## CSE 421

# Greedy Algorithms 

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## An Advice on Problem Solving

If possible, try not to use arguments of the following type in proofs:

- The Best case is ....
- The worst case is ....

These arguments need rigorous justification, and they are usually the main reason that your proofs can become wrong, or unjustified.

## Interval Scheduling

- Job j starts at $s(j)$ and finishes at $f(j)$.
- Two jobs compatible if they don't overlap.
- Goal: find maximum subset of mutually compatible jobs.



## Possible Approaches for Inter Sched

Sort the jobs in some order. Go over the jobs and take as much as possible provided it is compatible with the jobs already taken.
[Earliest start time] Consider jobs in ascending order of start time $\mathrm{s}_{\mathrm{j}}$.
[Earliest finish time] Consider jobs in ascending order of finish time $\mathrm{f}_{\mathrm{j}}$.
[Shortest interval] Consider jobs in ascending order of interval length $\mathrm{f}_{\mathrm{j}}-\mathrm{s}_{\mathrm{j}}$.
[Fewest conflicts] For each job, count the number of conflicting jobs $c_{j}$. Schedule in ascending order of conflicts $c_{j}$.

## Greedy Alg: Earliest Finish Time

Consider jobs in increasing order of finish time. Take each job provided it's compatible with the ones already taken.

```
Sort jobs by finish times so that f(1) \leqf(2) \leq _.. \leq f(n).
A\leftarrow\emptyset
for j = 1 to n {
    if (job j compatible with A)
        A}\leftarrow\boldsymbol{A}\cup{j
}
return A
```

Implementation. $\mathrm{O}(\mathrm{n} \log \mathrm{n})$.

- Remember job j* that was added last to A.
- Job j is compatible with A if $\mathrm{s}(\mathrm{j}) \geq f\left(\mathrm{j}^{*}\right)_{\text {. }}$.


## Greedy Alg: Example





## Correctness

Theorem: Greedy algorithm is optimal.
Pf: (technique: "Greedy stays ahead")
Let $i_{1}, i_{2}, \ldots i_{k}$ be jobs picked by greedy, $j_{1}, j_{2}, \ldots j_{m}$ those in some optimal solution in order.
We show $f\left(i_{r}\right) \leq f\left(j_{r}\right)$ for all $r$, by induction on $r$.
Base Case: $i_{1}$ chosen to have min finish time, so $f\left(i_{1}\right) \leq f\left(j_{1}\right)$.
IH: $f\left(i_{r}\right) \leq f\left(j_{r}\right)$ for some r
IS: Since $f\left(i_{r}\right) \leq f\left(j_{r}\right) \leq s\left(j_{r+1}\right), \mathrm{j}_{r+1}$ is among the candidates considered by greedy when it picked $\mathrm{i}_{\mathrm{r}+1}$, \& it picks min finish, so $f\left(\mathrm{i}_{\mathrm{r}+1}\right) \leq \mathrm{f}\left(\mathrm{j}_{\mathrm{r}+1}\right)$

Observe that we must have $k \geq m$, else $\mathrm{j}_{\mathrm{k}+1}$ is among (nonempty) set of candidates for $\mathrm{i}_{\mathrm{k}+1}$

## Interval Partitioning <br> Technique: Structural

## Interval Partitioning

Lecture j starts at $\mathrm{s}(\mathrm{j})$ and finishes at $\mathrm{f}(\mathrm{j})$.
Goal: find minimum number of classrooms to schedule all lectures so that no two occur at the same time in the same room.


## Interval Partitioning

Note: graph coloring is very hard in general, but graphs corresponding to interval intersections are simpler.


## A Better Schedule

This one uses only 3 classrooms


## A Structural Lower-Bound on OPT

Def. The depth of a set of open intervals is the maximum number that contain any given time.


## A Structural Lower-Bound on OPT

Def. The depth of a set of open intervals is the maximum number that contain any given time.

Key observation. Number of classrooms needed $\geq$ depth.
Ex: Depth of schedule below $=3 \Rightarrow$ schedule below is optimal.
Q. Does there always exist a schedule equal to depth of intervals?


## A Greedy Algorithm

Greedy algorithm: Consider lectures in increasing order of start time: assign lecture to any compatible classroom.

```
Sort intervals by starting time so that s}\mp@subsup{s}{1}{}\leq\mp@subsup{s}{2}{}\leq\ldots, \leq sm
d}\leftarrow
for j = 1 to n {
    if (lect j is compatible with some classroom k, 1\leqk\leqd)
        schedule lecture j in classroom k
    else
        allocate a new classroom d + 1
        schedule lecture j in classroom d + 1
        d}\leftarrowd+
}
```

Implementation: Exercise!

## Correctness

Observation: Greedy algorithm never schedules two incompatible lectures in the same classroom.

Theorem: Greedy algorithm is optimal.
Pf (exploit structural property).
Let $d=$ number of classrooms that the greedy algorithm allocates.
Classroom d is opened because we needed to schedule a job, say j , that is incompatible with all d-1 previously used classrooms.
Since we sorted by start time, all these incompatibilities are caused by lectures that start no later than s(j).
Thus, we have d lectures overlapping at time $s(j)+\epsilon$, i.e. depth $\geq$ d
"OPT Observation" $\Rightarrow$ all schedules use $\geq$ depth classrooms, so $d=$ depth and greedy is optimal "

Minimum Spanning Tree Problem

## Minimum Spanning Tree (MST)

Given a connected graph $G=(V, E)$ with real-valued edge weights $\mathrm{c}_{\mathrm{e}}$, an MST is a subset of the edges $T \subseteq E$ such that $T$ is a spanning tree whose sum of edge weights is minimized.


$$
G=(V, E)
$$



$$
c(T)=\sum_{e \in T} c_{e}=50
$$

## Cuts

In a graph $G=(V, E)$ a cut is a bipartition of V into sets $S, V-S$ for some $S \subseteq V$. We show it by $(S, V-S)$

An edge $e=\{u, v\}$ is in the cut $(S, V-S)$ if exactly one of $u, v$ is in S.


Obs: If G is connected then there is at least one edge in every cut.

## Cycles and Cuts

Claim. A cycle crosses a cut (from S to V-S) an even number of times.

Pf. (by picture)


## Properties of the OPT

Simplifying assumption: All edge costs $\mathrm{c}_{\mathrm{e}}$ are distinct.
Cut property: Let $S$ be any subset of nodes (called a cut), and let e be the min cost edge with exactly one endpoint in $S$. Then every MST contains e.

Cycle property. Let C be any cycle, and let f be the max cost edge belonging to $C$. Then no MST contains $f$.

red edge is in the MST


Green edge is not in the MST

## Cut Property: Proof

Simplifying assumption: All edge costs $\mathrm{c}_{\mathrm{e}}$ are distinct.
Cut property. Let $S$ be any subset of nodes, and let e be the min cost edge with exactly one endpoint in S . Then $\mathrm{T}^{*}$ contains e.
Pf. By contradiction
Suppose $e=\{u, v\}$ does not belong to $T^{*}$.
Adding e to $\mathrm{T}^{*}$ creates a cycle C in $\mathrm{T}^{*}$.
$C$ crosses $S$ even number of times $\Rightarrow$ there exists another edge, say $f$, that leaves $S$.
$T=T^{*} \cup\{e\}-\{f\}$ is also a spanning tree.
Since $\mathrm{c}_{\mathrm{e}}<\mathrm{c}_{\mathrm{f}}, \mathrm{c}(T)<\mathrm{c}\left(T^{*}\right)$.
This is a contradiction.


## Cycle Property: Proof

Simplifying assumption: All edge costs $\mathrm{c}_{\mathrm{e}}$ are distinct.
Cycle property: Let C be any cycle in G , and let $f$ be the max cost edge belonging to C . Then the MST $\mathrm{T}^{*}$ does not contain f .

Pf. (By contradiction)
Suppose f belongs to $\mathrm{T}^{*}$.
Deleting from T* cuts $\mathrm{T}^{*}$ into two connected components.
There exists another edge, say e, that is in the cycle and connects the components.
$T=T^{*} \cup\{e\}-\{f\}$ is also a spanning tree.
Since $\mathrm{c}_{\mathrm{e}}<\mathrm{c}_{\mathrm{f}}, \mathrm{c}(T)<\mathrm{c}\left(T^{*}\right)$.
This is a contradiction.


## Kruskal's Algorithm [1956]

```
Kruskal (G, c) {
    Sort edges weights so that coc
    T\leftarrow\emptyset
    foreach (u\inV) make a set containing singleton {u}
    for i = 1 to m
        Let (u,v) = e ei
        if (u and v are in different sets) {
            T}\leftarrowT\cup{\mp@subsup{e}{i}{}
            merge the sets containing u and v
        }
    return T
}
```


## Kruskal's Algorithm: Pf of Correctness

Consider edges in ascending order of weight.
Case 1: If adding e to $T$ creates a cycle, discard e according to cycle property.
Case 2: Otherwise, insert e = (u, v) into T according to cut property where $S=$ set of nodes in u's connected component.


Case 1


Case 2

## Implementation: Kruskal's Algorithm

 Implementation. Use the union-find data structure.- Build set $T$ of edges in the MST.
- Maintain a set for each connected component.
- $O(m \log n)$ for sorting and $O(m \log n)$ for union-find

```
Kruskal (G, c) {
    Sort edges weights so that c}\mp@subsup{c}{1}{}\leq\mp@subsup{c}{2}{}\leq\ldots\leq\mp@subsup{c}{m}{}
    T}\leftarrow
    foreach (u\inV) make a set containing singleton {u}
    for i = 1 to m
        Let (u,v) = e ei
        if (u and v are in different sets) {
            T}\leftarrowT\cup{\mp@subsup{e}{i}{}
            merge the sets containing u}\mathrm{ and v
        }
    return T
}
```

