# CSE 421 <br> Introduction to Algorithms 

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Lecture 10, Winter 2024
Divide and Conquer

## Announcements

- Divide and Conquer and Recurrences
- Recurrence Techniques
- Fast Matrix Multiplication
- Counting Inversions (5.3)
- Closest Pair (5.4)
- Multiplication (5.5)
- Quicksort and Median Finding
- Dynamic Programming
- Midterm, Friday, February 9

$$
k^{\log _{k} n}=n
$$

$$
\log _{k} n=\frac{\log _{2} n}{\log _{2} k}
$$

$$
k^{\log _{2} n}=n^{\log _{2} k}
$$

$$
\sum_{i=0}^{n} x^{i}=\frac{1-x^{n+1}}{1-x}
$$

## Recurrence Analysis

- Solution methods
- Unrolling recurrence
- Guess and verify

$$
T(n) \leq T(3 n / 4)+T(n / 5)+20 n
$$

- Plugging in to a "Master Theorem"
- $T(n)=a T(n / b)+O\left(n^{d}\right)$

$$
\begin{array}{ll}
-T(n)=O\left(n^{d}\right) & \text { if } d>\log _{b} a \\
-T(n)=O\left(n^{d} \log n\right) & \text { if } d=\log _{b} a \\
-T(n)=O\left(n^{\log _{b} a}\right) & \text { if } d<\log _{b} a
\end{array}
$$

## Recursive Matrix Multiplication

Multiply $2 \times 2$ Matrices:
$\begin{array}{ll}\mid r & s \\ \mid t & u\end{array}\left|=\left|\begin{array}{lll}\mid a & b \mid & \mid e \\ \mid c & d \mid \\ \mid c & d \mid & \mid f\end{array}\right|\right.$
$r=a e+b f$
$s=a g+b h$
$\mathrm{t}=\mathrm{ce}+\mathrm{df}$
$u=c g+d h$

A $N \times N$ matrix can be viewed as
a $2 \times 2$ matrix with entries that are ( $\mathrm{N} / 2$ ) $\times(\mathrm{N} / 2)$ matrices.

The recursive matrix
multiplication algorithm recursively multiplies the ( $\mathrm{N} / 2$ ) x ( $\mathrm{N} / 2$ ) matrices and combines them using the equations for multiplying $2 \times 2$ matrices

## Recursive Matrix Multiplication

- How many recursive calls are made at each level?
- 8, for the multiplication of $n / 2 \mathrm{Xn} / 2$ submatrices
- How much work in combining the results?
- $\mathrm{O}\left(\mathrm{n}^{2}\right)$, for matrix addition and copying matrices
- What is the recurrence?

$$
-T(n)=8 T(n / 2)+n^{2} ; \quad T(2)=1 ;
$$


Total Work

## $T(n)=4 T(n / 2)+n$

$$
\sum_{k=0}^{\log n} 2^{k} n=(2 n-1) n
$$


$T(n)=2 T(n / 2)+n^{2}$


## $T(n)=2 T(n / 2)+n^{1 / 2}$



## Recurrences

- Three basic behaviors
- Dominated by initial case
- Dominated by base case
- All cases equal - we care about the depth


## What you really need to know about recurrences

- Work per level changes geometrically with the level
- Geometrically increasing ( $x>1$ )
- The bottom level wins
- Geometrically decreasing ( $\mathrm{x}<1$ )
- The top level wins
- Balanced ( $\mathrm{x}=1$ )
- Equal contribution


# Classify the following recurrences (Increasing, Decreasing, Balanced) 

- $T(n)=n+5 T(n / 8)$
- $\mathrm{T}(\mathrm{n})=\mathrm{n}+9 \mathrm{~T}(\mathrm{n} / 8)$
- $T(n)=n^{2}+4 T(n / 2)$
- $\mathrm{T}(\mathrm{n})=\mathrm{n}^{3}+7 \mathrm{~T}(\mathrm{n} / 2)$
- $T(n)=n^{1 / 2}+3 T(n / 4)$


## Strassen's Algorithm

## Multiply $2 \times 2$ Matrices:

$$
\begin{aligned}
& \begin{array}{ll}
\mid r & s \\
\mid t & u
\end{array}\left|=\left|\begin{array}{llll}
a & b & \mid e & g \\
\mid c & d & \mid f & h
\end{array}\right|\right. \\
& \begin{array}{l}
p_{1}=(b-d)(f+h) \\
p_{2}=(a+d)(e+h)
\end{array} \\
& p_{3}=(a-c)(e+g) \\
& p_{4}=(a+b) h \\
& \mathrm{p}_{5}=\mathrm{a}(\mathrm{~g}-\mathrm{h}) \\
& p_{6}=d(f-e) \\
& u=p_{2}-p_{3}+p_{5}-p_{7} \\
& p_{7}=(c+d) e
\end{aligned}
$$

## Recurrence for Strassen's Algorithm

- $T(n)=7 T(n / 2)+c n^{2}$
- What is the runtime?
$\log _{2} 7=2.8073549221$


## Strassen's Algorithm

- Treat $\mathrm{n} \times \mathrm{n}$ matrices as $2 \times 2$ matrices of $\mathrm{n} / 2 \times \mathrm{n} / 2$ submatrices
- Use Strassen's trick to multiply $2 \times 2$ matrices with 7 multiplies
- Base case standard multiplication for single entries
- Recurrence: $T(n)=7 T(n / 2)+c n^{2}$
- Solution is $O\left(7^{\log n}\right)=O\left(n^{\log 7}\right)$ which is about $O\left(n^{2.807}\right)$
- Practical for n ~ 64
- Standard trick - switch to normal algorithm for small values of $n$


## Divide and Conquer Algorithms

- Split into sub problems
- Recursively solve the problem
- Combine solutions
- Make progress in the split and combine stages
- Quicksort - progress made at the split step
- Mergesort - progress made at the combine step
- D\&C Algorithms
- Strassen's Algorithm - Matrix Multiplication
- Inversions
- Median
- Closest Pair
- Integer Multiplication
- FFT


## Inversion Problem

- Let $a_{1}, \ldots a_{n}$ be a permutation of $1 \ldots n$
- $\left(a_{i}, a_{j}\right)$ is an inversion if $i<j$ and $a_{i}>a_{j}$

$$
4,6,1,7,3,2,5
$$

- Problem: given a permutation, count the number of inversions
- This can be done easily in $\mathrm{O}\left(\mathrm{n}^{2}\right)$ time
- Can we do better?


## Application

- Counting inversions can be use to measure how close ranked preferences are
- People rank 20 movies, based on their rankings you cluster people who like that same type of movie


## Counting Inversions

| 11 | 12 | 4 | 1 | 7 | 2 | 3 | 15 | 9 | 5 | 16 | 8 | 6 | 13 | 10 | 14 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Count inversions on lower half
Count inversions on upper half
Count the inversions between the halves

## Count the Inversions



## Problem - how do we count inversions between sub problems in $\mathrm{O}(\mathrm{n})$ time?

- Solution - Count inversions while merging

| 1 | 2 | 3 | 4 | 7 | 11 | 12 | 15 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| 5 | 6 | 8 | 9 | 10 | 13 | 14 | 16 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

$\square$

Standard merge algorithm - add to inversion count when an element is moved from the upper array to the solution

## Use the merge algorithm to count inversions

| 1 | 4 | 11 | 12 |
| :--- | :--- | :--- | :--- |


| 2 | 3 | 7 | 15 |
| :--- | :--- | :--- | :--- |



| 5 | 8 | 9 | 16 |
| :--- | :--- | :--- | :--- |


| 6 | 10 | 13 | 14 |
| :--- | :--- | :--- | :--- |



## Inversions

- Counting inversions between two sorted lists
- O(1) per element to count inversions

- Algorithm summary
- Satisfies the "Standard recurrence"
$-T(n)=2 T(n / 2)+c n$


## Closest Pair Problem (2D)

- Given a set of points find the pair of points $p, q$ that minimizes $\operatorname{dist}(p, q)$



## Divide and conquer

- If we solve the problem on two subsets, does it help? (Separate by median $x$ coordinate)



## Packing Lemma

Suppose that the minimum distance between points is at least $\delta$, what is the maximum number of points that can be packed in a ball of radius $\delta$ ?

## Combining Solutions

- Suppose the minimum separation from the sub problems is $\delta$
- In looking for cross set closest pairs, we only need to consider points with $\delta$ of the boundary
- How many cross border interactions do we need to test?


## A packing lemma bounds the number of distances to check



## Details

- Preprocessing: sort points by y
- Merge step
- Select points in boundary zone
- For each point in the boundary
- Find highest point on the other side that is at most $\delta$ above
- Find lowest point on the other side that is at most $\delta$ below
- Compare with the points in this interval (there are at most 6)

Identify the pairs of points that are compared in the merge step following the recursive calls


## Algorithm run time

- After preprocessing:
$-T(n)=c n+2 T(n / 2)$


## Integer Arithmetic

9715480283945084383094856701043643845790217965702956767 + 1242431098234099057329075097179898430928779579277597977

Runtime for standard algorithm to add two n digit numbers:

2095067093034680994318596846868779409766717133476767930 X 5920175091777634709677679342929097012308956679993010921

## Recursive Multiplication Algorithm (First attempt)

$$
\begin{aligned}
x & =x_{1} 2^{n / 2}+x_{0} \\
y & =y_{1} 2^{n / 2}+y_{0} \\
x y & =\left(x_{1} 2^{n / 2}+x_{0}\right)\left(y_{1} 2^{n / 2}+y_{0}\right) \\
& =x_{1} y_{1} 2^{n}+\left(x_{1} y_{0}+x_{0} y_{1}\right) 2^{n / 2}+x_{0} y_{0}
\end{aligned}
$$

Recurrence:
Run time:

## Simple algebra

$$
\begin{aligned}
& x=x_{1} 2^{n / 2}+x_{0} \\
& y=y_{1} 2^{n / 2}+y_{0} \\
& x y=x_{1} y_{1} 2^{n}+\left(x_{1} y_{0}+x_{0} y_{1}\right) 2^{n / 2}+x_{0} y_{0} \\
& p=\left(x_{1}+x_{0}\right)\left(y_{1}+y_{0}\right)=x_{1} y_{1}+x_{1} y_{0}+x_{0} y_{1}+x_{0} y_{0}
\end{aligned}
$$

## Karatsuba's Algorithm

Multiply $n$-digit integers $x$ and $y$

$$
\text { Let } x=x_{1} 2^{n / 2}+x_{0} \text { and } y=y_{1} 2^{n / 2}+y_{0}
$$ Recursively compute

$$
\begin{aligned}
& a=x_{1} y_{1} \\
& b=x_{0} y_{0} \\
& p=\left(x_{1}+x_{0}\right)\left(y_{1}+y_{0}\right) \\
& \text { Return } a 2^{n}+(p-a-b) 2^{n / 2}+b
\end{aligned}
$$

Recurrence: $T(n)=3 T(n / 2)+c n$

