

# Lecture 21

# Review from previous lecture

$P \subseteq NP \subseteq Exp$ ; at least one containment is proper

Examples in NP:

SAT, short/long paths, Euler/Ham tours, clique, indep set...

Common feature:

“... there is a ...”

(and some related problems do *not* appear to share this feature: *UnSAT*, *maxClique*, *MostlyLongPaths*, ...)

# Some Problem Pairs

Euler Tour

2-SAT

2-Coloring

Min Cut

Shortest Path

Hamilton Tour

3-SAT

3-Coloring

Max Cut

Longest Path

Similar pairs; seemingly different computationally

Superficially different; similar computationally

# Solving NP problems without hints

The most obvious algorithm for most of these problems is brute force:

try all possible hints; check each one to see if it works.

Exponential time:

$2^n$  truth assignments for  $n$  variables

$n!$  possible TSP tours of  $n$  vertices

$\binom{n}{k}$  possible  $k$  element subsets of  $n$  vertices

etc.

...and to date, every alg, even much less-obvious ones, are slow, too

# P vs NP

## Theory

$P = NP ?$

Open Problem!

I bet against it

## Practice

Many interesting, useful, natural, well-studied problems known to be NP-complete

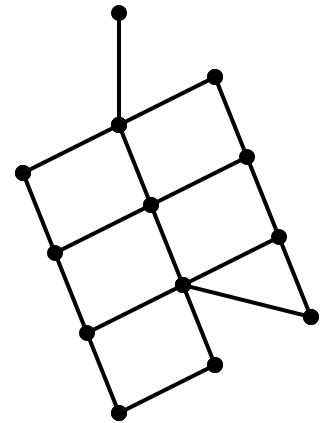
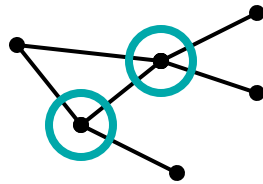
With rare exceptions, no one routinely succeeds in finding exact solutions to large, arbitrary instances

# Another NP problem: Vertex Cover

Input: Undirected graph  $G = (V, E)$ , integer  $k$ .

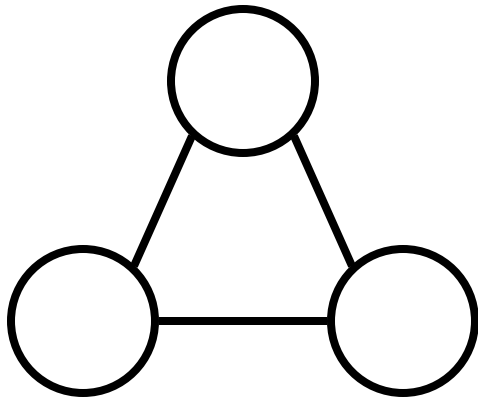
Output: True iff there is a subset  $C$  of  $V$  of size  $\leq k$  such that every edge in  $E$  is incident to at least one vertex in  $C$ .

Example: Vertex cover of size  $\leq 2$ .

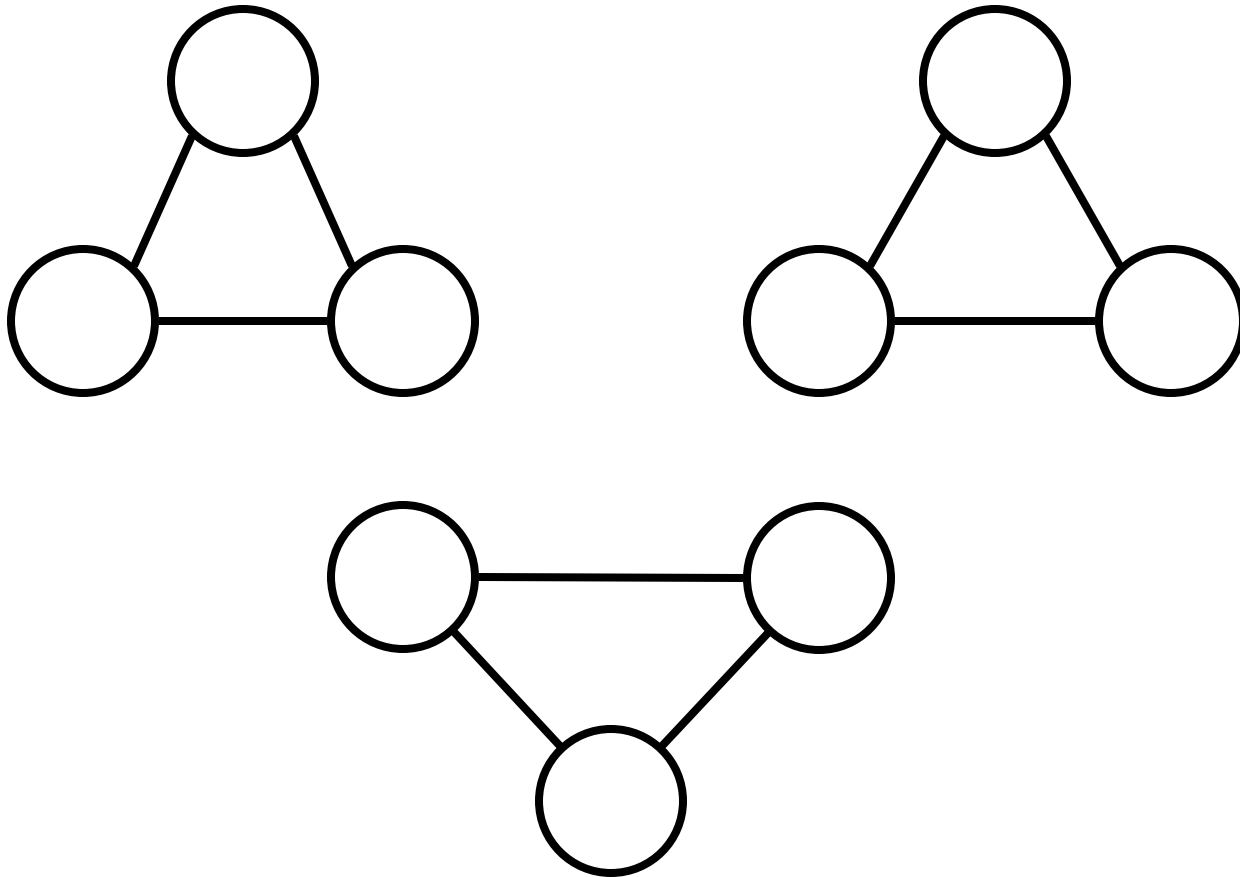


In NP? Exercise

$3SAT \leq_p \text{VertexCover}$

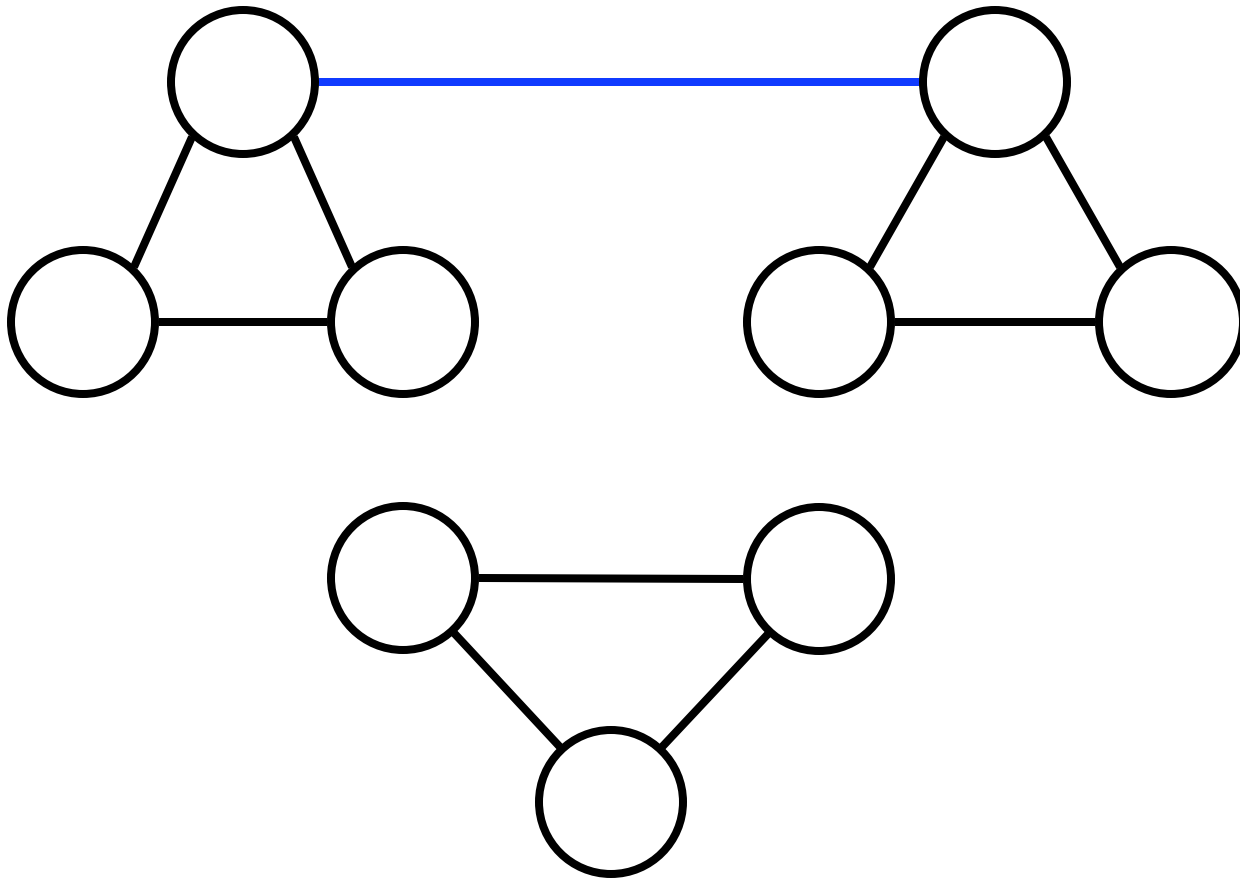


$3SAT \leq_p \text{VertexCover}$



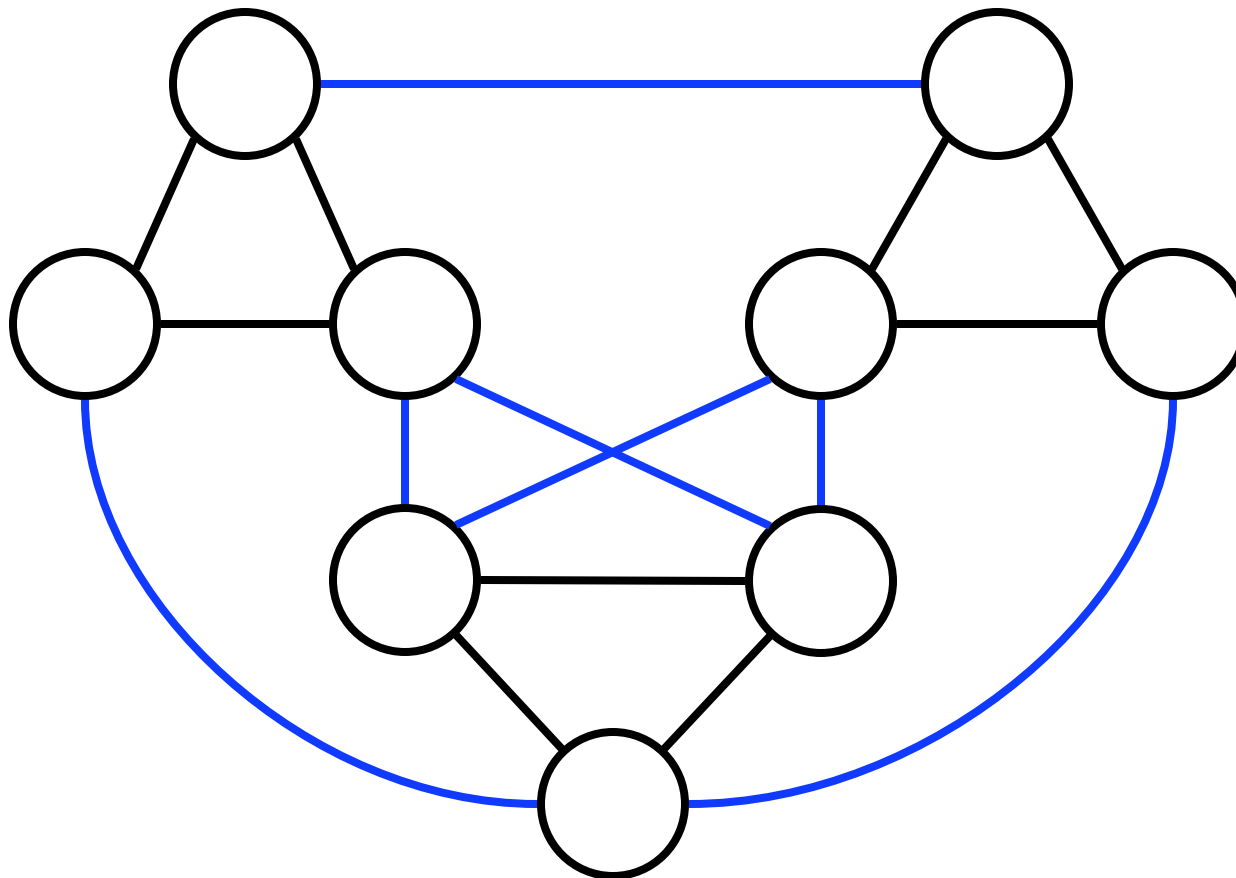


$3SAT \leq_p \text{VertexCover}$



# 3SAT $\leq_p$ VertexCover

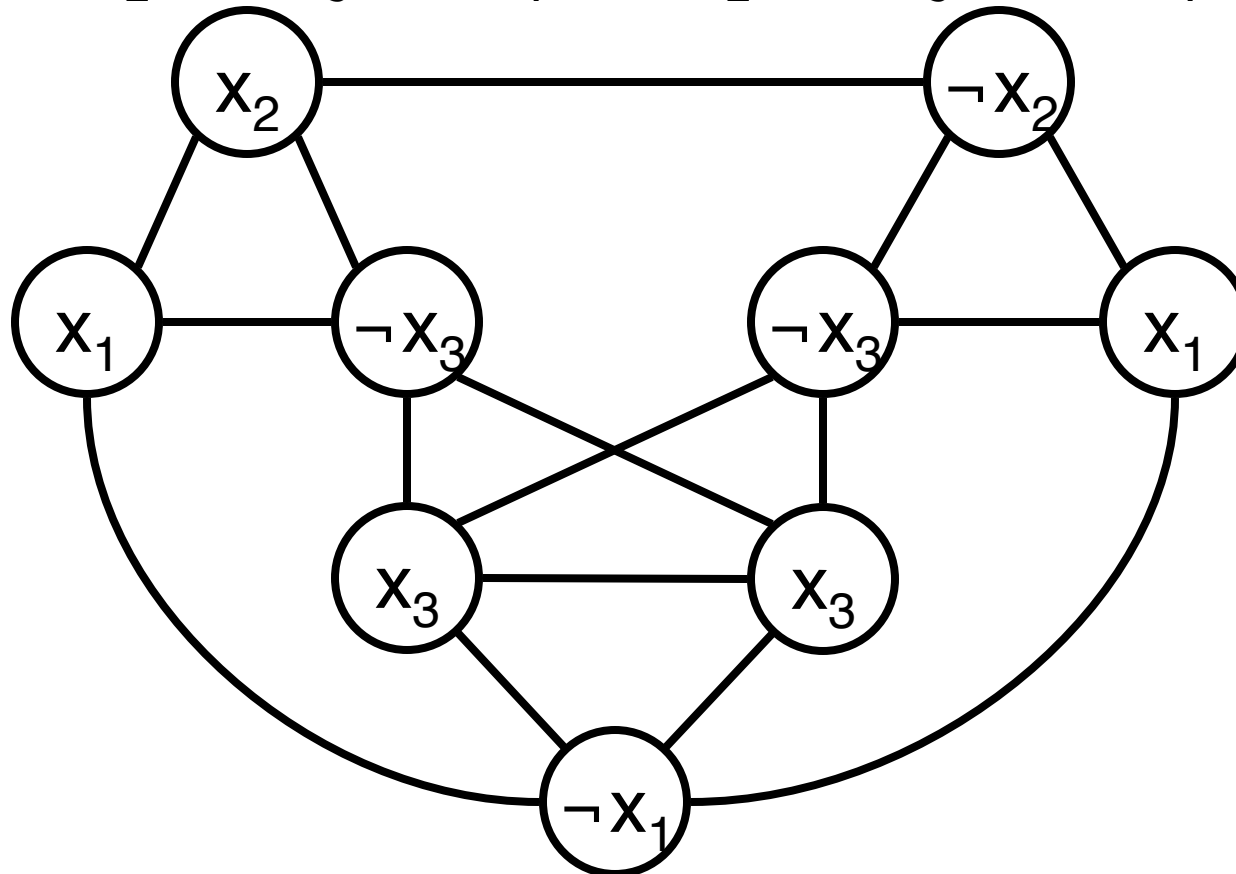
k=6



# 3SAT $\leq_p$ VertexCover

$$(x_1 \vee x_2 \vee \neg x_3) \wedge (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_1 \vee x_3)$$

k=6



# 3SAT $\leq_p$ VertexCover

f

3-SAT Instance:

- Variables:  $x_1, x_2, \dots$
- Literals:  $y_{i,j}, 1 \leq i \leq q, 1 \leq j \leq 3$
- Clauses:  $c_i = y_{i1} \vee y_{i2} \vee y_{i3}, 1 \leq i \leq q$
- Formula:  $c = c_1 \wedge c_2 \wedge \dots \wedge c_q$

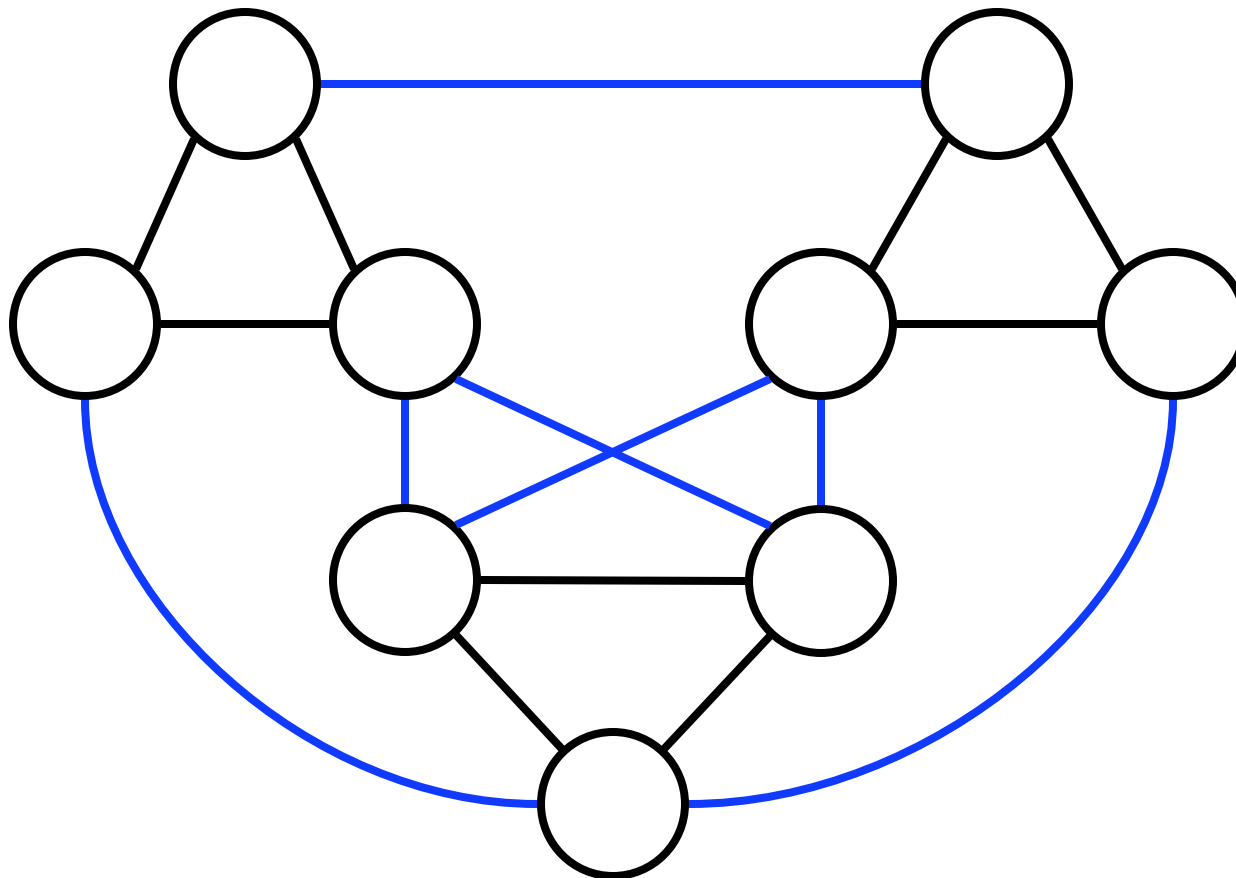
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VertexCover Instance:

- $k = 2q$
- $G = (V, E)$
- $V = \{ [i,j] \mid 1 \leq i \leq q, 1 \leq j \leq 3 \}$
- $E = \{ ([i,j], [k,l]) \mid i = k \text{ or } y_{ij} = \neg y_{kl} \}$

# 3SAT $\leq_p$ VertexCover

k=6



# Correctness of “3SAT $\leq_p$ VertexCover”

Summary of reduction function  $f$ : Given formula, make graph  $G$  with one group per clause, one node per literal. Connect each to all nodes in same group, plus complementary literals  $(x, \neg x)$ . Output graph  $G$  plus integer  $k = 2 * \text{number of clauses}$ . Note:  $f$  does not know whether formula is satisfiable or not; does not know if  $G$  has  $k$ -cover; does not try to find satisfying assignment or cover.

## Correctness:

- Show  $f$  poly time computable: A key point is that graph size is polynomial in formula size; mapping basically straightforward.
- Show  $c$  in 3-SAT iff  $f(c)=(G,k)$  in VertexCover:
  - ( $\Rightarrow$ ) Given an assignment satisfying  $c$ , pick one true literal per clause. Add other 2 nodes of each triangle to cover. Show it is a cover: 2 per triangle cover triangle edges; only true literals (but perhaps not all true literals) uncovered, so at least one end of every  $(x, \neg x)$  edge is covered.
  - ( $\Leftarrow$ ) Given a  $k$ -vertex cover in  $G$ , uncovered labels define a valid (perhaps partial) truth assignment since no  $(x, \neg x)$  pair uncovered. It satisfies  $c$  since there is one uncovered node in each clause triangle (else some other clause triangle has  $> 1$  uncovered node, hence an uncovered edge.)