

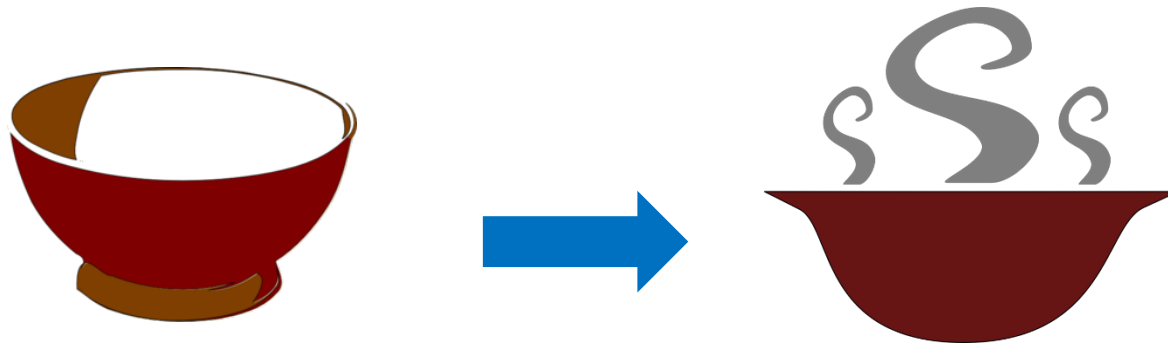
# **CSE 421**

## **Divide and Conquer**

Shayan Oveis Gharan

# Boiling Water Example

**Q:** Given an empty bowl, how do you make boiling water?



**A:** Well, I fill it with water, turn on the stove, leave the bowl on the stove for 20 minutes. I have my boiling water.

**Q:** Now, suppose you have a bowl of water, how do you make boiling water?

**A:** First, I pour water away, now I have an empty bowl and I have already solved this!



**Lesson: Never solve a problem twice!**

# Divide and Conquer Approach

# Finding the Root of a Function

# Finding the Root of a Function

Given a **continuous** function  $f$  and two points  $a < b$  such that

$$f(a) \leq 0$$

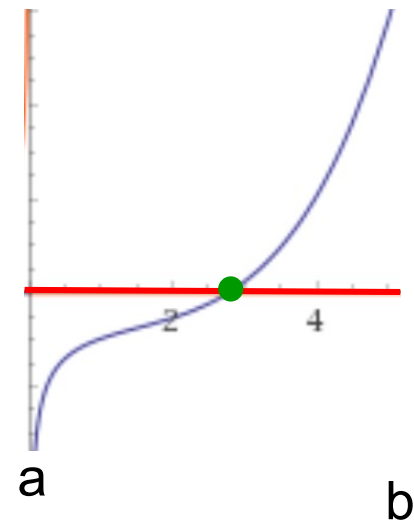
$$f(b) \geq 0$$

Find an approximate root of  $f$  (a point  $c$  where there is  $r$  s.t.,  $|r - c| \leq \epsilon$  and  $f(r) = 0$ ).

Note  $f$  has a root in  $[a, b]$  by  
**intermediate value theorem**

Note that roots of  $f$  may be **irrational**,  
So, we want to approximate  
the root with an arbitrary precision!

$$f(x) = \sin(x) - \frac{100}{\sqrt{x}} + x^4$$



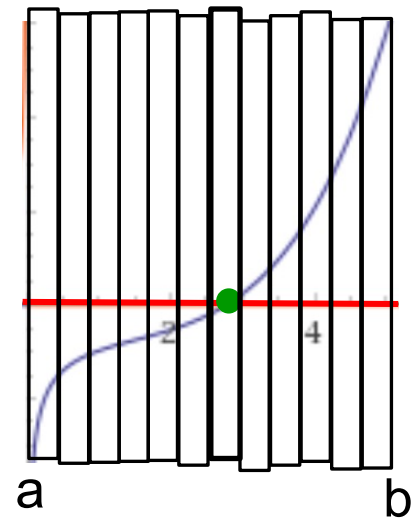
# A Naive Approach

Suppose we want  $\epsilon$  approximation to a root.

Divide  $[a,b]$  into  $n = \frac{b-a}{\epsilon}$  intervals. For each interval check  
 $f(x) \leq 0, f(x + \epsilon) \geq 0$

This runs in time  $O(n) = O\left(\frac{b-a}{\epsilon}\right)$

Can we do faster?



# D&C Approach (Based on Binary Search)

**Bisection**( $a, b, \epsilon$ )

if  $(b - a) < \epsilon$  then

return ( $a$ )

else

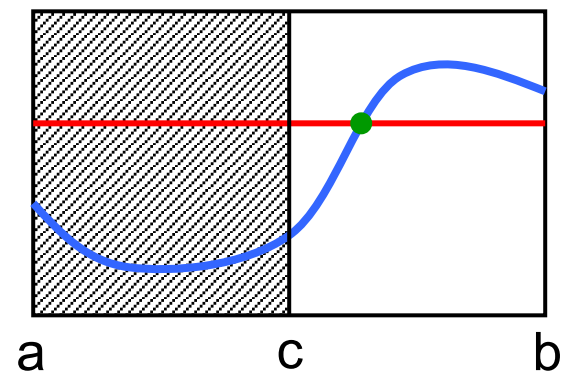
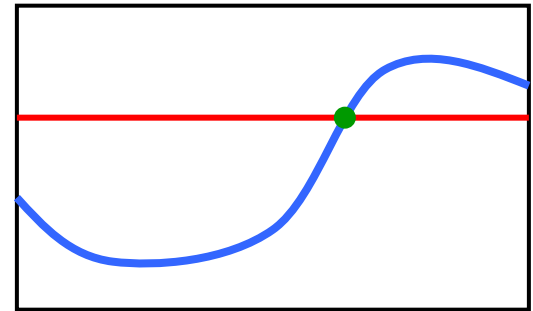
$m \leftarrow (a + b)/2$

if  $f(m) \leq 0$  then

return(**Bisection**( $c, b, \epsilon$ ))

else

return(**Bisection**( $a, c, \epsilon$ ))





# Time Analysis

$$\text{Let } n = \frac{a-b}{\epsilon}$$

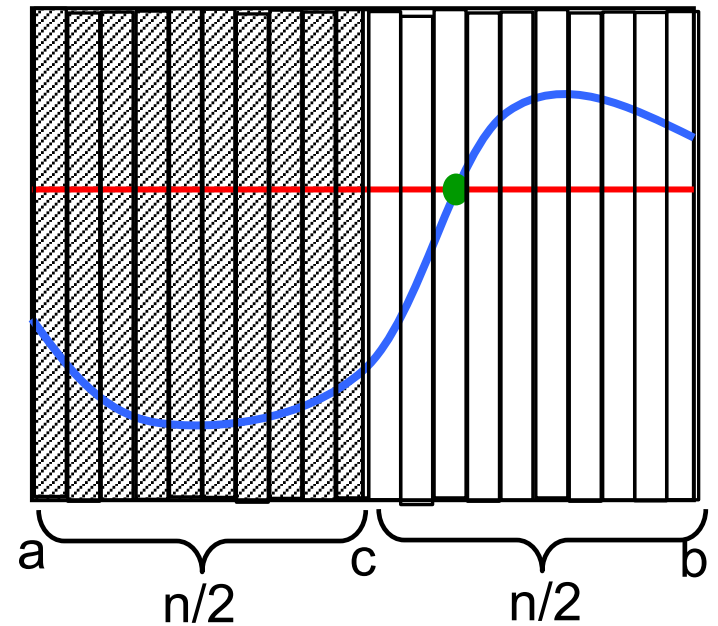
$$\text{And } c = (a + b)/2$$

Always half of the intervals lie to the left and half lie to the right of  $c$

So,

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

$$\text{i.e., } T(n) = O(\log n) = O\left(\log \frac{a-b}{\epsilon}\right)$$



# Correctness Proof

$P(k)$  = “For any  $a, b$  such that  $k\epsilon \leq |a - b| \leq (k + 1)\epsilon$  if  $f(a)f(b) \leq 0$ , then we find an  $\epsilon$  approx to a root using  $\log k$  queries to  $f$ ”

**Base Case:**  $P(1)$ : Output  $a + \epsilon$

**IH:** Assume  $P(k)$ .

**IS:** Show  $P(2k)$ . Consider an arbitrary  $a, b$  s.t.,  
$$2k\epsilon \leq |a - b| < (2k + 1)\epsilon$$

If  $f(a + k\epsilon) = 0$  output  $a + k\epsilon$ .

If  $f(a)f(a + k\epsilon) < 0$ , solve for interval  $a, a + k\epsilon$  using  $\log(k)$  queries to  $f$ .

Otherwise, we must have  $f(b)f(a + k\epsilon) < 0$  since  $f(a)f(b) < 0$  and  $f(a)f(a + k\epsilon) \geq 0$ . Solve for interval  $a + k\epsilon, b$ .

Overall we use at most  $\log(k) + 1 = \log(2k)$  queries to  $f$ .

# Master Theorem

Suppose  $T(n) = a T\left(\frac{n}{b}\right) + cn^k$  for all  $n > b$ . Then,

- If  $a > b^k$  then  $T(n) = \Theta(n^{\log_b a})$
- If  $a < b^k$  then  $T(n) = \Theta(n^k)$
- If  $a = b^k$  then  $T(n) = \Theta(n^k \log n)$

Works even if it is  $\lceil \frac{n}{b} \rceil$  instead of  $\frac{n}{b}$ .

We also need  $a \geq 1, b > 1, k \geq 0$  and  $T(n) = O(1)$  for  $n \leq b$ .

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**Example:** For **mergesort** algorithm we have

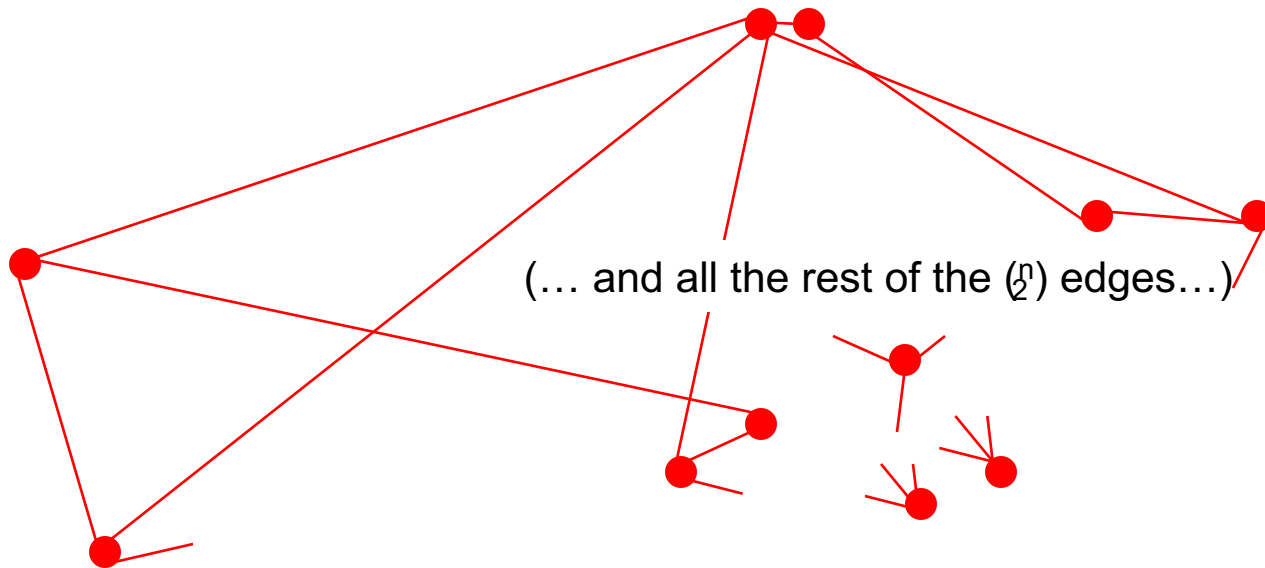
$$T(n) = 2T\left(\frac{n}{2}\right) + O(n).$$

So,  $k = 1$ ,  $a = b^k$  and  $T(n) = \Theta(n \log n)$

# Finding the Closest Pair of Points

# Closest Pair of Points (non geometric)

Given  $n$  points and **arbitrary** distances between them, find the closest pair. (E.g., think of distance as airfare – definitely not Euclidean distance!)

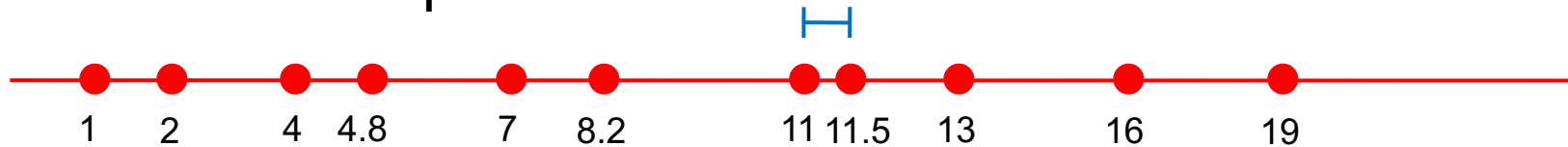


**Must look at all  $n$  choose 2 pairwise distances**, else any one you didn't check might be the shortest.

i.e., you have to read the whole input

# Closest Pair of Points (1-dimension)

Given  $n$  points on the real line, find the closest pair,  
e.g., given 11, 2, 4, 19, 4.8, 7, 8.2, 16, 11.5, 13, 1  
find the closest pair



**Fact:** Closest pair is **adjacent** in ordered list

So, first sort, then scan adjacent pairs.

Time  $O(n \log n)$  to sort, if needed, Plus  $O(n)$  to scan adjacent pairs

**Key point:** do not need to calc distances between all pairs:  
exploit geometry + ordering

# Closest Pair of Points (2-dimensions)

Given  $n$  points in the plane, find a pair with smallest Euclidean distance between them.

## Fundamental geometric primitive.

Graphics, computer vision, geographic information systems, molecular modeling, air traffic control.

Special case of nearest neighbor, Euclidean MST, Voronoi.

**Brute force:** Check all pairs of points  $p$  and  $q$  with  $\Theta(n^2)$  time.

**Assumption:** No two points have same  $x$  or  $y$  coordinates.



# A Divide and Conquer Alg

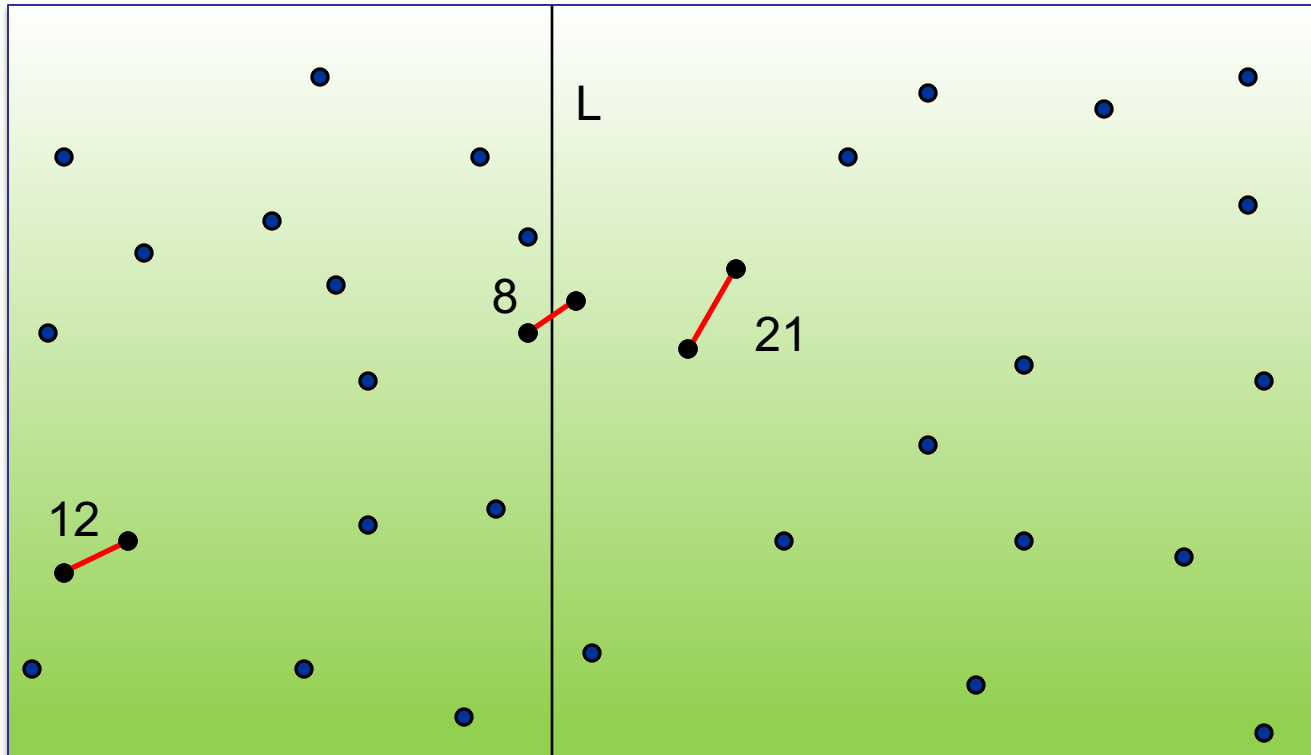
**Divide:** draw vertical line  $L$  with  $\approx n/2$  points on each side.

**Conquer:** find closest pair on each side, recursively.

**Combine** to find closest pair overall

Return best solutions

← seems like  $\Theta(n^2)$  ?



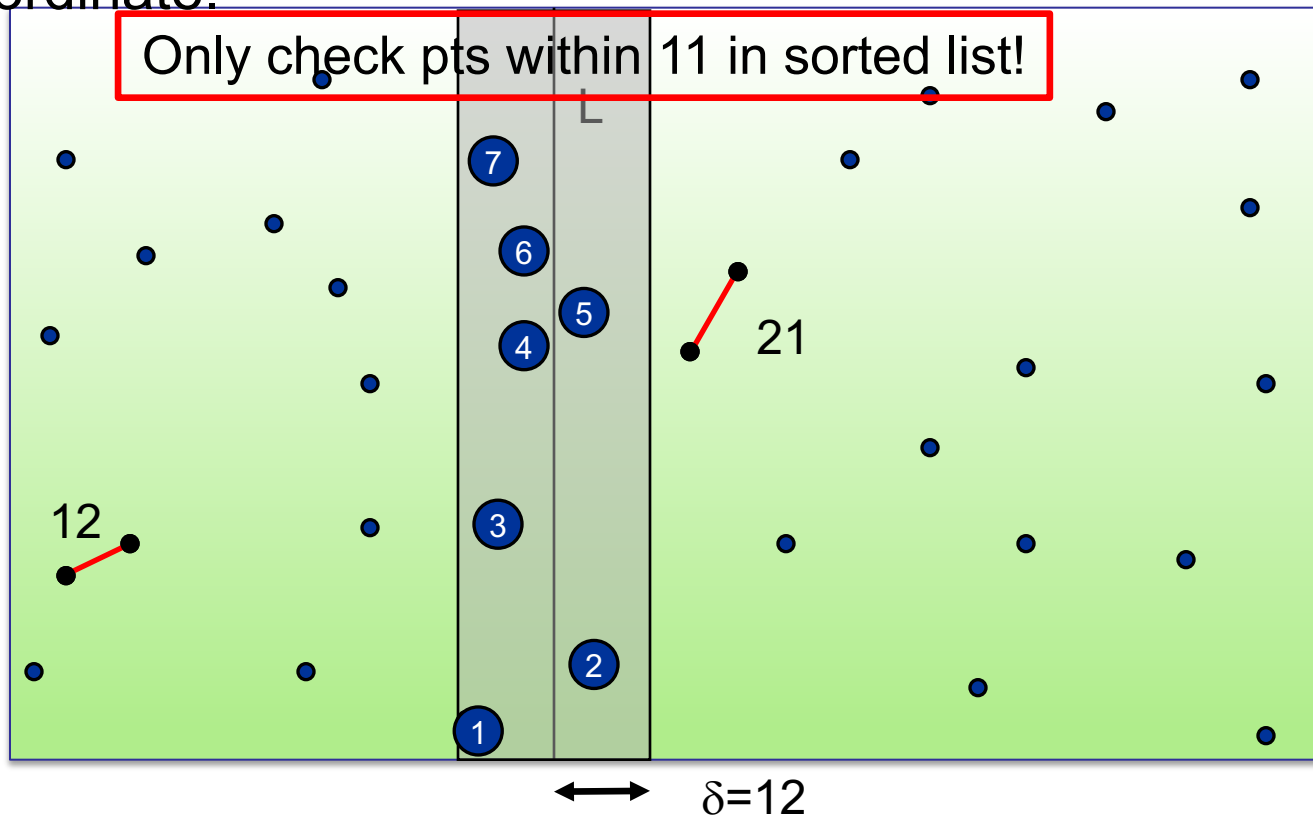
# Key Observation

Suppose  $\delta$  is the minimum distance of all pairs in left/right of  $L$ .

$$\delta = \min(12, 21) = 12.$$

**Key Observation:** suffices to consider points within  $\delta$  of line  $L$ .

Almost the one-D problem again: Sort points in  $2\delta$ -strip by their  $y$  coordinate.



# Almost 1D Problem

Partition each side of  $L$  into  $\frac{\delta}{2} \times \frac{\delta}{2}$  squares

**Claim:** No two points lie in the same  $\frac{\delta}{2} \times \frac{\delta}{2}$  box.

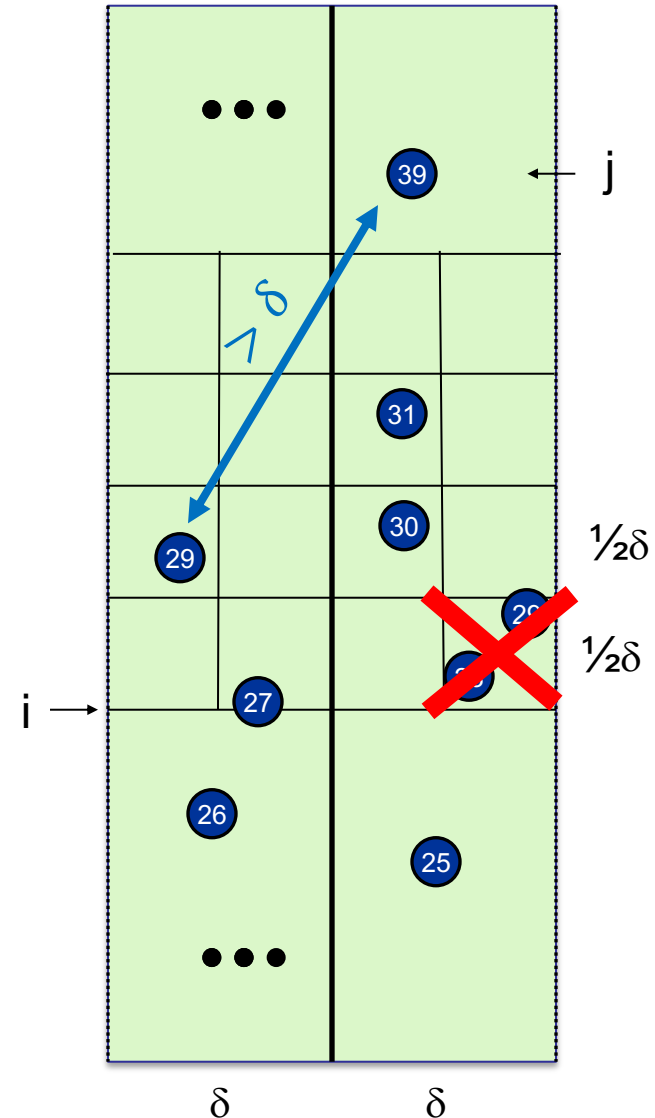
**Pf:** Such points would be within

$$\sqrt{\left(\frac{\delta}{2}\right)^2 + \left(\frac{\delta}{2}\right)^2} = \delta \sqrt{\frac{1}{2}} \approx 0.7\delta < \delta$$

Let  $s_i$  have the  $i^{\text{th}}$  smallest  $y$ -coordinate among points in the  $2\delta$ -width-strip.

**Claim:** If  $|i - j| > 11$ , then the distance between  $s_i$  and  $s_j$  is  $> \delta$ .

**Pf:** only 11 boxes within  $\delta$  of  $y(s_i)$ .



# Closest Pair (2Dim Algorithm)

```
Closest-Pair( $p_1, \dots, p_n$ ) {  
  if( $n \leq ??$ ) return ??
```

Compute separation line  $L$  such that half the points are on one side and half on the other side.

```
 $\delta_1$  = Closest-Pair(left half)  
 $\delta_2$  = Closest-Pair(right half)  
 $\delta$  =  $\min(\delta_1, \delta_2)$ 
```

Delete all points further than  $\delta$  from separation line  $L$

Sort remaining points  $p[1] \dots p[m]$  by  $y$ -coordinate.

```
for  $i = 1..m$  i  
  for  $k = 1..11$   
    if  $i+k \leq m$   
       $\delta = \min(\delta, \text{distance}(p[i], p[i+k]));$ 
```

```
return  $\delta$ .
```

```
}
```

# Closest Pair Analysis I

Let  $D(n)$  be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on  $n \geq 1$  points

$$D(n) \leq \begin{cases} 1 & \text{if } n = 1 \\ 2D\left(\frac{n}{2}\right) + 11n & \text{o. w.} \end{cases} \Rightarrow D(n) = O(n \log n)$$

BUT, that's only the number of distance calculations

What if we counted running time?

$$T(n) \leq \begin{cases} 1 & \text{if } n = 1 \\ 2T\left(\frac{n}{2}\right) + O(n \log n) & \text{o. w.} \end{cases} \Rightarrow T(n) = O(n \log^2 n)$$

# Can we do better? (Analysis II)

Yes!!

Don't sort by y-coordinates each time.

Sort by x at **top** level only.

This is enough to divide into two equal subproblems in  $O(n)$

Each recursive call returns  $\delta$  **and list of all points sorted by y**

Sort points by y-coordinate by **merging** two pre-sorted lists.

$$T(n) \leq \begin{cases} 1 & \text{if } n = 1 \\ 2T\left(\frac{n}{2}\right) + O(n) & \text{o. w.} \end{cases} \Rightarrow D(n) = O(n \log n)$$