

## CSE 421 Algorithms

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Lecture 4

## Announcements

- Reading
  - Chapter 2.1, 2.2
  - Chapter 3 (Mostly review)
  - Start on Chapter 4
- Homework Guidelines
  - Submit homework with Canvas
    - Submit problems separately
    - Deadline is 1:29 PM on Wednesday
  - Describing an algorithm
    - Clarity is most important
    - Pseudocode generally preferable to just English
      - But sometimes both methods combined work best
  - Prove that your algorithm works
    - A proof is a "convincing argument"
  - Give the run time for you algorithm
    - Justify that the algorithm satisfies the runtime bound
  - You may lose points for style
  - Homework assignments will (probably) be worth the same amount

What does it mean for an algorithm  
to be efficient?

## Definitions of efficiency

- Fast in practice
- Qualitatively better worst case performance than a brute force algorithm

## Polynomial time efficiency

- An algorithm is efficient if it has a polynomial run time
- Run time as a function of problem size
  - Run time: count number of instructions executed on an underlying model of computation
  - $T(n)$ : maximum run time for all problems of size at most  $n$

## Polynomial Time

- Algorithms with polynomial run time have the property that increasing the problem size by a constant factor increases the run time by at most a constant factor (depending on the algorithm)

## Why Polynomial Time?

- Generally, polynomial time seems to capture the algorithms which are efficient in practice
- The class of polynomial time algorithms has many good, mathematical properties

## Polynomial vs. Exponential Complexity

- Suppose you have an algorithm which takes  $n!$  steps on a problem of size  $n$
- If the algorithm takes one second for a problem of size 10, estimate the run time for the following problems sizes:

12            14            16            18            20

## Ignoring constant factors

- Express run time as  $O(f(n))$
- Emphasize algorithms with slower growth rates
- Fundamental idea in the study of algorithms
- Basis of Tarjan/Hopcroft Turing Award

## Why ignore constant factors?

- Constant factors are arbitrary
  - Depend on the implementation
  - Depend on the details of the model
- Determining the constant factors is tedious and provides little insight

## Why emphasize growth rates?

- The algorithm with the lower growth rate will be faster for all but a finite number of cases
- Performance is most important for larger problem size
- As memory prices continue to fall, bigger problem sizes become feasible
- Improving growth rate often requires new techniques

## Formalizing growth rates

- $T(n)$  is  $O(f(n))$              $[T : \mathbb{Z}^+ \rightarrow \mathbb{R}^+]$ 
  - If  $n$  is sufficiently large,  $T(n)$  is bounded by a constant multiple of  $f(n)$
  - Exist  $c, n_0$ , such that for  $n > n_0$ ,  $T(n) < c f(n)$
- $T(n)$  is  $O(f(n))$  will be written as:  
 $T(n) = O(f(n))$ 
  - Be careful with this notation

Prove  $3n^2 + 5n + 20$  is  $O(n^2)$

Let  $c =$

Let  $n_0 =$

$T(n)$  is  $O(f(n))$  if there exist  $c, n_0$ , such that for  $n > n_0$ ,  
 $T(n) < c f(n)$

Order the following functions in increasing order by their growth rate

- $n \log^4 n$
- $2n^2 + 10n$
- $2^{n/100}$
- $1000n + \log^8 n$
- $n^{100}$
- $3^n$
- $1000 \log^{10} n$
- $n^{1/2}$

### Lower bounds

- $T(n)$  is  $\Omega(f(n))$ 
  - $T(n)$  is at least a constant multiple of  $f(n)$
  - There exists an  $n_0$ , and  $\varepsilon > 0$  such that  $T(n) > \varepsilon f(n)$  for all  $n > n_0$
- Warning: definitions of  $\Omega$  vary
- $T(n)$  is  $\Theta(f(n))$  if  $T(n)$  is  $O(f(n))$  and  $T(n)$  is  $\Omega(f(n))$

### Useful Theorems

- If  $\lim (f(n) / g(n)) = c$  for  $c > 0$  then  $f(n) = \Theta(g(n))$
- If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n)$  is  $O(h(n))$
- If  $f(n)$  is  $O(h(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n) + g(n)$  is  $O(h(n))$

### Ordering growth rates

- For  $b > 1$  and  $x > 0$ 
  - $\log^b n$  is  $O(n^x)$
- For  $r > 1$  and  $d > 0$ 
  - $n^d$  is  $O(r^n)$