

# Lecture 10

# EMPTY<sub>LBA</sub> is undecidable

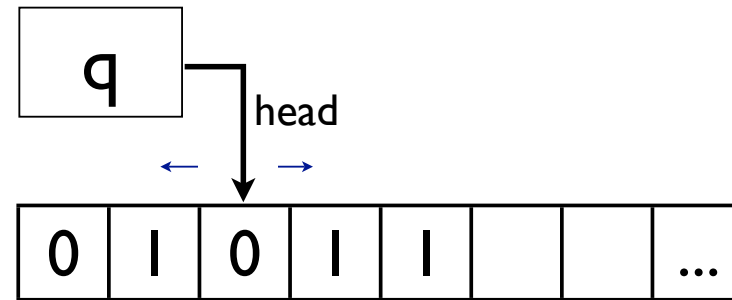
An alternate proof, using a new technique –

Computation histories

# Computation Histories

*Configuration:*

state, head, tape



*Encoding* Confgs:

0 | 1 | q | 0 | 1 | 1 | ...

A string in  $\Gamma^* Q \Gamma^*$  (trailing blanks optional)

Accepting (Rejecting) *History*:  $C_1, C_2, \dots, C_n$  s.t.

1.  $C_1$  is  $M$ 's initial configuration
2.  $C_n$  is an accepting (rejecting, resp.) config, and
3. For each  $1 \leq i < n$ ,  $C_i$  moves to  $C_{i+1}$  in one step

# Checking Histories

Many proofs require *checking* that a string, say

$\# C_1 \# C_2 \# \dots \# C_n \#$  in  $(\{\#\} \cup Q \cup \Gamma)^*$

is/is not an accepting history:

1.  $C_1$  is  $M$ 's initial configuration:

$$C_1 \in q_0 \Sigma^*$$

2.  $C_n$  is an accepting config:

it contains  $q_{\text{accept}}$

3. For each  $1 \leq i < n$ ,  $C_i$  moves to  $C_{i+1}$  in one step

...

“ $C_i$  moves to  $C_{i+1}$  in one step of  $M$ ”

$\#a_1a_2\dots a_k p a_{k+1} a_{k+2} \dots a_n \#b_1b_2\dots b_j q b_{j+1} b_{j+2} \dots b_m \#$

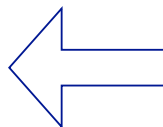
No change:

$$a_i = b_i \in \Gamma$$

$$p, q \in Q,$$

$$j = k \pm 1$$

$$n = m$$



*Except* for adjustments, all near the head, reflecting the move:

$$\delta(p, a_{k+1}) = (q, b_{k+1}, L/R),$$

$$j = k+1 \text{ if R else } \max(k-1, 0)$$

and injecting blanks on the right as needed:

if  $n = k$ , then “ $a_{k+1}$ ” = blank

$m = n+1, \dots$

*Aside: one reason TM's have been so useful for computation theory is that they make questions like this very simple; “config” and “move” are much messier for “real” computers.*

# $A_{TM} \leq_T \text{EMPTY}_{LBA}$

Given  $\langle M, w \rangle$ , build an LBA  $L_{M,w}$  that recognizes

$$AH_{M,w} = \{ x \mid x = \# C_1 \# C_2 \# \dots \# C_n \#, \text{ an Accepting computation History of } M \text{ on } w \}$$

Then pass  $\langle L_{M,w} \rangle$  to the hypothetical subr for  $\text{EMPTY}_{LBA}$

Specifically,  $L_{M,w}$  operates by checking that:

1. Its input is of the form  $\# C_1 \# C_2 \# \dots \# C_n \#$
2.  $C_1$  is the initial config of  $M$  on  $w$
3.  $C_n$  has  $M$ 's accept state, and
4. For each  $1 \leq i < n$ ,  $C_i$  moves to  $C_{i+1}$  in one step of  $M$   
(ziz-zag across adjacent pairs, checking as on prev slide)

# Correctness

$L(L_{M,w}) = AH_{M,w} = \{ x \mid x = \# C_1 \# C_2 \# \dots \# C_n \#, \text{ an accepting computation history of } M \text{ on } w \}$

*Empty* if  $M$  rejects  $w$  – no such  $x$

*Non-empty* if  $M$  accepts  $w$  – there is one such history

So, “ $M$  accepts  $w$ ” is equivalent to (non-)emptiness of  $AH_{M,w}$

$\therefore A_{TM} \leq_T \text{EMPTY}_{LBA}$

QED

# Notes

Similar ideas can be used to give reductions like

$$A_{TM} \leq_T \text{EMPTY}_X$$

for any machine or language class  $X$  expressive enough that we can easily, given  $M$  &  $w$ , represent  $AH_{M,w}$  in  $X$

A nice thing about histories is that they are so transparent that this is easy, even for more restricted models than LBA's

(One example in homework; another below)



# ALL<sub>CFL</sub> is Undecidable

$$\text{ALL}_{\text{CFL}} = \{ \langle G \rangle \mid G \text{ is a CFG with } L(G) = \Sigma^* \}$$

A variant on the above proof, but instead of using  $\text{AH}_{M,w}$ , (the set of accepting histories of  $M$  on  $w$ ), we use its *complement*:

$$\text{NH}_{M,w} = \{ x \mid x \text{ is not an accepting computation history}^* \text{ of } M \text{ on } w \}$$

\* and change the representation of a history so that alternate configs are reversed:

$$\# C_1 \# C_2^R \# C_3 \# C_4^R \# \dots \# C_n^{(R?)} \#$$

# $A_{TM} \leq_T ALL_{CFL}$

Given  $M, w$ , build a PDA  $P$  that, on input  $x$ , accepts if  $x$  does *not* start and end with  $\#$ ; otherwise, let

$$x = \# C_1 \# C_2^R \# C_3 \# C_4^R \# \dots \# C_n^{(R?) } \#$$

and nondeterministically do one of:

1. accept if  $C_1$  is *not*  $M$ 's initial config on  $w$
2. accept if  $C_n$  is *not* accepting, or
3. nondeterministically pick  $i$  and verify that  $C_i$  does *not* yield  $C_{i+1}$  in one step. (Push 1<sup>st</sup>; pop & compare to 2<sup>nd</sup>, with the necessary changes near the head.)

From  $P$ , build equiv CFG  $G$ ; ask the hypothetical  $ALL_{CFL}$  subr if  $G$  generates all of  $(\{\#\} \cup Q \cup \Gamma)^*$

# Computable Functions

In addition to language recognition, we are also interested in computable functions.

Defn: a function  $f: \Sigma^* \rightarrow \Sigma^*$  is *computable* if  $\exists$  a TM  $M$  s.t. given *any* input  $w \in \Sigma^*$ ,  $M$  halts with just  $f(w)$  on its tape. (Note:  $\text{domain}(f) = \Sigma^*$ ; crucial that  $M$  always halt, else value undefined.)

Ex 1:  $f(n) = n^2$  is computable

Ex 2:  $g(\langle M, w \rangle) = \langle L_{M,w} \rangle$  (as in the  $\text{EMPTY}_{\text{LBA}}$  pf) is computable

Ex 2:  $h(\langle M, w \rangle) = \text{"1 if } M \text{ acc } w \text{ else 0"}$  is *uncomputable* (Why? Reduce  $A_{\text{TM}}$  to it.)

# Reducibility

“A reducible to B” means could solve A *if* had subr for B

Can use B in arbitrary ways—call it repeatedly, use its answers to form new calls, etc. E.g.,

$$\text{WHACKY} \leq_T \text{A}_{\text{TM}}$$

where  $\text{WHACKY} = \{ \langle M, w_1, w_2, \dots, w_n \rangle \mid M \text{ accepts}$

$a_1 \cdots a_n$ , where  $a_i = 0$  if  $M$  rejects  $w_i$ , 1 if accepts  $w_i$  }

BUT in “practice,” *reductions rarely exploit this generality* and a more refined version is better for some purposes

# Reduction

Notation (not in book, but common):

$A \leq_T B$  means “A is Turing Reducible to B”

I.e., if I had a TM deciding B, I could use it as a subroutine to solve A

Facts:

$A \leq_T B$  & B decidable implies A decidable (definition)

$A \leq_T B$  & A *un*decidable implies B undecidable (contrapositive)

$A \leq_T B$  &  $B \leq_T C$  implies  $A \leq_T C$

# Mapping Reducibility

Defn:  $A$  is *mapping reducible* to  $B$  ( $A \leq_m B$ ) if there is computable function  $f$  such that  $w \in A \Leftrightarrow f(w) \in B$

A special case of  $\leq_T$  :

Call subr only once; its answer is *the* answer

Facts:

$A \leq_m B$  &  $B$  decidable  $\Rightarrow A$  is too

$A \leq_m B$  &  $A$  *undecidable*  $\Rightarrow B$  is too

$A \leq_m B$  &  $B \leq_m C \Rightarrow A \leq_m C$

# Mapping Reducibility

Defn:  $A$  is *mapping reducible* to  $B$  ( $A \leq_m B$ ) if there is computable function  $f$  such that  $w \in A \Leftrightarrow f(w) \in B$

A special case of  $\leq_T$  :

Call *subr* only once; its answer is *the* answer

Facts:

$A \leq_m B$  &  $B$  decidable (recognizable)  $\Rightarrow A$  is too

$A \leq_m B$  &  $A$  *undecidable* (unrecognizable)  $\Rightarrow B$  is too

$A \leq_m B$  &  $B \leq_m C \Rightarrow A \leq_m C$

*Most reductions we've seen were actually  $\leq_m$  reductions.*