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# CSE 421: Introduction to Algorithms

**Stable Matching** 

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#### Administrativia Stuffs

Lectures: M/W/F 10:30-11:20

Zoom Id: KNE 220

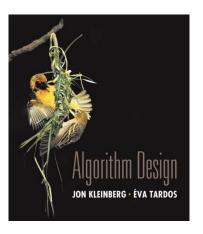
Office hours: M/W 11:30-12:20, Allen center 636

T 12:30-1:20 https://washington.zoom.us/j/98253433874

Discussion Board: Use edstem https://edstem.org







Course textbook



Supplementary text 2

## TAs

Allen Aby	Mon 1:30-2:20 PM
Robin Yang	Tue 10:30-11:20 AM
Andreea Ghizila	Tue 1:30-2:20 PM
William Nguyen	Tue 2:30-3:20 PM
Motoya Ohnishi	Tue 4:30-5:20 PM
Ashwin Banwari	Wed 4:00-4:50 PM
Jason Waataja	Wed 5:00-5:50
Ivy Wang	Thu 9:30-10:20 AM
Mrigank Arora	Thu 11:30-12:20 AM

## Grading

- Weekly HWs, First HW due April 7<sup>th</sup>
- Submit to Gradescope
- Midterm (05/02/2022), Final (06/07/2022)
  - Exams are open book, open note, no internet access
  - Midterm 50 minutes, Final 110 minutes.
- HW 50%, Midterm 15-20%, Final 30-35%
- Extra Credit problems can boost your final GPA by 0.1

#### Daily Quizzes

- One quiz before every lecture
- 1-2 questions about the materials of the previous lecture
- Typically yes/no or multiple choice
- Login to canvas (assignment tab) to access the quiz
- Will release questions in the morning before class, you have around 3-4 minutes to answer

- Daily Quizes can boost up your final GPA by 0.1
- If you don't answer any of them you can still get 4.0!

#### Structure of the course

- First 2-3 lectures overview of proof techniques
  - Proof by Contradiction
  - Induction
  - Take a look at CSE 311 Lectures/assignments for preparation
- Graph Algorithms
- Greedy Algorithms
- Divid & Conquor

#### **Midterm**

- Dynamic Programming,
- Network Flow
- Approximation Algorithms and Linear Programming
- Np Completeness

#### **Final**

#### Stable Matching Problem

Given n companies  $c_1, ..., c_n$ , and n applicants,  $a_1, ..., a_n$  find a "stable matching".

- Participants rate members of opposite group.
- Each company lists applicants in order of preference.
- Each applicant lists companies in order of preference.

	favorite	le	ast favorit
	1 <sup>s†</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
<i>c</i> <sub>3</sub>	$a_1$	$a_2$	$a_3$

	favorite	le	ast favorite
	1 <sup>s†</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$a_1$	$c_2$	$c_1$	<i>c</i> <sub>3</sub>
$a_2$	$c_1$	$c_2$	$c_3$
$a_3$	$c_1$	$c_2$	$c_3$

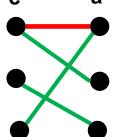
#### Stable Matching

#### Perfect matching:

- Each company gets exactly one applicant.
- Each applicant gets exactly one company.

Stability: no incentive for some pair of participants to undermine assignment by joint action.

In a matching M, an unmatched pair a-c is unstable if a and c prefer each other to current partners.



Stable matching: perfect matching with no unstable pairs.

Stable matching problem: Given the preference lists of n companies and n applicants, find a stable matching if one exists.

#### Example

Question. Is assignment  $(c_1, a_3)$ ,  $(c_2, a_2)$ ,  $(c_3, a_1)$  stable?

	favorite ↓	least favorite	
	1 <sup>s†</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
<i>c</i> <sub>3</sub>	$a_1$	$a_2$	$a_3$

	favorite ↓		least favorite ↓	
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	
$a_1$	$c_2$	$c_1$	$c_3$	
$a_2$	$c_1$	$c_2$	$c_3$	
$a_3$	$c_1$	$c_2$	$c_3$	

## Example

Question. Is assignment  $(c_1, a_3)$ ,  $(c_2, a_2)$ ,  $(c_3, a_1)$  stable? Answer. No.  $a_2$ ,  $c_1$  will hook up.

	favorite ↓	least favorite ↓	
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
$c_3$	$a_1$	$a_2$	$a_3$

	favorite ↓		least favorite ↓	
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	
$a_1$	$c_2$	$c_1$	<i>c</i> <sub>3</sub>	
$a_2$	$c_1$	$c_2$	$c_3$	
$a_3$	$c_1$	$c_2$	$c_3$	

#### Example

Question: Is assignment  $(c_1, a_1)$ ,  $(c_2, a_2)$ ,  $(c_3, a_3)$  stable?

Answer: Yes.

	favorite ↓	least favorite	
	1 <sup>s†</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
$c_3$	$a_1$	$a_2$	$a_3$

	favorite ↓	least favorite ↓	
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$a_1$	$c_2$	$c_1$	$c_3$
$a_2$	$c_1$	$c_2$	$c_3$
$a_3$	$c_1$	$c_2$	$c_3$

# Existence of Stable Matchings

Question. Do stable matchings always exist? Answer. Yes, but not obvious a priori.

#### Stable roommate problem:

2n people; each person ranks others from 1 to 2n-1.
Assign roommate pairs so that no unstable pairs.

	1 <sup>st</sup>	2 <sup>nd</sup>	<b>3</b> rd	
Adam	В	С	D	4 D C D . D C
Bob	С	Α	D	$A-B$ , $C-D$ $\Rightarrow$ $B-C$ unstable $A-C$ , $B-D$ $\Rightarrow$ $A-B$ unstable
Chris	Α	В	D	A-D, B- $C \Rightarrow A-C$ unstable
David	Α	В	С	

So, Stable matchings do not always exist for stable roommate problem.

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#### Propose-And-Reject Algorithm [Gale-Shapley'62]

```
Initialize each side to be free.
while (some company is free and hasn't proposed to every
applicant) {
    Choose such a c
    a = 1st woman on C's list to whom C has not yet proposed
    if (a is free)
        assign c and a
    else if (a prefers c to her current c')
        assign c and a, and c' to be free
    else
        a rejects c
```

## First step: Properties of Algorithm

Observation 1: Companies propose to Applicants in decreasing order of preference.

Observation 2: Each company proposes to each applicant at most once

Observation 3: Once an applicant is matched, she never becomes unmatched; she only "trades up."

#### What do we need to prove?

- 1) The algorithm ends
  - How many steps does it take?

- 2) The algorithm is correct [usually the harder part]
  - It outputs a perfect matching
  - The output matching is stable

## 1) Termination / Runtime

Claim. Algorithm terminates after  $\leq n^2$  iterations of while loop.

Proof. Observation 2: Each company proposes to each applicant at most once.

Each company makes at most n proposals

So, there are only  $n^2$  possible proposals.  $\blacksquare$ 

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Vmware	Α	В	С	D	Ε
Walmart	В	С	D	Α	Е
Xfinity	С	D	Α	В	Е
Yamaha	D	Α	В	С	Е
Zoom	Α	В	С	D	Е

	1 <sup>s†</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Amy	W	X	У	Z	V
Brenda	Х	У	Z	V	W
Claire	У	Z	V	W	Х
Diane	Z	V	W	X	У
Erika	V	W	Х	У	Z

#### 2) Correctness: Output is Perfect matching

Claim. All Companies and Applicants get matched.

#### Proof. (by contradiction)

Suppose, for sake of contradiction, that  $c_1$  is not matched upon termination of algorithm.

Then some applicant, say  $a_1$ , is not matched upon termination.

By Observation 3 (only trading up, never becoming unmatched),  $a_1$  was never proposed to.

But,  $c_1$  proposes to everyone, since it ends up unmatched.

## 2) Correctness: Stability

Claim. No unstable pairs.

Proof. (by contradiction)

Suppose c, a is an unstable pair: they prefer each other to the partner in Gale-Shapley matching  $S^*$ .

```
Case 1: c never proposed to a.

\Rightarrow c prefers its \mathbf{S}^* partner to a.

\Rightarrow c, a is stable.

Obs1: companies propose in

decreasing order of preference
```

Case 2: c proposed to a.

- $\Rightarrow$  a rejected c (right away or later)
- $\Rightarrow$  a prefers her **S**\* partner to c.
- $\Rightarrow$  c, a is stable.

Obs3: applicants only trade up

In either case c, a is stable, a contradiction.

# Summary

Stable matching problem: Given n companies and n applicants, and their preferences, find a stable matching if one exists.

- Gale-Shapley algorithm: Guarantees to find a stable matching for any problem instance.
- Q: How to implement GS algorithm efficiently?
- Q: If there are multiple stable matchings, which one does GS find?
- Q: How many stable matchings are there?