmd log
  - Replication
- Serial execution
  - One database partition per thread on one core
  - Avoid overheads related to locking
- All transactions are stored procedures
  - Command logging avoids heavy recovery overheads
- Avoid distributed transactions
  - But when needed, run 2PC

STORED PROCEDURE

VoteCount:

```
SELECT COUNT(*)
  FROM votes
 WHERE phone_num = ?;
```

InsertVote:

```
INSERT INTO votes
 VALUES (?, ?, ?);
```

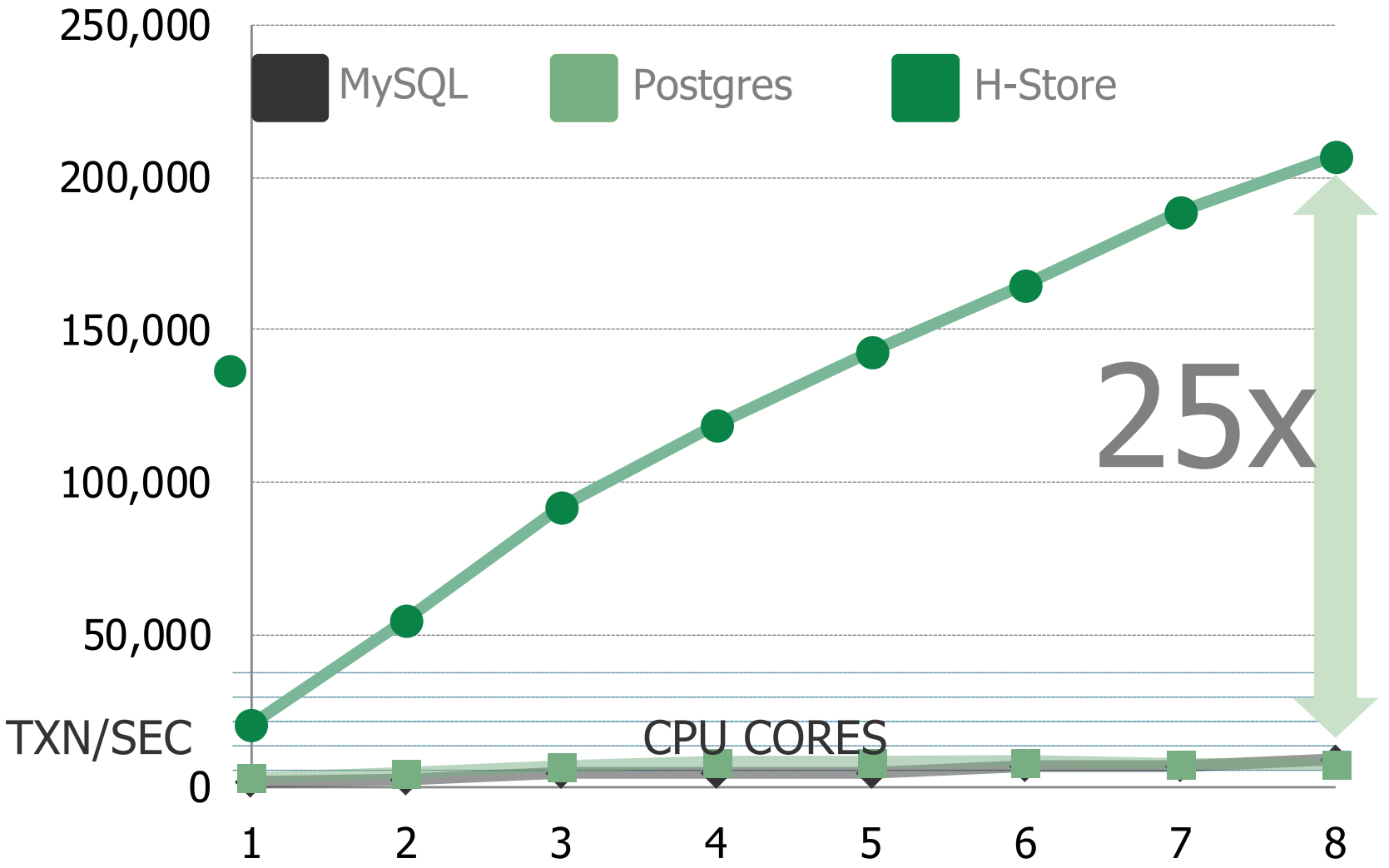
Application

```
run(phoneNum, contestantId, currentTime) {
    result = execute(VoteCount, phoneNum);
    if (result > MAX_VOTES) {
        return (ERROR);
    }
    execute(InsertVote, phoneNum,
                        contestantId,
                        currentTime);
    return (SUCCESS);
}
```

Slide from Andy Pavlo @ CMU

Voter Benchmark

Japanese "American Idol"



Slide from Andy Pavlo @ CMU

Hekaton

- Focus: DBMS with large main memories and many core CPUs
- Integrated with SQL Server
- Key user-visible features
  - Simply declare a table "memory resident"
  - Hekaton tables are fully durable and transactional, though non-durable tables are also supported
  - Query can touch both Hekaton and regular tables

Hekaton Key Details

- Idea: To increase transaction throughput must decrease number of instructions / transaction
- Main-memory DBMS
  - Optimize indexes for memory-resident data
  - Durability by logging and checkpointing records to external storage
- No partitioning
  - Any thread can touch any row of any table
- No locking
  - Uses a new MVCC method for isolation

Hekaton More Details

- Optimized stored procedures
  - Compile statements and stored procedures into customized, highly efficient machine code

Hyper

- Hybrid OLTP and OLAP
- In-memory data management
  - Including optimized indexes for memory-resident data
  - Data compression for cold data
- Data-centric code generation
  - SQL translated to LLVM
- OLAP separated from OLTP using MVCC
- Exploits hardware transactional memory
- Data shuffling and distribution optimizations

Conclusion

- Many innovations recently in
  - Big data analytics
  - Transaction processing at very large scale
- Many more problems remain open
- This course teaches foundations
- Innovate with an open mind!