# CSE 421 Winter 2025 Lecture 14: DP3

Nathan Brunelle

http://www.cs.uw.edu/421

### **Dynamic Programming Patterns**

Fibonacci pattern:

- 1-D, O(1) immediately prior
- 0(1) space



Weighted interval scheduling pattern:

- 1-D, **0(1)** arbitrary prior
- *O*(*n*) space

Longest increasing subsequence pattern:

- 1-D, all *n* − 1 prior
- *0(n)* space



 $O(\mathbf{n})$ 

#### String Similarity

#### How similar are two strings?

- ocurrance
- occurrence

3



depends on cost of • gaps vs mismatches

0 mismatches,	3	gaps

е

се

n

c c u r r

0

### Edit Distance

#### **Applications:**

4

- Basis for Unix diff.
- Speech recognition.
- Computational biology.
- autocorrect

Edit distance: [Levenshtein 1966, Needleman-Wunsch 1970]

- Gap penalty  $\delta$ ; mismatch penalty  $\alpha_{pq}$  if symbol p is replaced by symbol q.
- **Cost** = gap penalties + mismatch penalties.



#### Sequence Alignment

#### **Sequence Alignment:**

**Given:** Two strings  $X = x_1 x_2 \dots x_m$  and  $Y = y_1 y_2 \dots y_n$ **Find:** "Alignment" of X and Y of minimum edit cost.

Defn: An alignment *M* of *X* and *Y* is a set of ordered pairs x<sub>i</sub>-y<sub>j</sub> s.t. each symbol of *X* and *Y* occurs in at most one pair with no "crossing pairs".

The pairs  $x_i - y_j$  and  $x_{i'} - y_{j'}$  cross iff i < i' but j > j'.



Note: if  $x_i = y_j$  then  $\alpha_{x_i y_j} = 0$ 





 $M = \{x_2 - y_1, x_3 - y_2, x_4 - y_3, x_5 - y_4, x_6 - y_6\}$ 

#### Edit Distance – Four Steps

- 1. Formulate the answer with a recursive structure
  - What are the options for the last choice?
  - For each such option, what does the subproblem look like? How do we use it?
- 2. Choose a memory structure.
  - Figure out the possible values of all parameters in the recursive calls.
  - How many subproblems (options for last choice) are there?
  - What are the parameters needed to identify each?
  - How many different values could there be per parameter?
- 3. Specify an order of evaluation.
  - Want to guarantee that the necessary subproblem solutions are in memory when you need them.
  - With this step: a "Bottom-up" (iterative) algorithm
  - Without this step: a "Top-down" (recursive) algorithm
- 4. See if there's a way to save space
  - Is it possible to reuse some memory locations?



#### Edit Distance – Four Steps

	с	т	A	с	с	G
т	A	с	A	т	G	-
с	т	A	с	с	G	-
	т	A	с	A	т	G

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### Step 2: Identify Memory Structure

$$OPT(i,j) = \begin{cases} j \cdot \delta & \text{if } i = 0\\ i \cdot \delta & \text{if } j = 0 \end{cases}$$
$$OPT(i-1,j-1) + \alpha_{x_i y_j}$$
$$OPT(i-1,j) + \delta\\OPT(i,j-1) + \delta \end{cases}$$

• How many parameters?

• 2

- What does each represent?
  - The number of items in each sequence
- How many different values?
  - Length of sequence x for i
  - Length of sequence y for j
  - $n \cdot m$  overall



$x_1$	<i>x</i> <sub>2</sub>	$x_3$	<i>x</i> <sub>4</sub>	<i>x</i> <sub>5</sub>	<i>x</i> <sub>6</sub>
С	Т	A	С	С	G
т	A	С	A	Т	G
<b>y</b> <sub>1</sub>	<i>y</i> <sub>2</sub>	<b>y</b> <sub>3</sub>	<b>y</b> <sub>4</sub>	<i>y</i> <sub>5</sub>	<i>y</i> <sub>6</sub>

### Top-Down Sequence Alignment

```
align(i, j):
        if OPT[i][j] not blank: // Check if we've solved this already
                return OPT[i][j]
        if i \cdot j == 0: // Check if this is a base case
                solution = (i + j) \cdot \delta
                OPT[i][j] = solution // Always save your solution before returning
                return solution
        match = align(i - 1, j - 1) // solve each subproblem
        gapx = align(i - 1, j) // solve each subproblem
        gapy = align(j, i - 1) // solve each subproblem
        solution = min(match + \alpha_{x_iy_i}, gapx + \delta, gapy+ \delta) // Pick the subproblem to use
        OPT[i][j] = solution // Always save your solution before returning
        return solution
```

#### Edit Distance – Four Steps

	с	т	A	с	с	G
т	A	с	A	т	G	-
с	т	A	с	с	G	-
	т	A	с	A	т	G



- What are the options for the last choice?
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#### Step 3: Identify Order of Evaluation

$$OPT(i,j) = \begin{cases} j \cdot \delta & \text{if } i = 0\\ i \cdot \delta & \text{if } j = 0 \end{cases}$$
$$OPT(i-1,j-1) + \alpha_{x_i y_j} \\ OPT(i-1,j) + \delta \\ OPT(i,j-1) + \delta \end{cases}$$



Any of these orders will work:

• Top-to-bottom, then left-to-right



1. The one above it: (i - 1, j)

Each index depends on 3 others:

- 2. The one to its left: (i, j 1)
- 3. The one to it's upper left: (i 1, j 1)
- Left-to-right, then top-to-bottom
- Diagonally

12

### Bottom-Up Sequence Alignment

```
align(x, y):
       for i = 0 up to n:
               OPT[i][0] = 0 // Solve and save base cases
        for j = 0 up to m:
               OPT[0][j] = 0 // Solve and save base cases
       for i = 1 up to n:
               for j = 1 up to m:
                       match = OPT[i - 1][j - 1] // solve each subproblem
                       gapx = OPT[i][j - 1] // solve each subproblem
                       gapy = OPT[i - 1][j] // solve each subproblem
                       solution = min(match + \alpha_{x_iy_i}, gapx + \delta, gapy+ \delta) // pick solution
                       OPT[i][j] = solution // save solution
       return OPT[n][m]
```

### Edit Distance – Four Steps

	с	т	A	с	с	G
т	A	с	A	т	G	-
с	т	A	с	с	G	-
	т	A	с	A	т	G



1. Formulate the answer with a recursive structur	1.	Formulate the	answer	with	a recursi <sup>,</sup>	ve stru	cture
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		Α	G	Α	С	Α	Т	Т	G
	0	1	2	3	4	5	6	7	8
G	1	1	1	2	3	4	5	6	7
A	2	1	2	1					
G	3								
Т	4								
Т	5								
A	6								

		Α	G	A	С	Α	Т	Т	G
	0	1	2	3	4	5	6	7	8
G	1	1	1	2	3	4	5	6	7
Α	2	1	2	1	2	3	4	5	6
G	3	2	1	2	2	3	4	5	5
Т	4								
Т	5								
Α	6								

		Α	G	Α	С	Α	Т	Т	G
	0	1	2	3	4	5	6	7	8
G	1	1	1	2	3	4	5	6	7
A	2	1	2	1	2	3	4	5	6
G	3	2	1	2	2	3	4	5	5
Т	4	3	2	2	3	3	3	4	5
Т	5	4	3	3	3	4	3	3	4
Α	6	5	4	3	4	3	4	4	4





### **Dynamic Programming Patterns**

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Longest increasing subsequence pattern:

- 1-D, all *n* − 1 prior
- **0(n)** space



Alignment pattern:

- 2-D, O(1) in previous row, above and arbitrary prior
- *O*(*n* · *m*) space



0(**nm**)

#### Single-source shortest paths (332)

**Given:** an (un)directed graph G = (V, E) with each edge e having a non-negative weight w(e) and a vertex s

Find: (length of) shortest paths from s to each vertex in G

#### Single-source shortest paths (Today)

**Given:** an (un)directed graph G = (V, E) with each edge e having a non-negative weight w(e) and a vertex s

Find: (length of) shortest paths from s to each vertex in G

### Dijkstra's Algorithm

- Maintain a set **S** of vertices whose shortest paths are known
  - initially  $S = \{s\}$
- Maintaining current best lengths of paths that only go through S to each of the vertices in G
  - path-lengths to elements of S will be right, to  $V \setminus S$  they might not be right
- Repeatedly add vertex v to S that has the shortest path-length of any vertex in  $V\setminus S$ 
  - update path lengths based on new paths through  $\boldsymbol{v}$

#### Directed Graph with Negative Weights



10

#### Negative Cycles

There's an issue when a graph has negative cost cycles

The shortest simple path to node 11 is 1,3,5,10,6,7,11 which has cost 13

The cycle 3,5,8,10,6,7,3 has cost -4

The (non-simple) path 1,3,5,8,10,6,7,3,5,10,6,7,11 has cost 9

Taking the cycle twice gives cost 5

No shortest path exists!



#### Observations

**Claim:** A simple path has at most |V| - 1 edges

**Justication:** Pigeon-hole principle. If we have  $\geq |V|$  edges then we have used at least one node at least twice

**Claim:** If a graph has no negative weight cycles then any shortest path must be simple

**Justication:** If some shortest path was not simple then there is a repeated node. The cycle involving that repeated node must have weight  $\geq 0$ . Removing that cycle from the path can't make it worse



# Single-source shortest paths, with negative edge weights

**Given:** an (un)directed graph G = (V, E) with each edge e having a weight w(e) and a vertex s

Find: (length of) shortest paths from *s* to each vertex in *G*, or else indicate that there is a negative-cost cycle

Called the Bellman-Ford algorithm (The original DP algorithm!) (Also, the original shortest path algorithm!)

#### Bellman Ford– Four Steps

- 1. Formulate the answer with a recursive structure
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#### Identifying Recursive Structure – False Start

Consider the shortest path from s to t



This shortest path is composed of:

- The shortest path from *s* to the second-to-last node (call it *u*)
- The edge (u, t)



OPT(t) = The cost of the shortest path from s to t

$$OPT(t) = \begin{cases} 0 & \text{if } s = t \\ \min_{u \in V} \{ OPT(u) + w(u, t) \} \text{ o. w.} \end{cases}$$

Where w(u, t) is the weight of the edge from u to t if it exists and  $\infty$  if not.

OPT(t) = The cost of the shortest path from s to t

$$OPT(t) = \begin{cases} 0 & \text{if } s = t \\ \min_{u \in V} \{OPT(u) + w(u, t)\} \text{ o. w.} \end{cases}$$

Where w(u, t) is the weight of the edge from u to t if it exists and  $\infty$  if not.



$$OPT(t) = \min \begin{cases} OPT(x) + 1\\ OPT(s) + 1 \end{cases}$$

 $OPT(x) = \min \begin{cases} OPT(t) + 1\\ OPT(s) + \infty \end{cases}$ 

OPT(s) = 0

We never reach a base case!

#### Identifying Recursive Structure – Correctly!

Suppose the shortest path from s to t has *i* or fewer edges



OPT(i, t) = the weight of the shortest path from s to t with at most i edges

This shortest path will be one of these:

Option 1: the shortest path from s to some u with i - 1 or fewer edges, plus the edge (u, t)



 $\min_{u \in V} \{ OPT(i-1,u) + w(u,t) \}$ 

Option 2: the same as shortest path from s to t with i - 1 or fewer edges

$$s$$
  $\leq i-1 \text{ edges}$   $t$ 

OPT(i-1,t)

33

#### **Final Recursive Structure**

OPT(i, t) = the weight of the shortest path from s to t with at most i edges  $OPT(i, t) = \begin{cases}
0 \text{ if } i = 0 \text{ and } s = t \\
\infty \text{ if } i = 0 \text{ and } s \neq t \\
\min \begin{cases}
\min\{OPT(i-1, u) + w(u, t)\} \\
OPT(i-1, t)
\end{cases}$ 

Where w(u, t) is the weight of the edge from u to t if it exists and  $\infty$  if not.



# Bellman Ford– Four Steps

min-

< i - 1 edges

#### Formulate the answer with a recursive structure

- What are the options for the last choice?
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#### Identifying the Memory Structure

$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases}$$

- How many parameters?
  - 2
- What does each represent?
  - *i*: the length of the path
  - *t*: a node
- How many different values?
  - i: |V| (from length 0 up to |V| 1 if the path is simple)
  - *t*: |*V*| (one value per node)



### Top-Down Bellman-Ford

**BF**(*i*, *t*):

if OPT[i][t] not blank: // Check if we've solved this already
 return OPT[i][j]

if i == 0: // Check if this is a base case

solution = 0 ?  $t == s : \infty$ OPT[i][t] = solution // Always save your solution before returning return solution

solution =  $\infty$ 

```
for each u \in V:
```

```
solution = min(solution, BF(i - 1, u) + w(u, t)) // solve each subproblem, pick which to use
solution = min(solution, BF(i - 1, t)) // solve each subproblem, pick which to use
OPT[i][t] = solution // Always save your solution before returning
return solution
```

This algorithm correctly finds shortest paths when there are no negative-cost cycles How can we check for negative cost cycles?



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} OPT(i-1,u) + w(u,t) \\ 0PT(i-1,t) \end{cases}$$

- How many parameters?
  - 2
- What does each represent?
  - *i*: the length of the path
  - *t*: a node
- How many different values?
  - *i*: |*V*|+1
    - a path of |V| edges is not simple, so if any |V|-edge path is shorter than one with fewer edges, there must be a negative cycle!
  - *t*: |*V*| (one value per node)



# Bellman Ford– Four Steps

#### Formulate the answer with a recursive structure

- What are the options for the last choice?
- For each such option, what does the subproblem look like? How do we use it?

 $\leq i - 1$  edges

min-

- 2. Choose a memory structure.
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Each cell depends on every value in the previous row

Solve in order of *i* 



#### Bottom-Up Bellman-Ford

```
BF(s, t):
       OPT[0][s] = 0 // Solve and save base cases
       for u \in V \setminus \{s\}:
               OPT[0][u] = \infty // Solve and save base cases
       for i = 0 up to |V|:
               for u \in V:
                       for v \in \text{neighbors}(u):
                               OPT[i][u] = min(OPT[i][u], OPT[i - 1][v]) // solve and pick
                       OPT[i][u] = min(OPT[i][u], OPT[i-1][u]) // solve and pick
       for u \in V:
               if OPT[|V|][u] < OPT[|V| - 1][u]: // check for negative cycles
                       return "negative cycle"
        return OPT[s][t] // return the final answer
```

#### Bottom-Up Bellman-Ford

```
BF(s, t):
        OPT[0][s] = 0 // Solve and save base cases \Theta(1)
        for u \in V \setminus \{s\}:
                OPT[0][u] = \infty // Solve and save base cases \Theta(|V|)
        for i = 0 up to |V|:
                for u \in V:
                                                                                     \Theta(|V||E|)
                        for v \in \text{neighbors}(u):
                                OPT[i][u] = \min(OPT[i][u], OPT[i-1][v]) // solve and pick
                        OPT[i][u] = \min(OPT[i][u], OPT[i-1][u]) // solve and pick
        for u \in V:
                if OPT[|V|][u] < OPT[|V| - 1][u]: // check for negative cycles
                                                                                        \Theta(|V|)
                        return "negative cycle"
        return OPT[s][t] // return the final answer \Theta(1)
```

# Bellman Ford– Four Steps

#### Formulate the answer with a recursive structure

- What are the options for the last choice?
- For each such option, what does the subproblem look like? How do we use it?

 $\leq i - 1$  edges

min-

- 2. Choose a memory structure.
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Each cell depends *only* on values in the previous row

We only need two rows!



# Bellman Ford– Four Steps

#### Formulate the answer with a recursive structure

- What are the options for the last choice?
- For each such option, what does the subproblem look like? How do we use it?

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Longest increasing subsequence pattern:

- 1-D, all *n* − 1 prior
- 0(n) space



#### Alignment pattern:

 $\theta(\mathbf{n})$ 

- 2-D, O(1) in previous row, above, left, diagonal
- *0*(*n* · *m*) space



Bellman Ford pattern:

- 2-D, O(|V|) in previous row,
- *O*(|*V*|) space



O(|V||E|)

46



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$





$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$





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	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	$\infty$	8	0
3							
4							
5							
6							
7							



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$

	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	$\infty$	$\infty$	8	0
3	0	2	3	7	$\infty$	8	0
4							
5							
6							
7							



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$

	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	8	8	0
3	0	2	3	7	8	8	0
4	0	2	3	7	0	8	0
5							
6							
7							



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	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	8	8	0
3	0	2	3	7	8	8	0
4	0	2	3	7	0	8	0
5	0	1	3	7	0	8	0
6							
7							



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$

	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	8	8	0
3	0	2	3	7	8	8	0
4	0	2	3	7	0	8	0
5	0	1	3	7	0	8	0
6	0	1	2	7	0	8	0
7							



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$

	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	8	8	0
3	0	2	3	7	8	8	0
4	0	2	3	7	0	8	0
5	0	1	3	7	0	8	0
6	0	1	2	7	0	8	0
7	0	1	2	3	0	8	0



$$OPT(i,t) = \begin{cases} 0 \text{ if } i = 0 \text{ and } s = t \\ \infty \text{ if } i = 0 \text{ and } s \neq t \\ \min \begin{cases} \min\{OPT(i-1,u) + w(u,t)\} \\ OPT(i-1,t) \end{cases} \end{cases}$$

	1	2	3	4	5	6	7
0	0	8	8	8	8	8	8
1	0	2	8	8	8	8	8
2	0	2	3	8	8	8	0
3	0	2	3	7	8	8	0
4	0	2	3	7	0	8	0
5	0	1	3	7	0	8	0
6	0	1	2	1	0	8	0
7	0	1	2	3	0	8	0
Negative Cycle Found!							