

# CSE 444: Database Internals

## Lecture 12 Query Optimization (part 3)

# Announcements

- Lab 2 deadline EXTENDED by 24 hours
- Lab 2 quiz moved to Monday

# Selinger Optimizer History

- 1960's: first database systems
  - Use tree and graph data models
- 1970: Ted Codd proposes relational model
  - E.F. Codd. A relational model of data for large shared data banks. Communications of the ACM, 1970
- 1974: System R from IBM Research
  - One of first systems to implement relational model
- 1979: Seminal query optimizer paper by P. Selinger et. al.
  - Invented cost-based query optimization
  - Dynamic programming algorithm for join order computation

# References

- P. Selinger, M. Astrahan, D. Chamberlin, R. Lorie, and T. Price. Access Path Selection in a Relational Database Management System. Proceedings of ACM SIGMOD, **1979**. Pages 22-34.

# Selinger Algorithm

Selinger enumeration algorithm considers

- Different logical and physical plans *at the same time*
- Cost of a plan is IO + CPU
- Concept of *interesting order* during plan enumeration
  - Same order as that requested by ORDER BY or GROUP BY
  - Or order on attributes that appear in equi-join predicates
    - Because they may enable cheaper sort-merge joins later

# More about the Selinger Algorithm

- Step 1: Enumerate all access paths for a single relation
  - File scan or index scan
  - Keep the cheapest for each *interesting order*
- Step 2: Consider all ways to join two relations
  - Use result from step 1 as the outer relation
  - Consider every other possible relation as inner relation
  - Estimate cost when using sort-merge or nested-loop join
  - Keep the cheapest for each *interesting order*
- Steps 3 and later: Repeat for three relations, etc.

# Example From Selinger Paper

EMP

	NAME	DNO	JOB	SAL
	SMITH	50	12	8500
	JONES	50	5	15000
	DOE	51	5	9500

DEPT

DNO	DNAME	LOC
50	MFG	DENVER
51	BILLING	BOULDER
52	SHIPPING	DENVER

JOB

JOB	TITLE
5	CLERK
6	TYPIST
8	SALES
12	MECHANIC

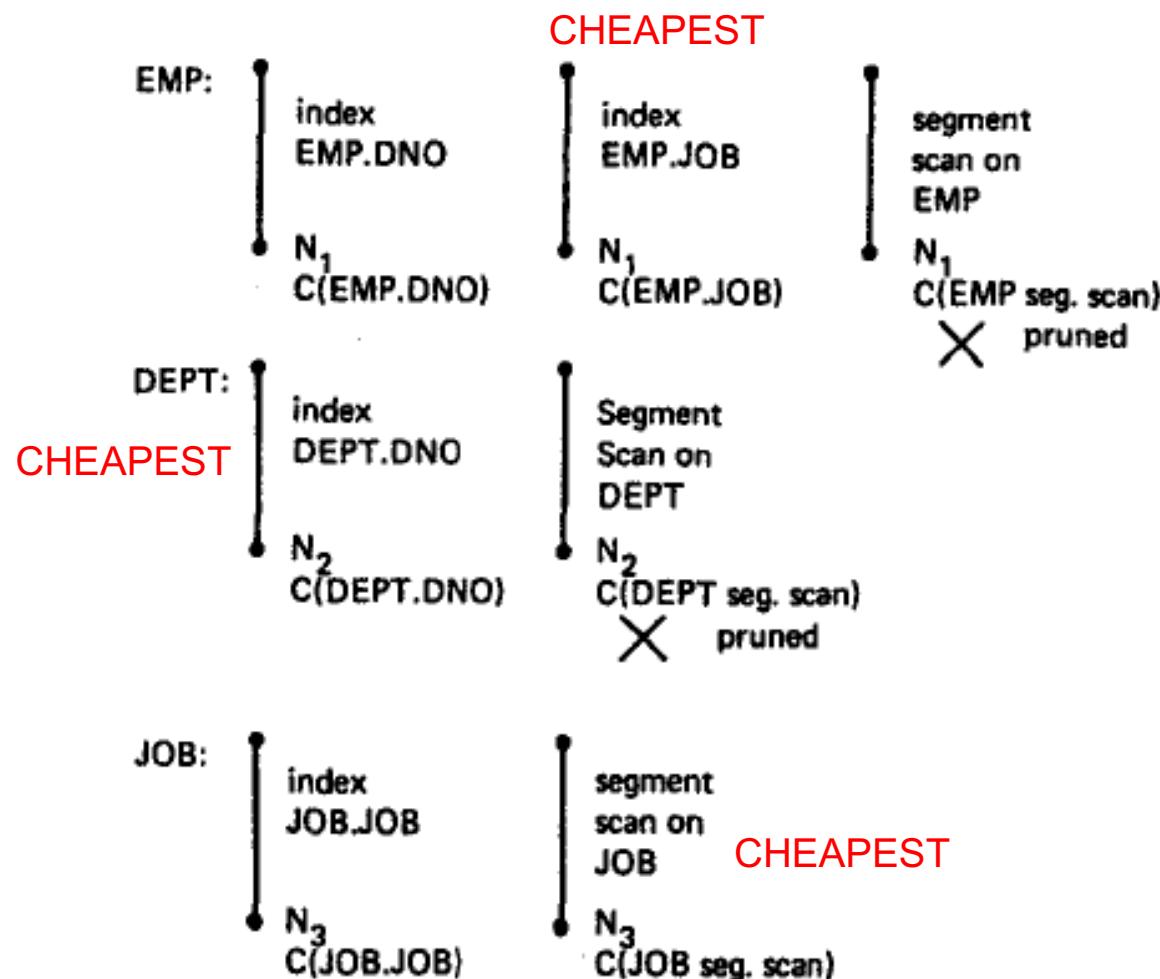
```
SELECT NAME, TITLE, SAL, DNAME  
FROM EMP, DEPT, JOB  
WHERE TITLE='CLERK'  
AND LOC='DENVER'  
AND EMP.DNO=DEPT.DNO  
AND EMP.JOB=JOB.JOB
```

“Retrieve the name, salary, job title, and department name of employees who are clerks and work for departments in Denver.”

Figure 1. JOIN example

# Step1: Access Path Selection for Single Relations

- Eligible Predicates: Local Predicates Only
- “Interesting” Orderings: DNO, JOB

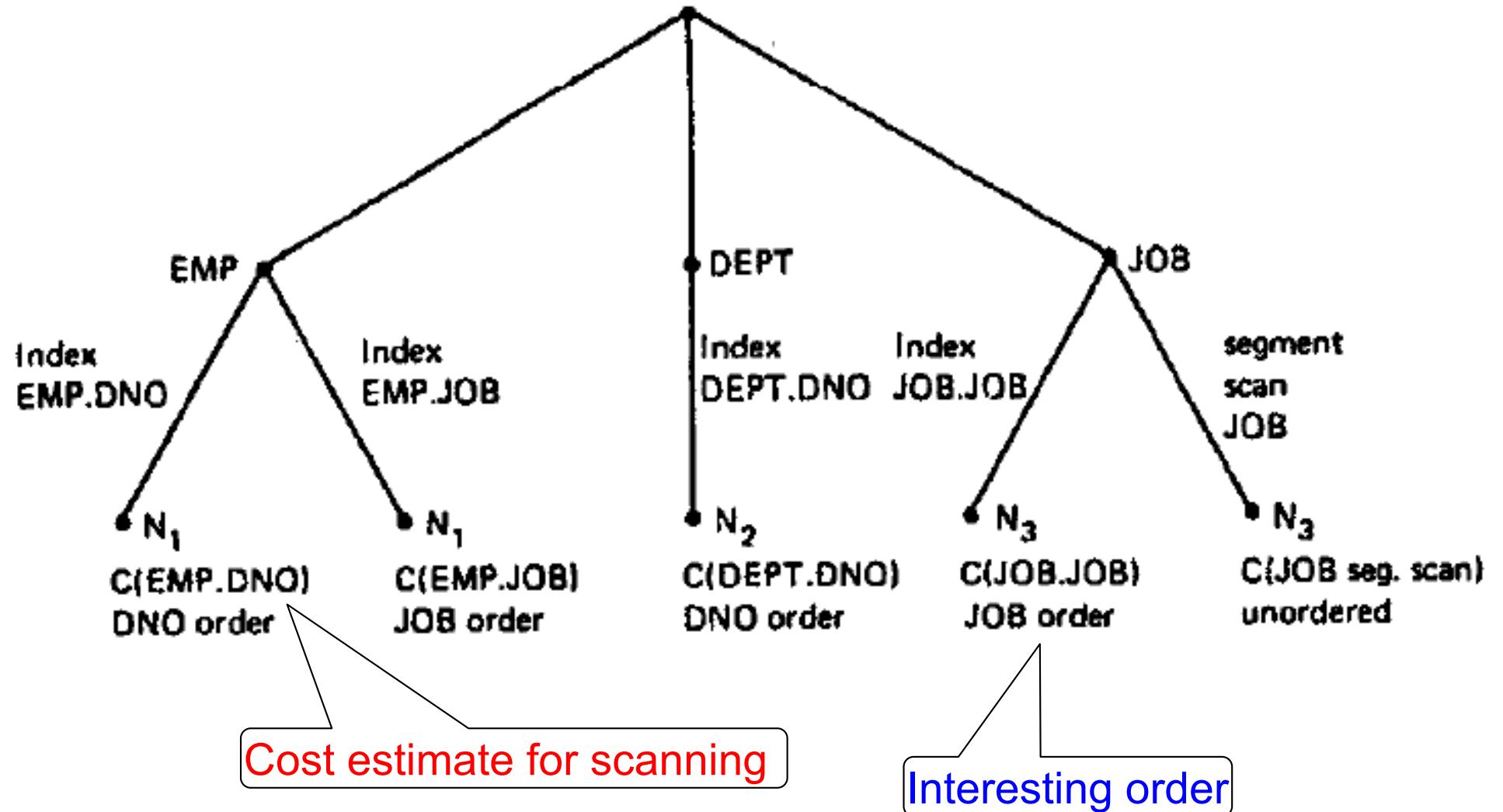


**SELECT** NAME, TITLE, SAL, DNAME

**FROM** EMP, DEPT, JOB

**WHERE** TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB

# Step1: Access Path Selection for Single Relations Resulting Plan Search Tree for Single Relations



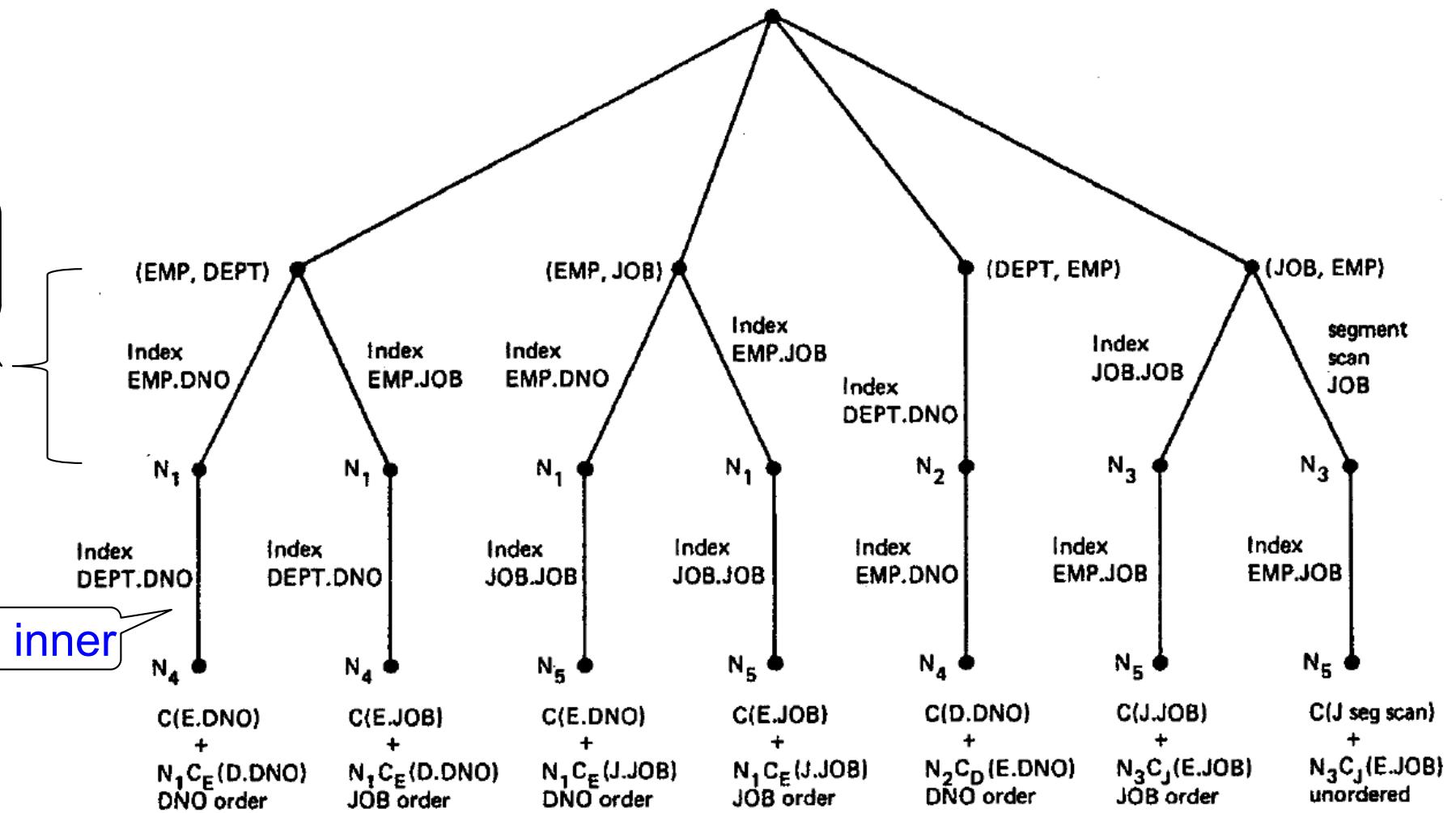
SELECT NAME, TITLE, SAL, DNAME

FROM EMP, DEPT, JOB

WHERE TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB

## Step2: Pairs of Relations (nested loop joins)

From  
step 1

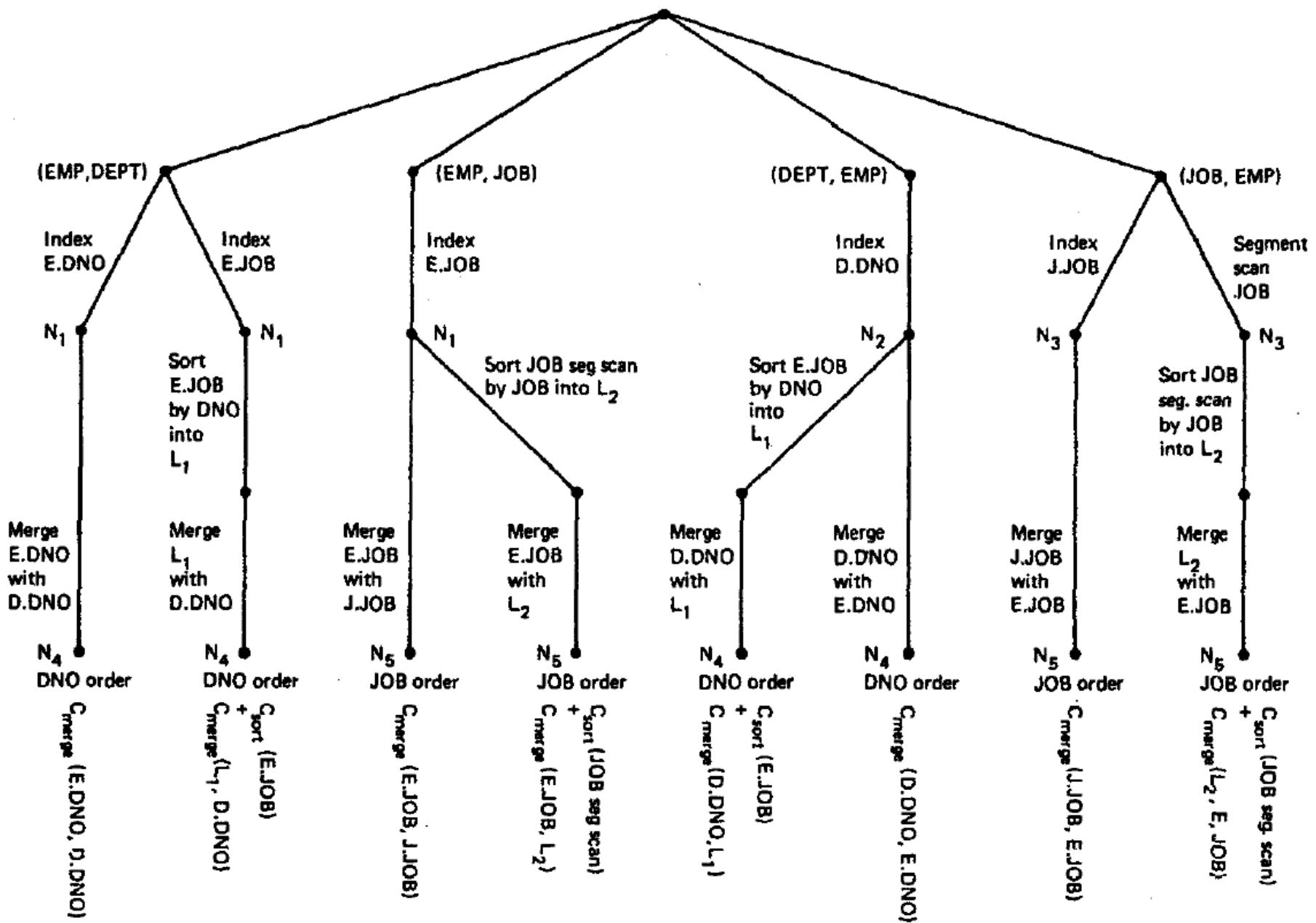


SELECT NAME, TITLE, SAL, DNAME

FROM EMP, DEPT, JOB

WHERE TITLE='CLERK' AND LOC='DENVER' AND EMP.DNO=DEPT.DNO AND EMP.JOB=JOB.JOB

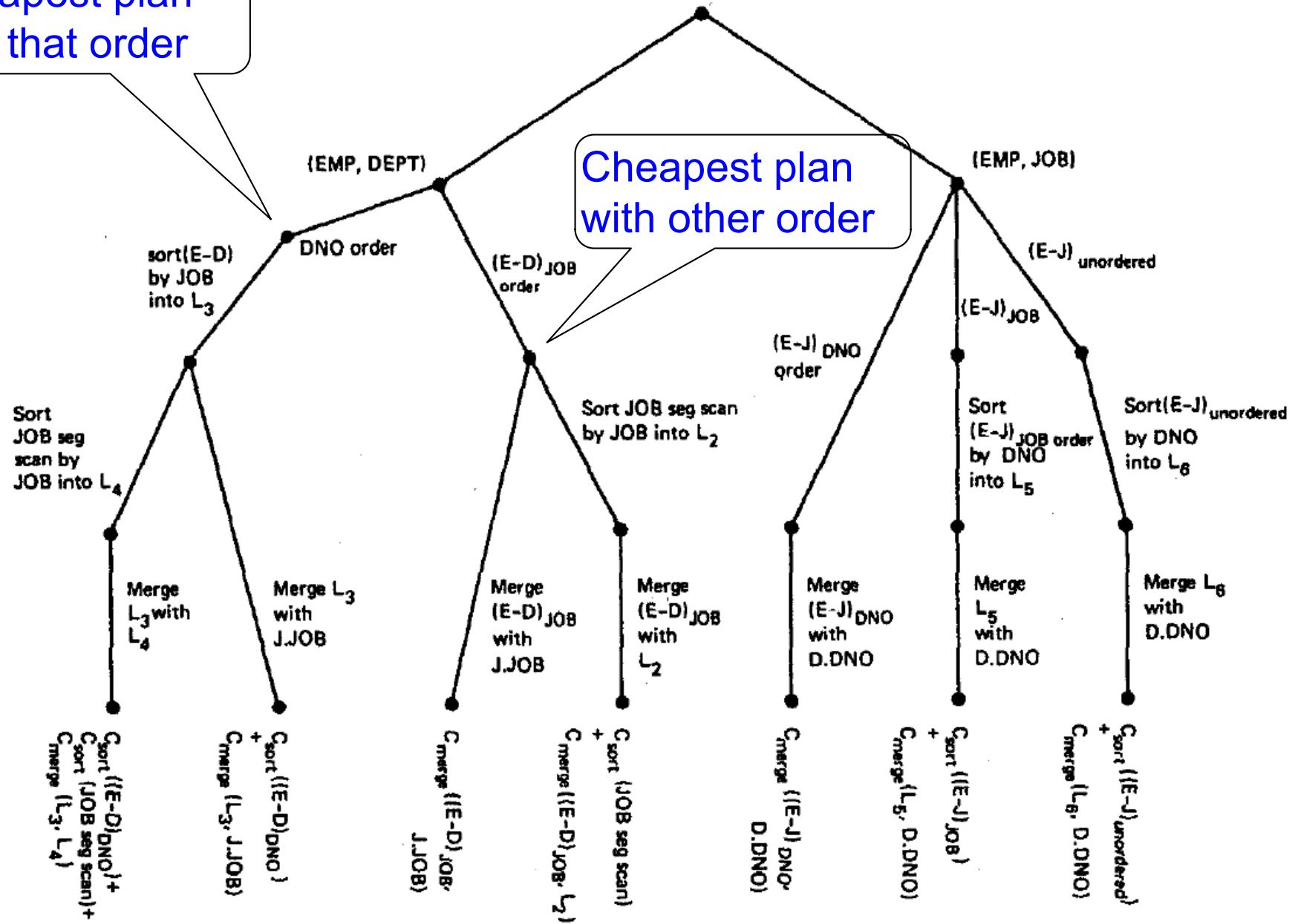
## Step2: Pairs of Relations (sort-merge joins)



## Step3: Add Third Relation (sort-merge join)

Cheapest plan  
with that order

Cheapest plan  
with other order



# Next Example Acks

Implement Selinger optimizer in SimpleDB

Designed to help you with Lab 5

Many following slides from Sam Madden at MIT

# Dynamic Programming

OrderJoins:

$R$  = set of relations to join

For  $d = 1$  to  $N$ : /\* where  $N = |R|$  \*/

For  $S$  in {all size- $d$  subsets of  $R$ }:

**optjoin**( $S$ ) =  $(S - a)$  join  $a$ ,

where  $a$  is the single relation that minimizes:

$\text{cost}(\text{optjoin}(S - a)) +$

min.cost to join  $(S - a)$  with  $a$  +

min.access cost for  $a$

SimpleDB Lab5:  
you implement **orderJoins**

Use: **enumerateSubsets**

Use:  
**computerCostAndCardOfSubplan**

Note: **optjoin**( $S-a$ ) is cached from previous iterations

# Example

- **orderJoins(A, B, C, D)**
- Assume all joins are NL

Subplan S	optJoin(S)	Cost(OptJoin(S))
A		

# Example

- **orderJoins(A, B, C, D)**
- Assume all joins are NL
- $d = 1$ 
  - A = best way to access A  
(sequential scan, predicate-pushdown on index, etc)
  - B = best way to access B
  - C = best way to access C
  - D = best way to access D
- Total number of steps: choose(N, 1)

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
C	Seq scan	120
D	B+tree scan	400

# Example

- **orderJoins(A, B, C, D)**
- $d = 2$ 
  - $\{A,B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		

# Example

- **orderJoins(A, B, C, D)**
- $d = 2$ 
  - $\{A, B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156

# Example

- **orderJoins(A, B, C, D)**
- $d = 2$ 
  - $\{A, B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B
  - $\{B, C\} = BC \text{ or } CB$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156
{B, C}	BC	98

# Example

- **orderJoins(A, B, C, D)**

- $d = 2$ 
  - $\{A, B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B
  - $\{B, C\} = BC \text{ or } CB$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
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...		
{A, B}	BA	156
{B, C}	BC	98

# Example

- **orderJoins(A, B, C, D)**

- $d = 2$

- $\{A, B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B

- $\{B, C\} = BC \text{ or } CB$
  - $\{C, D\} = CD \text{ or } DC$
  - $\{A, C\} = AC \text{ or } CA$
  - $\{B, D\} = BD \text{ or } DB$
  - $\{A, D\} = AD \text{ or } DA$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156
{B, C}	BC	98
.....		

# Example

- **orderJoins(A, B, C, D)**

- $d = 2$

- $\{A, B\} = AB \text{ or } BA$   
use previously computed  
best way to access A and B

- $\{B, C\} = BC \text{ or } CB$
  - $\{C, D\} = CD \text{ or } DC$
  - $\{A, C\} = AC \text{ or } CA$
  - $\{B, D\} = BD \text{ or } DB$
  - $\{A, D\} = AD \text{ or } DA$

- Total number of steps:  $\text{choose}(N, 2) \times 2$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
...		
{A, B}	BA	156
{B, C}	BC	98
.....		

# Example

- **orderJoins(A, B, C, D)**
- $d = 3$ 
  - $\{A, B, C\} =$   
Remove A: compare  $A(\{B, C\})$  to  $(\{B, C\})A$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		

# Example

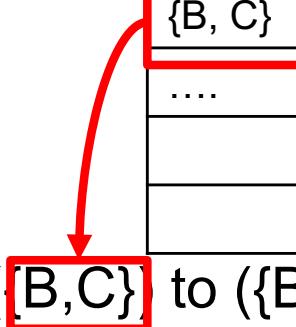
- **orderJoins(A, B, C, D)**

- $d = 3$

- $\{A, B, C\} =$

Remove A: compare  $A(\boxed{\{B, C\}})$  to  $(\{B, C\})A$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		



optJoin(B,C)  
and its cost are  
already cached  
in table

# Example

- **orderJoins(A, B, C, D)**

- $d = 3$

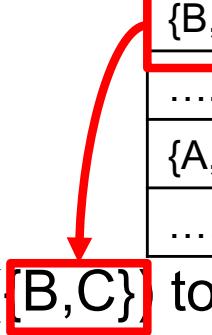
- $\{A, B, C\} =$

Remove A: compare  $A(\boxed{\{B,C\}})$  to  $(\{B,C\})A$

Remove B: compare  $B(\{A,C\})$  to  $(\{A,C\})B$

Remove C: compare  $C(\{A,B\})$  to  $(\{A,B\})C$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		



optJoin(B,C)  
and its cost are  
already cached  
in table

# Example

- **orderJoins(A, B, C, D)**

- $d = 3$

- $\{A, B, C\} =$

Remove A: compare  $A(\{B, C\})$  to  $(\{B, C\})A$

Remove B: compare  $B(\{A, C\})$  to  $(\{A, C\})B$

Remove C: compare  $C(\{A, B\})$  to  $(\{A, B\})C$

Subplan S	optJoin(S)	Cost(optJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		

optJoin(B,C)  
and its cost are  
already cached  
in table

# Example

- **orderJoins(A, B, C, D)**

- $d = 3$

–  $\{A, B, C\} =$

Remove A: compare  $A(\{B, C\})$  to  $(\{B, C\})A$

Remove B: compare  $B(\{A, C\})$  to  $(\{A, C\})B$

Remove C: compare  $C(\{A, B\})$  to  $(\{A, B\})C$

–  $\{A, B, D\} =$

Remove A: compare  $A(\{B, D\})$  to  $(\{B, D\})A$

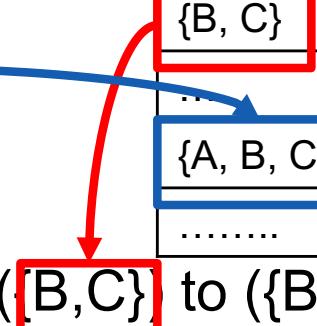
...

–  $\{A, C, D\} = \dots$

–  $\{B, C, D\} = \dots$

- Total number of steps:  $\text{choose}(N, 3) \times 3 \times 2$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
....		
{A, B}	BA	156
{B, C}	BC	98
....		
{A, B, C}	BAC	500
.....		



optJoin(B,C)  
and its cost are  
already cached  
in table

# Example

- **orderJoins(A, B, C, D)**

- $d = 4$ 
  - $\{A, B, C, D\} =$

Subplan S	optJoin(S)	Cost(OptJoin(S))
A	Index scan	100
B	Seq. scan	50
{A, B}	BA	156
{B, C}	BC	98
{A, B, C}	BAC	500
{B, C, D}	DBC	150
.....		

Remove A: compare  $A(\{B, C, D\})$  to  $(\{B, C, D\})A$

Remove B: compare  $B(\{A, C, D\})$  to  $(\{A, C, D\})B$

Remove C: compare  $C(\{A, B, D\})$  to  $(\{A, B, D\})C$

Remove D: compare  $D(\{A, B, C\})$  to  $(\{A, B, C\})D$

optJoin(B, C, D)  
and its cost are  
already cached  
in table

- Total number of steps:  $\text{choose}(N, 4) \times 4 \times 2$