

# CSE 421 Section 6

**Midterm Review**

# Administrivia



# Announcements & Reminders

- HW4
  - If you think something was graded incorrectly, submit a regrade request!
- HW5
  - Was Due Yesterday, Wednesday 11/2 @ 10pm
- Midterm Exam: **Monday November 7 @ GWN 301 @ 6-7:30 pm**
  - Make sure you have it saved on your calendar!
  - If you can't make it, let us know and we will schedule a conflict exam!
  - If you are sick on the day of, let us know and we will schedule a conflict exam!

# Stable Matching



## Problem 1 – A Quick Proof

Is it possible to have a stable matching instance with more than 2 stable matchings? If so, give an instance and at least 3 stable matchings. If not, prove that every instance has at most 2 stable matchings.

Work through this problem with the people around you, and then we'll go over it together!

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Consider the following instance:

$r1 : h1, h2, h3, h4$

$r2 : h2, h1, h4, h3$

$r3 : h3, h4, h1, h2$

$r4 : h4, h3, h2, h1$

$h1 : r2, r1, r4, r3$

$h2 : r1, r2, r3, r4$

$h3 : r4, r3, r2, r1$

$h4 : r3, r4, r1, r2$

This instance has four stable matchings:

$(r1, h1), (r2, h2), (r3, h3), (r4, h4)$

$(r1, h1), (r2, h2), (r3, h4), (r4, h3)$

$(r1, h2), (r2, h1), (r3, h3), (r4, h4)$

$(r1, h2), (r2, h1), (r3, h4), (r4, h3)$

# Graph Algorithms



## Problem 2 – Another DFS Application

In an undirected graph, call an edge  $e = (u, v)$  a cut edge if  $u$  and  $v$  cannot reach each other in  $G \setminus e$ .

Intuitively, a cut edge is one which, when “cut” (i.e., removed) causes the graph to have more components than it did before. Cut edges are also called bridges.

We'll adapt DFS to find all the cut edges in a graph.

## Problem 2.1 – Setting the Foundation

- a) We're in an undirected graph now, so edge classification will be different from lecture. Look back at the edge classification for DFS for directed graphs. Convince yourself that it's not possible to have a cross edge in a DFS on an undirected graph. (1-3 sentences of intuition, not a proof).
- b) Convince yourself it is not possible to have a forward edge in a DFS on an undirected graph. (2-3 sentences of intuition, not a proof).
- c) NOW, let's try to recognize cut edges. Prove that a cut edge must be a tree edge.
- d) Draw a graph, and a corresponding DFS, which has at least one tree edge that is not a cut edge, and at least one tree edge that is a cut edge.

Work through this problem with the people around you, and then we'll go over it together!

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For  $(u, v)$  to be a cross-edge, we have to discover  $v$  first then get to  $u$ . But in an undirected graph, when we process  $v$ , we can view  $(v, u)$  as an edge and will discover  $u$  through it.

## Problem 2.1 – Setting the Foundation

- b) Convince yourself it is not possible to have a forward edge in a DFS on an undirected graph. (2-3 sentences of intuition, not a proof).

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Similarly, for  $(u, v)$  to be a forward edge, we must discover  $u$ , and (using some other edge(s)) discover  $v$ , then return to  $u$  and process the edge  $(u, v)$ . In an undirected graph, when we found  $v$ , we would process all its edges, including  $(u, v)$  before popping it off the stack, so we can't get back to  $u$  to process.

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Let  $e = (u, v)$  be a cut edge. Without loss of generality, let  $u$  be the first of  $u$  and  $v$  that DFS processes. When  $u$  is processed, it stays on the stack until all edges, including  $(u, v)$  are processed.  $(u, v)$  will be a tree edge unless DFS discovers  $v$  before  $(u, v)$  is processed. To discover  $v$ , we would need to follow a walk from  $u$  to  $v$ . If such a walk existed, then  $(u, v)$  would not be a cut edge, as  $u$  would still be connected to  $v$ . Thus we cannot discover  $v$ , and when  $(u, v)$  is processed, it will be a tree edge.

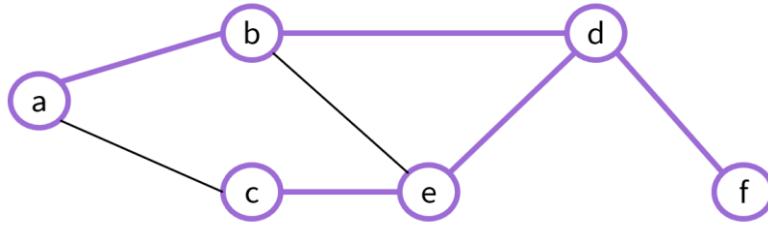
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There are many different examples you can come up with, but here is an example undirected graph with the corresponding DFS.



The edge  $(d,f)$  is a cut edge, while the other tree edges  $(a,b)$ ,  $(b,d)$ ,  $(d,e)$ , and  $(e,c)$  are not

## Problem 2.2 – Building the Bridges

- a) Prove that an edge is a cut edge if and only if it is not part of a simple cycle.
- b) Define  $\text{low}(u)$  to be the smallest pre number that can be reached by: starting at  $u$ , following any number of tree edges, and at most one back edge. Show that if  $(u, v)$  is a tree edge, discovered going from  $u$  to  $v$ , and  $(u, v)$  is part of a cycle, then  $\text{low}(v) \leq u.\text{pre}$ . (Notice we're comparing  $v$ 's low to  $u$ 's pre!)
- c) Show that if  $(u, v)$  is a tree edge, discovered going from  $u$  to  $v$ , and  $(u, v)$  is not part of a cycle then  $u.\text{pre} < \text{low}(v)$ .
- d) Now, modify DFS to detect every cut edge of an undirected graph.

Work through this problem with the people around you, and then we'll go over it together!

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Forward direction: By contrapositive (part of a simple cycle  $\rightarrow$  cut edge)

Suppose  $e = (v_1, v_2)$  is part of a simple cycle  $v_1, v_2, \dots, v_k$ . Every walk that uses  $e$  can have  $e$  replaced with  $v_1, v_k, v_{k-1}, \dots, v_2$  and still be a valid walk (i.e., a trip “the long way” around the cycle), so the connected components of  $G$  cannot change when  $e$  is deleted.

Backward direction: by contrapositive (not cut edge  $\rightarrow$  not part of simple cycle)

Suppose, for the sake of contradiction, that  $e = (u, v)$  is not part of a simple cycle and  $(u, v)$  is not a cut edge. Then  $u$  and  $v$  must be able to reach each other in  $G - e$ . Let  $v, w_1, \dots, w_k, u$  be a (simple) path from  $v$  to  $u$  in  $G - e$ . Then adding  $e$  would give a simple cycle involving  $e$  in  $G$ , a contradiction.

## Problem 2.2 – Building the Bridges

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$(u, v)$  was a tree edge, so  $v$  was a new discovery, and there will be a DFS call starting from  $v$  made immediately. By the discovery property,  $v$  will discover every undiscovered vertex reachable from  $v$  before coming off the stack. Because  $(u, v)$  is part of a cycle, that must include returning to some already visited vertex in the cycle (possibly  $u$  itself, or possibly a predecessor of  $v$ ). The pre number for that vertex is less than the pre for  $v$  since it was discovered first. Thus we have  $\text{low}(v) \leq u.\text{pre}$

## Problem 2.2 – Building the Bridges

- c) Show that if  $(u, v)$  is a tree edge, discovered going from  $u$  to  $v$ , and  $(u, v)$  is not part of a cycle then  $u.\text{pre} < \text{low}(v)$ .

## Problem 2.2 – Building the Bridges

- c) Show that if  $(u, v)$  is a tree edge, discovered going from  $u$  to  $v$ , and  $(u, v)$  is not part of a cycle then  $u.\text{pre} < \text{low}(v)$ .

Since  $(u, v)$  is not part of a cycle, there is no way to return from  $u$ . Thus no back edge can reach any ancestor of  $u$  (if one did, combining with tree edges would give a cycle). We therefore have that  $\text{low}(v)$  being at most  $v.\text{pre}$ . Since  $u$  discovered  $v$ ,  $u.\text{pre} < v.\text{pre} \leq \text{low}(v)$ .

## Problem 2.2 – Building the Bridges

- d) Now, modify DFS to detect every cut edge of an undirected graph.

## Problem 2.2 – Building the Bridges

```
DFS_Cut_Edge(u)
  Mark u as "seen"
  u.start = counter++
  u.low = u.start
  For each edge (u,v) //leaving u
    If v is not "seen"
      DFS(v)
      If(v.start < u.low)
        u.low = v.start
      Else
        mark (u,v) as a cut edge
      End If
    Else
      if(v.low < u.low)
        u.low = v.low
      End If
    End If
  End For
  u.end = counter++
```

d) Now, modify DFS to detect every cut edge of an undirected graph.

# Greedy Algorithms



## Problem 3 – Interval Covering

You have a set,  $\mathcal{X}$ , of (possibly overlapping) intervals, which are (contiguous) subsets of  $\mathbb{R}$ . You wish to choose a subset  $\mathcal{Y}$  of the intervals to cover the full set. Here, cover means for all  $x \in \mathbb{R}$  if there is an  $X \in \mathcal{X}$  such that  $x \in X$  then there is a  $Y \in \mathcal{Y}$  such that  $x \in Y$ .

Describe (and prove correct) an algorithm which gives you a cover with the fewest intervals.

Work through this problem with the people around you, and then we'll go over it together!

# Problem 3 – Interval Covering

**Key Idea** Take the next interval that helps, i.e. that covers a new point; among all such intervals (if more than one) take one that goes the farthest right.

```
function IntervalCovering( $\mathcal{X}$ )
     $\mathcal{Y} \leftarrow \emptyset$ 
    Sort  $\mathcal{X}$  by increasing start, breaking ties by decreasing end.
    Let  $y$  be the start time of the first element of  $\mathcal{X}$ 
    while  $\mathcal{X} \neq \emptyset$  do
        Let  $I = [s, e]$  be the element remaining in  $\mathcal{X}$ , with latest end time
            among those starting  $y$  or earlier.
         $\mathcal{Y} \leftarrow \mathcal{Y} \cup \{I\}$ 
        Delete all elements of  $\mathcal{X}$  with endtime  $e$  or earlier
         $y \leftarrow$  first covered point past  $e$ 
```

# Problem 3 – Interval Covering

## Correctness:

Let  $ALG = a_1, a_2, \dots, a_k$  be the list of intervals found by the algorithm, and let  $OPT = o_1, \dots, o_j$  be the list of intervals in an optimal cover. In both cases, let these lists be sorted by increasing start time.

We claim the following:

Lemma 1. for all  $i$ ,  $END(a_i) \geq END(o_i)$ .

Proof. Base Case: Let  $\ell$  be the left-most point of any interval in  $\mathcal{X}$ . To be valid covers, both  $ALG$  and  $OPT$  must cover  $\ell$ . Since the algorithm starts by sorting  $\mathcal{X}$ , the first step of  $ALG$  chooses an interval covering  $\ell$ . By the tie-breaking of the sort,  $END(a_1)$  is the right-most point in any interval containing  $\ell$ . Since  $OPT$  also covers  $\ell$ , in sorted order  $o_1$  must be an interval covering  $\ell$ , thus  $END(a_1) \geq END(o_1)$ .

IH: Suppose  $END(a_k) \geq END(o_k)$ .

## Problem 3 – Interval Covering

IS: Let  $\ell_{k+1}$  be the left-most point in  $\mathcal{X}$  not covered by any of  $a_1, \dots, a_k$ . By IH,  $o_{k+1}$  also does not cover  $\ell_{k+1}$ . Since OPT is a valid cover and sorted,  $o_{k+1}$  must cover  $\ell_{k+1}$ . Now, consider the execution of the algorithm: when it added  $a_k$ , it deleted all elements that would not cover a new point, thus it considered only intervals containing  $\ell_{k+1}$  and chose the one with the latest end time. Thus,  $o_k$  was an option for the algorithm, and it chose the farthest-right-reaching, so we have  $\text{END}(a_{k+1}) \geq \text{END}(o_{k+1})$ .

With the Lemma proven, we observe that ALG is a minimum-sized cover. By construction, ALG covers every point in  $\mathcal{X}$ . Until the last interval  $a_k$  is added to ALG, there is still a point not covered by  $\mathcal{Y}$  (as we delete all intervals that have all points covered); by the lemma  $\text{END}(\text{ALG}_{k-1}) \geq \text{END}(\text{OPT}_{k-1})$ , so OPT is not a cover until the final interval is added. Thus both OPT and ALG must contain the same number of intervals, and ALG is also optimal.

# Problem 3 – Interval Covering

## Running Time:

Note that the whole algorithm, including the deletion step, can be performed with a simple iteration — intervals in  $\mathcal{X}$  will overlap with  $I$  if and only if their start time is before  $I$ 's end-time. Since  $\mathcal{X}$  is already sorted by start time, every element is either  $I$  for some interval or is deleted in  $\mathcal{O}(1)$  time by the deletion command, so the function runs in  $\mathcal{O}(n)$  time total.

# Divide and Conquer



## Problem 4 – Binary Search Variant

Let  $A[1..n]$  be an array of ints. Call an array a **mountain** if there exists an index  $i$  called “the peak”, such that:

$$\forall 1 \leq j < i (A[j] < A[j + 1])$$

$$\forall i \leq j < n (A[j] > A[j + 1])$$

Intuitively, the array increases to the “peak” index  $i$ , and then decreases.

Note that either of these conditions could be vacuous if the peak is index 1 or  $n$  (e.g., a decreasing array is still a mountain).

## Problem 4 – Binary Search Variant

- a) Given an array  $A[1..n]$  that you are promised is a mountain, find the index peak index.
- b) Can you design an algorithm with the same running time that also determines whether a given array is a mountain (and if it is, finds the peak)?

Work through this problem with the people around you, and then we'll go over it together!

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Key idea: adapt binary search – by looking at three consecutive elements, we can see if we're on the “upward” or “downward” slope and find the peak.

```
function PeakFinder(A, i, j)
  if  $j - i \leq 2$  then
    For each  $i \leq k \leq j$ , check if  $A[k]$  satisfies the definition of peak in  $i..j$ .
    return the first element that does.
  Mid  $\leftarrow i + \left\lfloor \frac{(j-i)}{2} \right\rfloor$ 
  if  $A[Mid - 1] < A[Mid] \wedge A[Mid] < A[Mid + 1]$  then
    return PeakFinder(A, Mid, j)
  else if  $A[Mid - 1] > A[Mid] \wedge A[Mid] > A[Mid + 1]$  then
    return PeakFinder(A, i, Mid)
  else
    return Mid
```

## Problem 4 – Binary Search Variant

- a) Given an array  $A[1..n]$  that you are promised is a mountain, find the index peak index.

For correctness, observe that  $A[\text{Mid} - 1] > A[\text{Mid}] \wedge A[\text{Mid}] < A[\text{Mid} + 1]$  is impossible in a mountain array, so in the “else” branch,  $A[\text{Mid}]$  is greater than both  $A[\text{Mid} + 1]$  and  $A[\text{Mid} - 1]$ . We will argue by induction that if the array  $A[i..j]$  is a mountain, then the return value of PeakFinder is the peak.

For the base case, we do a brute force search.

IH: Suppose for all arrays where  $j - i < k$  and  $A[i..j]$  is a mountain that  $\text{PeakFinder}(A, i, j)$  returns the peak. ( $k \geq 3$ )

- a) Given an array  $A[1..n]$  that you are promised is a mountain, find the index peak index.

## Problem 4 – Binary Search Variant

IS: Let  $i, j$  be integers such that  $j - i = k$ .  $A$  be an array such that  $A[i..j]$  is a mountain. Since  $j - i = k \geq 3$ , the code goes to the recursive case. If  $\text{Mid}$  is the peak, then we hit the else case, and return  $\text{Mid}$  as required. Otherwise, we have two cases:

Case 1:  $\text{Mid}$  is before the peak

Then since  $A[i..j]$  is a mountain,  $A[\text{Mid} - 1] < A[\text{Mid}] \wedge A[\text{Mid}] < A[\text{Mid} + 1]$ . Thus we make a recursive call on  $\text{Mid}, j$ . By the assumption for this case, the peak is still in the range chosen by the recursive call. Thus, the remaining array is still a mountain with the peak in the desired range. Furthermore, since  $k \geq 3$ ,  $\text{Mid}$  and  $i$  are different indices, so the subarray is smaller. By IH, the result of the recursive call is therefore the peak of the subarray (and thus also of  $A[i..j]$ ), as required.

Case 2:  $\text{Mid}$  is after the peak

Is symmetric to case 1, with the code making the recursive call on  $i, \text{Mid}$ , where the peak will be.

In both cases, we have completed the inductive step.

## Problem 4 – Binary Search Variant

- a) Given an array  $A[1..n]$  that you are promised is a mountain, find the index peak index.

Running Time: We do constant work (calculating Mid, checking inequalities, and setting up a recursive call) before making a recursive call. The recursive call is (up to rounding)  $1/2$  the size of the original array. Thus the running time has the recurrence

$$T(n) = \begin{cases} \mathcal{O}(1) & \text{if } n < 3 \\ T\left(\frac{n}{2}\right) + \mathcal{O}(1) & \text{if } n \geq 3 \end{cases}$$

which (by recognizing it as the binary-search recurrence or solving) has a closed form of  $\mathcal{O}(\log n)$

## Problem 4 – Binary Search Variant

- b) Can you design an algorithm with the same running time that also determines whether a given array is a mountain (and if it is, finds the peak)?

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## Problem 4 – Binary Search Variant

No. You need to examine every element of the array to see if it's a mountain. To see why, suppose that you have examined all elements except for the one at index  $u$  (the “unknown” element). For simplicity, assume that  $u \neq 1$  and  $u \neq n$ . Furthermore, suppose that so far it is consistent with being a mountain. That is there is an index  $i$  such that  $\forall 1 \leq j < i (A[j] \leq A[j + 1] \vee j = u)$  and  $\forall i \leq j < n (A[j] \geq A[j + 1] \vee j = u)$ .

We will consider two cases; in every case we show that depending on the value of  $A[u]$ , the array may or may not be a mountain.

Case 1: The index  $i$  is  $u$

If  $A[u]$  is set to be  $\max\{A[u - 1], A[u + 1]\} + 1$ , then all conditions will be met, as we've made  $u$  a peak (index  $u$  also satisfies  $A[u] < A[u + 1]$  and  $A[u] > A[u - 1]$ , so the condition is met without needing the  $j = u$  option).

If  $A[u]$  is set to be  $\min\{A[u - 1], A[u + 1]\} - 1$ , then we will not satisfy the mountain property.  $u$  cannot be a peak, as  $A[u - 1] \not\leq A[u]$ . No  $i < u$  can be a peak, as  $A[u] < A[u + 1]$  violates the peak condition. Similarly, no  $i > u$  can be a peak as  $A[u - 1] > A[u]$ , which violates the first condition.

Can you design an algorithm with the same running time that also determines whether a given array is a mountain (and if it is, finds the peak)?

## Problem 4 – Binary Search Variant

Case 2: The index  $i \neq u$

Observe that there is only one such index  $i$ : for some  $i$  and  $i'$ , with  $i < i'$ , for  $i$  to be a peak,  $A[i] \geq A[i']$ ; for  $i'$  to be a peak,  $A[i'] > A[i]$ , and only one of these can be true.

If  $u < i$ , we can make  $A$  not a mountain by setting  $A[u] = A[u + 1] + 1$ . Then  $A[u] > A[u + 1]$  and  $i$  is not a peak. Setting  $A[u] = A[u + 1]$  guarantees that  $u$  satisfies the conditions and makes it a peak. For  $u > i$ , setting  $A[u] = A[u - 1] + 1$  or  $A[u] = A[u - 1]$  gives a symmetric argument to the  $u < i$  case.

In all cases, until we examine  $A[u]$  we cannot determine whether  $A[]$  is a mountain or not. Thus, we will need at least  $\Omega(n)$  time to determine if the array is a mountain.

# Dynamic Programming



## **Problem 5 – Longest Palindromic Non-Contiguous Substring**

Given an input string  $s$ , return the length of the longest palindromic non-contiguous substring in  $s$ .

For example, the input “abcda” has a longest palindromic non-contiguous substring of length 3 (“aba”, “aca”, and “ada” are all different equally-long palindromic non-contiguous substrings).

# Problem 5.1 – Write the Dynamic Program

- a) Formulate the problem recursively – what are you looking for (in English!!), and what parameters will you need as you're doing the calculation?
- b) Write a recurrence for solving the problem you defined in the last part (the recurrence is for the answer, not the running time).
- c) What is your final answer (e.g. what parameters for the recurrence do you need? Is it a single value or the max/min of a set of values?)?
- d) Give a brief justification for why your recurrence is correct. You do not need a formal inductive proof, but your intuition will likely resemble one.

Work through this problem with the people around you, and then we'll go over it together!

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- a) Formulate the problem recursively – what are you looking for (in English!!), and what parameters will you need as you're doing the calculation?

Let  $\text{OPT}(i, j)$  be the length of the longest palindromic non-contiguous substring among indices  $i, \dots, j$ .

## Problem 5.1 – Write the Dynamic Program

- b) Write a recurrence for solving the problem you defined in the last part (the recurrence is for the answer, not the running time).

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$$\text{OPT}(i, j) = \begin{cases} \max\{\text{OPT}(i+1, j), \text{OPT}(i, j-1), 2 + \text{OPT}(i+1, j-1) \text{ if } s[i] = s[j]\} & \text{if } i < j \\ 1 & \text{if } i = j \\ 0 & \text{if } i > j \end{cases}$$

## Problem 5.1 – Write the Dynamic Program

- c) What is your final answer (e.g. what parameters for the recurrence do you need? Is it a single value or the max/min of a set of values)?

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$\text{OPT}(1, n)$  where  $n$  is the length of the string  $s$

## Problem 5.1 – Write the Dynamic Program

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## Problem 5.1 – Write the Dynamic Program

- d) Give a brief justification for why your recurrence is correct. You do not need a formal inductive proof, but your intuition will likely resemble one.

For the first case, the maximum palindromic non-contiguous substring between  $i$  and  $j$  will be the maximum of up to 3 possibilities: it could be the length of the maximum palindromic non-contiguous substring between  $i + 1$  and  $j$  or between  $i$  and  $j - 1$ , or if  $s[i]$  and  $s[j]$  are the same character it could also be  $2 +$  the length of the maximum palindromic non-contiguous substring between  $i + 1$  and  $j - 1$ . If  $s[i]$  and  $s[j]$  aren't the same character, then we just want the maximum length from one step previous (either  $\text{OPT}(i + 1, j)$  or  $\text{OPT}(i, j - 1)$ ). But if  $s[i]$  and  $s[j]$  are the same character, then we also need to consider the maximum length of from  $i + 1$  to  $j - 1$  and add 2 (for  $i$  and  $j$ ).

For the base cases, if  $i = j$  the substring has only one character, which is automatically a palindrome. If  $j < i$  the substring has length 0.

## Problem 5.2 – Analyze the Dynamic Program

- a) Describe a memoization structure for your algorithm.
- b) Describe a filling order for your memoization structure.
- c) State and justify the running time of an iterative solution.

Work through this problem with the people around you, and then we'll go over it together!

## Problem 5.2 – Analyze the Dynamic Program

- a) Describe a memoization structure for your algorithm.

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We need a (2D) array of size  $n \times n$ .

## Problem 5.2 – Analyze the Dynamic Program

- b) Write a recurrence for solving the problem you defined in the last part (the recurrence is for the answer, not the running time).

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Outer loop  $i$  going from from  $n$  to 1.

Inner loop  $j$  going from from 1 to  $n$ .

## Problem 5.2 – Analyze the Dynamic Program

- c) What is your final answer (e.g. what parameters for the recurrence do you need? Is it a single value or the max/min of a set of values)?

## Problem 5.2 – Analyze the Dynamic Program

- c) What is your final answer (e.g. what parameters for the recurrence do you need? Is it a single value or the max/min of a set of values?)?

Creating entry  $i, j$  requires checking at most 3 entries. Since we have  $n^2$  entries, we need  $\mathcal{O}(n^2)$  time.

# **That's All, Folks!**

**Thanks for coming to section this week!**  
**Any questions?**