

No HW is due in Midterm week.



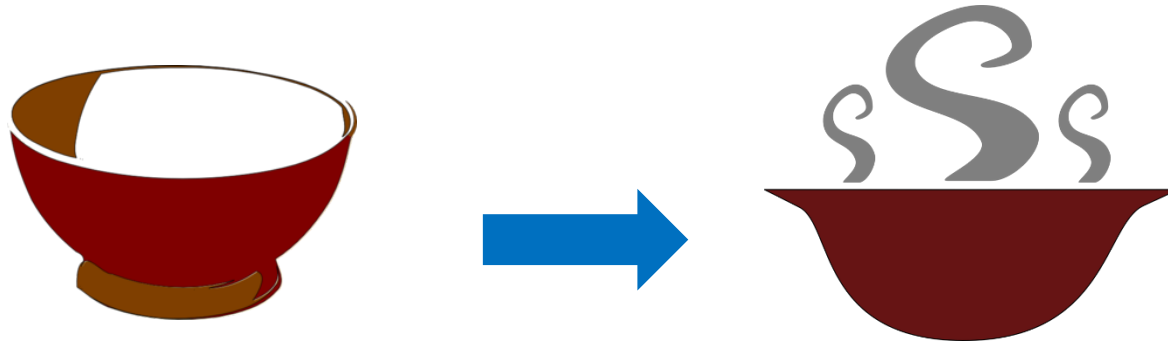
# CSE 421

## Dijkstra's Algorithm, Divide and Conquer

Shayan Oveis Gharan

# Boiling Water Example

**Q:** Given an empty bowl, how do you make boiling water?



**A:** Well, I fill it with water, turn on the stove, leave the bowl on the stove for 20 minutes. I have my boiling water.

**Q:** Now, suppose you have a bowl of water, how do you make boiling water?

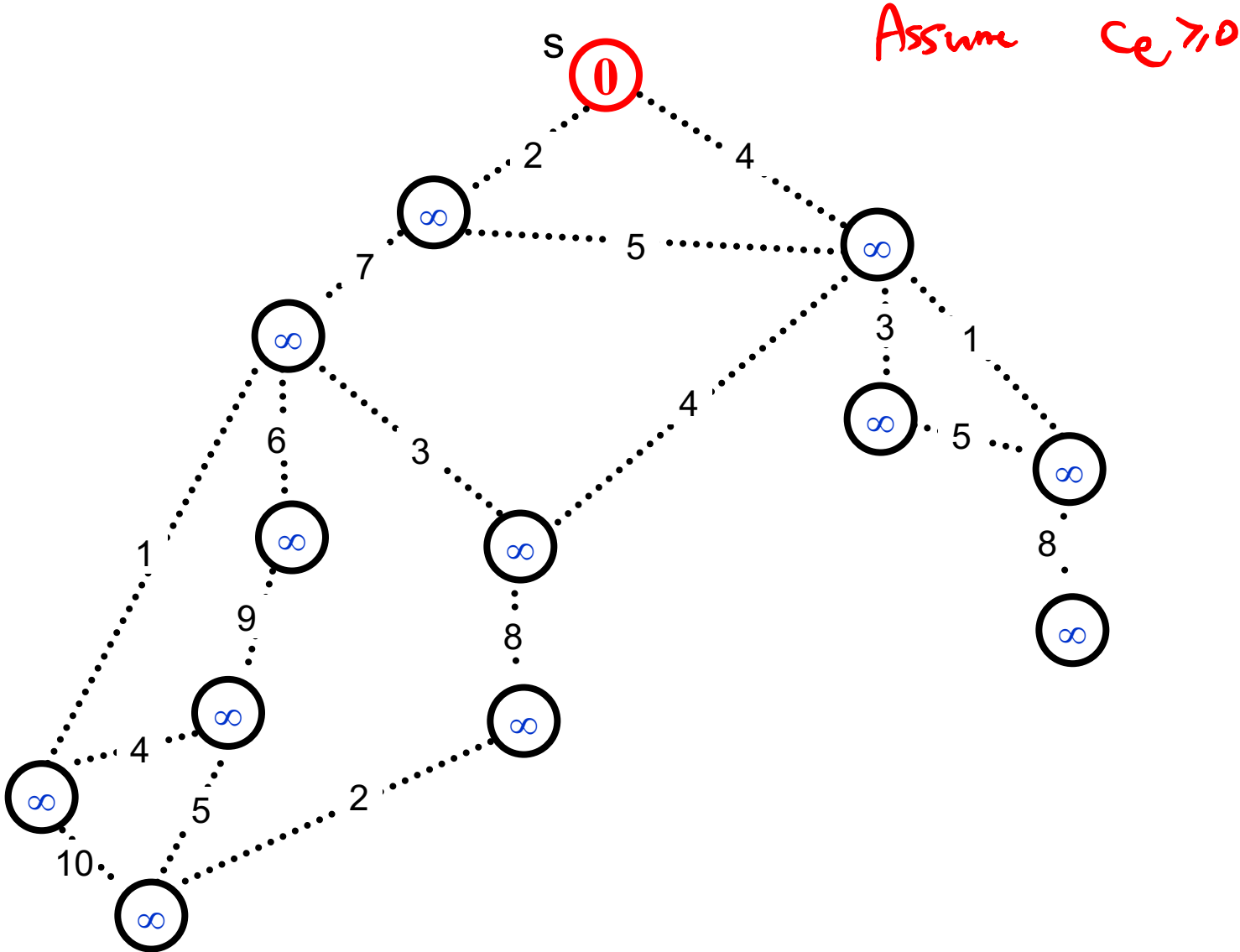
**A:** First, I pour water away, now I have an empty bowl and I have already solved this!



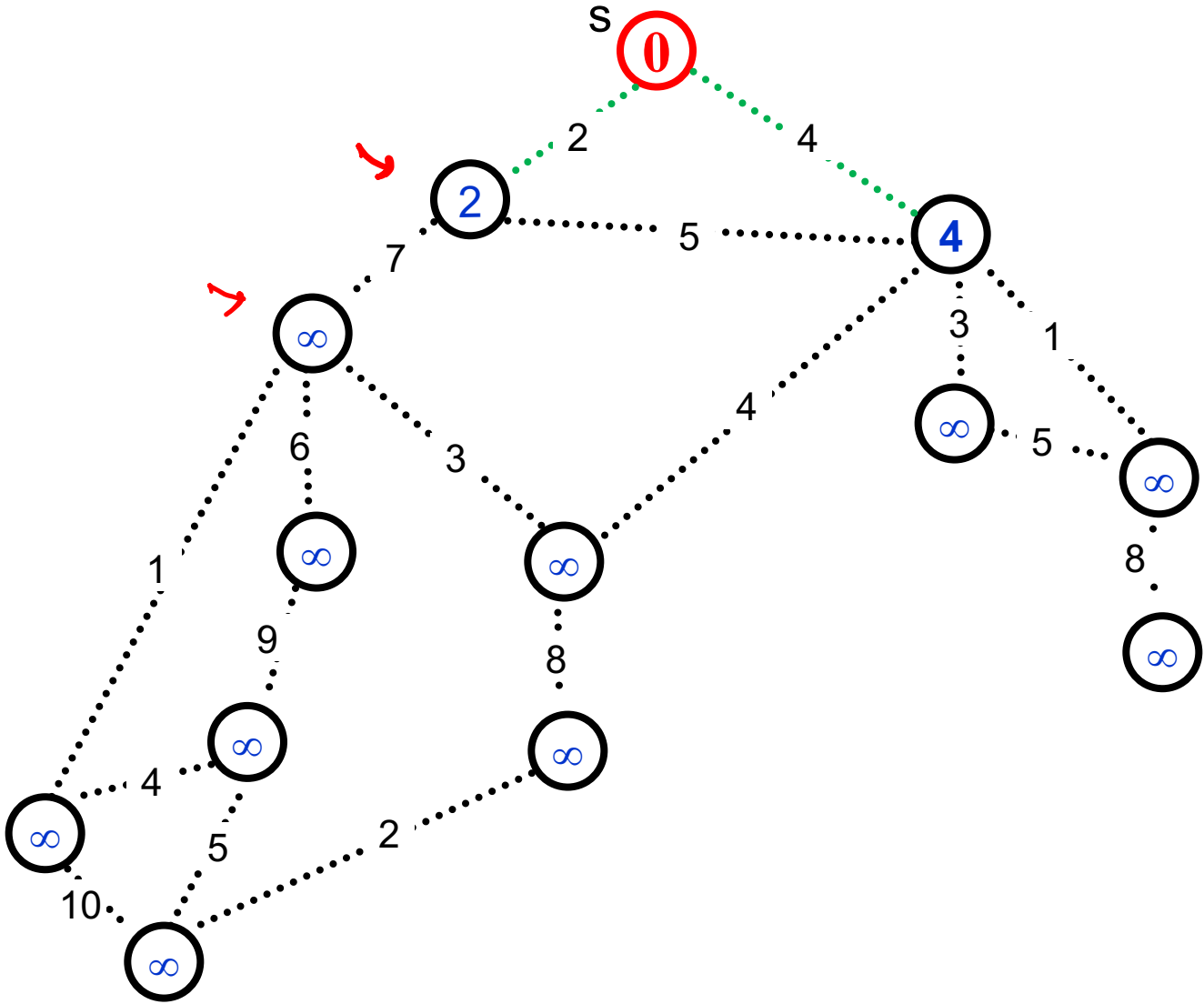
Lesson: Never solve a problem twice!

Related Q: Try to do max-spanning  
tree using min-spanning  
tree

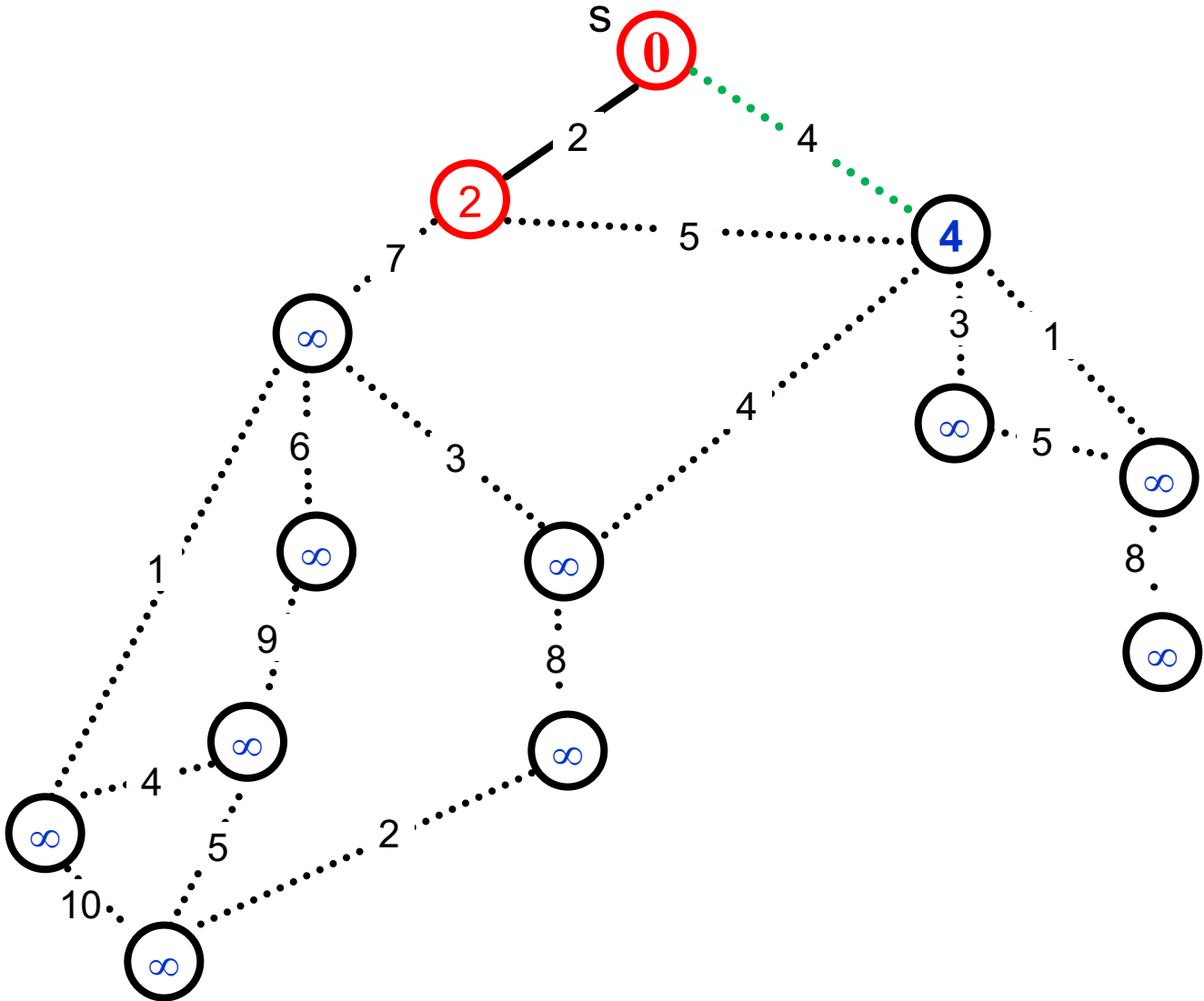
# Dijkstra's Algorithm: Example



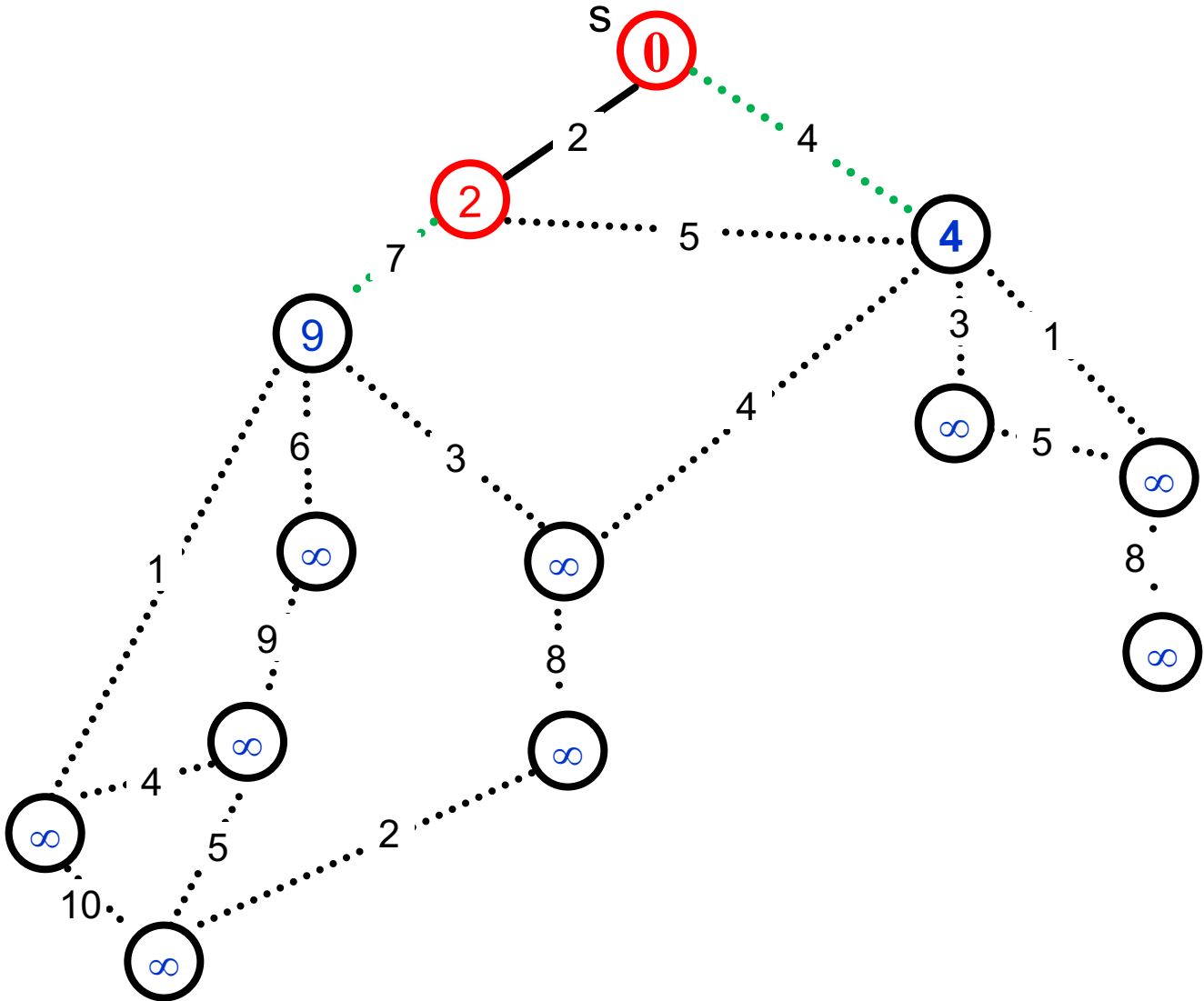
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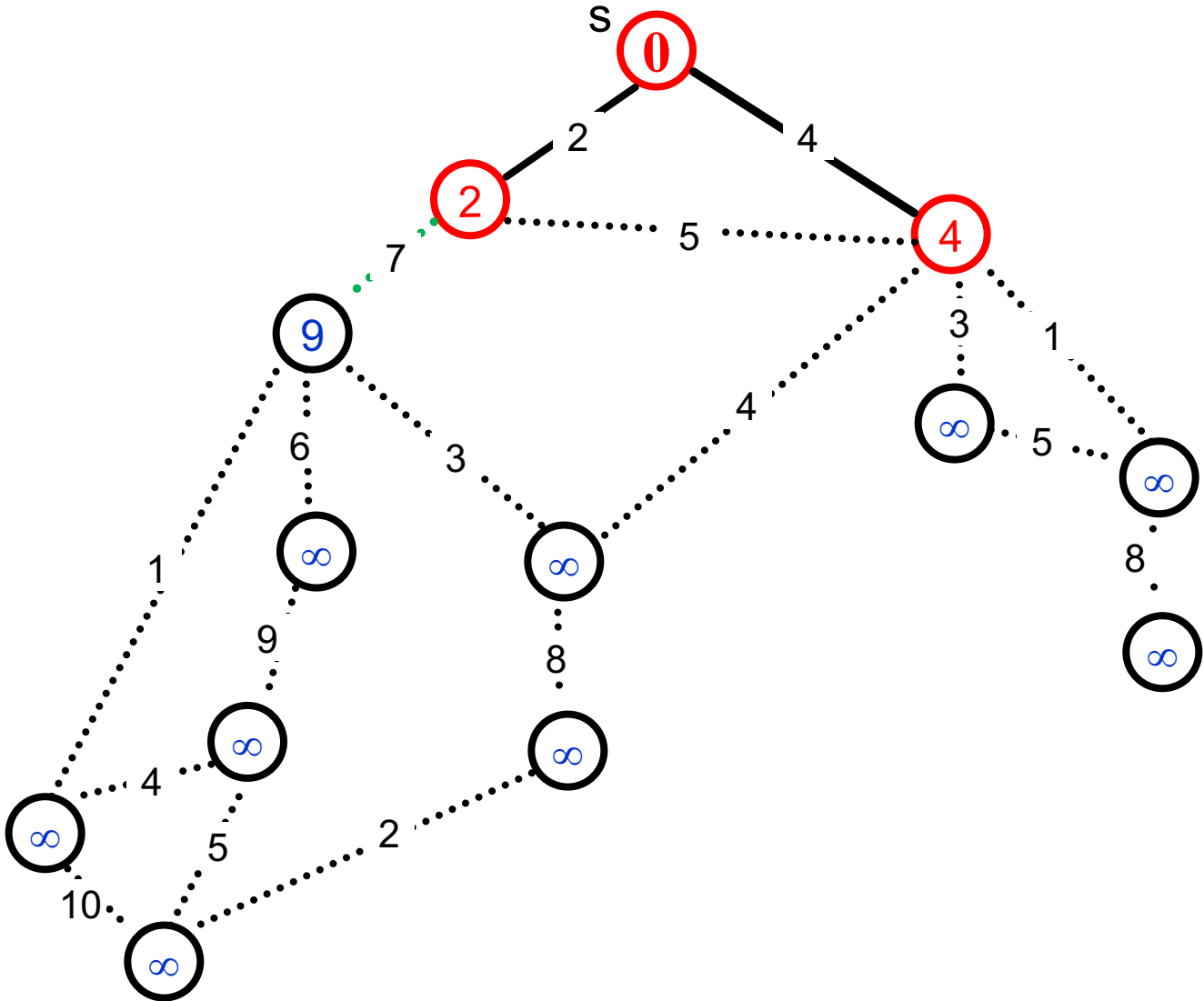
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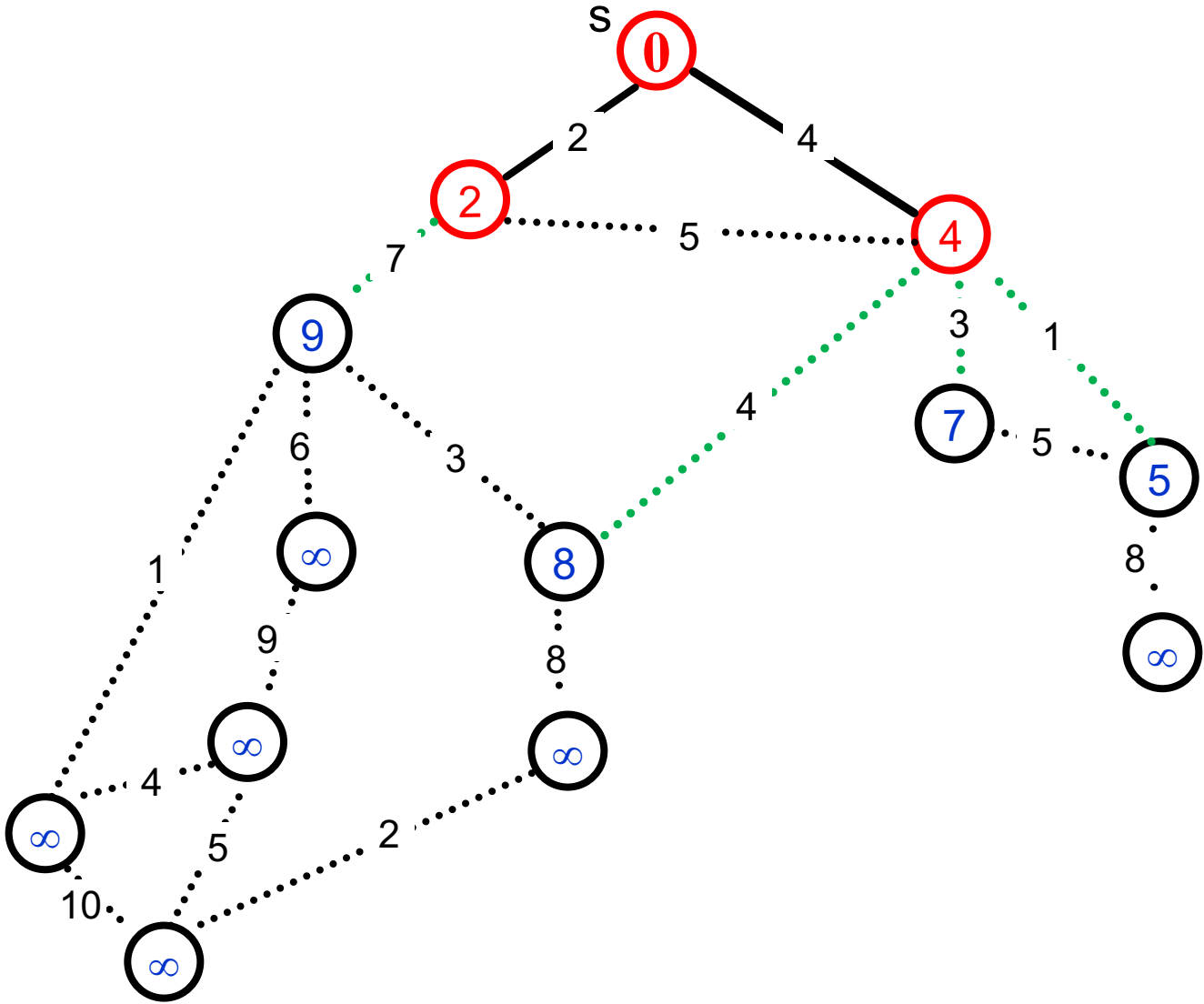


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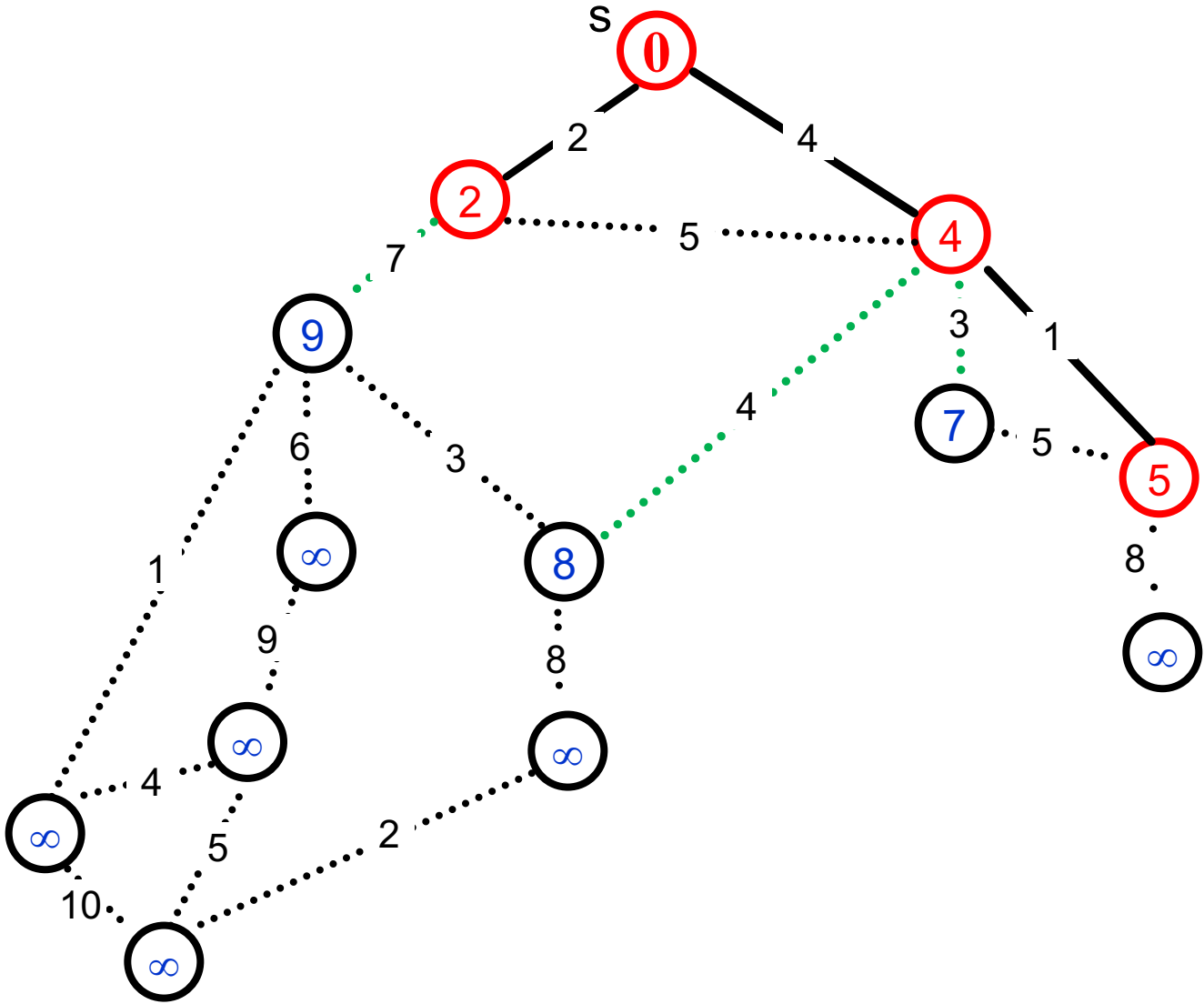




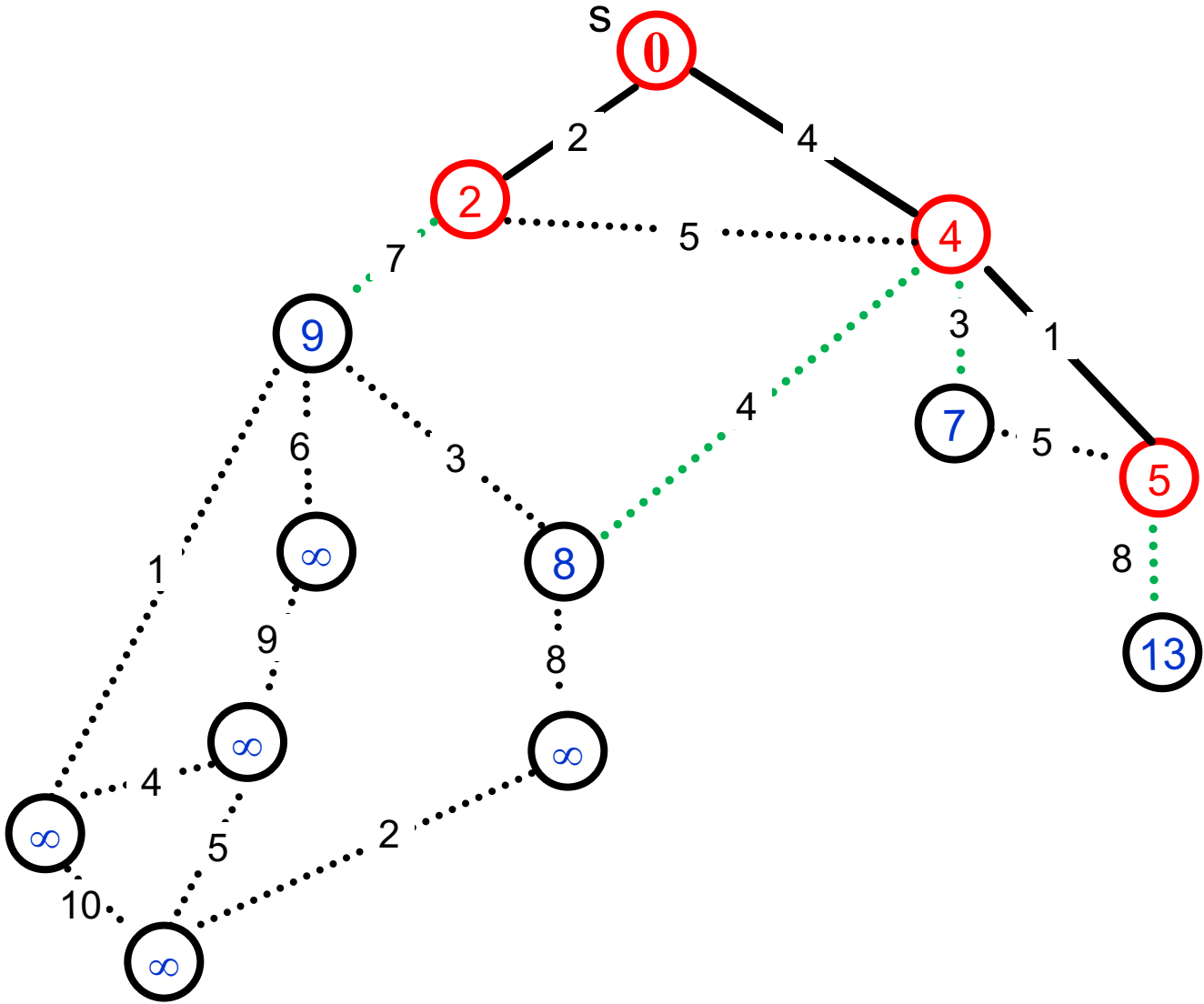
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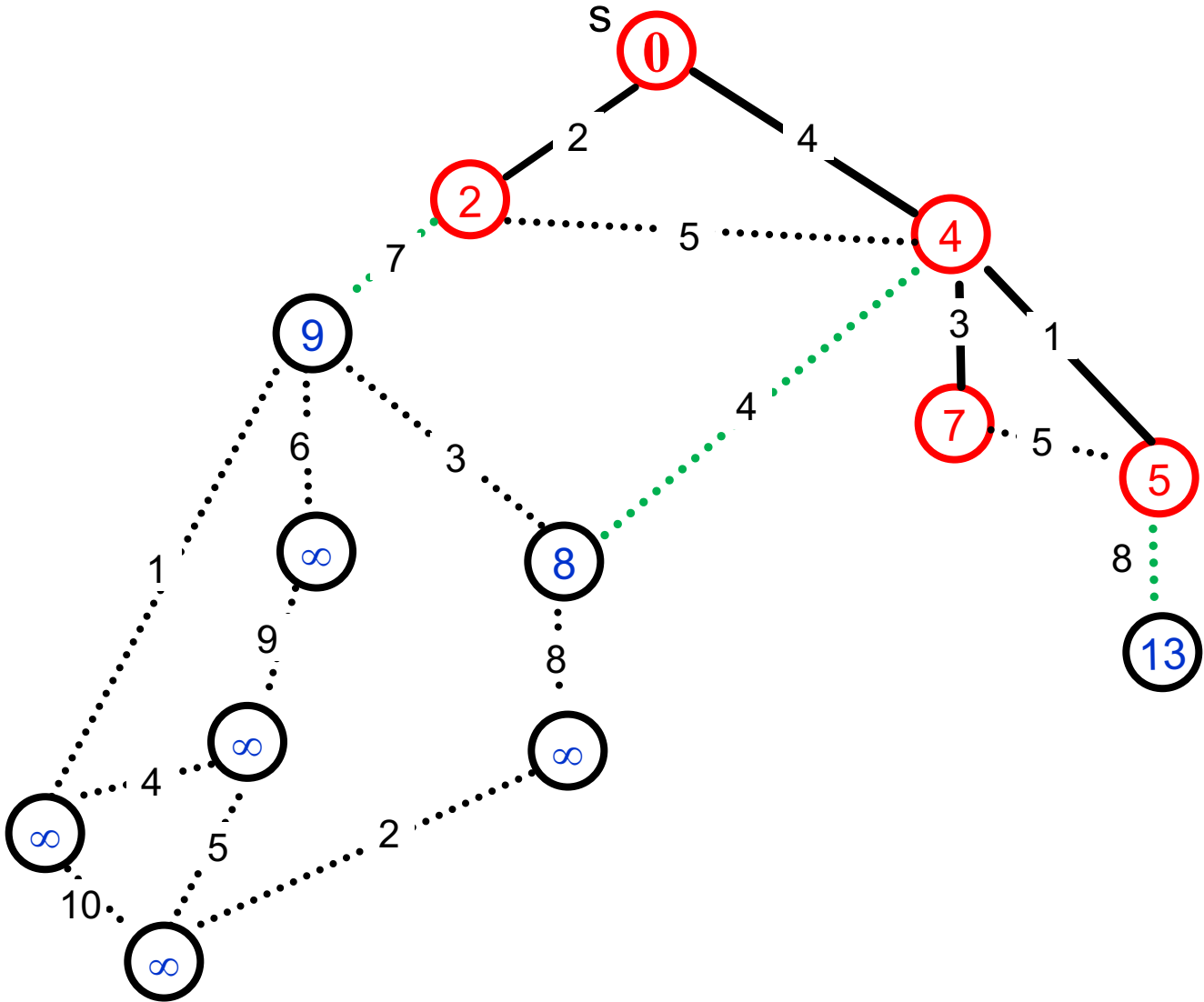
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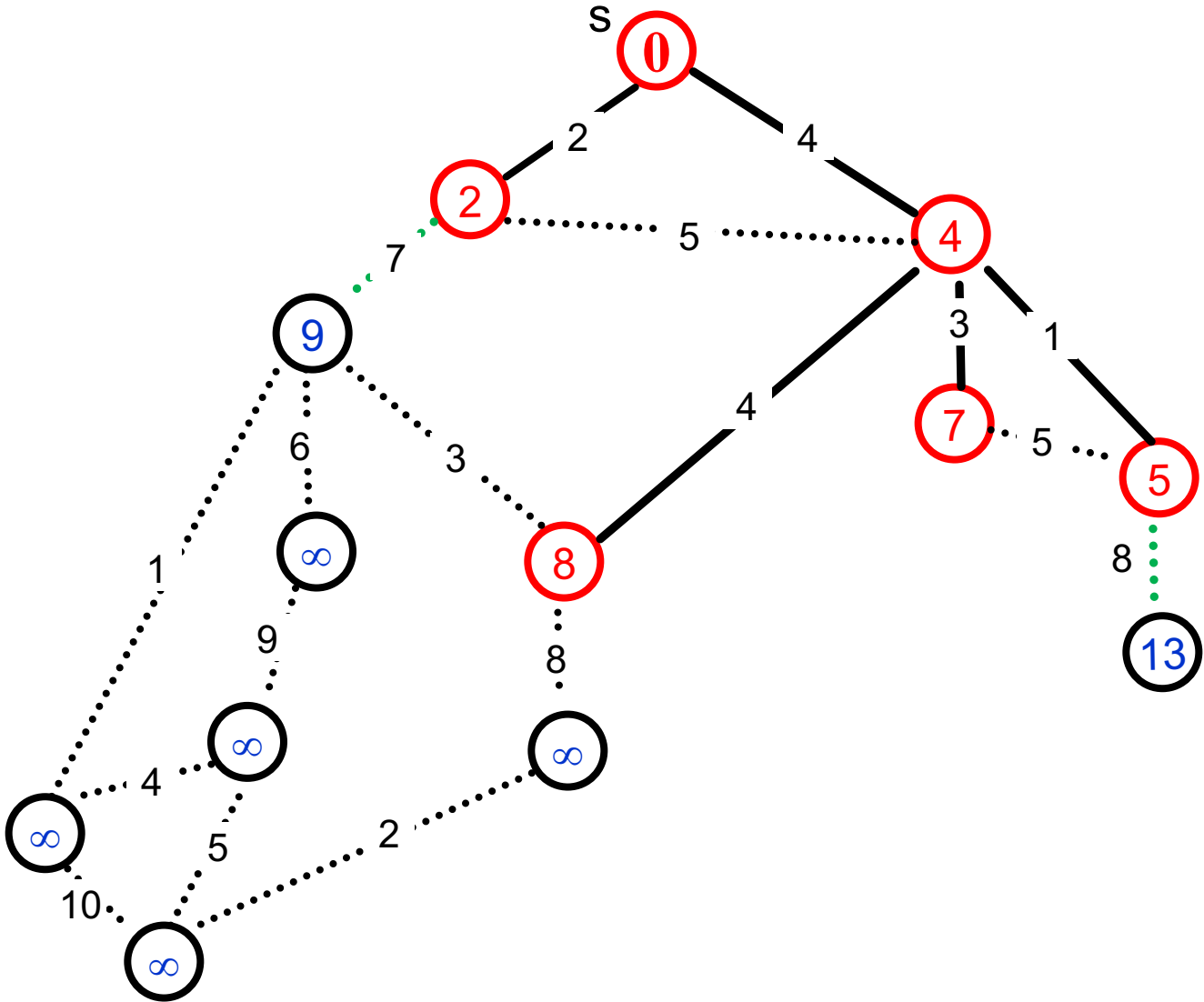
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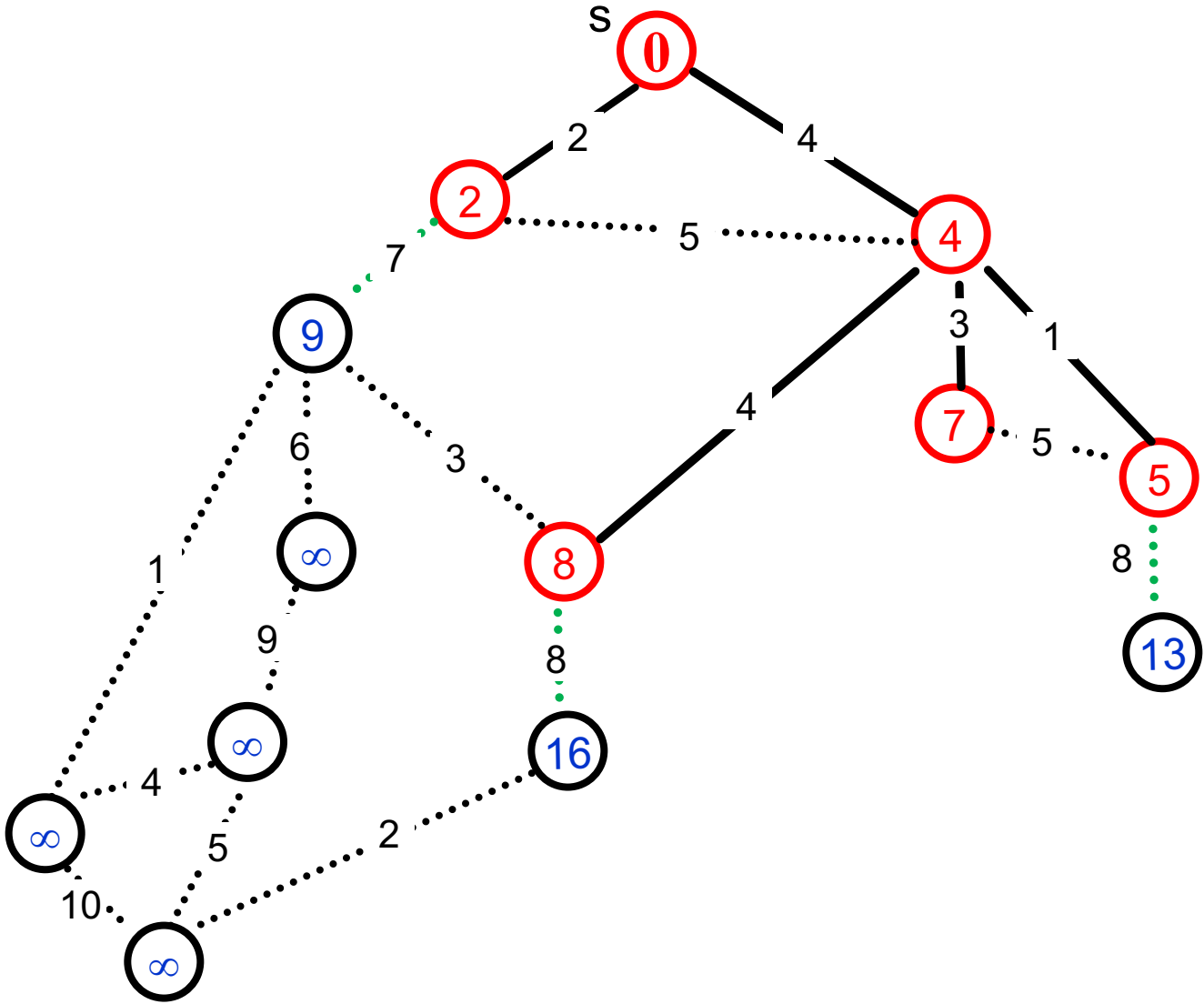
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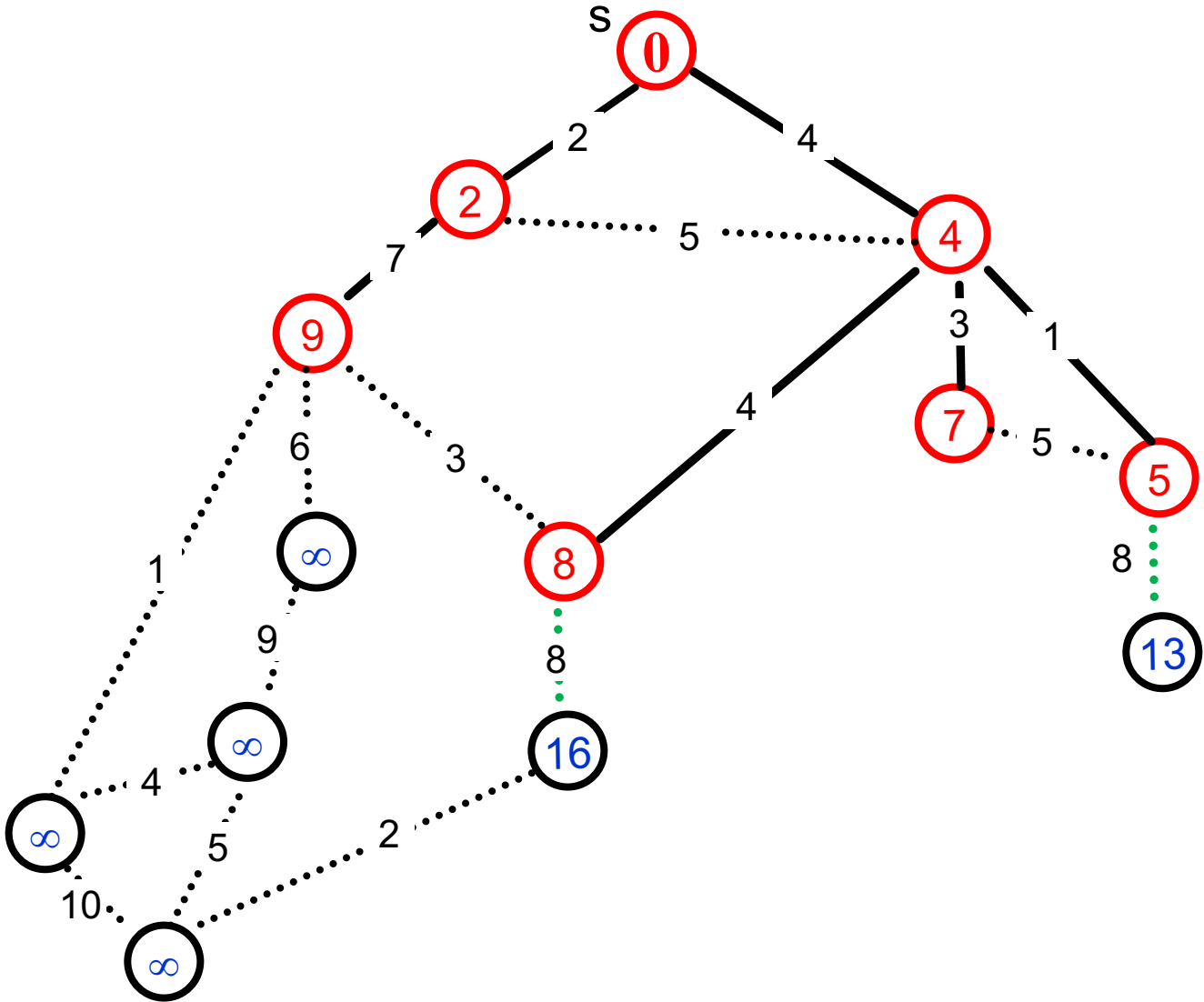
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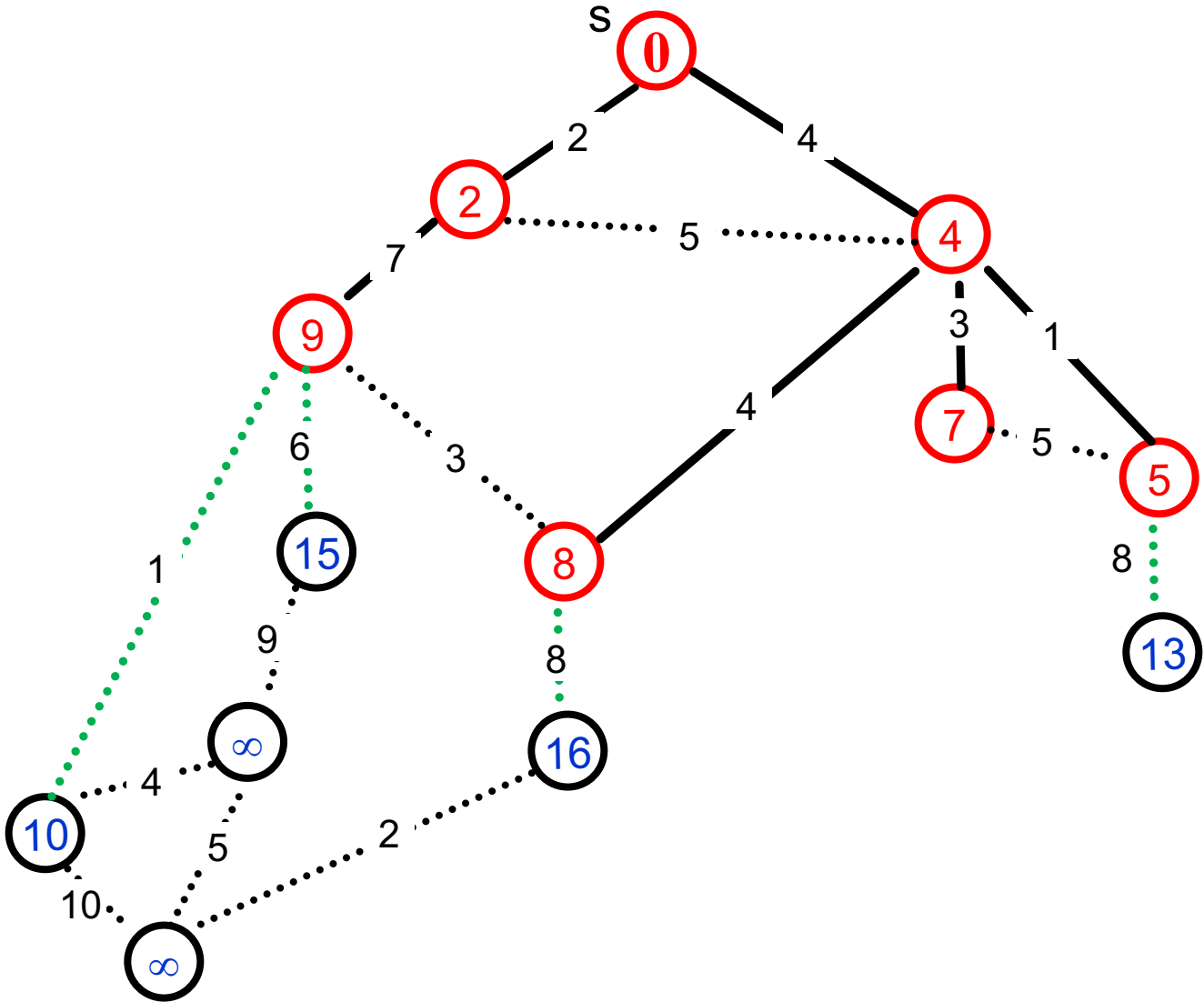
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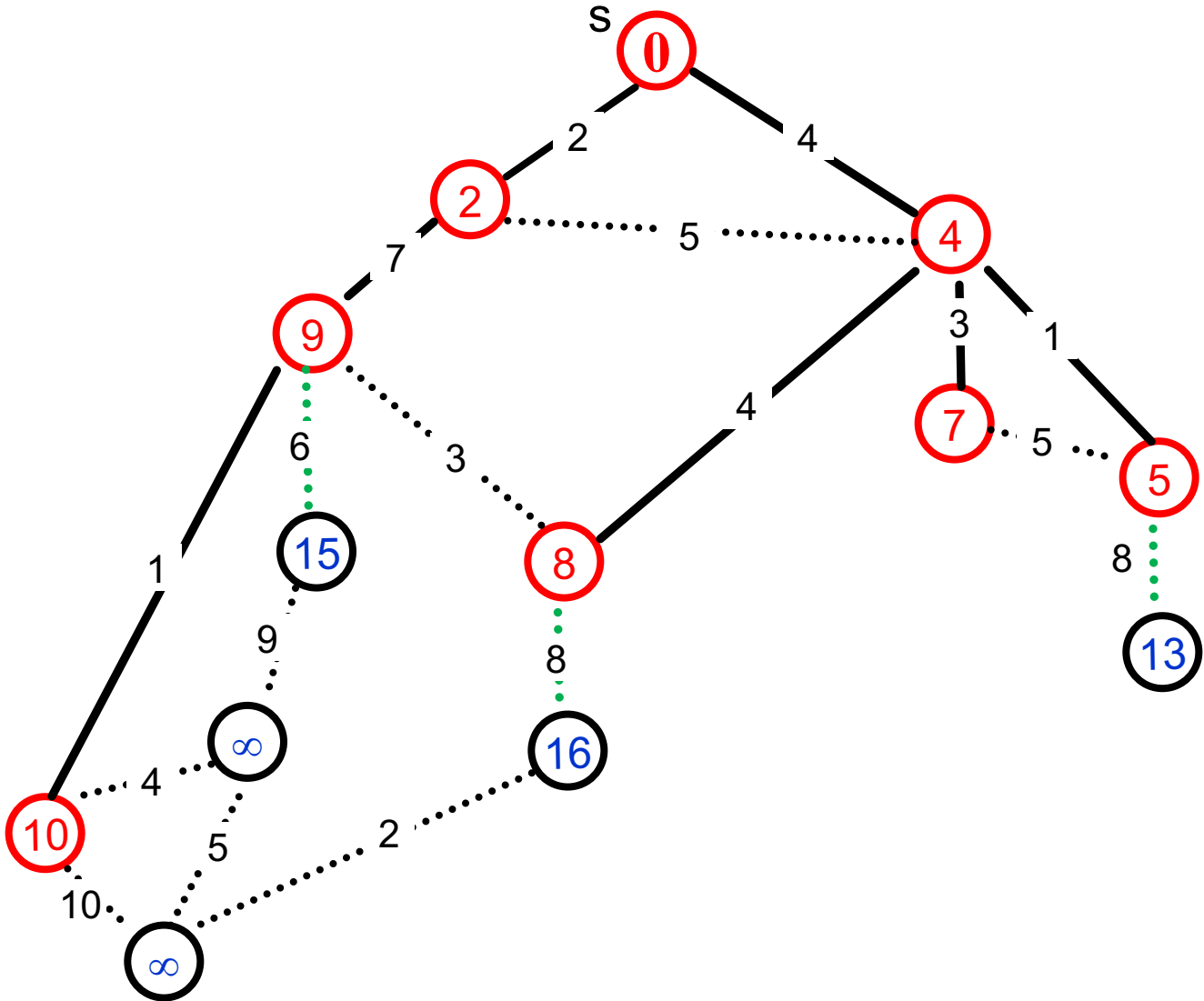


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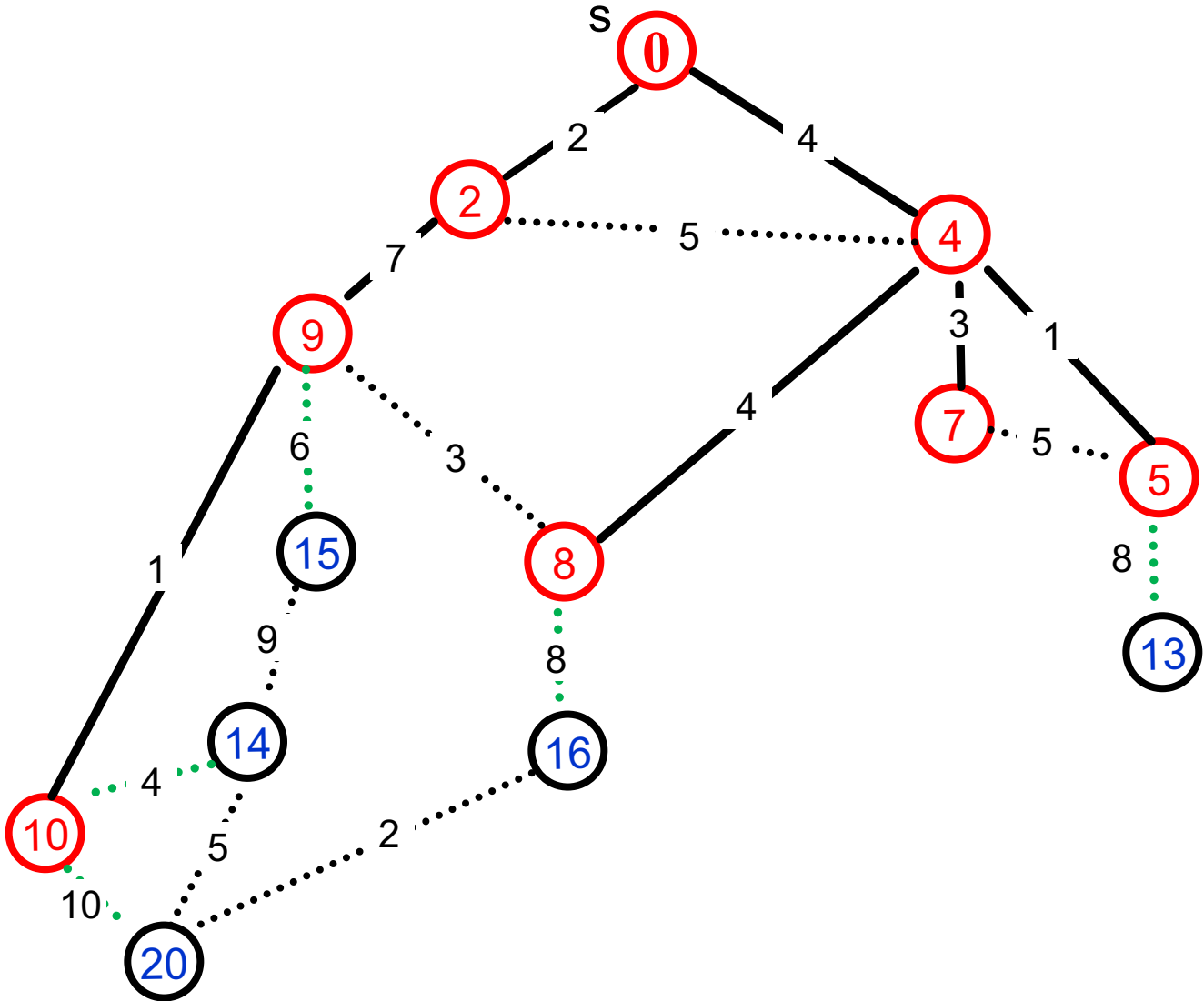




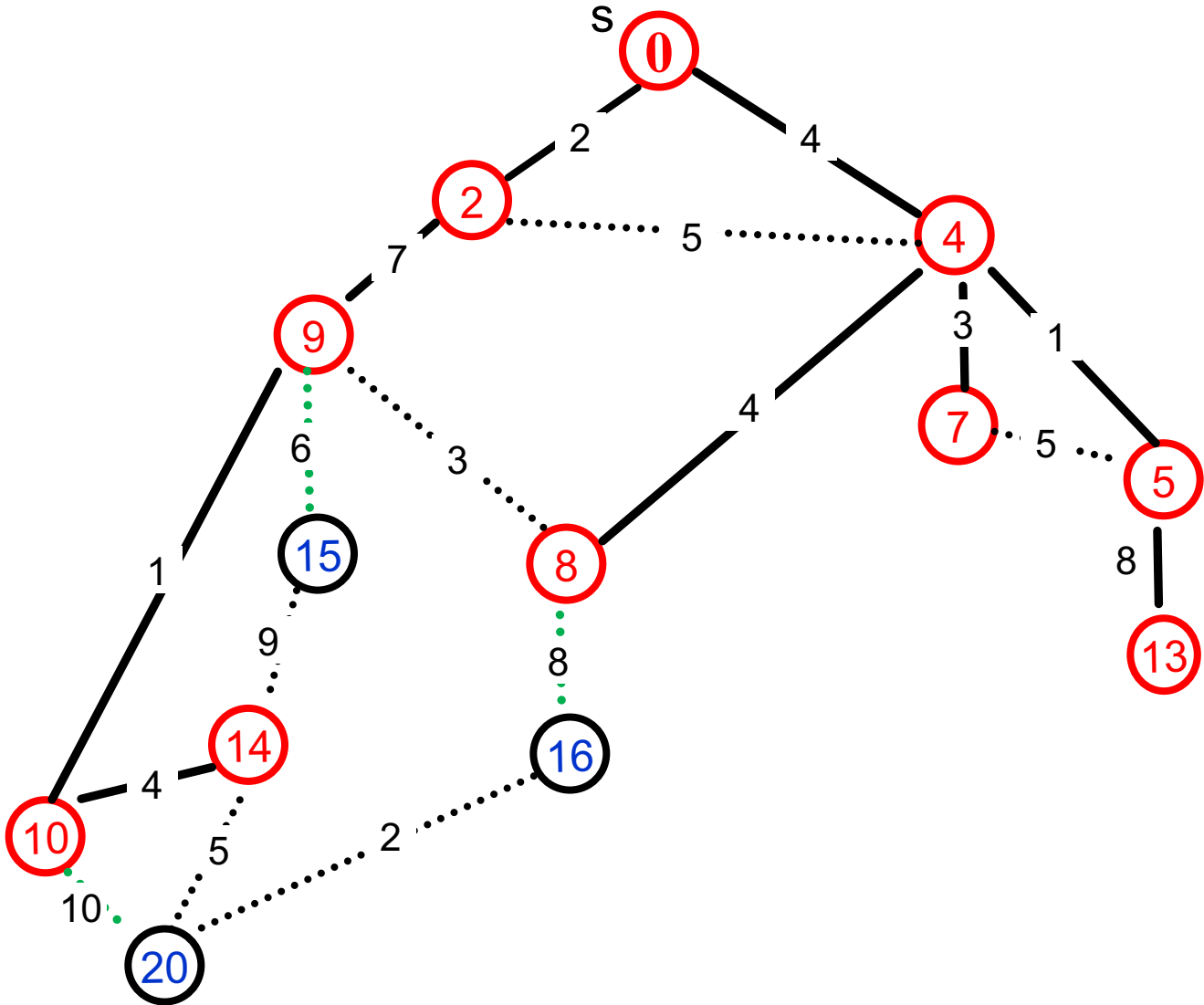
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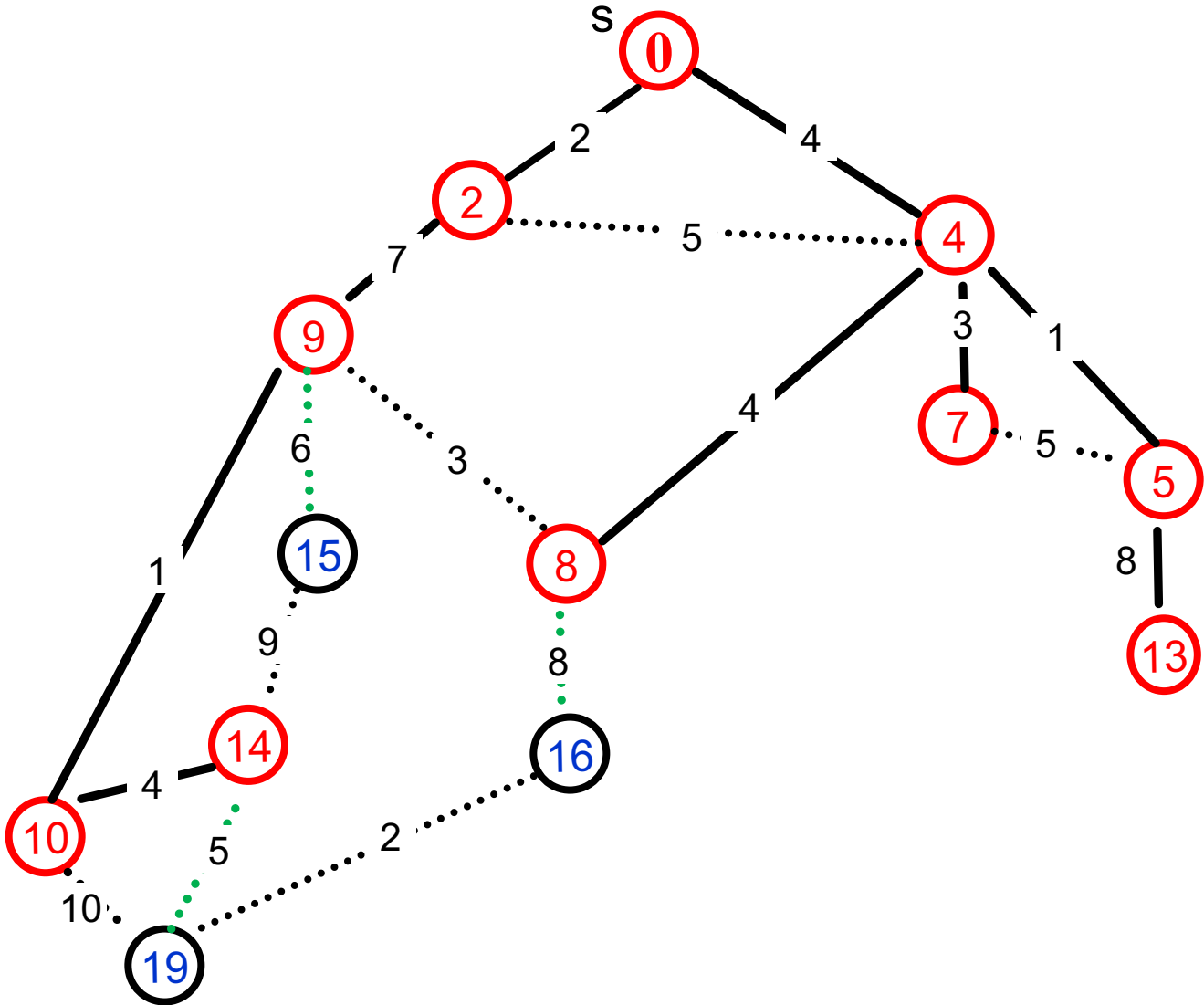
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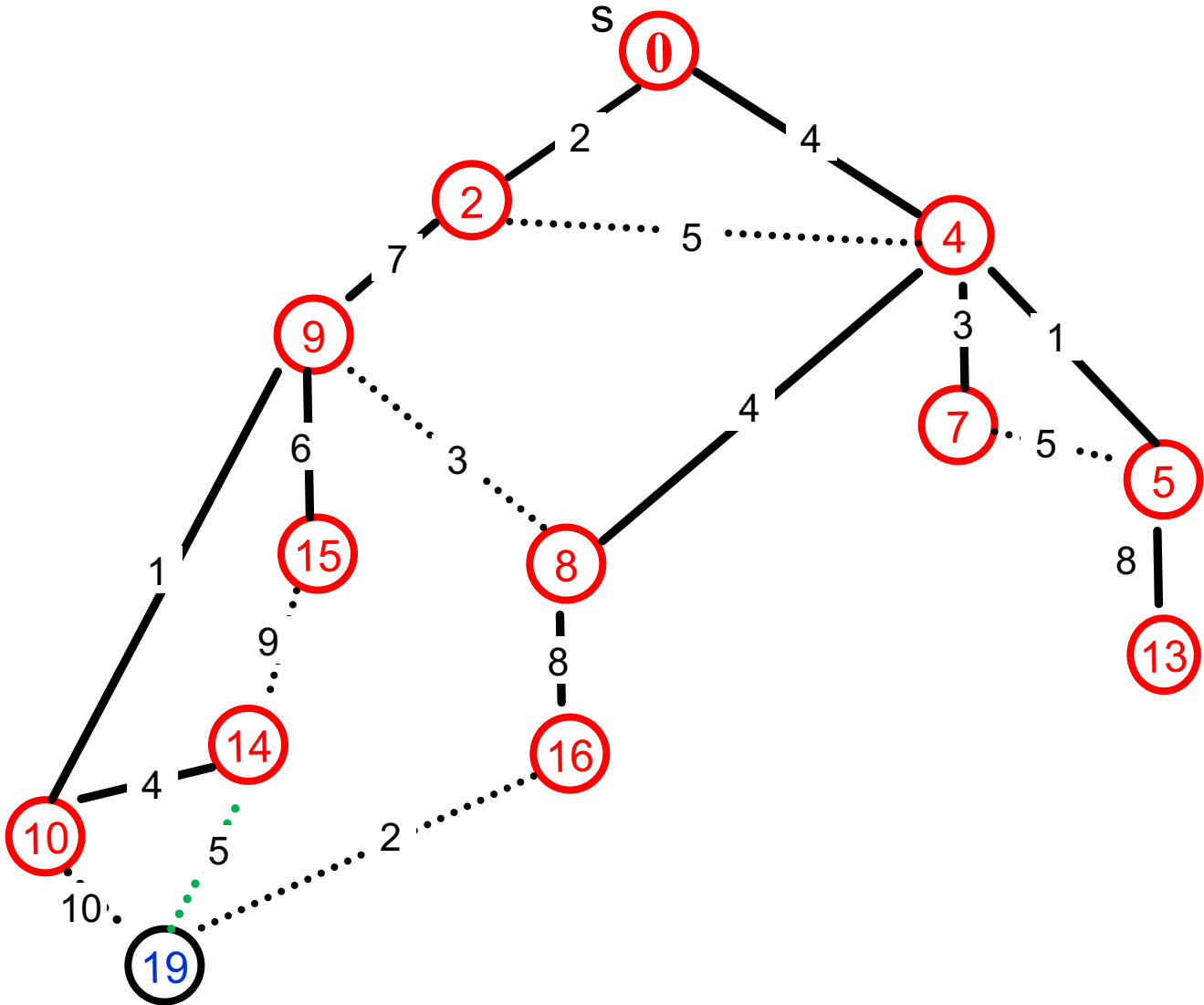
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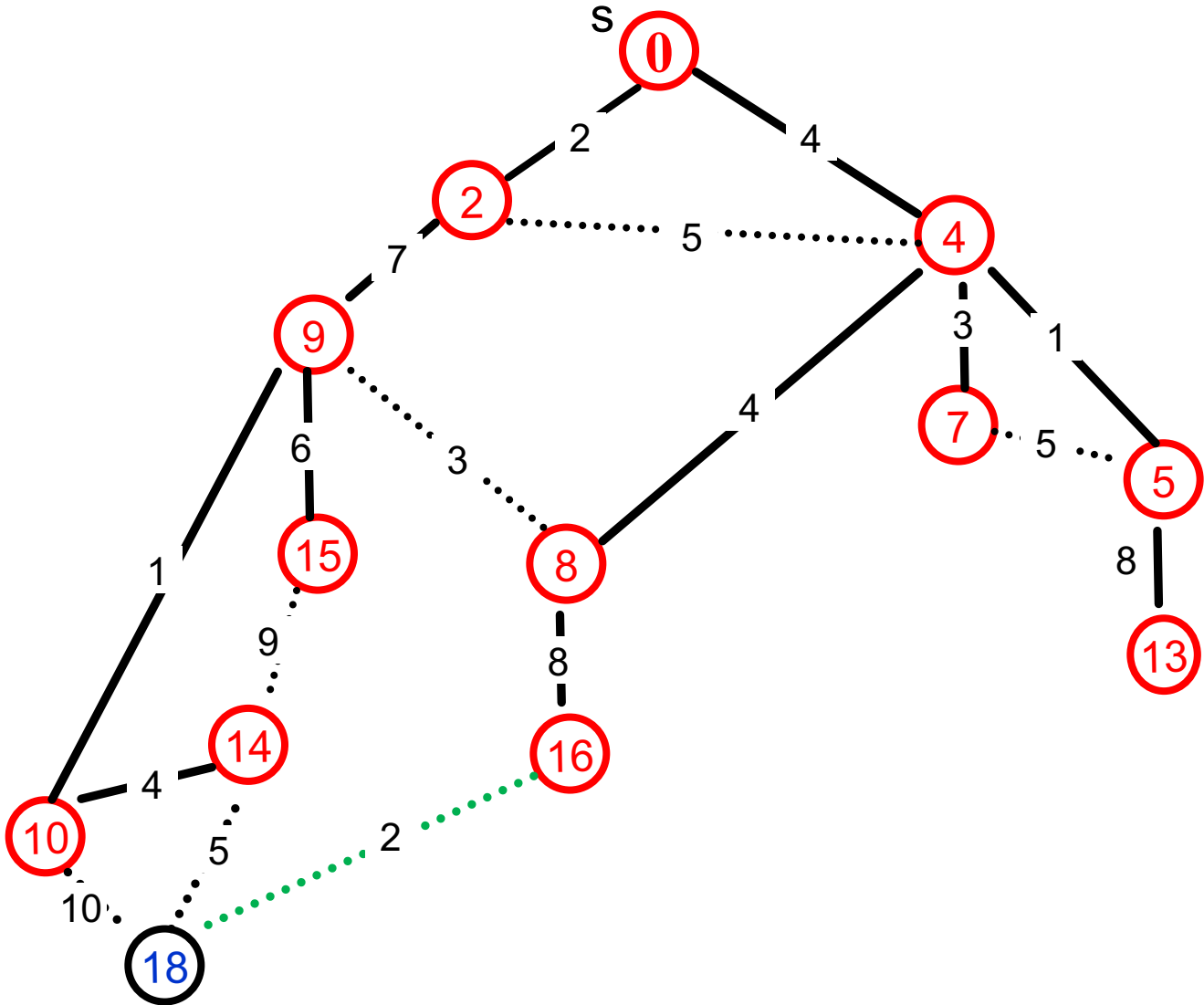
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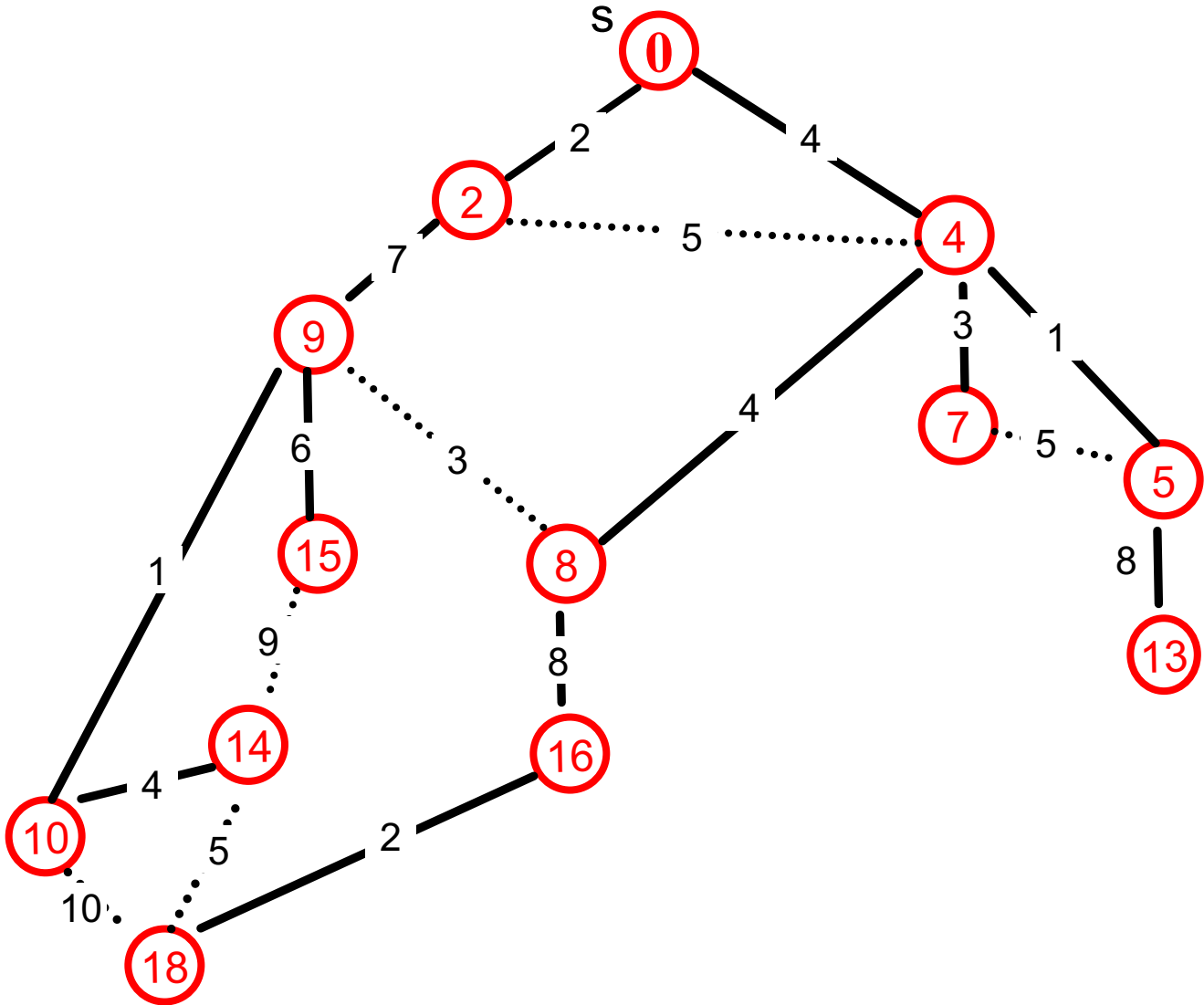
# Dijkstra's Algorithm: Example



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# Disjkstra's Algorithm: Correctness

Prove by induction that throughout the algorithm, for any  $u \in S$ , the path  $P_u$  is the shortest from  $s$  to  $u$ .

**Base Case:** This is always true when  $S = \{s\}$ .

**IH:** Suppose  $|S| = k$  and the claim holds for  $S$

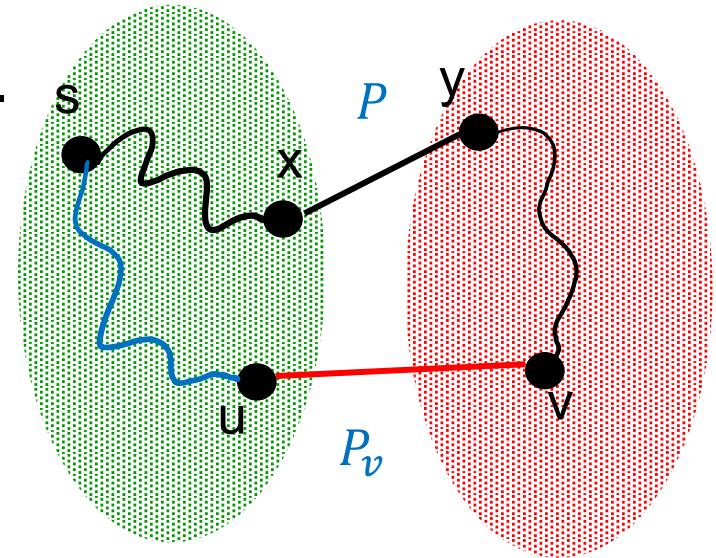
**IS:** Say  $v$  is the  $k+1$ -st vertex that we add to  $S$ . Let  $\{u,v\}$  be last edge on  $P_v$ . If  $P_v$  is not the shortest path there is a path  $P$  to  $s$  which is shorter.

Consider the **first** time that  $P$  leaves  $S$  (with edge  $\{x,y\}$ ).

$S \rightarrow x$  has weight (at least)  $d(x)$

So,  $c(P) \geq d(x) + c_{x,y} \geq d(v) = c(P_v)$ .

A contradiction.

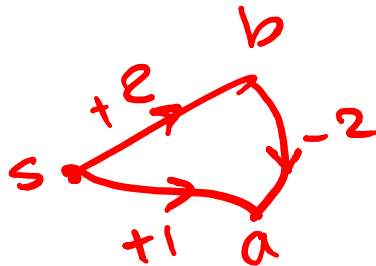




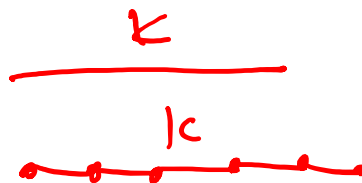
# Remarks on Dijkstra's Algorithm

- Algorithm also produces a **tree** of shortest paths to  $s$  following Parent links
- Algorithm works on directed graph (with nonnegative weights)
- The algorithm fails with negative edge weights.
  - e.g., some airline tickets

Why does it fail?



- Dijkstra's algorithm is similar to BFS:
  - Substitute every edge with  $c_e = k$  with a path of length  $k$ , then run BFS.



$\text{dijkstra} = \text{BFS}$ .

# Implementing Dijkstra's Algorithm

**Priority Queue:** Elements each with an associated key Operations

- Insert
- Find-min
  - Return the element with the smallest key
- Delete-min
  - Return the element with the smallest key and delete it from the data structure
- Decrease-key
  - Decrease the key value of some element

Implementations

Arrays:

- $O(n)$  time find/delete-min,
- $O(1)$  time insert/decrease key

Binary Heaps:

- $O(\log n)$  time insert/decrease-key/delete-min,
- $O(1)$  time find-min

# Dijkstra's Algorithm

Runs in  $O((n+m)\log n)$ .

```
Dijkstra(G, c, s) {  
  foreach (v ∈ V) d[v] ← ∞ //This is the key of node v  
  d[s] ← 0  
  foreach (v ∈ V) insert v onto a priority queue Q  
  Initialize set of explored nodes S ← {s}  
  
  while (Q is not empty) {  $O(1)$   
    u ← delete min element from Q  
    S ← S ∪ { u }  
    foreach (edge e = (u, v) incident to u)  
      if ((v ∉ S) and (d[u]+ce < d[v]))  
        d[v] ← d[u] + ce ←  
        Decrease key of v to d[v].  
        Parent(v) ← u  
  }  
}
```

$O(n)$  of delete min,  
each in  $O(\log n)$

$O(m)$  of decrease key,  
each runs in  $O(\log n)$

# Summary (Greedy Algorithms)

- **Greedy Stays Ahead:** Interval Scheduling, Dijkstra's algorithm
- **Structural:** Interval Partitioning
- **Exchange Arguments:** MST, Kruskal's Algorithm,
- **Data Structures:** Union Find, Heap

# Divide and Conquer Approach

# Divide and Conquer

Similar to algorithm design by induction, we reduce a problem to several subproblems.

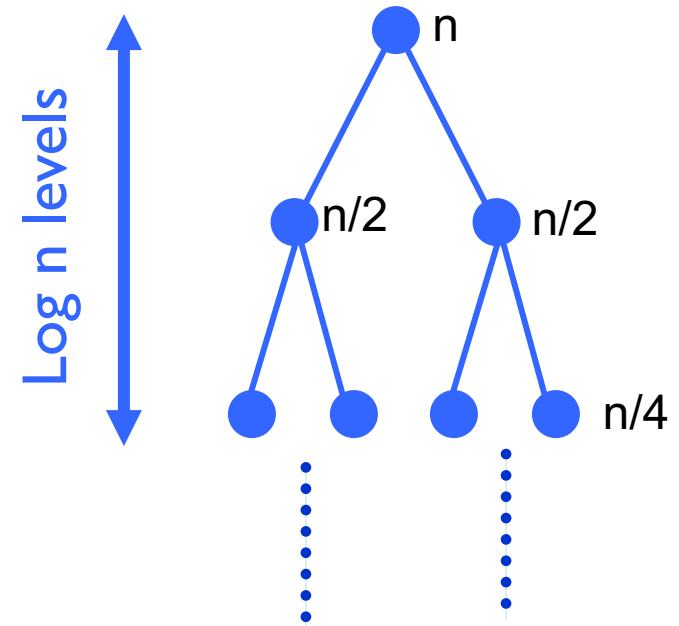
Typically, each sub-problem is **at most a constant fraction** of the size of the original problem

Recursively solve each subproblem

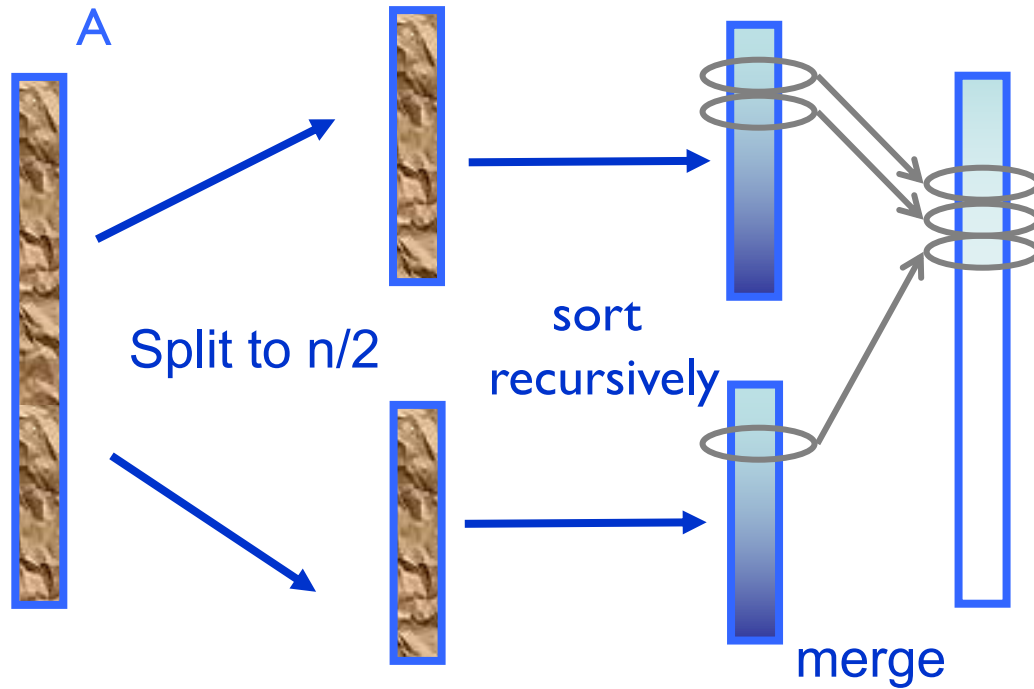
Merge the solutions

**Examples:**

- Mergesort, Binary Search, Strassen's Algorithm,



# A Classical Example: Merge Sort



# Why Balanced Partitioning?

An alternative "divide & conquer" algorithm:

- Split into  $n-1$  and  $1$
- Sort each sub problem
- Merge them

## Runtime

$$T(n) = T(n - 1) + T(1) + n$$

## Solution:

$$\begin{aligned} T(n) &= n + T(n - 1) + T(1) \\ &= n + n - 1 + T(n - 2) \\ &= n + n - 1 + n - 2 + T(n - 3) \\ &= n + n - 1 + n - 2 + \dots + 1 = O(n^2) \end{aligned}$$



# D&C: The Key Idea

Suppose we've already invented Bubble-Sort, and we know it takes  $n^2$

Try **just one level** of divide & conquer:

Bubble-Sort(first  $n/2$  elements)

Bubble-Sort(last  $n/2$  elements)

Merge results

Time:  $2 T(n/2) + n = n^2/2 + n \ll n^2$

$\underbrace{\quad}_{n^2/4}$

Almost twice as fast!



# D&C approach

- “the more dividing and conquering, the better”
  - Two levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing.
  - Best is usually full recursion **down to a small constant** size (balancing "work" vs "overhead").

In the limit: you’ve just rediscovered mergesort!

- Even unbalanced partitioning is good, but less good

- Bubble-sort improved with a 0.1/0.9 split:

$$(.1n)^2 + (.9n)^2 + n = .82n^2 + n$$

The 18% savings compounds significantly if you carry recursion to more levels, actually giving  $O(n \log n)$ , but with a bigger constant.

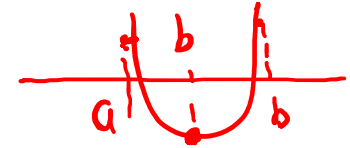
- This is why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.

# Finding the Root of a Function

# Finding the Root of a Function

Given a continuous function  $f$  and two points  $a < b$  such that

$$\left. \begin{array}{l} f(a) \leq 0 \\ f(b) \geq 0 \end{array} \right\}$$

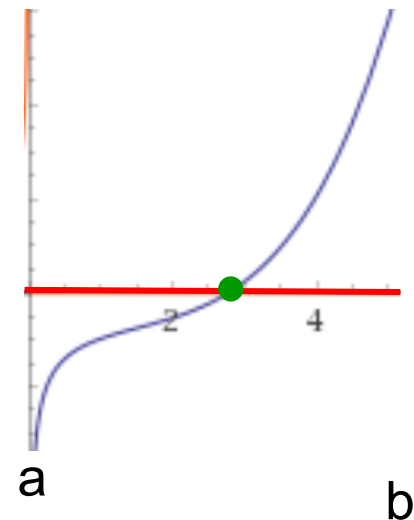


Find an approximate root of  $f$  (a point  $c$  where  $f(c) = 0$ ).

$f$  has a root in  $[a, b]$  by  
intermediate value theorem

Note that roots of  $f$  may be **irrational**,  
So, we want to approximate  
the root with an arbitrary precision!

$$f(x) = \sin(x) - \frac{100}{\sqrt{x}} + x^4$$



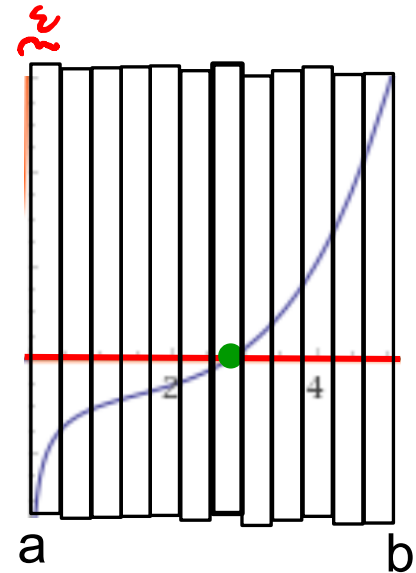
# A Naive Approach

Suppose we want  $\epsilon$  approximation to a root.

Divide  $[a,b]$  into  $n = \frac{b-a}{\epsilon}$  intervals. For each interval check  
 $f(x) \leq 0, f(x + \epsilon) \geq 0$

This runs in time  $O(n) = O\left(\frac{b-a}{\epsilon}\right)$

Can we do faster?



# D&C Approach (Based on Binary Search)

**Bisection**( $a, b, \epsilon$ )

if  $(b - a) < \epsilon$  then

return ( $a$ )

else

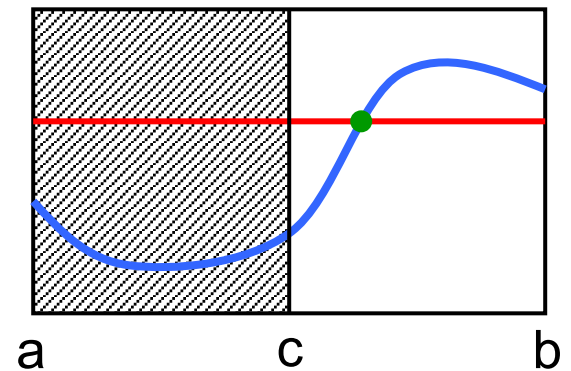
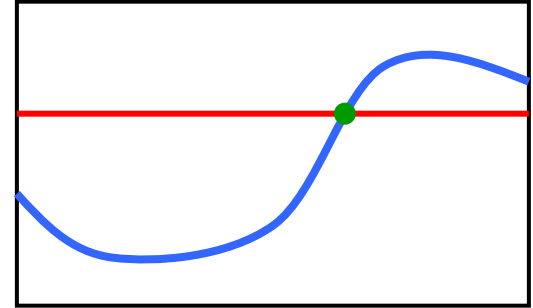
$m \leftarrow (a + b)/2$

if  $f(m) \leq 0$  then

return(Bisection( $c, b, \epsilon$ ))

else

return(Bisection( $a, c, \epsilon$ ))



*$c$   
mid*

# Time Analysis

$$\text{Let } n = \frac{a-b}{\epsilon}$$

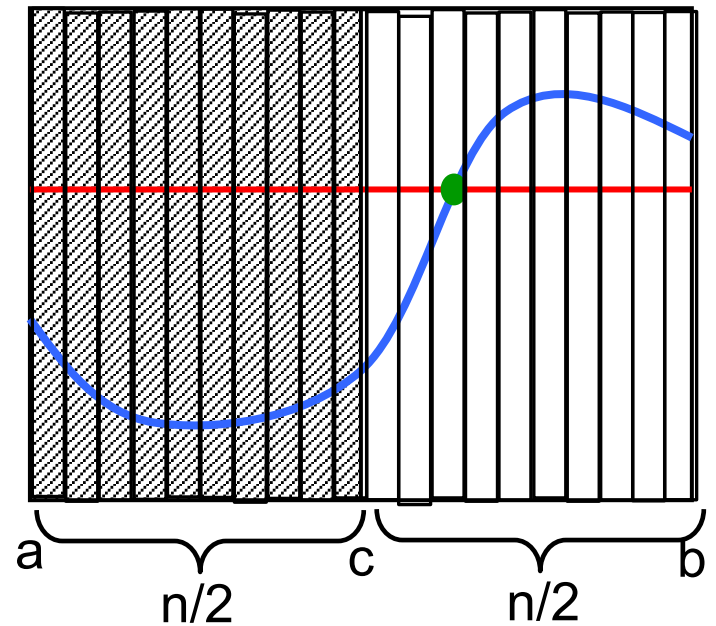
$$\text{And } c = (a + b)/2$$

Always half of the intervals lie to the left and half lie to the right of  $c$

So,

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

$$\text{i.e., } T(n) = O(\log n) = O\left(\log \frac{a-b}{\epsilon}\right)$$



# Correctness Proof

$P(k)$  = “For any  $a, b$  such that  $k\epsilon \leq |a - b| \leq (k + 1)\epsilon$  if  $f(a)f(b) < 0$ , then we find an  $\epsilon$  approx to a root using  $\log k$  queries to  $f$ ”

**Base Case:**  $P(1)$ : Output  $a + \epsilon$

**IH:** Assume  $P(k)$ .

**IS:** Show  $P(2k)$ . Consider an arbitrary  $a, b$  s.t.,  
$$2k\epsilon \leq |a - b| < (2k + 1)\epsilon$$

If  $f(a + k\epsilon) = 0$  output  $a + k\epsilon$ .

If  $f(a)f(a + k\epsilon) < 0$ , solve for interval  $a, a + k\epsilon$  using  $\log(k)$  queries to  $f$ .

Otherwise, we must have  $f(b)f(a + k\epsilon) < 0$  since  $f(a)f(b) < 0$  and  $f(a)f(a + k\epsilon) \geq 0$ . Solve for interval  $a + k\epsilon, b$ .

Overall we use at most  $\log(k)+1=\log(2k)$  queries to  $f$ .