# CSE 444: Database Internals 

Section 4:
Query Optimizer

## Plan for Today

- Problem 1A, 1B: Estimating cost of a plan
- You try to compute the cost for 5 mins
- We will go over the solution together
- Problem 2: Sellinger Optimizer
- We will do it together


## 1. Estimating Cost of a given plan

Student (sid, name, age, address)
Book(bid, title, author)
Checkout(sid, bid, date)

## Query:

SELECT S.name
FROM Student S, Book B, Checkout C
WHERE S.sid = C.sid
AND B. bid = C.bid
AND B.author = 'Olden Fames'
AND S.age >= 13
AND S.age <= 19

## Assumptions

- Student: S Book: B Checkout: C
- Sid, bid are foreign keys in C referencing S and B .
- There are 10,000 Student records stored on 1,000 pages.
- There are 50,000 Book records stored on 5,000 pages.
- There are 300,000 Checkout records stored on 15,000 pages.
- There are 500 different authors.
- Student ages range from 7 to 24 uniformly (integers).

| S(sid, name, age, addr) | $\mathrm{T}(\mathrm{S})=10,000$ | $\mathrm{~B}(\mathrm{~S})=1,000$ | V(B,author) $=500$ |
| :--- | :--- | :--- | :--- |
| $\mathrm{~B}($ bid, title, author $)$ | $\mathrm{T}(\mathrm{B})=50,000$ | $\mathrm{~B}(\mathrm{~B})=5,000$ | $7<=$ age $<=24$ |
| $\mathrm{C}(\underline{\text { sid }, \text { bid }}$, date $)$ | $\mathrm{T}(\mathrm{C})=300,000$ | $\mathrm{~B}(\mathrm{C})=15,000$ |  |

## Physical Query Plan - 1A

(On the fly)(d) $\Pi$
(On the fly) (c) $\sigma_{13<=\text { age }<=19} \wedge$ author $=$ 'Olden Fames'
(Tuple-based nested loop $B$ inner)
(Block-nested loop, S outer, C inner)

Student S Checkout C
(File scan) (File scan)
Q. Compute

1. the cost and cardinality in steps
(a) to (d)
2. the total cost

Assumptions:

- Data is not sorted on any attributes
$\begin{array}{ll}\begin{array}{ll}\text { S(sid, name, age, addr) } & \mathrm{T}(\mathrm{S})=10,000 \\ \text { B(bid, title,author) } & \mathrm{T}(\mathrm{B})=50,000 \\ \mathrm{C}(\text { sid, bid, date })\end{array} & \mathrm{T}(\mathrm{C})=300,000\end{array} \quad \begin{array}{ll} & \text { SOlutiOn - 1A }\end{array}$
(On the fly) (d) $\Pi_{\text {name }}$
(On the fly) (c) $\sigma_{13<=\text { age<=19 }} \wedge$ author = 'Olden Fames'
(Tuple-based nested loop $B$ inner)
(Block-nested loop, S outer, C inner)

Student S Checkout C
(File scan) (File scan)
Total cost $=1,515,001,000$
Final cardinality = 234 (approx)
$B(S)=1,000 \quad V(B$, author $)=500$
$B(B)=5,000 \quad 7<=$ age $<=24$
$\mathrm{B}(\mathrm{C})$ - (a) nnn
(a)

$$
\begin{aligned}
& \text { Cost }(I / O) \\
& B(S)+B(S) * B(C) \\
& =1000+1000 * 15000 \\
& =15,001,000
\end{aligned}
$$

## Cardinality

$=T(S) * T(C) / \mathbf{V}(\mathbf{S}$, sid)
$=300,000$ (foreign key join)
(b)

$$
\begin{aligned}
& \operatorname{Cost}(I / O) \\
& =T(S \text { join } C) * B(B) \\
& =300,000 * 5,000=15 * 10^{8}
\end{aligned}
$$

## Cardinality

$=T(S$ join $C){ }^{*} T(B) / V(B$, bid $\left.)\right)$
$=300,000$ (foreign key join)
$\underset{\text { (File Scan) }}{\operatorname{Book}} \mathbf{( c , d )}$
Cost(I/O)
= 0 (on the fly)
Cardinality:
300,000 * 1/500 * 7/18
= 234 (approx)
(assuming uniformity and independence)

| $S($ sid, name, age, addr $)$ | $T(S)=10,000$ | $B(S)=1,000$ | $V(B$, author $)=500$ |
| :--- | :--- | :--- | :--- |
| $B($ bid, title,author $)$ | $T(B)=50,000$ | $B(B)=5,000$ | $7<=$ age $<=24$ |
| $C(\underline{\text { sid }, \text { bid }}$, date $)$ | $T(C)=300,000$ | $B(C)=15,000$ |  |

Physical Query Plan - 1B

(On the fly) (b) $\prod_{\text {bid }}$
(a) $\sigma_{\text {author }}=$ 'Olden Fames

Book B
(Index scan)

## Student S

(File scan)
$T(S)=10,000 \quad B(S)=1,000$
$B(B)=5,000$
$V(B$, author $)=500$
7 <= age <= 24

C(sid,bid,date): CI. B+ on bid
$T(C)=300,000 \quad B(C)=15,000$

## Solution - 1B

 $S$ inner)
(a)
cost (I/O)

$$
=T(B) / V(B \text {, author })
$$

$$
=50,000 / 500=100 \text { (unclustered) }
$$

cardinality $=100$
(b) $\operatorname{cost}=0$
cardinality $=100$
(c)
one index lookup per outer B tuple
ii. 1 book has 6 checkouts (uniformity)
iii. $\quad$ \# C tuples per page $=T(C) / B(C)=20$
iv. 6 tuples fit in at most 2 consecutive pages (clustered) - or 1 if all fit on the page
Cost $=100$ * $2=200$
cardinality $=100 * 6=600$
(d) Cost $=0$, cardinality $=600$
(On the fly)
(b) $\prod_{\text {bid }}$
(d)


Total cost $\mathbf{= 1 3 0 0}$ (compare with $1,515,001,000$ in 1A)
Final cardinality $=\mathbf{2 3 4}$ (approx) (same as $1 \mathrm{~A}!$ )
(e) Outer relation is already in memory,
need to scan $S$ relation
(File scan) $\begin{aligned} & \text { Cost } B(S)=1000 \\ & \text { Cardinality }=600\end{aligned}$
(File scan) $\begin{aligned} & \text { Cost } B(S)=1000 \\ & \text { Cardinality }=600\end{aligned}$
(f) Cost $=0$

Cardinality $=600 * 7 / 18=234$
(approx)
(c) Student S

Checkout C
ィー
(File scan)
(g) Cost= 0 , cardinality $=234$

## 2. Sellinger Optimization Example

Sailors (sid, sname, srating, age)
Boats(bid, bname, color)
Reserves(sid, bid, date, rname)

Query:
SELECT S.sid, R.rname
FROM Sailors S, Boats B, Reserves R
WHERE S.sid = R.sid
AND B.bid = R.bid
AND B.color = red

```
S (sid, sname, srating, age)
B (bid, bname, color)
R(\underline{sid, bid, date, rname) Available|ncexes}
```

- Sailors: S Boats: B Reserves: R
- Sid, bid foreign key in $R$ referencing $S$ and $B$ resp.
- Sailors
- Unclustered B+ tree index on sid
- Unclustered hash index on sid
- Boats
- Unclustered B+ tree index on color
- Unclustered hash index on color
- Reserves
- Unclustered B+ tree on sid
- Clustered B+ tree on bid

```
S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid
B (bid, bname, color) : 1. B+tree - color, 2. hash index - color
R (sid, bid, date, rname) : 1. B+tree - sid, 2. Clustered B+tree - bid
```

SELECT S.sid, R.rname WHERE S.sid = R.sid
B.bid $=$ R.bid, B.color $=$ red

## First Pass

- Where to start?
- How to access each relation, assuming it would be the first relation being read
- File scan is also available!
- Sailors?
- No selection matching an index, use File Scan (no overhead)
- Reserves?
- Same as Sailors
- Boats?
- Hash index on color, matches B.color = red
- B+ tree also matches the predicate, but hash index is cheaper
- B+ tree would be cheaper for range queries

S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid B (bid, bname, color) : 1. B+tree - color, 2. hash index-color R (sid, bid, date, rname) : 1. B+tree - sid, 2. Clustered B+tree - bid

SELECT S.sid, R.rname WHERE S.sid = R.sid B.bid $=$ R.bid, B.color $=$ red

Second Pass

- What next?
- For each of the plan in Pass 1 taken as outer, consider joining another relation as inner
- What are the combinations? How many new options?

| Outer | Inner | OPTION 1 | OPTION 2 | OPTION 3 |
| :--- | :--- | :--- | :--- | :--- |
| R (file scan) | B | (B+-color) | (hash color) | (File scan) |
| R (file scan) | S | (B+-sid) | (hash sid) | , |
| S (file scan) | B | (B+-color) | (hash color) | , |
| S (file scan) | R | (B+-sid) | (Cl. B+ bid) | , |
| B (hash index) | R | (B+-sid) | (Cl. B+ bid | , |
| B (hash index) | S | (B+-sid) | (hash sid) | , |

```
S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid
B (bid, bname, color) : 1. B+tree - color, 2. hash index-color
R (sid, bid, date, rname) : 1. B+tree - sid, 2. Clustered B+tree - bid
```

SELECT S.sid, R.rname WHERE S.sid = R.sid
B.bid $=$ R.bid, B.color $=$ red

Second Pass

- Which outer-inner combinations can be discarded?
- B, S and S, B:

Cartesian product!

| Outer | Inner | OPTION 1 | OPTION 2 | OPTION 3 |
| :---: | :---: | :---: | :---: | :---: |
| $R$ (file scan) | B | (B+-color) | (hash color) | (File scan) |
| $R$ (file scan) | S | ( $\mathrm{B}+$-sid) | (hash sid) | " |
| C(filo ccan) | R | (R+-rolor) | (hach_oolor) |  |
| $S$ (file scan) | R | ( $\mathrm{B}+$-sid) | (CI. B+ bid) | " |
| R (hachindov) | $\bigcirc$ | (R+_cid) | (hach_cid) |  |
| $B$ (hash index) | R | ( $\mathrm{B}+$-sid) | (Cl. B+ bid): | " |

OPTION 3 is not shown on next slide, expected to be more expensive

S (sid, sname, srating, age):

1. B+tree - sid, 2. hash index - sid B (bid, bname, color) : 1. B+tree - color, 2. hash index-color R (sid, bid, date, rname) : 1. B+tree - sid, 2. Clustered B+tree - bid

SELECT S.sid, R.rname WHERE S.sid = R.sid
B.bid $=$ R.bid, B.color $=$ red

| Outer | Inner | OPTION 1 | OPTION 2 |
| :--- | :--- | :--- | :--- |
| R (file scan) | S | (B+-sid) Slower than <br> hash-index <br> (need Sailor tuples matching <br> S.sid = value, where value <br> comes from an outer R tuple) | (hash sid): likely to be faster <br> 2A. Index nested loop join <br> 2B Sort Merge based join: (sorted by <br> sid) |
| R (file scan) | B | (B+-color) Not useful | (hash color) Select those tuples where <br> B.color = red using the color index (note: <br> no index on bid) |
| S (file scan) | R | (B+-sid) Consider all join <br> (Cl. B+ bid) Not useful |  |
| B (hash <br> index) | R | (B+-sid) Not useful | (Cl. B+ bid) <br> 2A. Index nested loop join <br> 2B. Sort-merge join <br> (sorted on bid) |

Keep the least cost plan between

- ( $R, S$ ) and ( $S, R$ )
- (R, B) and (B, R)

```
S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid SELECT S.sid, R.rname
B (bid, bname, color): 1. B+tree - color, 2. hash index-color WHERE S.sid = R.sid
R (sid, bid, date, rname) : 1. B+tree - sid, 2. Clustered B+tree - bid

\section*{Third Pass}
- Join with the third relation
- For each option retained in Pass 2, join with the third relation
- E.g.
- Boats (B+tree on color) - sort-merged-join - Reserves (B+tree on bid)
- Join the result with Sailors ( \(B+\) tree on sid) using sort-mergejoin
- Need to sort (B join R) by sid, was sorted on bid before
- Outputs tuples sorted by sid
- Not useful here, but will be useful if we had GROUP BY on sid
- In general, a higher cost "interesting" plans may be retained (e.g. sort operator at root, grouping attribute in group by query later, join attribute in a later join)

\section*{Homework 5}
- Query Plan Cost Computation
- Query Optimization```

