# CSE 421: Introduction to Algorithms 

## Stable Matching

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## Administrativia Stuffs

Lectures: M/W/F 10:30-11:20
Zoom Id: KNE 220

Office hours: M/W 11:30-12:20, Allen center 636
T 12:30-1:20 https://washington.zoom.us/j/98253433874
Discussion Board: Use edstem https://edstem.org



Course textbook


Supplementary text 2

## TAs

| Allen Aby | Mon 1:30-2:20 PM |
| :--- | :--- |
| Robin Yang | Tue 10:30-11:20 AM |
| Andreea Ghizila | Tue 1:30-2:20 PM |
| William Nguyen | Tue 2:30-3:20 PM |
| Motoya Ohnishi | Tue 4:30-5:20 PM |
| Ashwin Banwari | Wed 4:00-4:50 PM |
| Jason Waataja | Wed 5:00-5:50 |
| Ivy Wang | Thu 9:30-10:20 AM |
| Mrigank Arora | Thu 11:30-12:20 AM |

## Grading

- Weekly HWs, First HW due April $7^{\text {th }}$
- Submit to Gradescope
- Midterm (05/02/2022), Final (06/07/2022)
- Exams are open book, open note, no internet access
- Midterm 50 minutes, Final 110 minutes.
- HW 50\%, Midterm 15-20\%, Final 30-35\%
- Extra Credit problems can boost your final GPA by 0.1


## Daily Quizzes

- One quiz before every lecture
- 1-2 questions about the materials of the previous lecture
- Typically yes/no or multiple choice
- Login to canvas (assignment tab) to access the quiz
- Will release questions in the morning before class, you have around 3-4 minutes to answer
- Daily Quizes can boost up your final GPA by 0.1
- If you don't answer any of them you can still get 4.0!


## Structure of the course

- First 2-3 lectures overview of proof techniques
- Proof by Contradiction
- Induction
- Take a look at CSE 311 Lectures/assignments for preparation
- Graph Algorithms
- Greedy Algorithms
- Divid \& Conquor


## Midterm

- Dynamic Programming,
- Network Flow
- Approximation Algorithms and Linear Programming
- Np Completeness

Final

## Stable Matching Problem

Given $n$ companies $c_{1}, \ldots, c_{n}$, and n applicants, $a_{1}, \ldots, a_{n}$
find a "stable matching".

- Participants rate members of opposite group.
- Each company lists applicants in order of preference.
- Each applicant lists companies in order of preference.

|  | favorite | least favorite |  |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ |
| $c_{1}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |
| $c_{2}$ | $a_{2}$ | $a_{1}$ | $a_{3}$ |
| $c_{3}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |


|  | favorite | least favorite |  |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ |
| $a_{1}$ | $c_{2}$ | $c_{1}$ | $c_{3}$ |
| $a_{2}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |
| $a_{3}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |

## Stable Matching

## Perfect matching:

- Each company gets exactly one applicant.
- Each applicant gets exactly one company.

Stability: no incentive for some pair of participants to undermine assignment by joint action.

In a matching M , an unmatched pair a-c is unstable if a and c prefer each other to current partners.


Stable matching: perfect matching with no unstable pairs.
Stable matching problem: Given the preference lists of $n$ companies and $n$ applicants, find a stable matching if one exists.

## Example

## Question. Is assignment $\left(c_{1}, a_{3}\right),\left(c_{2}, a_{2}\right),\left(c_{3}, a_{1}\right)$ stable?

|  | favorite $\downarrow$ |  | least favorite $\downarrow$ |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | 3 rd |
| $C_{1}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |
| $C_{2}$ | $a_{2}$ | $a_{1}$ | $a_{3}$ |
| $C_{3}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |


|  | favorite <br> $\downarrow$ |  | least favorite <br> $\downarrow$ |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ |
| $a_{1}$ | $c_{2}$ | $c_{1}$ | $c_{3}$ |
| $a_{2}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |
| $a_{3}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |

## Example

Question. Is assignment $\left(c_{1}, a_{3}\right),\left(c_{2}, a_{2}\right),\left(c_{3}, a_{1}\right)$ stable? Answer. No. $a_{2}, c_{1}$ will hook up.

|  | favorite <br> $\downarrow$ |  | least favorite |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2 n d$ |  |
| $c_{1}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |
| $c_{2}$ | $a_{2}$ | $a_{1}$ | $a_{3}$ |
| $c_{3}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |


|  | favorite |  | least favorite |
| :---: | :---: | :---: | :---: |
|  | 1 1st | $2{ }^{\text {nd }}$ | $3{ }^{\text {rd }}$ |
| $a_{1}$ | $c_{2}$ | $c_{1}$ | $c_{3}$ |
| $a_{2}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |
| $a_{3}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |

## Example

Question: Is assignment $\left(c_{1}, a_{1}\right),\left(c_{2}, a_{2}\right),\left(c_{3}, a_{3}\right)$ stable? Answer: Yes.

|  | favorite |  | least favorite $\downarrow$ |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | 3 rd |
| $C_{1}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |
| $C_{2}$ | $a_{2}$ | $a_{1}$ | $a_{3}$ |
| $C_{3}$ | $a_{1}$ | $a_{2}$ | $a_{3}$ |


|  | favorite <br> $\downarrow$ |  | least favorite <br> $\downarrow$ |
| :---: | :---: | :---: | :---: |
|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ |
| $a_{1}$ | $c_{2}$ | $c_{1}$ | $c_{3}$ |
| $a_{2}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |
| $a_{3}$ | $c_{1}$ | $c_{2}$ | $c_{3}$ |

## Existence of Stable Matchings

Question. Do stable matchings always exist?
Answer. Yes, but not obvious a priori.
Stable roommate problem:
2 n people; each person ranks others from 1 to $2 \mathrm{n}-1$.
Assign roommate pairs so that no unstable pairs.

|  | $1{ }^{\text {st }}$ | $2^{\text {nd }}$ | $3{ }^{\text {rd }}$ | $A-B, C-D \Rightarrow B-C$ unstable <br> $A-C, B-D \Rightarrow A-B$ unstable <br> $A-D, B-C \Rightarrow A-C$ unstable |
| :---: | :---: | :---: | :---: | :---: |
| Adam | B | $C$ | D |  |
| Bob | $C$ | A | D |  |
| Chris | A | B | D |  |
| David | A | B | C |  |

So, Stable matchings do not always exist for stable roommate problem.

## Propose-And-Reject Algorithm [Gale-Shapley'62]

```
Initialize each side to be free.
while (some company is free and hasn't proposed to every
applicant) {
    Choose such a c
    a = 1 'st woman on c's list to whom c has not yet proposed
    if (a is free)
    assign c and a
    else if (a prefers c to her current c')
        assign c and a, and c' to be free
    else
    a rejects c
}
```


## First step: Properties of Algorithm

Observation 1: Companies propose to Applicants in decreasing order of preference.

Observation 2: Each company proposes to each applicant at most once

Observation 3: Once an applicant is matched, she never becomes unmatched; she only "trades up."

## What do we need to prove?

1) The algorithm ends

- How many steps does it take?

2) The algorithm is correct [usually the harder part]

- It outputs a perfect matching
- The output matching is stable


## 1) Termination / Runtime

Claim. Algorithm terminates after $\leq \boldsymbol{n}^{2}$ iterations of while loop. Proof. Observation 2: Each company proposes to each applicant at most once.
Each company makes at most $n$ proposals So, there are only $n^{2}$ possible proposals. -

|  | $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ | $4^{\text {th }}$ | $5^{\text {th }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Vmware | A | B | C | D | E |
| Walmart | B | C | D | A | E |
| Xfinity | C | D | A | B | E |
| Yamaha | D | A | B | C | E |
| Zoom | A | B | C | D | E |


| $1^{\text {st }}$ | $2^{\text {nd }}$ | $3^{\text {rd }}$ | $4^{\text {th }}$ | $5^{\text {th }}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Amy | W | X | y | Z | V |
| Brenda | X | Y | Z | V | W |
| Claire | Y | Z | V | W | X |
| Diane | Z | V | W | X | y |
| Erika | V | W | X | y | Z |

$n(n-1)+1$ proposals required

## 2) Correctness: Output is Perfect matching

Claim. All Companies and Applicants get matched.
Proof. (by contradiction)
Suppose, for sake of contradiction, that $c_{1}$ is not matched upon termination of algorithm.
Then some applicant, say $a_{1}$, is not matched upon termination.
By Observation 3 (only trading up, never becoming unmatched), $a_{1}$ was never proposed to.
But, $c_{1}$ proposes to everyone, since it ends up unmatched.

## 2) Correctness: Stability

Claim. No unstable pairs.
Proof. (by contradiction)
Suppose $c$, $a$ is an unstable pair: they prefer each other to the partner in Gale-Shapley matching S*.

Obs1: companies propose in
Case 1: $c$ never proposed to $a$. $\Rightarrow c$ prefers its $\mathbf{S}^{*}$ partner to $a$.
$\Rightarrow c, a$ is stable.
Case 2: $c$ proposed to $a$.
$\Rightarrow a$ rejected $c$ (right away or later)
$\Rightarrow a$ prefers her $\mathbf{S}^{*}$ partner to $c$.
$\Rightarrow c, a$ is stable.
In either case $c, a$ is stable, a contradiction.

## Summary

Stable matching problem: Given $n$ companies and $n$ applicants, and their preferences, find a stable matching if one exists.

- Gale-Shapley algorithm: Guarantees to find a stable matching for any problem instance.
- Q: How to implement GS algorithm efficiently?
- Q: If there are multiple stable matchings, which one does GS find?
- Q: How many stable matchings are there?

