CSE 444: Database Internals

Section 6:

Transactions - Recovery

Review in this section

1. UNDO logging

2. REDO logging

Policies and Logs

	NO-STEAL	STEAL
FORCE	Lab 3	Undo Log
NO-FORCE	Redo Log	Undo-Redo Log

UNDO LOG RULES

1. <T, X, v> before OUTPUT(X)
2. OUTPUT(X) before <COMMIT>

v = old value

Action	T	Mem A	Mem B	Disk A	Disk B	Log
						<START T>
INPUT(A)					8	
READ(A,t)					8	
t:=t*2					8	
WRITE(A,t)	16	16		8	8	<T,A,8>
INPUT(B)	16	16	8	8	8	
READ(B,t)	8	16	8	8	8	
t:=t*2	16	16	8	8	8	
WRITE(B,t)	16	16	16	8	8	<T,B,8>
OUTPUT(A)	16	16	16	16	8	
OUTPUT(B)	16	16	16	16	16	
COMMIT						<COMMIT T>

Problem 1. UNDO Logging

```
LSN1        <START T1>
LSN2        <T1 X 5>
LSN3        <START T2>
LSN4        <T1 Y 7>
LSN5        <T2 X 9>
LSN6        <START T3>
LSN7        <T3 Z 11>
            *CRASH*
```

1.
Show how far back in the recovery manager needs to read the log

(write the earliest LSN)

Problem 1. UNDO Logging

```
LSN1        <START T1>
LSN2        <T1 X 5>
LSN3        <START T2>
LSN4        <T1 Y 7>
LSN5        <T2 X 9>
LSN6        <START T3>
LSN7        <T3 Z 11>
            *CRASH*
```

1.
Show how far back in the recovery manager needs to read the log

(write the earliest LSN)

LSN1
(need to undo all changes by active txns)

Review: Nonquiescent Checkpointing

What is the benefit of using Nonquiescent Checkpointing?

- Checkpointing
  - Stop accepting new transactions
  - Wait until all active transactions abort/commit
  - Flush log to disk
  - Write <CKPT>
  - Resume accepting transactions
- Nonquiescent Checkpointing
  - Write a <START CKPT(T1,…,Tk)>
    where T1,…,Tk are all active transactions. Flush log to disk
  - Continue normal operation
  - When all of T1,…,Tk have completed, write <END CKPT>. Flush log to disk
  - **More efficient, system does not seem to be stalled**

How far to scan log from the end

- Case 1: See <END CKPT> first
  - All incomplete transactions began after <START CKPT…>
- Case 2: See <START CKPT(T1..TK)> first
  - Incomplete transactions began after <START CKPT…> or incomplete ones among T1.. TK
  - Find the earliest <START Ti> among them
  - At most we have to go until the previous START CKPT

Problem 1. UNDO Logging with CKPT

```
LSN1       <START T1>
LSN2       <T1 X 5>
LSN3       <START T2>
LSN4       <T1 Y 7>
LSN5       <T2 X 9>
LSN6       <START T3>
LSN7       <T3 Z 11>
LSN8       <COMMIT T1>
LSN9       <START CKPT(T2,T3)>
LSN10      <T2 X 13>
LSN11      <T3 Y 15>
           *CRASH*
```

1.
Show how far back in the recovery manager needs to read the log

(write the earliest LSN)

Problem 1.   UNDO Logging with CKPT

```
LSN1        <START T1>
LSN2        <T1 X 5>
LSN3        <START T2>
LSN4        <T1 Y 7>
LSN5        <T2 X 9>
LSN6        <START T3>
LSN7        <T3 Z 11>
LSN8        <COMMIT T1>
LSN9        <START CKPT(T2,T3)>
LSN10       <T2 X 13>
LSN11       <T3 Y 15>
            *CRASH*
```

1.
Show how far back in the recovery manager needs to read the log

(write the earliest LSN)

LSN3
(start of the earliest transaction among incomplete transactions)

Problem 1. UNDO Logging with CKPT

```
LSN1        <START T1>
LSN2        <T1 X 5>
LSN3        <START T2>
LSN4        <T1 Y 7>
LSN5        <T2 X 9>
LSN6        <START T3>
LSN7        <T3 Z 11>
LSN8        <COMMIT T1>
LSN9        <START CKPT(T2,T3)>
LSN10       <T2 X 13>
LSN11       <T3 Y 15>
            *CRASH*
```

2.
Show the actions of the recovery manager during recovery.

Problem 1. UNDO Logging with CKPT

LSN1	<START T1>
LSN2	<T1 X 5>
LSN3	<START T2>
LSN4	<T1 Y 7>
LSN5	**<T2 X 9>**
LSN6	<START T3>
LSN7	**<T3 Z 11>**
LSN8	<COMMIT T1>
LSN9	<START CKPT(T2,T3)>
LSN10	**<T2 X 13>**
LSN11	**<T3 Y 15>**
	CRASH

2.
Show the actions of the recovery manager during recovery.

Y = 15
X = 13
Z = 11
X = 9

REDO LOG RULE

Both <T, X, v> and <COMMIT >
before OUTPUT(X)
v = new value

Action					Disk B	Log
						<START T>
READ(A,t)					8	
t:=t*2					8	
WRITE(A,t)	16	16		8	8	<T,A,16>
READ(B,t)	8	16	8	8	8	
t:=t*2	16	16	8	8	8	
WRITE(B,t)	16	16	16	8	8	<T,B,16>
						<COMMIT T>
OUTPUT(A)	16	16	16	16	8	
OUTPUT(B)	16	16	16	16	16	

Problem 2: REDO Logging

```
1.  < START T1 >
2.  < T1, A, 10 >
3.  < START T2 >
4.  < T2, B, 5 >
5.  < T1, C, 7 >
6.  < START T3 >
7.  < T3, D, 12 >
8.  < COMMIT T1 >
9.  < START CKPT ???? >
10.< START T4 >
11.< T2, E, 5 >
12.< COMMIT T2 >
13.< T3, F, 1 >
14.< T4, G, 15 >
15.< END CKPT >
16.< COMMIT T3 >
17.< START T5 >
18.< T5, H, 3 >
19.< START CKPT ???? >
20.< COMMIT T5 >
```

*** CRASH ***

1.
What are the correct values of the two <START CKPT ????> records?

Review: Nonquiescent Checkpointing for REDO logs

- Write a <START CKPT(T1,…,Tk)>
  where T1,…,Tk are all active transactions
- Flush to disk all blocks of committed transactions (*dirty blocks*) before <START CKPT…>, while continuing normal operation
  - NOTE the difference with UNDO logs: need to flush writes of all committed transactions
  - We do not need to wait for active transactions to commit/abort
  - Buffer manager needs to keep track of dirty blocks and which transaction modified them
- When all blocks have been written, write <END CKPT>

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. **< START CKPT ???? >**
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. < START T5 >
18. < T5, H, 3 >
19. **< START CKPT ???? >**
20. < COMMIT T5 >

1\.
What are the correct values of the two <START CKPT ????> records?

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT ???? >
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. < START T5 >
18. < T5, H, 3 >
19. < START CKPT ???? >
20. < COMMIT T5 >

1\.
What are the correct values of the two <START CKPT ????> records?

First START CKPT:
< START CKPT (T2, T3) >

Second START CKPT:
< START CKPT (T4, T5) >

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. **< START CKPT T2,T3 >**
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. **< END CKPT >**
16. **< COMMIT T3 >**
17. < START T5 >
18. < T5, H, 3 >
19. < START CKPT T4,T5 >
20. < COMMIT T5 >

NOTE:

<Commit T3> after <END CKPT>

What are we CKPTing?

The transactions that committed before <START CKPT>

How far to scan log from the start

- Identify committed transactions

- Case 1: See <END CKPT> first
  - All committed transactions before <START CKPT (T1.. TK)> are written
  - Consider T1.. Tk, or transactions that started after <START CKPT…>, trace back until earliest <START Ti>

- Case 2: See <START CKPT(T1..TK)> first
  - Committed transactions before START CKPT might not have been written
  - Find previous <END CKPT>, its matching <START CKPT(S1, … Sm)>
  - Redo committed transactions that started after <START CKPT T1..Tk> or S1.. Sm

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3 >

10.< START T4 >

11.< T2, E, 5 >

12.< COMMIT T2 >

13.< T3, F, 1 >

14.< T4, G, 15 >

15.< END CKPT >

16.< COMMIT T3 >

17.< START T5 >

18.< T5, H, 3 >

19.< START CKPT T4,T5 >

20.< COMMIT T5 >

2.
What fragment of the log the recovery manager needs to read?

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. **< START T2 >**
4. < T2, B, 5 >
5. < T1, C, 7 >
6. **< START T3 >**
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3>
10. < START T4 >
11. < T2, E, 5 >
12. **< COMMIT T2 >**
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. **< COMMIT T3 >**
17. < START T5 >
18. < T5, H, 3 >
19. **< START CKPT T4,T5>**
20. < COMMIT T5 >

2\.
What fragment of the log the recovery manager needs to read?

- The second START CKTP does not have an END CKPT
- We cannot be sure whether committed transactions prior to this START CKPT had their changes written to disk.
- We must search for the previous checkpoint (also consider committed T5).
- In the previous START CKPT, T2 and T3 were the two active transactions.
- Both transactions committed and must thus be redone.
- T2 was the earliest one

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3 >
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. < START T5 >
18. < T5, H, 3 >
19. < START CKPT T4,T5 >
20. < COMMIT T5 >

3. Which elements are recovered by the redo recovery manager? compute their values after recovery.

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. **< T2, B, 5 >**
5. < T1, C, 7 >
6. < START T3 >
7. **< T3, D, 12 >**
8. < COMMIT T1 >
9. < START CKPT T2,T3 >
10. < START T4 >
11. **< T2, E, 5 >**
12. < COMMIT T2 >
13. **< T3, F, 1 >**
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. < START T5 >
18. **< T5, H, 3 >**
19. < START CKPT T4,T5 >
20. < COMMIT T5 >

3.
Which elements are recovered by the redo recovery manager? compute their values after recovery.

All changes by T2, T3, T5 (committed)

B=5
D=12
E=5
F=1
H=3

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3 >
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. < START T5 >
18. < T5, H, 3 >
19. < START CKPT T4,T5 >
20. < COMMIT T5 >
21. < END CKPT >

Crush after 21. < END CKPT >

Earliest log to read?
Values recovered?

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3 >
10. < START T4 >
11. < T2, E, 5 >
12. < COMMIT T2 >
13. < T3, F, 1 >
14. < T4, G, 15 >
15. < END CKPT >
16. < COMMIT T3 >
17. **< START T5 >**
18. < T5, H, 3 >
19. < START CKPT T4,T5 >
20. < COMMIT T5 >
21. < END CKPT >

Earliest log to read?
Values recovered?

The last END CKPT indicates all changes made by txns started before START CKPT(T4, T5) are flushed to disk.

Need to redo operations by T5 only because T4 is not committed.

Problem 2: REDO Logging

1. < START T1 >
2. < T1, A, 10 >
3. < START T2 >
4. < T2, B, 5 >
5. < T1, C, 7 >
6. < START T3 >
7. < T3, D, 12 >
8. < COMMIT T1 >
9. < START CKPT T2,T3 >

10.< START T4 >

11.< T2, E, 5 >

12.< COMMIT T2 >

13.< T3, F, 1 >

14.< T4, G, 15 >

15.< END CKPT >

16.< COMMIT T3 >

17.< START T5 >

18.< T5, H, 3 >

19.< START CKPT T4,T5 >

20.< COMMIT T5 >

21.< END CKPT >

Earliest log to read?

Values recovered?

Changes by T5:

H=3