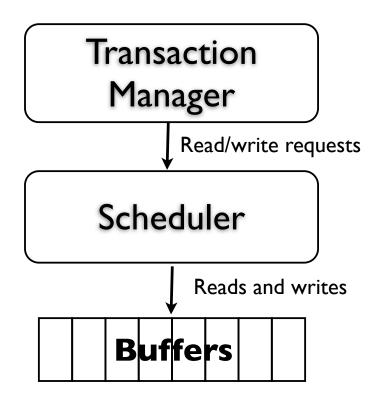
# Section 5: Concurrency Control

Thursday, April 30 2009

# Concurrency Control



•What is the purpose of the scheduler? to ensure serializability.

### Optimistic vs Pessimistic

- What is the difference?
- When is it preferable to have optimistic concurrency control?
  - Poor when there are many conflicts (rollbacks)
  - Great when there are few conflicts
- When is it preferable to have pessimistic concurrency control?
  - Great when there are many conflicts
  - Poor when there are few conflicts

# Pessimistic Concurrency Control: Locks

Won't cover in section since it was covered in class!

## Optimistic Concurrency Control

- Timestamps
- Validation (will not be covered in this class)

# Concurrency Control: Timestamps

- <u>Key idea:</u> The timestamp order defines the serialization order.
- Scheduler maintains:
  - **TS(T)** for all transactions T
  - RT(X), WT(X), and C(X) for all data elements X

# Scheduler receives request from transaction T ...

- grant request
- rollback T
- delay T

# Scheduler receives request from transaction T ...

I. If read request  $r_T(X)$ :

2. If write request  $w_T(X)$ :

3. Commit request:

4. Abort request:

See textbook - section 18.8

#### **Exercises**

- st1; st2; st3; r1(A); r2(B);r2(C); r3(B); com2; w3(B);w3(C)
- 2. st1; st2; r1(A), r2(B); w2(A); com2; w1(B)
- **3**. st1; st3; st2; r1(A); r2(B); r3(B);w3(A);w2(B); com3; w1(A)
- **4.** st1; r1(A); w1(A); st2; r2(C); w2(B); r2(A); w1 (B)

#### Exercise 1:

st1; st2; st3; r1(A); r2(B); r2(C); r3(B); com2; w3(B); w3(C)

TS(T1) = 1 TS(T2) = 2TS(T3) = 3

TI	T2	T3	Α	В	U	Comments
rI(A)			RT=I			
	r2(B)			RT=2		
	r2(C)				RT=2	
		r3(B)		RT=3		
	commit					
		w3(B)		WT=3 c= 0		
		w3(C)			WT=3 c=0	GRANT

#### Exercise 2:

st1; st2; r1(A), r2(B); w2(A); com2; w1(B)

$$TS(T1) = 1$$
  
 $TS(T2) = 2$ 

TI	T2	Α	В	Comments
rI(A)		RT=I		
	r2(B)		RT=2	
	w2(A)	WT=2 C=0		
	commit	C=I		
wI(B)				ROLLBACK. TS(T1) < RT(B) so T1 is writing too late!

#### Exercise 3:

st1; st3; st2; r1(A); r2(B); r3(B); w3(A); w2(B); com3; w1(A)

TS(T1) = 1 TS(T2) = 3 TS(T3) = 2

TI	T2	Т3	A	В	Comments
rI(A)			RT=I		
	r2(B)			RT=3	
		r3(B)			RT doesn't change because TS(T3) < RT(B).
		w3(A)	WT=2 C=0		
	w2(B)			WT=3 C=0	
		commit	C=I		
wI(A)					IGNORE, because TS(T1) < WT(A) and C (A) = 1. This is the Thomas Write Rule.

#### Exercise 4:

st1; r1(A); w1(A); st2; r2(C); w2(B); r2(A); w1(B)

$$TS(T1) = 1$$
  
 $TS(T2) = 2$ 

ΤI	T2	Α	В	U	Comments
rI(A)		RT=I			
wI(A)		WT=I C=0			
	r2(C)			RT=2	
	w2(B)		WT=2 C=0		
	r2(A)	RT=2			
wI(B)					<b>DELAY.</b> TS(T1) < WT(B) but C(B) = 0. So T1 waits until T2 commits or aborts.

## Multiversion Timestamps

- Keep multiple version of each data element along with the write timestamp.
- Will reduce number of aborts due to readtoo-late problem.

Didn't get this far in section.

### **Exercises**

On whiteboard.