# CSE 444: Database Internals 

Lecture 12<br>Query Optimization (part 3)

## Announcements

- Lab 2 due tomorrow
- Lab 1 grades out today:
- Some student's codes wouldn't compile on attu, in your feedback it will say to email your TA.
- HW 5 due Monday - application of techniques in lecture
- Quiz 1+2 on Monday


## Selinger Optimizer History

- 1960's: first database systems
- Use tree and graph data models
- 1970: Ted Codd proposes relational model
- E.F. Codd. A relational model of data for large shared data banks. Communications of the ACM, 1970
- 1974: System R from IBM Research
- One of first systems to implement relational model
- 1979: Seminal query optimizer paper by P. Selinger et. al.
- Invented cost-based query optimization
- Dynamic programming algorithm for join order computation


## References

- P. Selinger, M. Astrahan, D. Chamberlin, R. Lorie, and
T. Price. Access Path Selection in a Relational

Database Management System. Proceedings of ACM SIGMOD, 1979. Pages 22-34.

## Selinger Algorithm

Selinger enumeration algorithm considers

- Different logical and physical plans at the same time
- Cost of a plan is IO + CPU
- Concept of interesting order during plan enumeration
- A sorted order as that requested by ORDER BY or GROUP GY
- Or order on attributes that appear in equi-join predicates
- Because they may enable cheaper sort-merge joins later


## More about the Selinger Algorithm

- Step 1: Enumerate all access paths for a single relation
- File scan or index scan
- Keep the cheapest for each interesting order
- Step 2: Consider all ways to join two relations
- Use result from step 1 as the outer relation
- Consider every other possible relation as inner relation
- Estimate cost when using sort-merge or nested-loop join
- Keep the cheapest for each interesting order
- Steps 3 and later: Repeat for three relations, etc.


## Example From Selinger Paper

EMP | NAME | DNO | JOB | SAL |
| :--- | :---: | :---: | :---: |
|  | SMITH | 50 | 12 |
| 8500 |  |  |  |
| JONES | 50 | 5 | 15000 |
| DOE | 51 | 5 | 9500 |

DEPT | DNO | DNAME | LOC |
| :---: | :--- | :--- |
|  | 50 | MFG |
| 51 | BILLING | DENVER |
| 52 | SHIPPING | DENLDER |

| JOB | JOB | TITLE | SELECT | NAME, TITLE, SAL, DNAME |
| :---: | :---: | :---: | :---: | :---: |
|  | 5 | CLERK | FROM | EMP, DEPT, JOB |
|  | 6 | TYPIST | WHERE | TITLE = 'CLERK' |
|  |  | - | AND | LOC = 'DENVER' |
|  | 8 | SALES | AND | EMP . DNO=DEPT. DNO |
|  | 12 | MECHANIC | AND | EMP . JOB=JOB. JOB |

"Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver."

Figure 1. JOIN example

## Step1: Access Path Selection for Single Relations

- Eligible Predicates: Local Predicates Only
- "Interesting" Orderings: DNO, JOB


SELECT NAME,TITLE,SAL, DNAME FROM EMP,DEPT,JOB WHERE TITLE = 'CLERK AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB
"Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver.'

Figure 1. JOIN example

SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB

Step1: Access Path Selection for Single Relations Resulting Plan Search Tree for Single Relations


SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB
WHERE TITLE=‘CLERK’AND LOC=‘DENVER’ AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB

## Step2: Pairs of Relations (nested loop joins)



SELECT NAME, TITLE, SAL, DNAME
FROM EMP, DEPT, JOB

## Step2: Pairs of Relations (sort-merge joins)



## Step3:Add Third Relation (sort-merge join)



## Next Example Acks

Implement variant of Selinger optimizer in SimpleDB

Designed to help you with Lab 5

Many following slides from Sam Madden at MIT

## Dynamic Programming

OrderJoins(...):

$\mathrm{R}=$ set of relations to join

For $\mathrm{d}=1$ to N : /* where $\mathrm{N}=|\mathrm{R}|$ */
For $S$ in \{all size-d subsets of R\}:
optjoin(S) $=(\mathrm{S}-\mathrm{a})$ join a ,
where $a$ is the single relation that minimizes:
$\operatorname{cost}($ optjoin $(\mathrm{S}-\mathrm{a}))+\underbrace{\text { U }}_{\begin{array}{l}\text { Use: } \\ \text { computeCostAndCardOfSubplan }\end{array}}$ min.cost to join $(S-a)$ with $a+$ min.access cost for a

Note: optjoin(S-a) is cached from previous iterations

## Example

- orderJoins(A, B, C, D)

| Subplan S | optJoin(S) | $\operatorname{Cost}($ OptJoin(S)) |
| :--- | :--- | :--- |
| A |  |  |

- Assume all joins are Nested Loop


## Example

- orderJoins(A, B, C, D)
- Assume all joins are NL
- $d=1$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| C | Seq scan | 120 |
| D | B+tree <br> scan | 400 |

- A = best way to access A (sequential scan, predicate-pushdown on index, etc)
- $B=$ best way to access $B$
- $C=$ best way to access $C$
- $D=$ best way to access $D$
- Total number of steps: choose(N, 1)


## Example

- orderJoins(A, B, C, D)
- $d=2$
- $\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

## Example

- orderJoins(A, B, C, D)
- $d=2$
- $\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{$ A, B $\}$ | BA | 156 |
|  |  |  |
|  |  |  |

## Example

- orderJoins(A, B, C, D)
- $d=2$
$-\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{$ B, C $\}$ | BC | 98 |
|  |  |  |

$-\{B, C\}=B C$ or $C B$

## Example

- orderJoins(A, B, C, D)
- $d=2$
$-\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
|  |  |  |

$-\{B, C\}=B C$ or $C B$

## Example

- orderJoins(A, B, C, D)
- $d=2$
$-\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
| $\ldots \ldots .$. |  |  |

$-\{B, C\}=B C$ or $C B$

- $\{\mathrm{C}, \mathrm{D}\}=\mathrm{CD}$ or DC
$-\{A, C\}=A C$ or $C A$
- $\{B, D\}=B D$ or $D B$
$-\{A, D\}=A D$ or $D A$


## Example

- orderJoins(A, B, C, D)
- $d=2$
$-\{A, B\}=A B$ or $B A$ use previously computed best way to access $A$ and $B$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{$ A, B $\}$ | BA | 156 |
| $\{$ B, C $\}$ | BC | 98 |
| $\ldots \ldots .$. |  |  |

$-\{B, C\}=B C$ or $C B$

- $\{\mathrm{C}, \mathrm{D}\}=\mathrm{CD}$ or DC
$-\{A, C\}=A C$ or $C A$
- $\{B, D\}=B D$ or $D B$
$-\{A, D\}=A D$ or $D A$
- Total number of steps: choose $(\mathrm{N}, 2) \times 2$


## Example

- orderJoins(A, B, C, D)
- $d=3$
- $\{A, B, C\}=$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$ |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
| $\ldots$ |  |  |
|  |  |  |
|  |  |  |

Remove A: compare $A(\{B, C\})$ to $(\{B, C\}) A$

## Example

- orderJoins(A, B, C, D)
- $d=3$
- $\{A, B, C\}=$

Remove A: compare $A([\overline{B, C\}}]$ to $(\{B, C\}) A$
optJoin(B,C) and its cost are already cached in table

## Example

- orderJoins(A, B, C, D)
- $d=3$
- $\{A, B, C\}=$

Remove A: compare $A([\overline{B, C\}}]$ to $(\{B, C\}) A$ Remove B: compare $B(\{A, C\})$ to (\{A,C\})B Remove C: compare C(\{A,B\}) to (\{A,B\})C

```
optJoin(B,C)
and its cost are
                                    already cached
in table
```


## Example

- orderJoins(A, B, C, D)
- $d=3$
- $\{A, B, C\}=$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots .$. |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
| $\ldots$ |  |  |
| $\{A, B, C\}$ | BAC | 500 |
| $\ldots \ldots .$. |  |  |

Remove A: compare A( $[\overline{B, C\}}]$ to $(\{B, C\}) A$
Remove B: compare $\mathrm{B}(\{\mathrm{A}, \mathrm{C}\})$ to $(\{\mathrm{A}, \mathrm{C}\}) \mathrm{B}$ Remove C: compare C(\{A,B\}) to (\{A,B\})C

```
optJoin(B,C)
                                    and its cost are
                                    already cached
in table
```


## Example

- orderJoins(A, B, C, D)
- $d=3$
$-\{A, B, C\}=$

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index scan | 100 |
| B | Seq. scan | 50 |
| $\ldots$. |  |  |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
|  |  |  |
| $\{A, B, C\}$ | BAC | 500 |
| $\ldots \ldots \ldots$ |  |  |

Remove A: compare $A([\widehat{B, C}\}]$ to $(\{B, C\}) A$
Remove B: compare $\mathrm{B}(\{\mathrm{A}, \mathrm{C}\})$ to $(\{\mathrm{A}, \mathrm{C}\}) \mathrm{B}$ Remove C: compare C(\{A,B\}) to (\{A,B\})C

- $\{\mathrm{A}, \mathrm{B}, \mathrm{D}\}=$

Remove A: compare $A(\{B, D\})$ to (\{B,D\})A

```
optJoin(B,C)
and its cost are
already cached
in table
```

- $\{\mathrm{A}, \mathrm{C}, \mathrm{D}\}=\ldots$
- $\{B, C, D\}=\ldots$
- Total number of steps: choose $(\mathrm{N}, 3) \times 3 \times 2$


## Example

- orderJoins(A, B, C, D)
- $\mathrm{d}=4$
- \{A,B,C,D\} =

| Subplan S | optJoin(S) | Cost(OptJoin(S)) |
| :--- | :--- | :--- |
| A | Index <br> scan | 100 |
| B | Seq. scan | 50 |
| $\{A, B\}$ | BA | 156 |
| $\{B, C\}$ | BC | 98 |
| $\{A, B, C\}$ | BAC | 500 |
| $\{B, C, D\}$ | DBC | 150 |
| $\ldots \ldots .$. |  |  |
| $\ldots$ |  |  |

Remove A: compare $A(\{B, C, D\})$ to ( $\{B, C, D\}) A$ Remove B: compare B(\{A,C,D\}) to (\{A,C,D\})B Remove C: compare C(\{A,B,D\}) to (\{A,B,D\})C Remove D: compare D(\{A,B,C\}) to (\{A,B,C\})D
optJoin(B, C, D) and its cost are already cached in table

- Total number of steps: choose( $\mathrm{N}, 4) \times 4 \times 2$


## Implementation in SimpleDB (lab5)

1. JoinOptimizer.java (and the classes used there)
2. Returns vector of "LogicalJoinNode" Two base tables, two join attributes, predicate e.g. $R(a, b), S(c, d), T(a, f), U(p, q)$ (R, S, R.a, S.c, =)
Recall that SimpleDB keeps all attributes of R, S after their join R.a, R.b, S.c, S.d

3. Output vector looks like: <(R, S, R.a, S.c), (R, T, R.b, T.f), (S, U, S.d, U.q)>

## Implementation in SimpleDB (lab5)

## Any advantage of returning pairs?

- Flexibility to consider all linear plans <(R, S, R.a,S.c), (R, T, R.b, T.f), (U, S, U.q, S.d)>


## More Details:

1. You mainly need to implement "orderJoins(..)" $U$
2. "CostCard" data structure stores a plan, its cost and cardinality: you would need to estimate them
3. "PlanCache" stores the table in dyn. Prog: Maps a set of LJN to
a vector of LJN (best plan for the vector),

its cost, and its cardinality
LJN = LogicalJoinNode
