

CSE 444: Database Internals

Section 4: Query Optimizer

Plan for Today

- Problem 1A, 1B: **Estimating cost of a plan**
 - You try to compute the cost for 5 mins
 - We go over the solution together
- Problem 2: **Seller Optimizer**
 - We will do it together

1. Estimating Cost of a given plan

Student (sid, name, age, address)

Book(bid, title, author)

Checkout(sid, bid, date)

Query:

```
SELECT S.name
FROM Student S, Book B, Checkout C
WHERE S.sid = C.sid
AND B.bid = C.bid
AND B.author = 'Olden Fames'
AND S.age > 12
AND S.age < 20
```

S(sid,name,age,addr)

B(bid,title,author)

C(sid,bid,date)

Assumptions

- Student: S, Book: B, Checkout: C
- Sid, bid foreign key in C referencing S and B resp.
- There are 10,000 Student records stored on 1,000 pages.
- There are 50,000 Book records stored on 5,000 pages.
- There are 300,000 Checkout records stored on 15,000 pages.
- There are 500 different authors.
- Student ages range from 7 to 24.

S(sid,name,age,addr)

T(S)=10,000

B(S)=1,000

V(B,author) = 500

B(bid,title,author)

T(B)=50,000

B(B)=5,000

7 <= age <= 24

C(sid,bid,date)

T(C)=300,000

B(C)=15,000

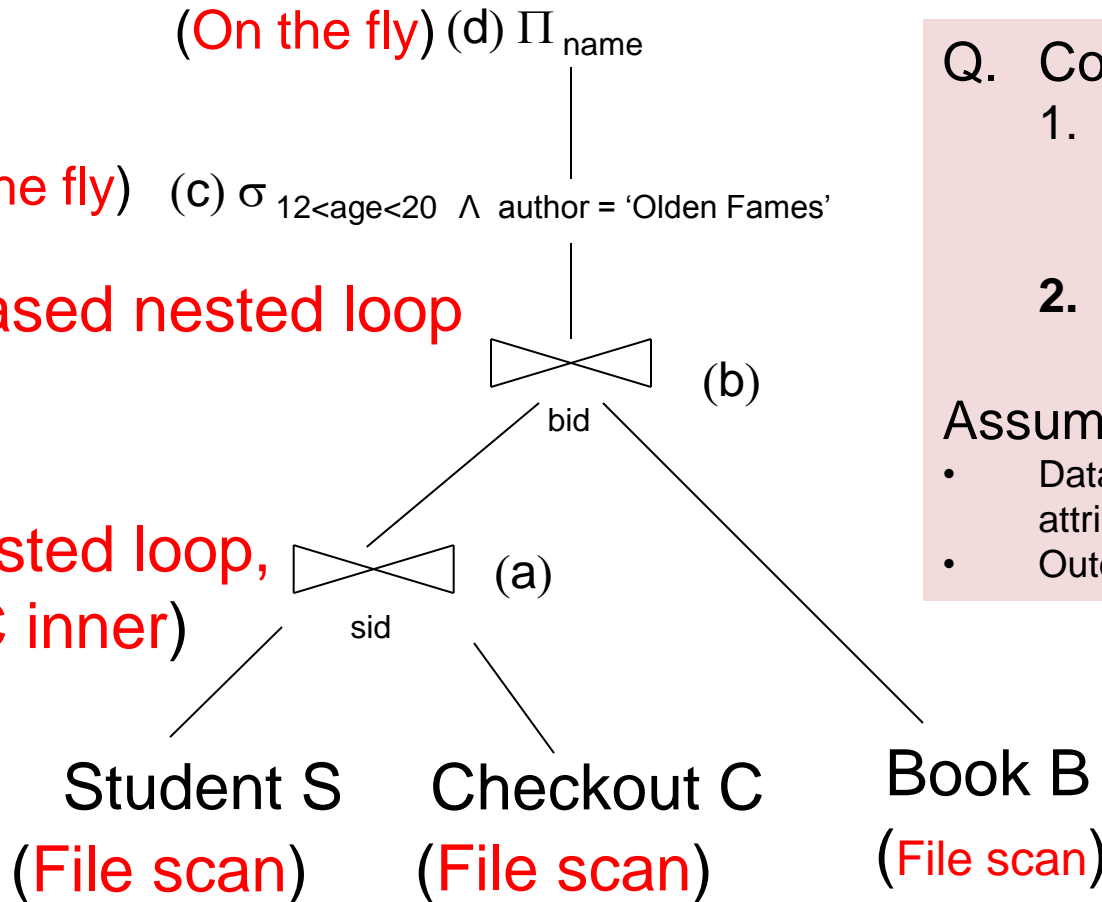
Physical Query Plan – 1A

(On the fly) (d) Π_{name}

(On the fly) (c) $\sigma_{12 < age < 20 \wedge author = 'Olden Fames'}$

(Tuple-based nested loop
B inner)

(Block-nested loop,
S outer, C inner)



Q. Compute

- the cost and cardinality in steps (a) to (d)
- the total cost**

Assumptions:

- Data is not sorted on any attributes
- Outer relation fits in memory

S(sid,name,age,addr)

T(S)=10,000

B(S)=1,000

V(B,author) = 500

B(bid,title,author)

T(B)=50,000

B(B)=5,000

7 <= age <= 24

C(sid,bid,date)

T(C)=300,000

B(C)=15,000

Solution – 1A

(On the fly) (d) Π_{name}

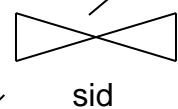
(On the fly) (c) $\sigma_{12 < age < 20 \wedge author = 'Olden Fames'}$

(Tuple-based nested loop
B inner)

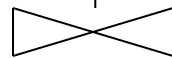
(Block-nested loop,
S outer, C inner)

Student S
(File scan)

Checkout C
(File scan)



sid



bid

Book B
(File scan)

(a) $B(S) + B(S) * B(C)$
 $= 1000 + 1000 * 15000$
 $= 15,001,000$

cardinality = 300,000
(foreign key join, output pipelined to next join)

Also, applying the formula, join size = $T(S) * T(C) / \max(V(S, sid), V(C, sid))$
 $= T(S)$ since $V(S, sid) \geq V(C, sid)$ and $T(S) = V(S, sid)$

(b) $T(S \bowtie C) * B(B)$
 $= 300,000 * 5,000 = 15 * 10^8$
cardinality = 300,000
(foreign key join, don't need scanning for outer relation)

(c, d) cost 0 (on the fly)
Cardinality:
 $300,000 * 1/500 * 7/18$
 $= 234$ (approx)
(assuming uniformity and independence)

Total cost = 1,515,001,000
Final cardinality = 234 (approx)

S(sid,name,age,addr)

T(S)=10,000

B(S)=1,000

V(B,author) = 500

B(bid,title,author)

T(B)=50,000

B(B)=5,000

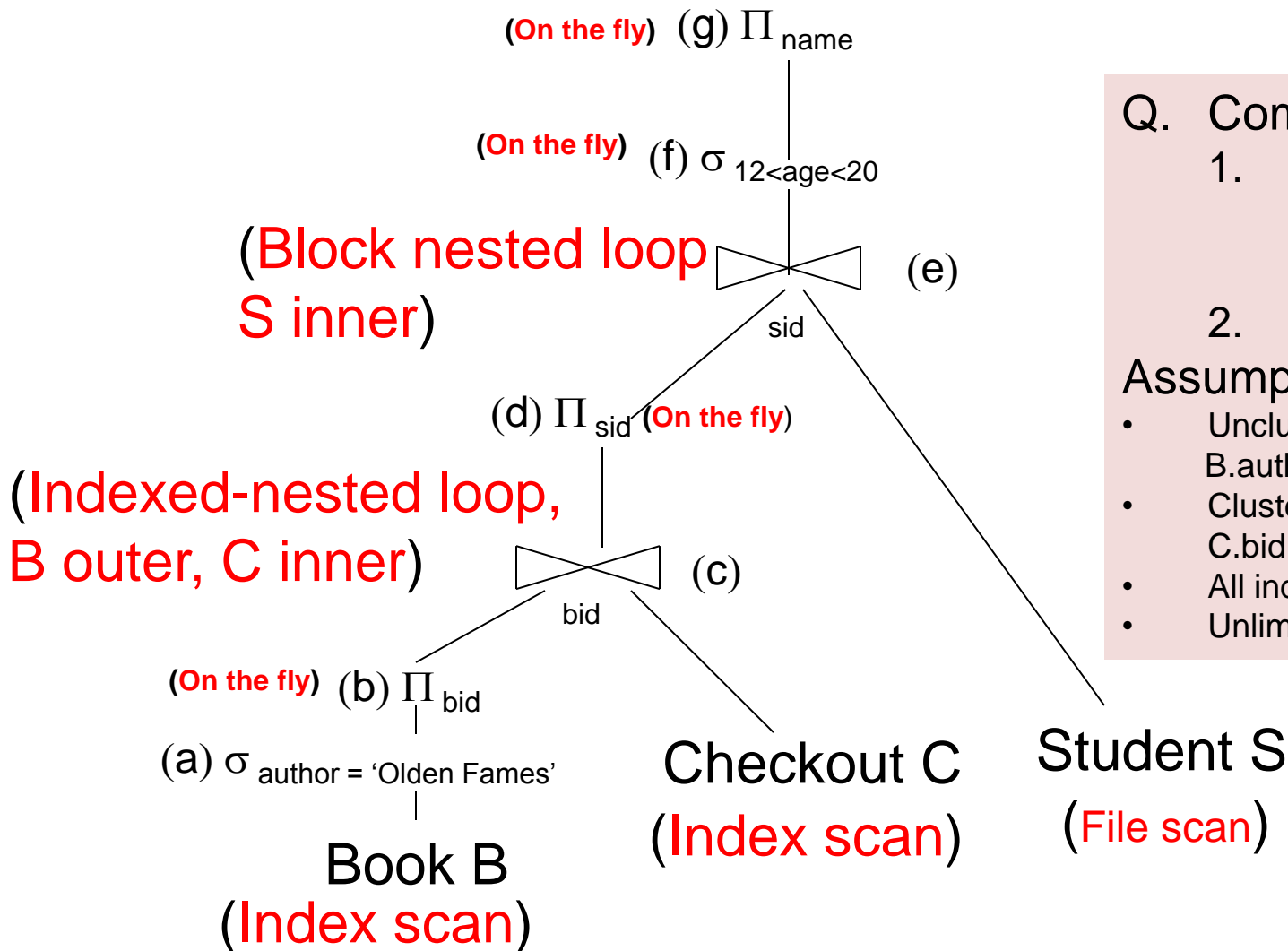
7 <= age <= 24

C(sid,bid,date)

T(C)=300,000

B(C)=15,000

Physical Query Plan – 1B



- Q. Compute
1. the cost and cardinality in steps (a) to (g)
 2. the total cost

Assumptions:

- Unclustered B+tree index on B.author
- Clustered B+tree index on C.bid
- All index pages are in memory
- Unlimited memory

S(sid,name,age,addr)

T(S)=10,000

B(S)=1,000

V(B,author) = 500

B(bid,title,author): Un. B+ on author

T(B)=50,000

B(B)=5,000

7 <= age <= 24

C(sid,bid,date): Cl. B+ on bid

T(C)=300,000

B(C)=15,000

Solution – 1B

(On the fly) (g) Π_{name}

(On the fly) (f) $\sigma_{12 < age < 20}$

(Block nested loop
S inner) (e)

(d) Π_{sid} (On the fly)

(Indexed-nested loop,
B outer, C inner) (c) Student S
(File scan)

(On the fly) (b) Π_{bid}

(a) $\sigma_{author = 'Olden Fames'}$ Checkout C
(Index scan)

Book B

(Index scan)

(a) T(B) / V(B, author)

cost = 50,000/500 = 100 (unclustered)

cardinality = 100

(b) Cost 0, cardinality 100

(c)

- i. one index lookup per outer B tuple
- ii. 1 book has 6 checkouts (uniformity)
- iii. # C tuples per page = T(C)/B(C) = 20
- iv. 6 tuples fit in at most 2 consecutive pages (clustered) – could assume 1 page as well

Cost <= 100 * 2 = 200

cardinality = 100 * 6 = 600

(= 100 * T(C) / MAX(100, V(C, bid)) assuming V(C, bid) = V(B, bid) = T(B) = 50,000)

(d) Cost 0, cardinality 600

(e) Outer relation is already in memory, need to scan S relation

cost B(S) = 1000

Cardinality = 600

(f) Cost = 0

Cardinality = 600 * 7/18 = 234 (approx)

(d) Cost 0, cardinality 234

Total cost = 1300 (compare with 1,515,001,000 in 1A!)

Final cardinality = 234 (approx) (same as 1A!)

2. Seller Optimization Example

Sailors (sid, sname, srating, age)

Boats(bid, bname, color)

Reserves(sid, bid, date, rname)

Query:

```
SELECT S.sid, R.rname
FROM Sailors S, Boats B, Reserves R
WHERE S.sid = R.sid
AND B.bid = R.bid
AND B.color = red
```

Example is from the Ramakrishnan book

S (sid, sname, srating, age)

B (bid, bname, color)

R (sid, bid, date, rname)

Available Indexes

- Sailors: S, Boats: B, Reserves: R
- Sid, bid foreign key in R referencing S and B resp.
- Sailors
 - Unclustered B+ tree index on sid
 - Unclustered hash index on sid
- Boats
 - Unclustered B+ tree index on color
 - Unclustered hash index on color
- Reserves
 - Unclustered B+ tree on sid
 - Clustered B+ tree on bid

S (sid, sname, srating, age): B+tree - sid, hash index - sid
B (bid, bname, color) : B+tree - color, hash index - color
R (sid, bid, date, rname) : B+tree - sid, **Clustered** B+tree - bid

```
SELECT S.sid, R.rname  
WHERE S.sid = R.sid  
B.bid = R.bid, B.color = red
```

First Pass

- Where to start?
 - How to access each relation, assuming it would be the first relation being read
 - File scan is also available!
- Sailors?
 - No selection matching an index, use File Scan (no overhead)
- Reserves?
 - Same as Sailors
- Boats?
 - Hash index on color, matches B.color = red
 - B+ tree also matches the predicate, but hash index is cheaper
 - B+ tree would be cheaper for range queries

S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid
 B (bid, bname, color) : 1. B+tree - color, 2. hash index - color
 R (sid, bid, date, rname) : 1. B+tree - sid, 2. **Clustered** B+tree - bid

```
SELECT S.sid, R.rname
WHERE S.sid = R.sid
B.bid = R.bid, B.color = red
```

Second Pass

- What next?
 - For each of the plan in Pass 1 taken as outer, consider joining another relation as inner
- What are the combinations? How many new options?

| Outer | Inner | OPTION 1 | OPTION 2 | OPTION 3 |
|----------------|----------|------------|--------------|-------------|
| R (file scan) | B | (B+-color) | (hash color) | (File scan) |
| R (file scan) | S | (B+-sid) | (hash sid) | „ |
| S (file scan) | B | (B+-color) | (hash color) | „ |
| S (file scan) | R | (B+-sid) | (Cl. B+ bid) | „ |
| B (hash index) | R | (B+-sid) | (Cl. B+ bid) | „ |
| B (hash index) | S | (B+-sid) | (hash sid) | „ |

S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid SELECT S.sid, R.rname
 B (bid, bname, color) : 1. B+tree - color, 2. hash index - color WHERE S.sid = R.sid
 R (sid, bid, date, rname) : 1. B+tree - sid, 2. **Clustered** B+tree - bid B.bid = R.bid, B.color = red

Second Pass

- Which outer-inner combinations can be discarded?
 - B, S and S, B: **Cartesian product!**

| Outer | Inner | OPTION 1 | OPTION 2 | OPTION 3 |
|---------------------------|---------------------|-----------------------|-------------------------|--------------|
| R (file scan) | B | (B+-color) | (hash color) | (File scan) |
| R (file scan) | S | (B+-sid) | (hash sid) | „ |
| S (file scan) | B | (B+-color) | (hash color) | „ |
| S (file scan) | R | (B+-sid) | (Cl. B+ bid) | „ |
| B (hash index) | S | (B+-sid) | (hash sid) | „ |
| B (hash index) | R | (B+-sid) | (Cl. B+ bid): | „ |

OPTION 3 is not shown on next slide, expected to be more expensive

S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid
 B (bid, bname, color) : 1. B+tree - color, 2. hash index - color
 R (sid, bid, date, rname) : 1. B+tree - sid, 2. **Clustered** B+tree - bid

```
SELECT S.sid, R.rname
WHERE S.sid = R.sid
B.bid = R.bid, B.color = red
```

| Outer | Inner | OPTION 1 | OPTION 2 |
|----------------|----------|--|---|
| R (file scan) | S | (B+-sid) Slower than hash-index (need Sailor tuples matching S.sid = value, where value comes from an outer R tuple) | (hash sid): likely to be faster 2A. Index nested loop join 2B Sort Merge based join: (no index is sorted on sid, need to sort, output sorted by sid, retained if cheaper) |
| R (file scan) | B | (B+-color) Not useful | (hash color) Consider all methods, select those tuples where B.color = red using the color index (note: no index on bid) |
| S (file scan) | R | (B+-sid) Consider all methods | (Cl. B+ bid) Not useful |
| B (hash index) | R | (B+-sid) Not useful | (Cl. B+ bid) 2A. Index nested loop join (no H. I. on bid) 2B. Sort-merge join (clustered, index sorted on bid, produces outputs in sorted order by bid, retained if cheaper) |

Keep the least cost plan between

- (R, S) and (S, R)
- (R, B) and (B, R)

S (sid, sname, srating, age): 1. B+tree - sid, 2. hash index - sid
B (bid, bname, color) : 1. B+tree - color, 2. hash index - color
R (sid, bid, date, rname) : 1. B+tree - sid, 2. **Clustered** B+tree - bid

```
SELECT S.sid, R.rname  
WHERE S.sid = R.sid  
B.bid = R.bid, B.color = red
```

Third Pass

- Join with the third relation
- For each option retained in Pass 2, join with the third relation
- E.g.
 - Boats (B+tree on color) – sort-merged-join – Reserves (B+tree on bid)
 - Join the result with Sailors (B+ tree on sid) using sort-merge-join
 - Need to sort (B join R) by sid, was sorted on bid before
 - Outputs tuples sorted by sid
 - Not useful here, but will be useful if we had GROUP BY on sid
 - In general, a higher cost “**interesting**” plans may be retained (e.g. sort operator at root, grouping attribute in group by query later, join attriute in a later join)

Tomorrow, Lecture 12

- Pseudocode for Seller Optimization as a dynamic programming
- Complexity of the algorithm