



# **CSE 421: Introduction to Algorithms**

## **Stable Matching**

Shayan Oveis Gharan

# Administrativa Stuffs

Lectures: M/W/F 1:30-2:20

Zoom Id: <https://washington.zoom.us/j/9771906541>

Office hours: M/W 2:30-3:20, T 4:30-5:20

<https://washington.zoom.us/j/94137597308>

Discussion Board: Use edstem <https://edstem.org>

**CSE 421: Introduction to Algorithms**  
Winter, 2018

**Shayan Oveis Gharan**

**MWF 2:30-3:20, 360H 399**  
Office hours in CSL 639  
M/W/F 3:30-4:20

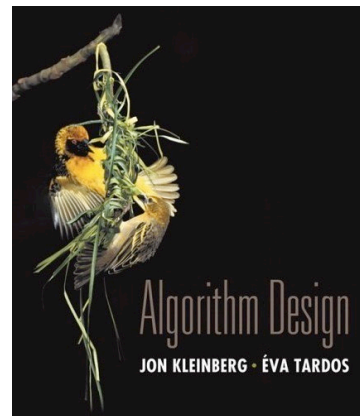
**Textbook:**

Algorithm Design by Jon Kleinberg and Eva Tardos, Addison-Wesley, 2006. We will cover almost all of chapters 1-8 of the Kleinberg/Tardos text plus some additional material from later chapters. In addition, I recommend reading chapter 9 of Introduction to Algorithms: A Creative Approach, by Udi Manber, Addison-Wesley 1999. This book has a unique point of view on algorithm design.

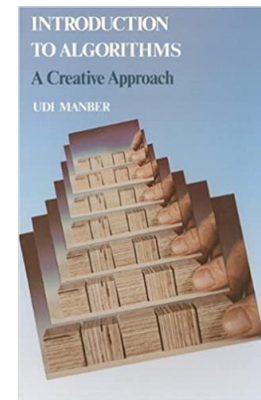
Another handy reference is Steven Skiena's Stonybrook Algorithm Repository

**Grading Scheme (Roughly):**

Homework 50%  
Midterm 15-20%  
Final Exam 30-35%



Course textbook



Supplementary text <sub>2</sub>

[cs.washington.edu/421](https://cs.washington.edu/421)

# TAs

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Mrigank Arora	Thu 10:00-10:50
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Todor Dimitrov	Tue 9:00-9:50
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Aidan Gottlieb	Tue 2:30-3:20
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Johnson Kuang	Thu 11:00-11:50
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Chase Lee	Wed 8:00-8:50
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Mickey Moonkaen	Mon 4:00-4:50
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Yunkyu Song	Tue 5:30-6:20
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Savanna Yee	Mon 10:00-10:50
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Liangyu Zhao	Wed 4:00-4:50
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Albert Zhong	Tues 12:30-1:20
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# Grading

- Weekly HWs, First HW due April 8<sup>th</sup>
- Submit to Gradescope
- Midterm (05/04/2020), Final (06/08/2020)
  - Exams are open book, open note, no internet access
  - Midterm 50+15 minutes, Final 110+15 minutes.
  - Will have two exams at two time-zones: usual and 10:00 PM PST
- HW 50%, Midterm 15-20%, Final 30-35%
- Extra Credit problems can boost your final GPA by 0.1

# Daily Quizzes

- One quiz before every lecture
- 1-2 questions about the materials of the previous lecture
- Typically yes/no or multiple choice
  
- Login to canvas (assignment tab) to access the quiz
  
- Available 1:25-1:30 (before lecture), you have 3-4 minutes to answer
  
- Daily Quizzes can boost up your final GPA by 0.1
- If you don't answer any of them you can still get 4.0!

# Practicing with Zoom!



- Everyone is muted by default!
- Please share your video!
- Please ask your questions (not in chat)
- Videos: Recorded and can be access in Canvas (zoom tab)
- Zoom Breakouts: Small groups to work on in-class exercises

# Stable Matching Problem

# Stable Matching Problem

Given  $n$  companies  $c_1, \dots, c_n$ ,  
and  $n$  applicants,  $a_1, \dots, a_n$   
find a “stable matching”.

- Participants rate members of opposite group.
- Each company lists applicants in order of preference.
- Each applicant lists companies in order of preference.

	favorite			least favorite		
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>			
$c_1$	$a_1$	$a_2$	$a_3$			
$c_2$	$a_2$	$a_1$	$a_3$			
$c_3$	$a_1$	$a_2$	$a_3$			

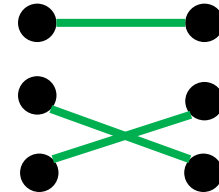
	favorite			least favorite		
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>			
$a_1$	$c_2$	$c_1$	$c_3$			
$a_2$	$c_1$	$c_2$	$c_3$			
$a_3$	$c_1$	$c_2$	$c_3$			



# Stable Matching

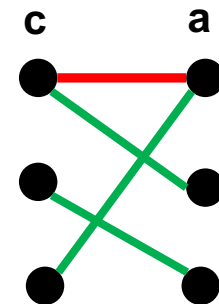
## Perfect matching:

- Each company gets exactly one applicant.
- Each applicant gets exactly one company.



**Stability:** no incentive for some pair of participants to undermine assignment by joint action.

In a matching  $M$ , an unmatched pair  $a$ - $c$  is **unstable** if  $a$  and  $c$  prefer each other to current partners.



**Stable matching:** perfect matching with no unstable pairs.

**Stable matching problem:** Given the preference lists of  $n$  companies and  $n$  applicants, find a stable matching if one exists.

# Example

Question. Is assignment  $(c_1, a_3), (c_2, a_2), (c_3, a_1)$  stable?

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
$c_3$	$a_1$	$a_2$	$a_3$

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$a_1$	$c_2$	$c_1$	$c_3$
$a_2$	$c_1$	$c_2$	$c_3$
$a_3$	$c_1$	$c_2$	$c_3$

# Example

**Question.** Is assignment  $(c_1, a_3), (c_2, a_2), (c_3, a_1)$  stable?

**Answer.** No.  $a_2, c_1$  will hook up.

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
$c_3$	$a_1$	$a_2$	$a_3$

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$a_1$	$c_2$	$c_1$	$c_3$
$a_2$	$c_1$	$c_2$	$c_3$
$a_3$	$c_1$	$c_2$	$c_3$

# Example

Question: Is assignment  $(c_1, a_1), (c_2, a_2), (c_3, a_3)$  stable?

Answer: Yes.

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$c_1$	$a_1$	$a_2$	$a_3$
$c_2$	$a_2$	$a_1$	$a_3$
$c_3$	$a_1$	$a_2$	$a_3$

	favorite ↓		least favorite ↓
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>
$a_1$	$c_2$	$c_1$	$c_3$
$a_2$	$c_1$	$c_2$	$c_3$
$a_3$	$c_1$	$c_2$	$c_3$

# Existence of Stable Matchings

**Question.** Do stable matchings always exist?

**Answer.** Yes, but not obvious a priori.

**Stable roommate problem:**

**2n** people; each person ranks others from **1** to **2n-1**.

Assign roommate pairs so that no unstable pairs.

	<i>1<sup>st</sup></i>	<i>2<sup>nd</sup></i>	<i>3<sup>rd</sup></i>
Adam	B	C	D
Bob	C	A	D
Chris	A	B	D
David	A	B	C

A-B, C-D  $\Rightarrow$  B-C unstable  
A-C, B-D  $\Rightarrow$  A-B unstable  
A-D, B-C  $\Rightarrow$  A-C unstable

**So,** Stable matchings do not always exist for stable roommate problem.

# Propose-And-Reject Algorithm [Gale-Shapley'62]

```
Initialize each side to be free.
while (some company is free and hasn't proposed to every
applicant) {
    Choose such a c
    a = 1st woman on c's list to whom c has not yet proposed
    if (a is free)
        assign c and a
    else if (a prefers c to her current c')
        assign c and a, and c' to be free
    else
        a rejects c
}
```

# First step: Properties of Algorithm

**Observation 1:** Companies propose to Applicants in decreasing order of preference.

**Observation 2:** Each company proposes to each applicant at most once

**Observation 3:** Once an applicant is matched, she never becomes unmatched; she only "trades up."

# What do we need to prove?

- 1) The algorithm ends
  - How many steps does it take?
  
- 2) The algorithm is correct [usually the harder part]
  - It outputs a perfect matching
  - The output matching is stable



# 1) Termination

**Claim.** Algorithm terminates after  $\leq n^2$  iterations of while loop.

**Proof.** Observation 2: Each company proposes to each applicant at most once.

Each company makes at most  $n$  proposals

So, there are only  $n^2$  possible proposals. ▀

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Vmware	A	B	C	D	E
Walmart	B	C	D	A	E
Xfinity	C	D	A	B	E
Yamaha	D	A	B	C	E
Zoom	A	B	C	D	E

	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Amy	W	X	Y	Z	V
Brenda	X	Y	Z	V	W
Claire	Y	Z	V	W	X
Diane	Z	V	W	X	Y
Erika	V	W	X	Y	Z

$n(n-1) + 1$  proposals required

## 2) Correctness: Output is Perfect matching

**Claim.** All Companies and Applicants get matched.

**Proof.** (by contradiction)

Suppose, for sake of contradiction, that  $c_1$  is not matched upon termination of algorithm.

Then some applicant, say  $a_1$ , is not matched upon termination.

By Observation 3 (only trading up, never becoming unmatched),  $a_1$  was never proposed to.

But,  $c_1$  proposes to everyone, since it ends up unmatched.



## 2) Correctness: Stability

**Claim.** No unstable pairs.

**Proof.** (by contradiction)

Suppose  $c, a$  is an unstable pair: each prefers each other to the partner in Gale-Shapley matching  $\mathbf{S}^*$ .

**Case 1:**  $c$  never proposed to  $a$ .

$\Rightarrow c$  prefers its  $\mathbf{S}^*$  partner to  $a$ .

$\Rightarrow c, a$  is stable.

Obs1: companies propose in decreasing order of preference

**Case 2:**  $c$  proposed to  $a$ .

$\Rightarrow a$  rejected  $c$  (right away or later)

$\Rightarrow a$  prefers her  $\mathbf{S}^*$  partner to  $c$ .

$\Rightarrow c, a$  is stable.

Obs3: applicants only trade up

In either case  $c, a$  is stable, a contradiction.



# Summary

**Stable matching problem:** Given  $n$  companies and  $n$  applicants, and their preferences, find a stable matching if one exists.

- **Gale-Shapley algorithm:** Guarantees to find a stable matching for **any** problem instance.
- **Q:** How to implement GS algorithm efficiently?
- **Q:** If there are multiple stable matchings, which one does GS find?
- **Q:** How many stable matchings are there?

# Matching Residents to Hospitals

**Goal:** Given a set of preferences among hospitals and medical school residents (graduating medical students), design a **self-reinforcing** admissions process.

**Unstable pair:** applicant **A** and hospital **Y** are **unstable** if:  
**A** prefers **Y** to its assigned hospital.  
**Y** prefers **A** to one of its admitted applicants.

**Stable assignment.** Assignment with no unstable pairs.

- Natural and desirable condition.
- Individual self-interest will prevent any applicant/hospital side deal from being made.