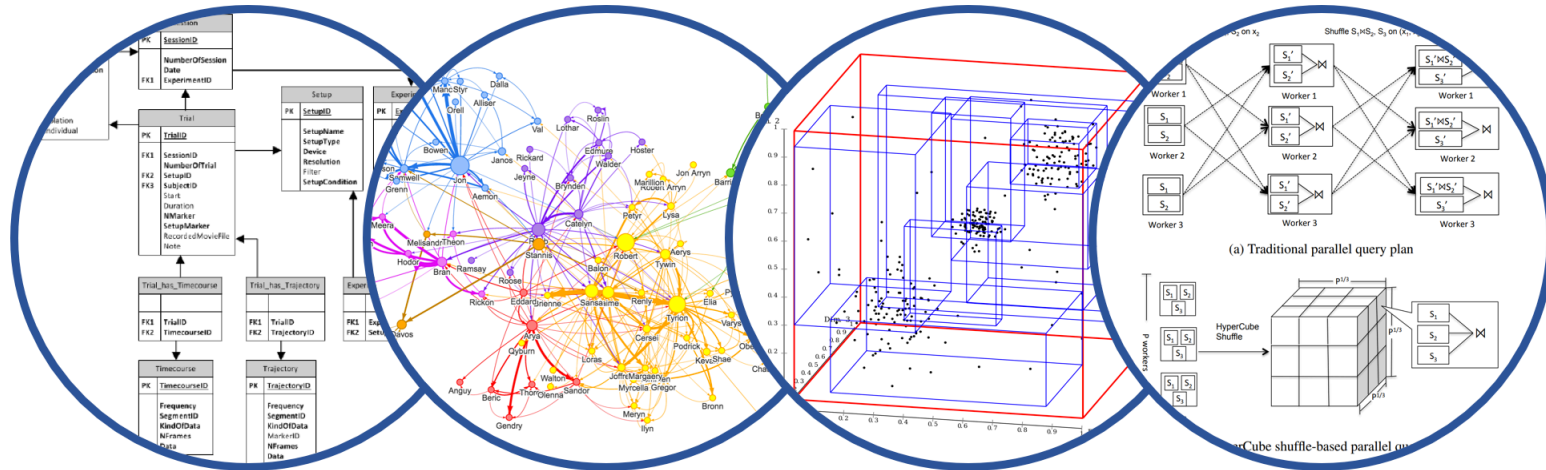




Database System Internals

Query Optimization (part 3)

Paul G. Allen School of Computer Science and Engineering
University of Washington, Seattle

Announcements

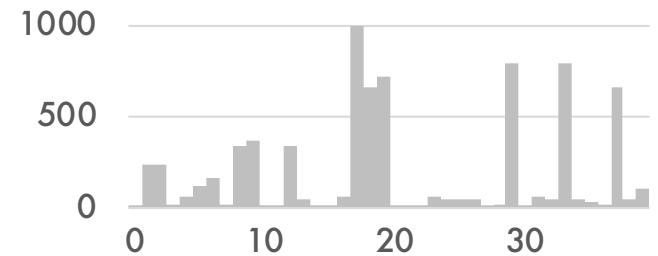
- Grading Lab1 almost done...
- If you didn't pass a test for Lab1, talk to a TA ASAP (like: yesterday...)
- You must pass all tests in lab1 in order to do the other labs
- Lab2 part1 is due tonight; part 2 due next Friday
- HW2 due on Monday

Cardinality Estimation Summary

- Uses simple formula, with lots of assumptions
- Histograms help alleviate uniformity assumption:
 - Partition attribute domain into buckets
 - Store #tuples per bucket
 - Make uniformity assumption within each bucket

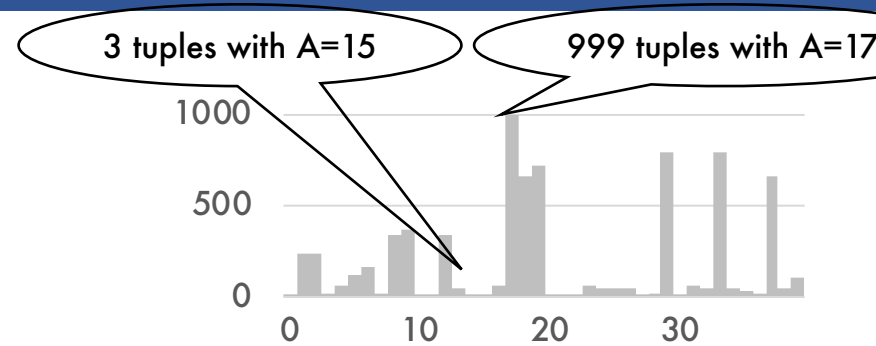
Types of Histograms

- Eqwidth
- Eqdepth
- V-optimal: minimize error



Types of Histograms

- Eqwidth
- Eqdepth
- V-optimal: minimize error



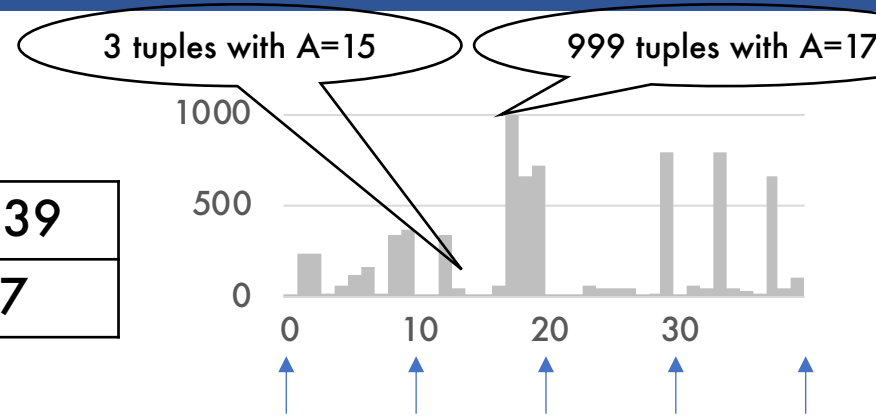
Types of Histograms

▪ Eqwidth

Attr =	0..9	10..19	20..29	30..39
#tuples	1585	2860	1039	1827

▪ Eqdepth

▪ V-optimal: minimize error



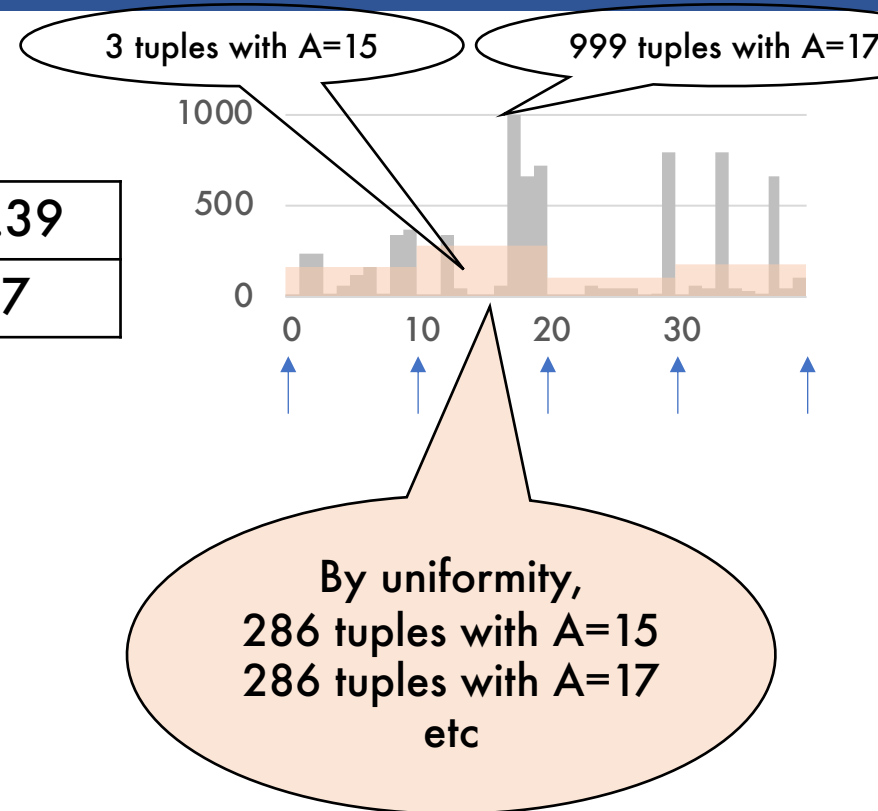
Types of Histograms

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Attr =	0..9	10..19	20..29	30..39
#tuples	1585	2860	1039	1827

▪ Eqdepth

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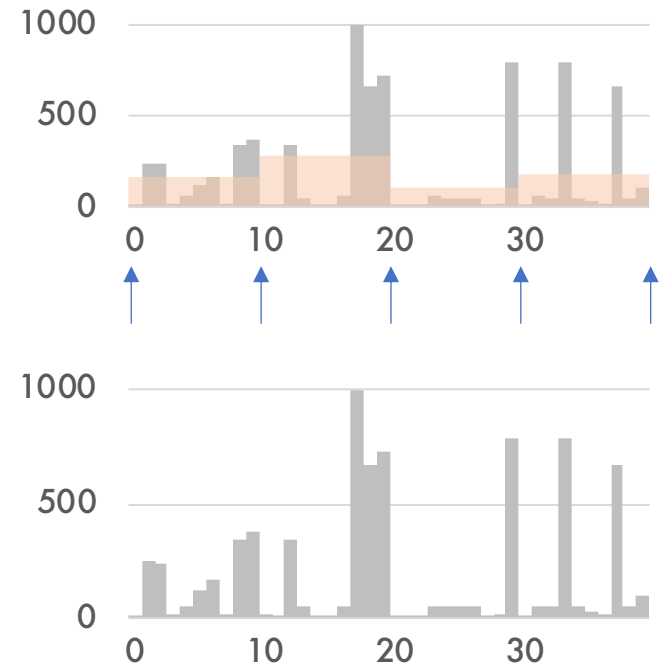
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- Eqlwidth

Attr =	0..9	10..19	20..29	30..39
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- Eqldepth

- V-optimal: minimize error



Types of Histograms

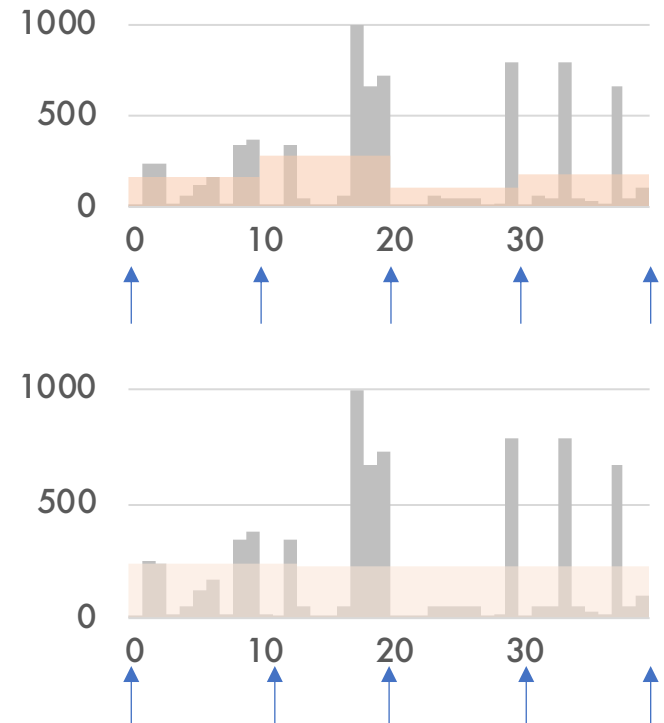
▪ Eqwidth

Attr =	0..9	10..19	20..29	30..39
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▪ Eqdepth

Attr =	0..12	13..18	19..31	32..39
#tuples	1943	1779	1822	1767

▪ V-optimal: minimize error



Types of Histograms

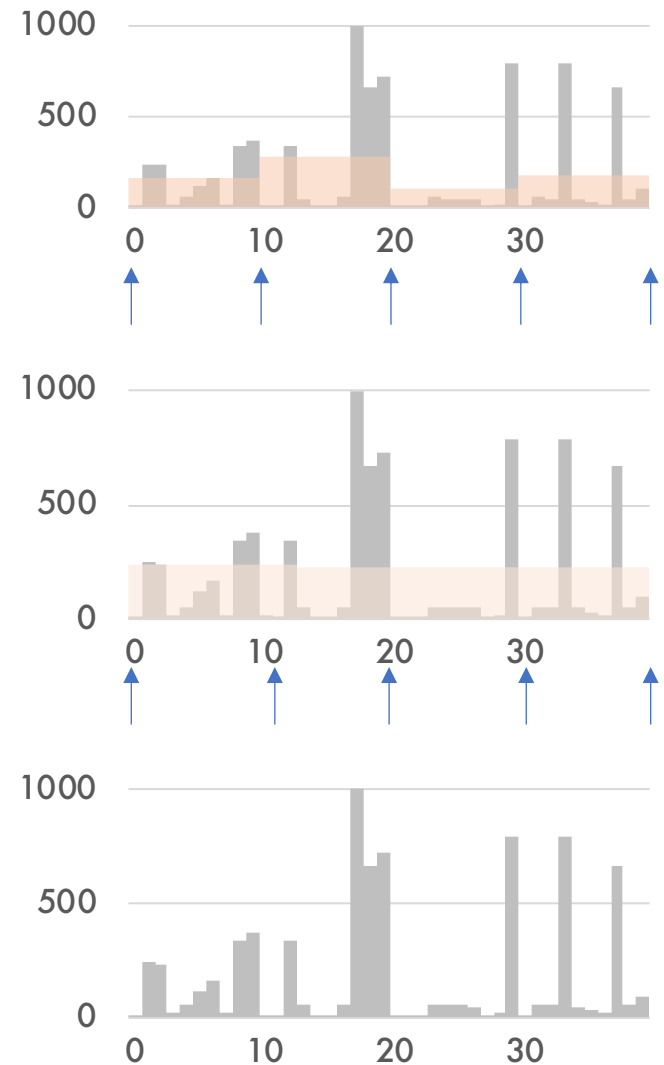
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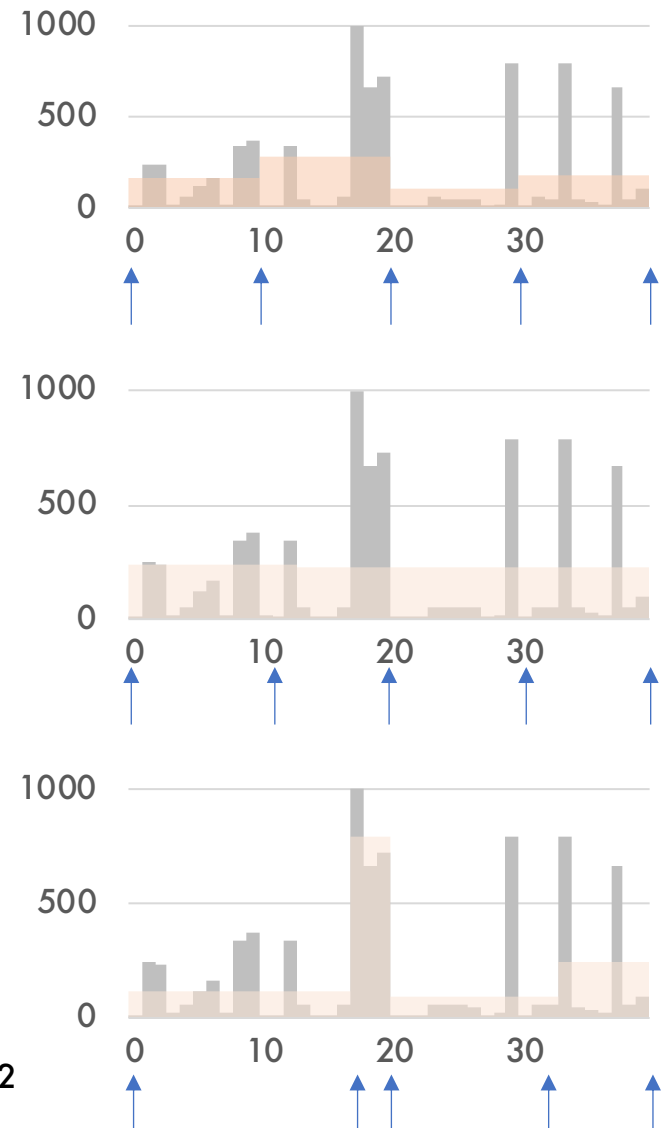
■ Eqdepth

Attr =	0..12	13..18	19..31	32..39
#tuples	1943	1779	1822	1767

■ V-optimal: minimize error

Attr =	0..16	17..19	20..34	35..39
#tuples	2056	2389	1152	1714

Minimizes $\sum_a |\text{true-}\#tuples(a) - \text{estimate-}\#tuples(a)|^2$



Difficult Questions on Histograms

- Small number of buckets
 - Hundreds, or thousands, but not more
 - WHY?
- *Not* updated during database update, but recomputed periodically
 - WHY?
- Multidimensional histograms rarely used
 - WHY?

Difficult Questions on Histograms

- Small number of buckets
 - Hundreds, or thousands, but not more
 - WHY? All histograms are kept in main memory during query optimization; plus need fast access
- *Not updated during database update, but recomputed periodically*
 - WHY?
- Multidimensional histograms rarely used
 - WHY?

Difficult Questions on Histograms

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 - WHY? All histograms are kept in main memory during query optimization; plus need fast access
- Not updated during database update, but recomputed periodically
 - WHY? Histogram update creates a write conflict; would dramatically slow down transaction throughput
- Multidimensional histograms rarely used
 - WHY? Too many possible multidimensional histograms, unclear which ones to choose and how to use

Query Optimization

Three components:

- Cost/cardinality estimation
- Search space ← this lecture
- Search algorithm

Search Space

- The “Search Space” means the set of possible plans that the optimizers considers
- Two dimensions:
 - Which algebraic laws does the optimizer support
 - Which shapes of plans does the optimizer search

Algebraic Laws

- Basic laws
- Generalized distributivity law
- Laws using constraints

Basic Laws: Selections, Projections, Joins

■ Selections

- Commutative: $\sigma_{c1}(\sigma_{c2}(R)) = \sigma_{c2}(\sigma_{c1}(R))$
- Cascading: $\sigma_{c1 \wedge c2}(R) = \sigma_{c2}(\sigma_{c1}(R))$

■ Projections

- Cascading

■ Joins

- Commutative : $R \bowtie S = S \bowtie R$
- Associative: $R \bowtie (S \bowtie T) = (R \bowtie S) \bowtie T$

Pushing Selections Down

- Example: $R(A, B, C, D), S(E, F, G)$

$$\sigma_{F=3}(R \bowtie_{D=E} S) =$$

$$\sigma_{A=5 \text{ AND } G=9}(R \bowtie_{D=E} S) =$$

Pushing Selections Down

- Example: $R(A, B, C, D), S(E, F, G)$

$$\sigma_{F=3}(R \bowtie_{D=E} S) = R \bowtie_{D=E} \sigma_{F=3}(S)$$

$$\sigma_{A=5 \text{ AND } G=9}(R \bowtie_{D=E} S) =$$

Pushing Selections Down

- Example: $R(A, B, C, D), S(E, F, G)$

$$\sigma_{F=3}(R \bowtie_{D=E} S) = R \bowtie_{D=E} \sigma_{F=3}(S)$$

$$\sigma_{A=5 \text{ AND } G=9}(R \bowtie_{D=E} S) = \sigma_{A=5}(R) \bowtie_{D=E} \sigma_{G=9}(S)$$

Union

- **Commutativity:** $R \cup S = S \cup R$
- **Associativity:** $R \cup (S \cup T) = (R \cup S) \cup T$
- **Distributivity:** $R \bowtie (S \cup T) = (R \bowtie S) \cup (R \bowtie T)$

Laws Involving Projections

$$\Pi_M(R \bowtie S) = \Pi_M(\Pi_P(R) \bowtie \Pi_Q(S))$$

$$\Pi_M(\Pi_N(R)) = \Pi_M(R)$$

/* note that $M \subseteq N$ */

- Example $R(A,B,C,D)$, $S(E, F, G)$

$$\Pi_{A,B,G}(R \bowtie_{D=E} S) = \Pi_{?}(\Pi_{?}(R) \bowtie_{D=E} \Pi_{?}(S))$$

Laws Involving Projections

$$\Pi_M(R \bowtie S) = \Pi_M(\Pi_P(R) \bowtie \Pi_Q(S))$$

$$\Pi_M(\Pi_N(R)) = \Pi_M(R)$$

/* note that $M \subseteq N$ */

- Example $R(A,B,C,D)$, $S(E, F, G)$

$$\Pi_{A,B,G}(R \bowtie_{D=E} S) = \Pi_{A,B,G}(\Pi_{A,B,D}(R) \bowtie_{D=E} \Pi_{E,G}(S))$$

Generalized Distributivity Law

- Distributivity law in arithmetic:
$$a * (x + y + z) = a * x + a * y + a * z$$
- The Generalized Distributivity Law extends this to all kinds of joins and aggregates
 - Very important for large scale analytics and ML
 - Very few optimizers support it!!!
- We will describe GD by examples

GD: Example 1

R(A,B)
S(C,D)

```
select R.A, sum(S.D)
from R, S
where R.B = S.C
group by R.A
```

Apparently, we must compute the join before we can group by and aggregate...

But there is a better way.

GD: Example 1

R:	A	B
	a	b
	c	b
	a	f
	a	h

S:	C	D
	b	x
	b	y
	h	z

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D))$$

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

R

⋈

S

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D))$$

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	$x+y+z$
c	$x+y$

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D))$$

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	x+y+z
c	x+y

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C,D)))$$

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	$x+y+z$
c	$x+y$

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C,D)))$$

$\gamma(S)$:

C	D
b	$x+y$
h	z

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	x+y+z
c	x+y

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \gamma_{A, \text{sum}(D)}(\textcolor{blue}{R(A,B)} \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} \textcolor{blue}{S(C,D)}))$$

$\gamma(S)$:

C	D
b	x+y
h	z

$R \bowtie \gamma(S)$

A	B	C	D
a	b	b	x+y
c	b	b	x+y
a	h	h	z

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	$x+y+z$
c	$x+y$

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C,D)))$$

$\gamma(S)$:

C	D
b	$x+y$
h	z

$R \bowtie \gamma(S)$

A	B	C	D
a	b	b	$x+y$
c	b	b	$x+y$
a	h	h	z

Result

A	Sum
a	$(x+y)+z$
c	$x+y$

GD: Example 1

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result

A	Sum
a	$x+y+z$
c	$x+y$

$$\gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \gamma_{A, \text{sum}(D)}(R(A,B) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C,D)))$$

$\gamma(S)$:

C	D
b	$x+y$
h	z

$R \bowtie \gamma(S)$

A	B	C	D
a	b	b	$x+y$
c	b	b	$x+y$
a	h	h	z

Result

A	Sum
a	$(x+y)+z$
c	$x+y$

Associativity: $x+y+z = (x+y) + z$

GD: Example 2

R(A,B)
S(C,D)

```
select R.A, count(*)  
from R, S  
where R.B = S.C  
group by R.A
```


GD: Example 2

R(A,B)
S(C,D)

```
select R.A, count(*)  
from R, S  
where R.B = S.C  
group by R.A
```

```
select R.A, sum(1)  
from R, S  
where R.B = S.C  
group by R.A
```

Same as the previous query
because count(*) is the same as sum(1)

Work this out at home!!

GD: Example 3

R(A,B)
S(C,D)

```
select R.A, max(D)
from R, S
where R.B = S.C
group by R.A
```

GD: Example 3

R(A,B)
S(C,D)

```
select R.A, max(D)
from R, S
where R.B = S.C
group by R.A
```

Same as the previous examples,
Because max is also associative

$$\max(a, b, c, d) = \max(\max(a, b), \max(c, d))$$

GD: Example 3

R(A,B)
S(C,D)

```
select R.A, max(D)
from R, S
where R.B = S.C
group by R.A
```

Same as the previous examples,
Because max is also associative

$$\max(a, b, c, d) = \max(\max(a, b), \max(c, d))$$

Same here: work this out at home!!

GD: Example 4

Try this in your favorite SQL engine (postgres or sqlite or ...)

```
select count(*)  
from myBigTable as x;
```

Answer: 2519105
Time : <2 seconds

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Try this in your favorite SQL engine (postgres or sqlite or ...)

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select count(*)  
from myBigTable as x;
```

Answer: 2519105
Time : <2 seconds

```
select count(*)  
from myBigTable as x, myBigTable as y;
```

Timeout...

GD: Example 4

Try this in your favorite SQL engine (postgres or sqlite or ...)

```
select count(*)  
from myBigTable as x;
```

Answer: 2519105
Time : <2 seconds

```
select count(*)  
from myBigTable as x, myBigTable as y;
```

Timeout...

What should be the answer?

GD: Example 5

R(A,B)
S(C,D)

```
select sum(R.A*S.D)
from R, S
where R.B = S.C
group by R.A
```


GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

R

S

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

$$\gamma_{\text{sum}(A^*D)}(R(A,B) \bowtie_{B=C} S(C,D))$$

GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result:

$a * x + a * y + c * x + c * y + a * z$

$$\gamma_{\text{sum}(A * D)}(R(A, B) \bowtie_{B=C} S(C, D))$$

GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result:

$a*x + a*y + c*x + c*y + a*z$

$$\gamma_{\text{sum}(A*D)}(R(A,B) \bowtie_{B=C} S(C,D)) =$$

$$\gamma_{\text{sum}(A*D)}(\gamma_{B, \text{sum}(A)}(R(A,B)) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C,D)))$$

GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie_S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result:
 $a*x + a*y + c*x + c*y + a*z$

$$\gamma_{\text{sum}(A*D)}(R(A,B) \bowtie_{B=C} S(C,D)) =$$

$$\gamma_{\text{sum}(A*D)}(\gamma_{B, \text{sum}(A)}(R(A,B)) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)}(S(C,D))))$$

$\gamma(R)$:

A	B
a+c	b
a	f
a	h

$\gamma(S)$:

C	D
b	x+y
h	z

GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result:
 $a * x + a * y + c * x + c * y + a * z$

$$\gamma_{\text{sum}(A * D)}(R(A, B) \bowtie_{B=C} S(C, D)) = \gamma_{\text{sum}(A * D)}(\gamma_{B, \text{sum}(A)}(R(A, B)) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C, D)))$$

$\gamma(R)$:

A	B
a+c	b
a	f
a	h

$\gamma(S)$:

C	D
b	x+y
h	z

$\gamma(R) \bowtie \gamma(S)$

A	B	C	D
a+c	b	b	x+y
a	h	h	z

GD: Example 5

R:

A	B
a	b
c	b
a	f
a	h

S:

C	D
b	x
b	y
h	z

$R \bowtie S$

A	B	C	D
a	b	b	x
a	b	b	y
c	b	b	x
c	b	b	y
a	h	h	z

Result:
 $a * x + a * y + c * x + c * y + a * z$

$$\gamma_{\text{sum}(A * D)}(R(A, B) \bowtie_{B=C} S(C, D)) = \gamma_{\text{sum}(A * D)}(\gamma_{B, \text{sum}(A)}(R(A, B)) \bowtie_{B=C} (\gamma_{C, \text{sum}(D)} S(C, D)))$$

$\gamma(R)$:

A	B
a+c	b
a	f
a	h

$\gamma(S)$:

C	D
b	x+y
h	z

$\gamma(R) \bowtie \gamma(S)$

A	B	C	D
a+c	b	b	x+y
a	h	h	z

Distributivity: $(a+c) * (x + y) + a * z = a * x + a * y + c * x + c * y + a * z$

Other Distributive Operators

- Distributivity of $*$ over $+$:

$$a * (x + y + z) = a * x + a * y + a * z$$

- Distributivity of $*$ over $\max$ (when values ≥ 0):

$$a * \max(x, y, z) = \max(a * x, a * y, a * z)$$

- Distributivity of $*$ over $\min$ (when values ≥ 0):

$$a * \min(x, y, z) = \min(a * x, a * y, a * z)$$

Other Distributive Operators

$R(A,B)$
 $S(C,D)$

When $A \geq 0$ and $D \geq 0$ then:

$$\gamma_{\max(A*D)}(R(A,B) \bowtie_{B=C} S(C,D)) = \\ \gamma_{\max(A*D)}(\gamma_{B, \max(A)}(R(A,B)) \bowtie_{B=C} (\gamma_{C, \max(D)} S(C,D)))$$

Distributivity and associativity (when $a, c, x, y, z \geq 0$):

$$\max(\max(a, c) * \max(x, y), a * z) = \max(a * x, a * y, c * x, c * y, a * z)$$

GD: Example 6

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, category, price)

```
select x.city, z.category,  
       min(x.discount*z.price)  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
group by z.category;
```

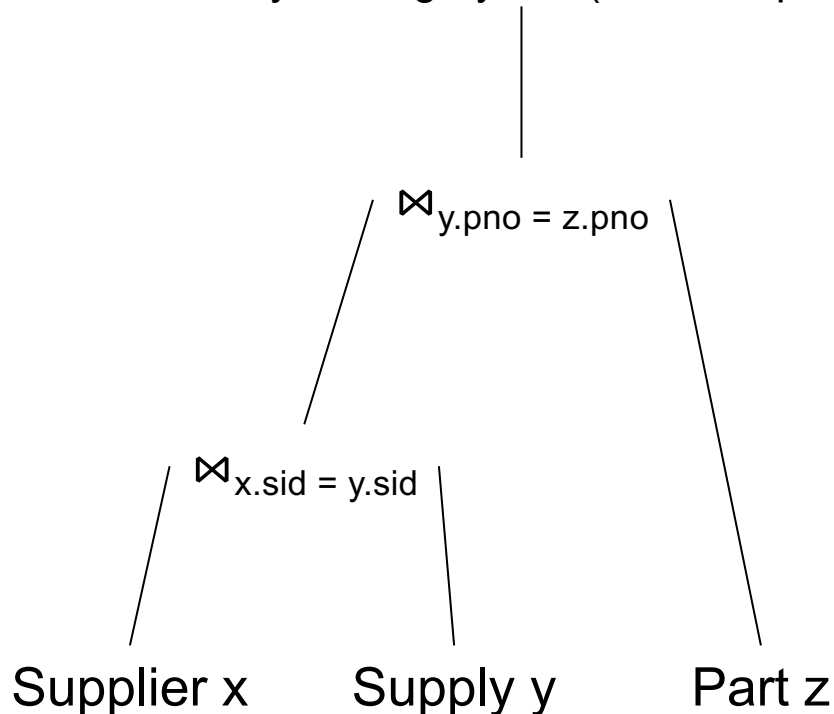
GD: Example 6

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, category, price)

Assume discount, price ≥ 0

```
select x.city, z.category,  
       min(x.discount*z.price)  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
group by z.category;
```

$\gamma_{x.city, z.category, \min(\text{discount} * \text{price})}$



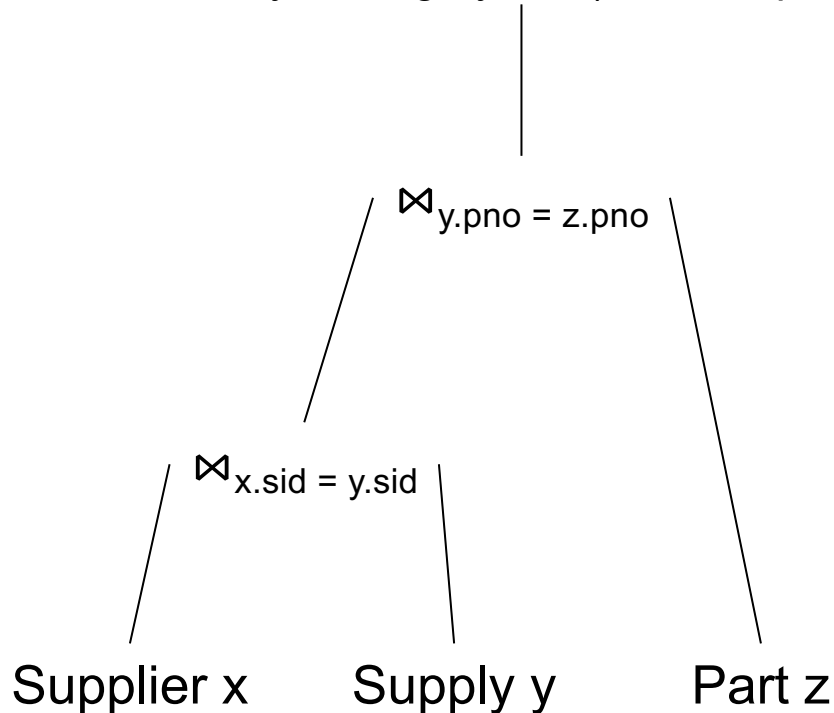
GD: Example 6

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, category, price)

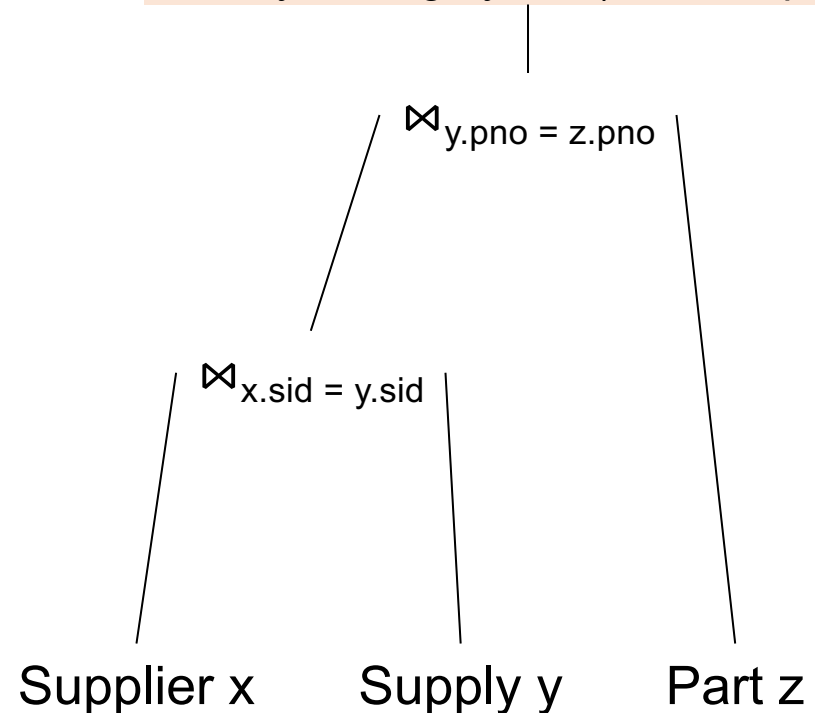
Assume discount, price ≥ 0

```
select x.city, z.category,  
       min(x.discount*z.price)  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
group by z.category;
```

$\gamma_{x.city, z.category, \min(\text{discount} * \text{price})}$



$\gamma_{x.city, z.category, \min(\text{discount} * \text{price})}$



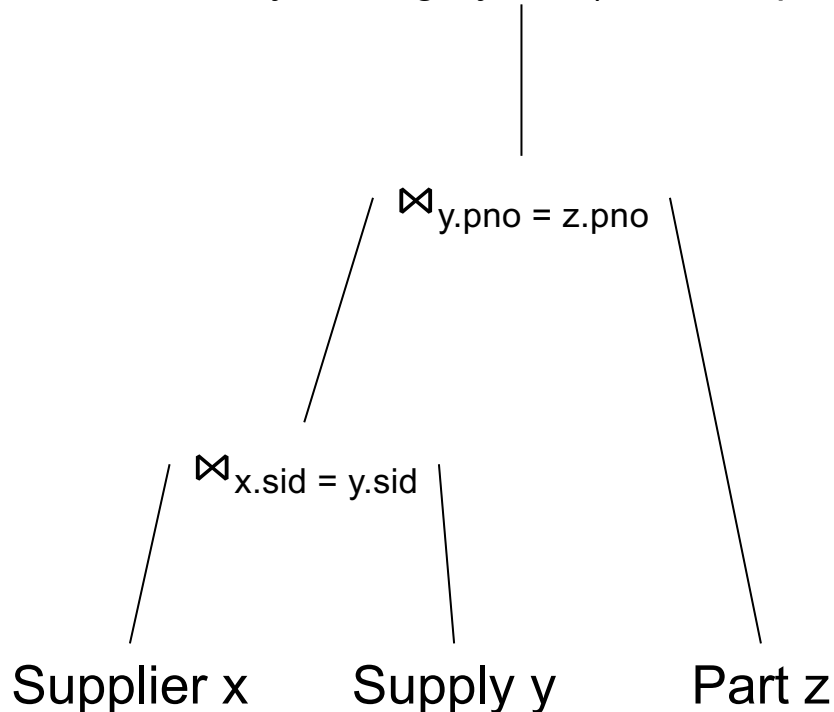
GD: Example 6

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, category, price)

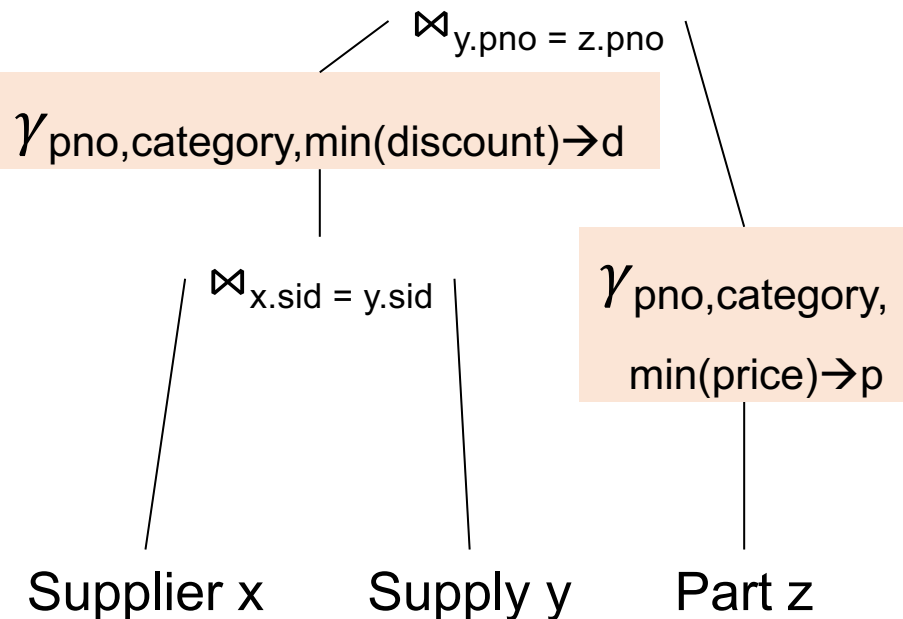
Assume discount, price ≥ 0

```
select x.city, z.category,  
       min(x.discount*z.price)  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
group by z.category;
```

$\gamma_{x.city, z.category, \min(\text{discount} * \text{price})}$



$\gamma_{x.city, z.category, \min(d * p)}$



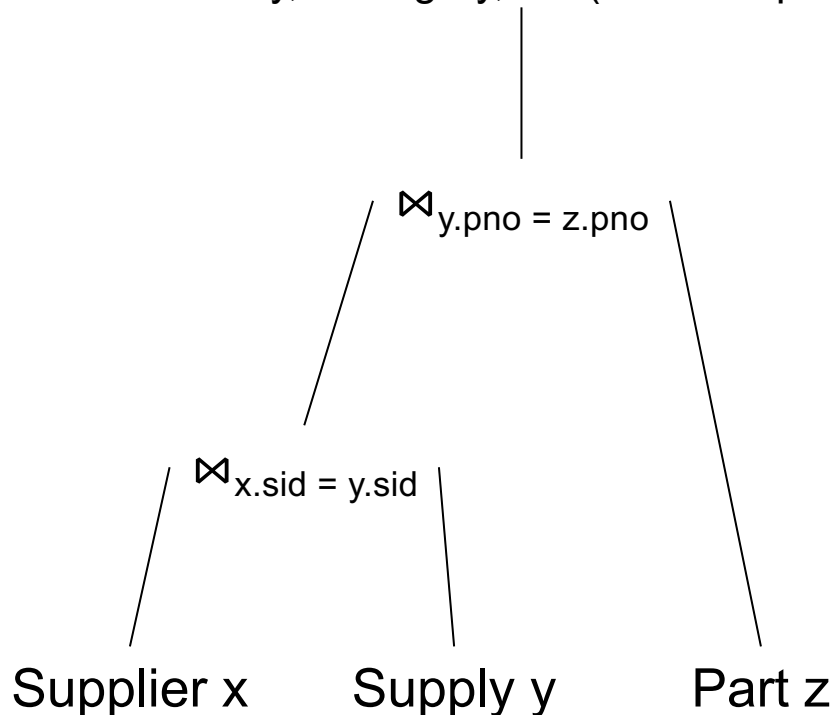
GD: Example 6

Supplier(sid, name, discount, city)
 Supply(sid, pno)
 Part(pno, pname, category, price)

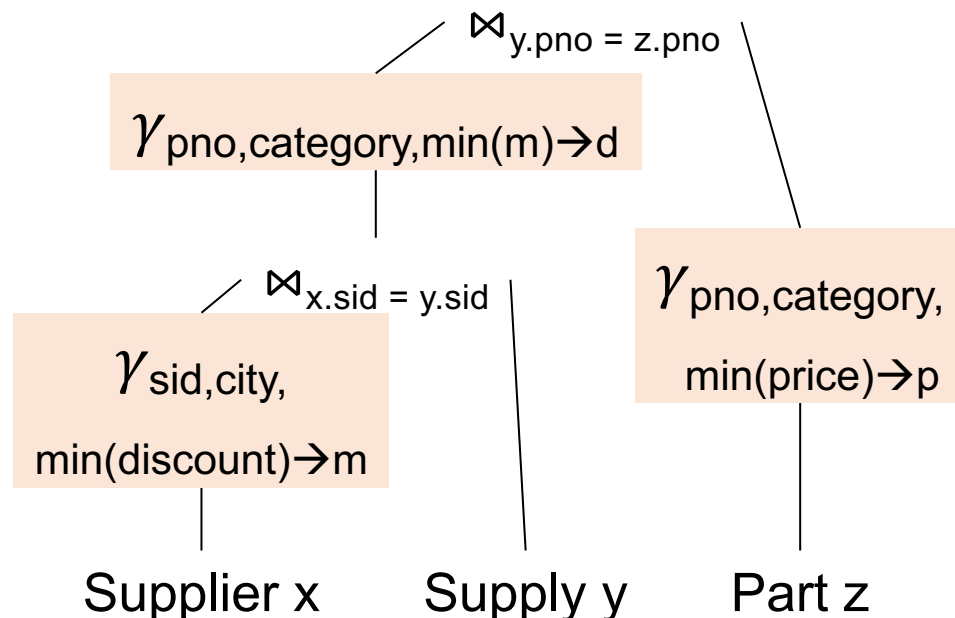
Assume discount, price ≥ 0

```
select x.city, z.category,
       min(x.discount*z.price)
from   Supplier x, Supply y, Part z
where  x.sid = y.sid and y.pno = z.pno
group by z.category;
```

$\gamma_{x.city, z.category, \min(\text{discount} * \text{price})}$



$\gamma_{x.city, z.category, \min(d * p)}$



Laws Involving Constraints

- These are laws that hold only under constraints
- Most common: redundant key foreign-key join

Laws Involving Constraints

Supply(sid, pno, discount)

Part(pno, pname, category, price)

```
select x.sid, x.pno, x.discount  
from Supply x, Part y  
where x.pno = y.pno
```

Laws Involving Constraints

```
Supply(sid, pno, discount)  
Part(pno, pname, category, price)
```

```
select x.sid, x.pno, x.discount  
from Supply x, Part y  
where x.pno = y.pno
```



```
select x.sid, x.pno, x.discount  
from Supply x
```

Three constraints are needed

Laws Involving Constraints

Supply(sid, pno, discount)
Part(pno, pname, category, price)

```
select x.sid, x.pno, x.discount  
from Supply x, Part y  
where x.pno = y.pno
```



```
select x.sid, x.pno, x.discount  
from Supply x
```

Three constraints are needed

1. Part.pno is a key
2. Supply.pno is a foreign key
3. Supply.pno IS NOT NULL

Discussion

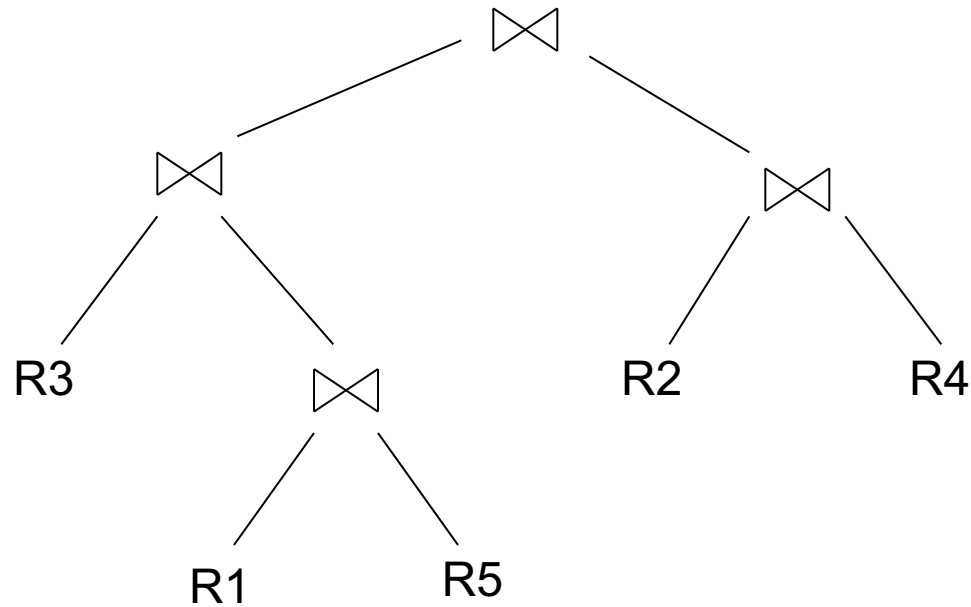
- When implemented in the optimizer, algebraic laws are called optimization rules
- More rules → larger search space → better plan
- Less rules → faster optimization → less good plan
- There is no “complete set” of rules for SQL; Commercial optimizers typically use 5-600 rules, constantly adding rules in response to customer's needs

Restricting of Query Plans

- The number of query plans is huge
- Optimizers often restrict them:
 - Restrict the types of trees
 - Restrict cartesian products

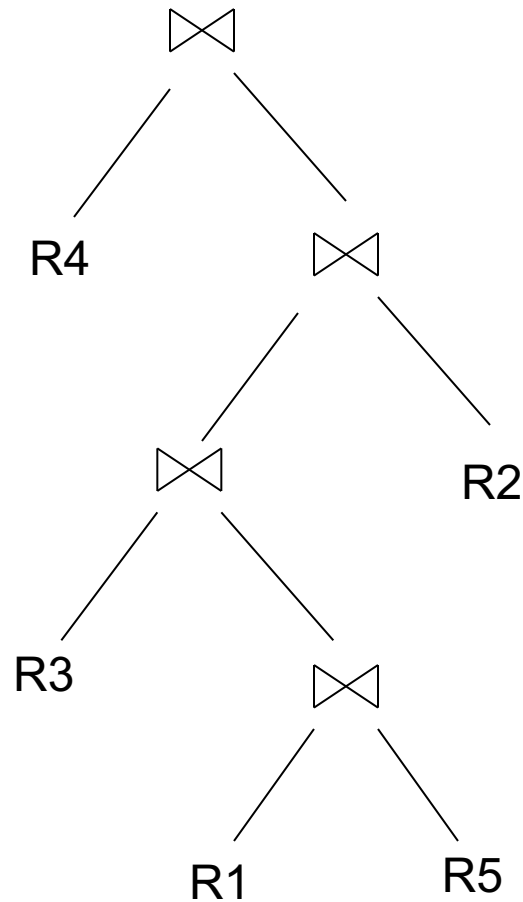
Types of Join Trees

- Bushy:



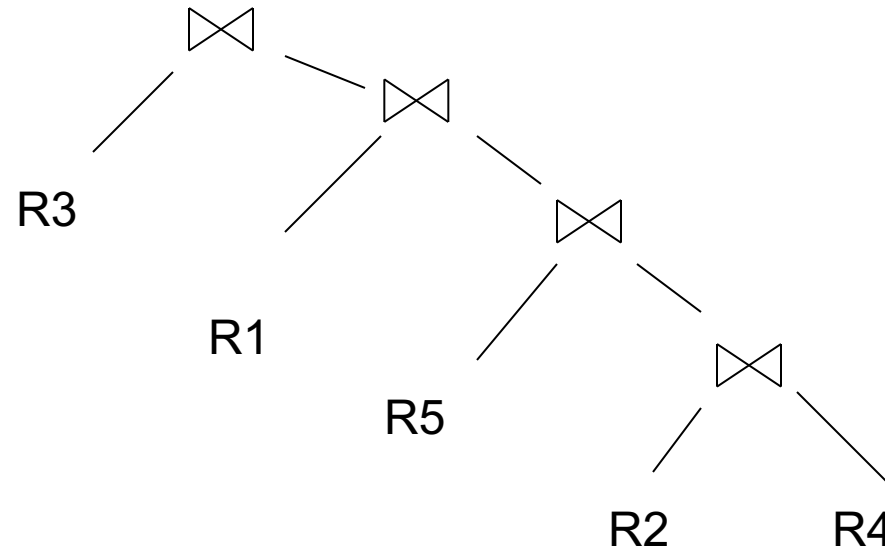
Types of Join Trees

- Linear (aka zig-zag):



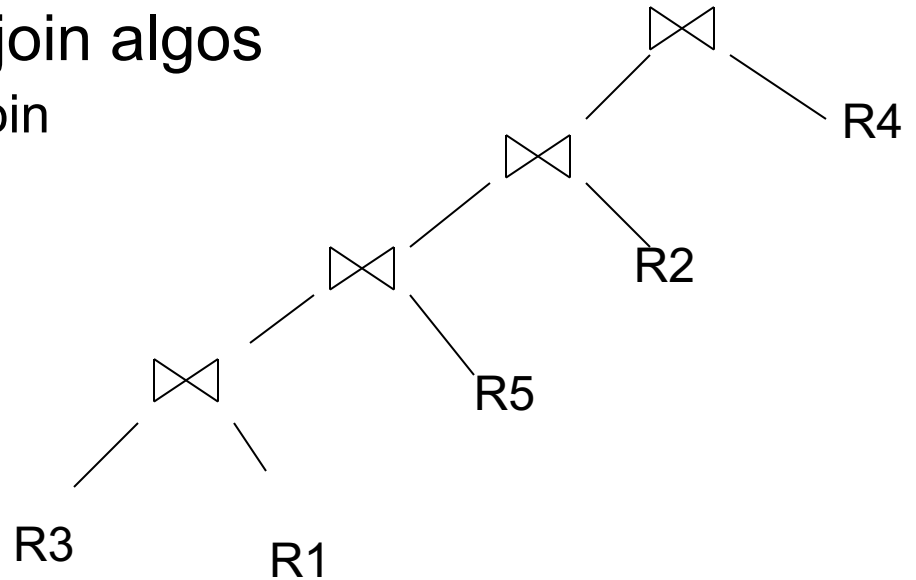
Types of Join Trees

- Right deep:



Types of Join Trees

- Left deep:
 - Work well with existing join algos
 - Nested-loop and hash-join
 - Facilitate pipelining



Avoid Cartesian Products

- Cartesian products are usually inefficient
- Most query optimizers avoid them

Avoid Cartesian Products

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, price)

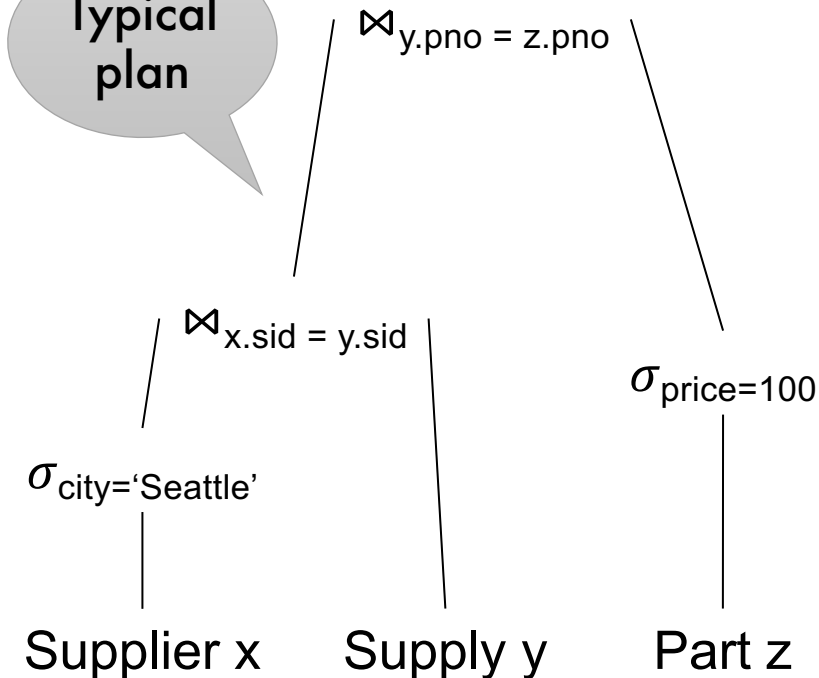
```
select *  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
       and x.city='Seattle' and z.price=100;
```

Avoid Cartesian Products

Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, price)

```
select *  
from   Supplier x, Supply y, Part z  
where  x.sid = y.sid and y.pno = z.pno  
       and x.city='Seattle' and z.price=100;
```

Typical
plan

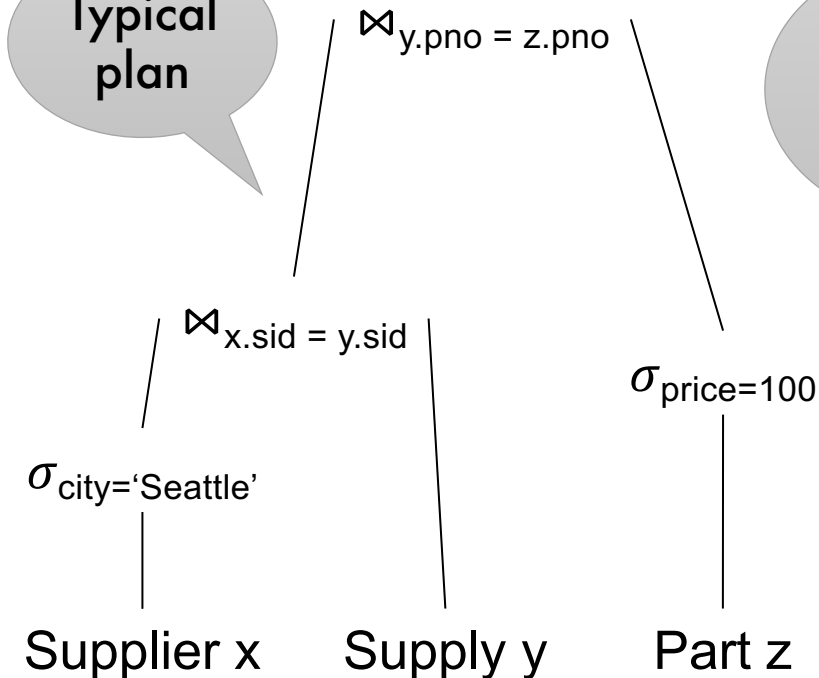


Avoid Cartesian Products

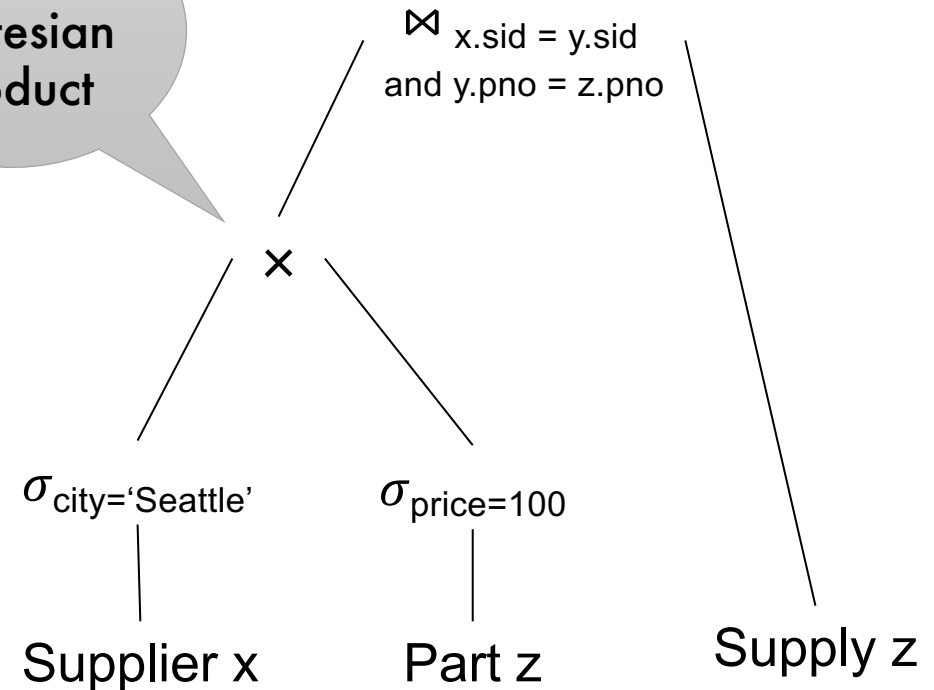
Supplier(sid, name, discount, city)
Supply(sid, pno)
Part(pno, pname, price)

```
select *  
from Supplier x, Supply y, Part z  
where x.sid = y.sid and y.pno = z.pno  
and x.city='Seattle' and z.price=100;
```

Typical
plan



Plan with
Cartesian
product



Most optimizers will not consider this plan

Query Optimization

Three components:

- Cost/cardinality estimation
- Search space
- Search algorithm ← next lecture