

## CSE 444: Database Internals

### Lecture 25 Replication

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## References

- Ullman Book Chapter 20.6
- **Database management systems.**  
Ramakrishnan and Gehrke.  
Third Ed. **Chapter 22.11**

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## Outline

- Goals of replication
- Three types of replication
  - Synchronous (aka eager) replication
  - Asynchronous (aka lazy) replication
  - Two-tier replication

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## Goals of Replication

- Goal 1: availability
- Goal 2: performance



- But, it's easy to build a replicated system that reduces performance and availability

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## Types of Replication

|              | Master | Group |
|--------------|--------|-------|
| Synchronous  | ✓      |       |
| Asynchronous |        |       |

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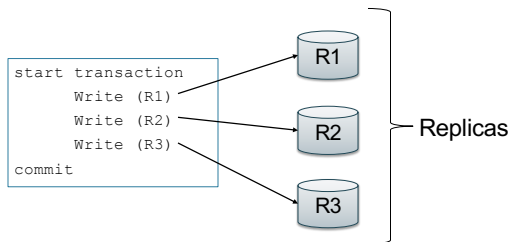
## Synchronous Replication

- Also called **eager replication**
- All updates are applied to all replicas (or to a majority) as part of a single transaction (need two phase commit)
- Main goal: as if there was only one copy
  - Maintain **consistency**
  - Maintain **one-copy serializability**
  - I.e., execution of transactions has same effect as an execution on a non-replicated db
- Transactions must acquire **global locks**

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## Synchronous Replication

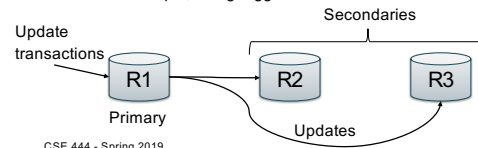


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## Synchronous Master Replication

- One master for each object holds primary copy
  - The "Master" is also called "Primary"
  - To update object, transaction must acquire a lock at the master
  - Lock at the master is global lock
- Master propagates updates to replicas synchronously
  - Updates propagate as part of the same distributed transaction
    - Need to run 2PC at the end
  - For example, using triggers



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## Crash Failures

- What happens when a secondary crashes?
  - Nothing happens
  - When secondary recovers, it catches up
- What happens when the master/primary fails?
  - Blocking would hurt availability
  - Must choose a new primary: run election

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## Network Failures

- Network failures can cause trouble...
  - Secondaries think that primary failed
  - Secondaries elect a new primary
  - But primary can still be running
  - Now have two primaries!

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## Majority Consensus

- To avoid problem, only majority partition can continue processing at any time
- In general,
  - Whenever a replica fails or recovers...
  - a set of communicating replicas must determine...
  - whether they have a majority before they can continue

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## Types of Replication

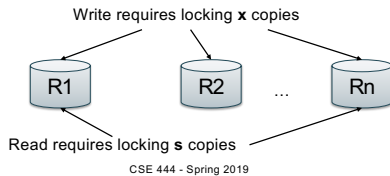
|              | Master | Group |
|--------------|--------|-------|
| Synchronous  | ✓      | ✓     |
| Asynchronous |        |       |

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## Synchronous Group Replication

- With  $n$  copies
  - Exclusive lock on  $x$  copies is global exclusive lock
  - Shared lock on  $s$  copies is global shared lock
  - Must have:  $2x > n$  and  $s + x > n$
  - Version numbers serve to identify current copy



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## Synchronous Group Replication

- Majority locking
  - $s = x = \lceil (n+1)/2 \rceil$
  - No need to run any reconfiguration algorithms
- Read-locks-one, write-locks-all
  - $s=1$  and  $x = n$ , high read performance
  - Need to make sure algo runs on quorum of computers

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## Synchronous Replication Properties

- Favours consistency over availability
  - Only majority partition can process requests
  - There appears to be a single copy of the db
- High runtime overhead
  - Must lock and update at least majority of replicas
  - Two-phase commit
  - Runs at pace of slowest replica in quorum
  - So overall system is now slower
  - Higher deadlock rate (transactions take longer)

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## Types of Replication

|              | Master | Group |
|--------------|--------|-------|
| Synchronous  | ✓      | ✓     |
| Asynchronous | ✓      |       |

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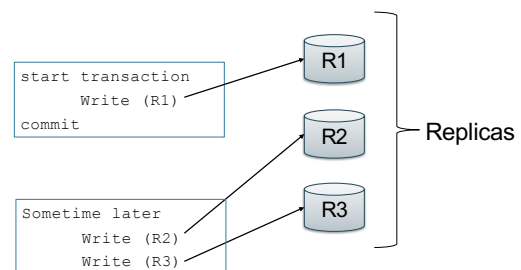
## Asynchronous Replication

- Also called lazy replication
- Also called optimistic replication
- Main goals: availability and performance
- Approach
  - One replica updated by original transaction
  - Updates propagate asynchronously to other replicas

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## Asynchronous Replication



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## Asynchronous Master Replication

- One master holds primary copy
  - Transactions update primary copy
  - Master asynchronously propagates updates to replicas, which process them in same order (e.g. through log shipping)
  - Ensures single-copy serializability
- What happens when master/primary fails?
  - Can lose most recent transactions when primary fails!
  - After electing a new primary, secondaries must agree who is most up-to-date

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## Types of Replication

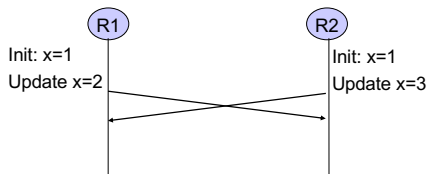
|              | Master | Group |
|--------------|--------|-------|
| Synchronous  | ✓      | ✓     |
| Asynchronous | ✓      | ✓     |

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## Asynchronous Group Replication

- Also called **multi-master**
- Best scheme for availability
- Cannot guarantee one-copy serializability!



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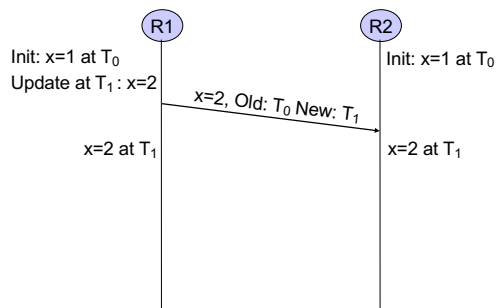
## Asynchronous Group Replication

- Cannot guarantee one-copy serializability!
- Instead guarantee convergence
  - Db state does not reflect any serial execution
  - But all replicas have the same state
- Detect conflicts and reconcile replica states
- Different reconciliation techniques are possible
  - Manual
  - Most recent timestamp wins
  - Site A wins over site B
  - User-defined rules, etc.

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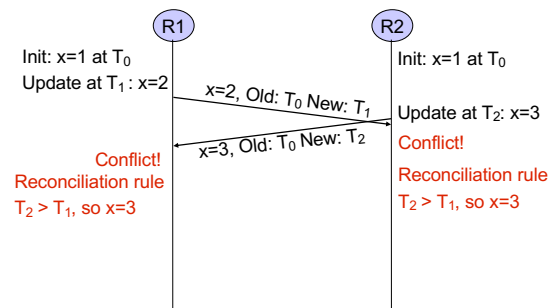
## Detecting Conflicts Using Timestamps



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## Detecting Conflicts Using Timestamps



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## Vector Clocks

- An extension of Multiversion Concurrency Control (MVCC) to multiple servers
- Standard MVCC:  
each data item X has a timestamp t:  
 $X_4, X_9, X_{10}, X_{14}, \dots, X_t$
- Vector Clocks:  
X has set of [server, timestamp] pairs  
 $X([s1, t1], [s2, t2], \dots)$

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## Vector Clocks: Conflict or not?

| Data 1               | Data 2               | Conflict ? |
|----------------------|----------------------|------------|
| $([SX, 3], [SY, 6])$ | $([SX, 3], [SZ, 2])$ |            |
|                      |                      |            |
|                      |                      |            |
|                      |                      |            |
|                      |                      |            |

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## Vector Clocks: Conflict or not?

| Data 1               | Data 2               | Conflict ? |
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| $([SX, 3], [SY, 6])$ | $([SX, 3], [SZ, 2])$ | Yes        |
|                      |                      |            |
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| $([SX, 3], [SY, 6])$ | $([SX, 3], [SZ, 2])$ | Yes        |
| $([SX, 3])$          | $([SX, 5])$          |            |
|                      |                      |            |
|                      |                      |            |
|                      |                      |            |

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|                      |                      |            |
|                      |                      |            |
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| $([SX, 3], [SY, 6])$ | $([SX, 3], [SY, 6], [SZ, 2])$ |            |
|                      |                               |            |
|                      |                               |            |

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## Vector Clocks: Conflict or not?

| Data 1          | Data 2                 | Conflict ? |
|-----------------|------------------------|------------|
| ([SX,3],[SY,6]) | ([SX,3],[SZ,2])        | Yes        |
| ([SX,3])        | ([SX,5])               | No         |
| ([SX,3],[SY,6]) | ([SX,3],[SY,6],[SZ,2]) | No         |
|                 |                        |            |
|                 |                        |            |

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## Vector Clocks: Conflict or not?

| Data 1           | Data 2                  | Conflict ? |
|------------------|-------------------------|------------|
| ([SX,3],[SY,6])  | ([SX,3],[SZ,2])         | Yes        |
| ([SX,3])         | ([SX,5])                | No         |
| ([SX,3],[SY,6])  | ([SX,3],[SY,6],[SZ,2])  | No         |
| ([SX,3],[SY,10]) | ([SX,3],[SY,20],[SZ,2]) | No         |
|                  |                         |            |

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## Asynchronous Group Replication Properties

- Favours **availability** over consistency
  - Can read and update any replica
  - High runtime performance
- **Weak consistency**
  - Conflicts and reconciliation

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## Outline

- Goals of replication
- Three types of replication
  - **Synchronous (aka eager) replication**
  - **Asynchronous (aka lazy) replication**
  - **Two-tier replication**

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## Two-Tier Replication

- **Benefits of lazy master and lazy group**
- Each object has a master with primary copy
- When disconnected from master
  - Secondary can only run **tentative transactions**
- When reconnects to master
  - Master reprocesses all tentative transactions
  - Checks an acceptance criterion
  - If passes, we now have **final commit order**
  - Secondary **undoes tentative and redoes committed**

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## Conclusion

- **Replication is a very important problem**
  - Fault-tolerance (various forms of replication)
  - Caching (lazy master)
  - Warehousing (lazy master)
  - Mobility (two-tier techniques)
- **Replication is complex, but basic techniques and trade-offs are very well known**
  - Synchronous or asynchronous replication
  - Master or quorum

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