

# CSE 421

# Algorithms

Autumn 2019

Lecture 10

Minimum Spanning Trees

Edge costs are assumed to be non-negative

# Dijkstra's Algorithm

## Implementation and Runtime

$S = \{ \}$ ;  $d[s] = 0$ ;  $d[v] = \text{infinity}$  for  $v \neq s$

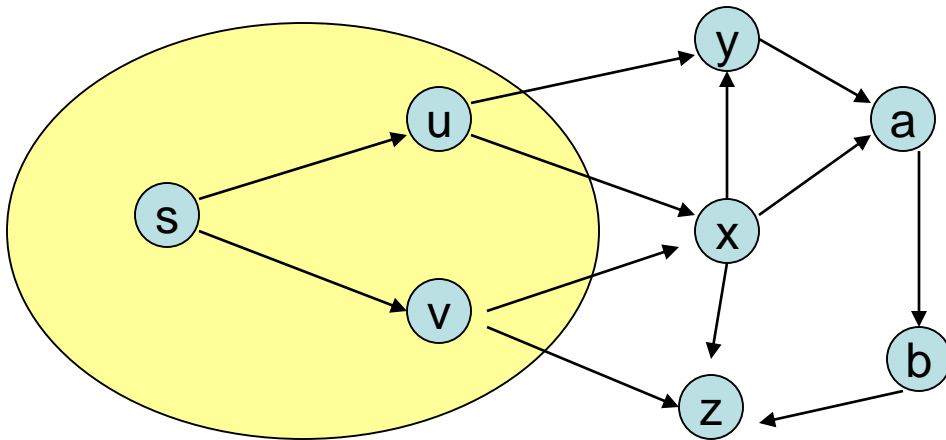
While  $S \neq V$

    Choose  $v$  in  $V-S$  with minimum  $d[v]$

    Add  $v$  to  $S$

    For each  $w$  in the neighborhood of  $v$

$$d[w] = \min(d[w], d[v] + c(v, w))$$



HEAP OPERATIONS

$n$  Extract Mins

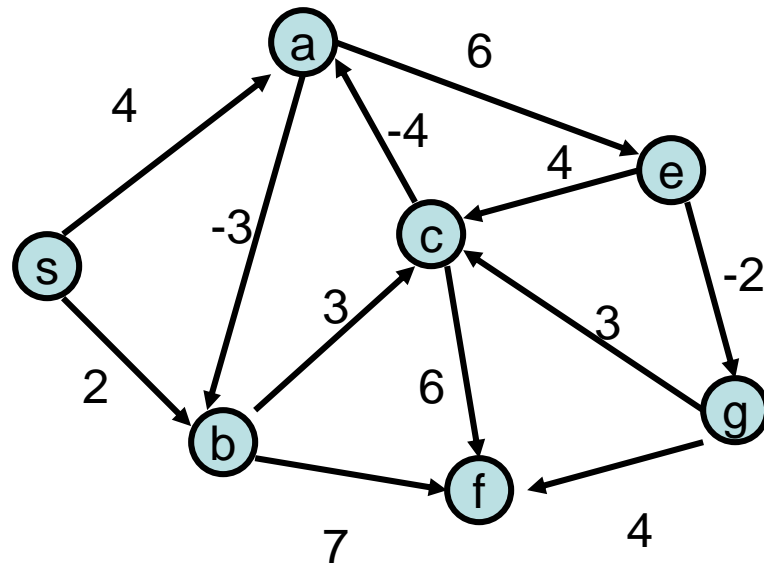
$m$  Heap Updates

# Run Time

- Basic Heap Implementation
  - $O(\log n)$  extract min and update key
  - $O((m + n) \log n)$  run time
- Fancy data structures: Fibonacci Heaps
  - $O(m + n \log n)$
- Dense graphs
  - $O(n^2)$

# Shortest Paths

- Negative Cost Edges
  - Dijkstra's algorithm assumes positive cost edges
  - For some applications, negative cost edges make sense
  - Shortest path not well defined if a graph has a negative cost cycle

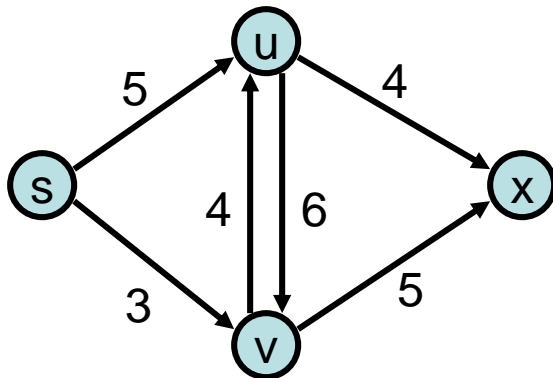


# Negative Cost Edge Preview

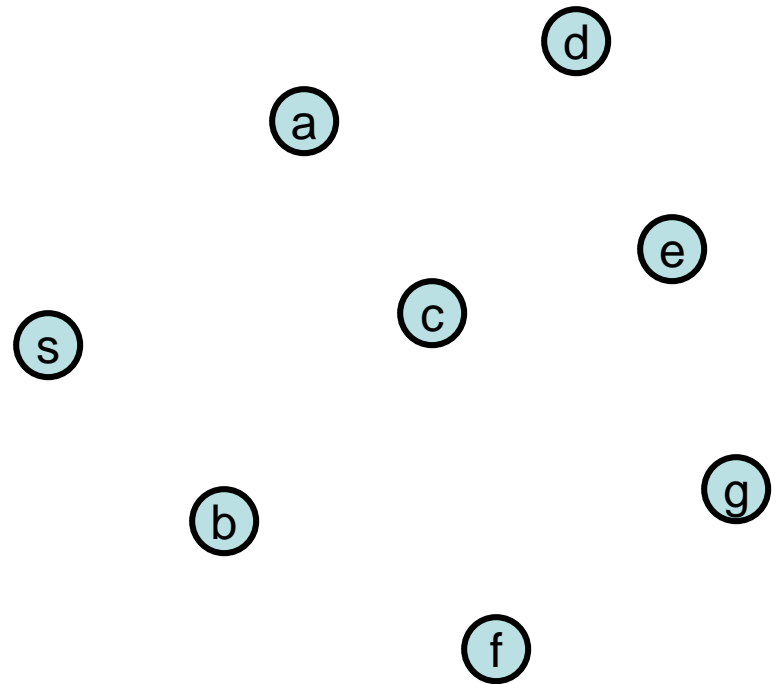
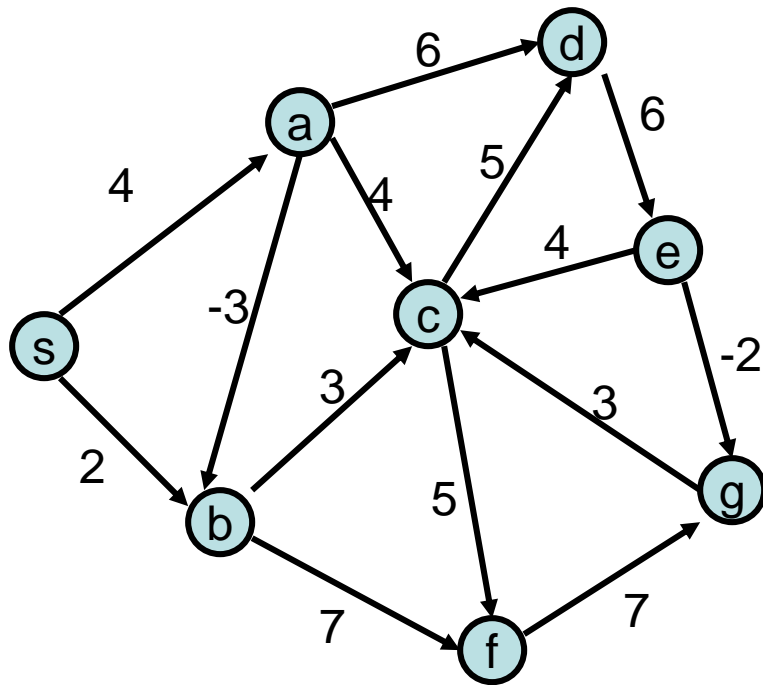
- Topological Sort can be used for solving the shortest path problem in directed acyclic graphs
- Bellman-Ford algorithm finds shortest paths in a graph with negative cost edges (or reports the existence of a negative cost cycle).

# Bottleneck Shortest Path

- Define the bottleneck distance for a path to be the maximum cost edge along the path



# Compute the bottleneck shortest paths



# Dijkstra's Algorithm for Bottleneck Shortest Paths

$S = \{ \}$ ;  $d[s] = \text{negative infinity}$ ;  $d[v] = \text{infinity}$  for  $v \neq s$

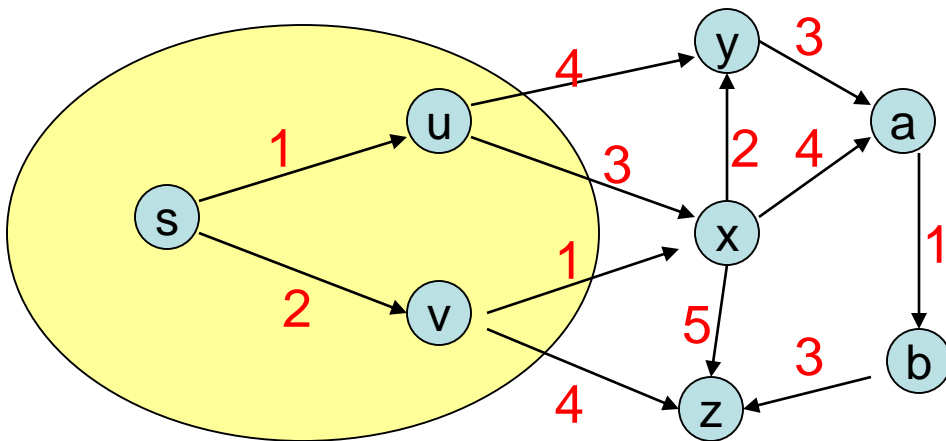
While  $S \neq V$

Choose  $v$  in  $V-S$  with minimum  $d[v]$

Add  $v$  to  $S$

For each  $w$  in the neighborhood of  $v$

$$d[w] = \min(d[w], \max(d[v], c(v, w)))$$

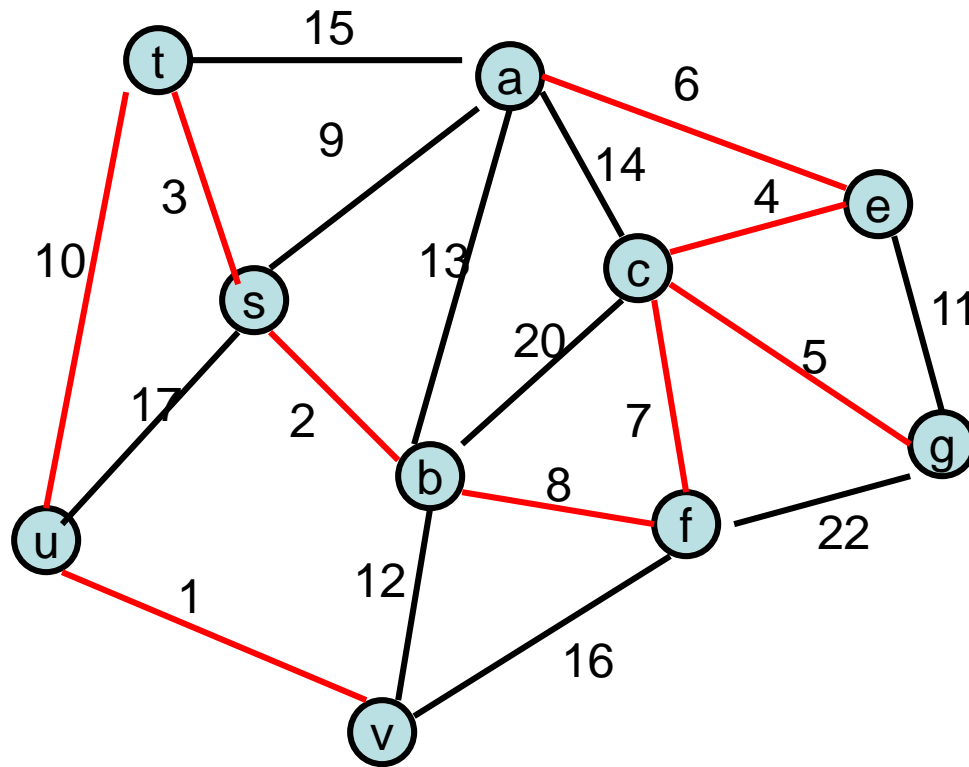




# Minimum Spanning Tree

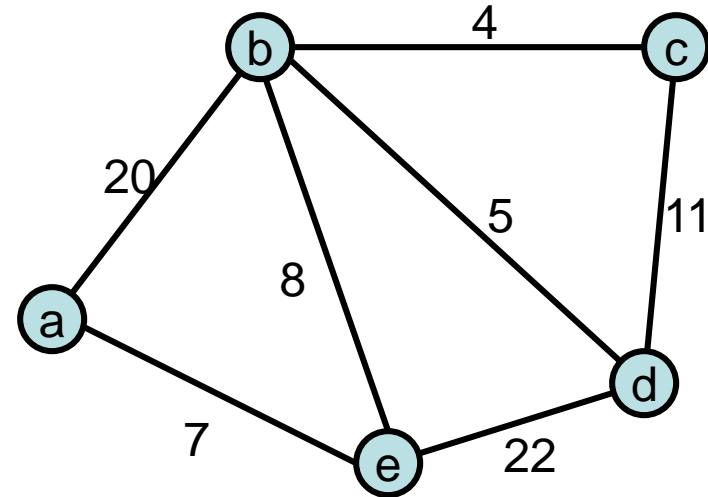
- Introduce Problem
- Demonstrate three different greedy algorithms
- Provide proofs that the algorithms work

# Minimum Spanning Tree



# Greedy Algorithms for Minimum Spanning Tree

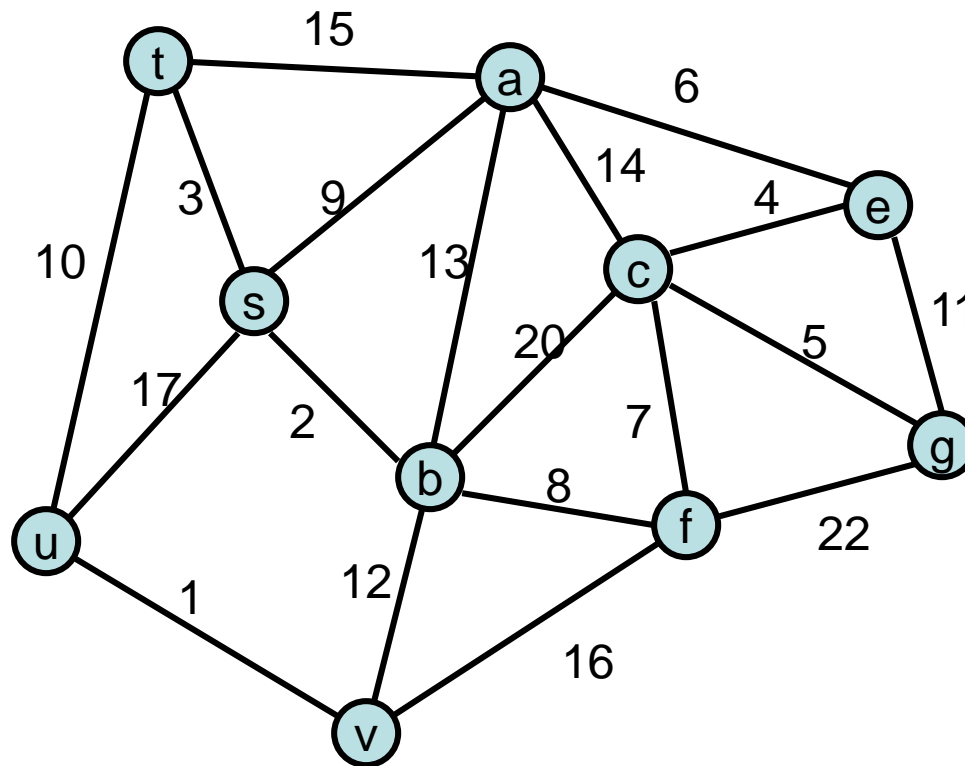
- Extend a tree by including the cheapest outgoing edge
- Add the cheapest edge that joins disjoint components
- Delete the most expensive edge that does not disconnect the graph



# Greedy Algorithm 1

## Prim's Algorithm

- Extend a tree by including the cheapest out going edge



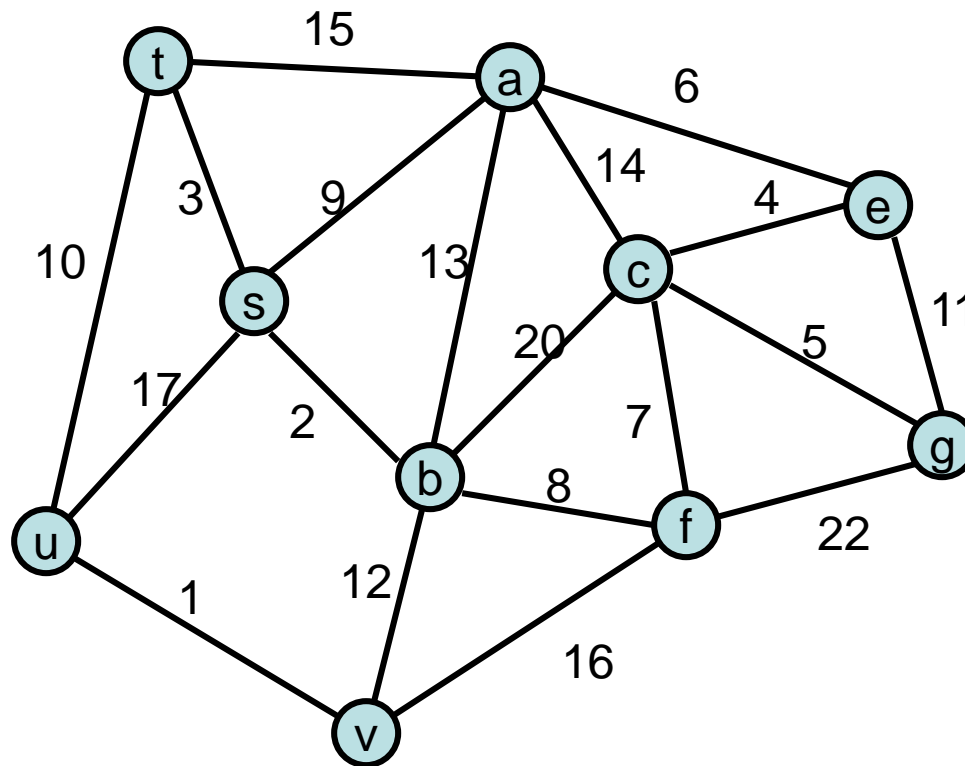
Construct the MST  
with Prim's  
algorithm starting  
from vertex a

Label the edges in  
order of insertion

# Greedy Algorithm 2

## Kruskal's Algorithm

- Add the cheapest edge that joins disjoint components



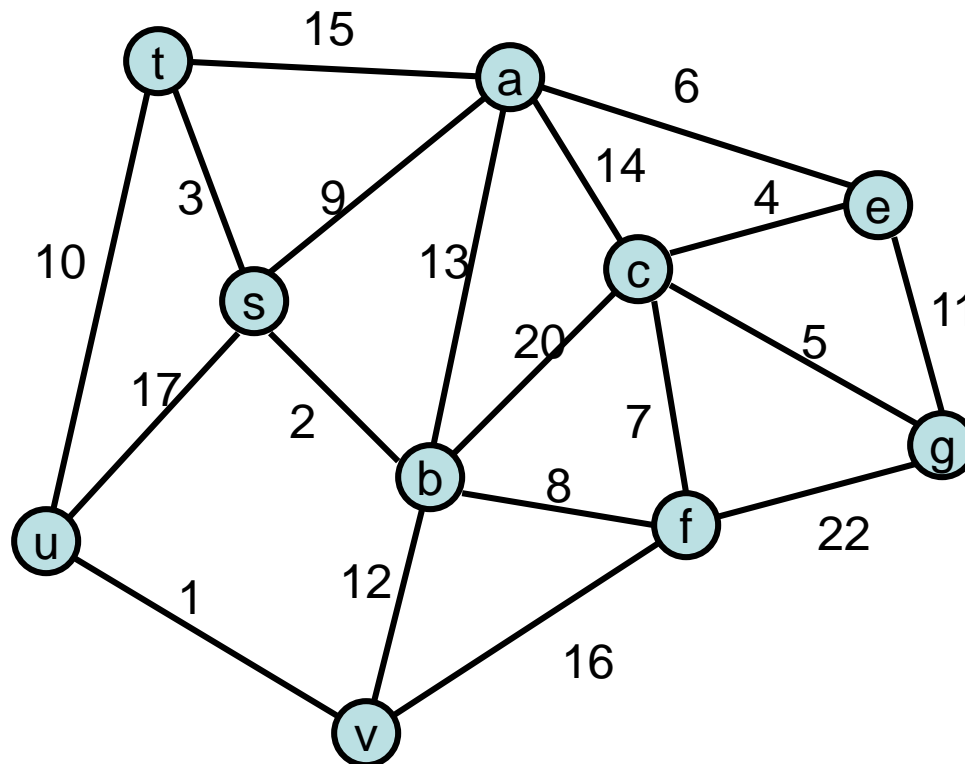
Construct the MST  
with Kruskal's  
algorithm

Label the edges in  
order of insertion

# Greedy Algorithm 3

## Reverse-Delete Algorithm

- Delete the most expensive edge that does not disconnect the graph



Construct the MST  
with the reverse-  
delete algorithm

Label the edges in  
order of removal

# Dijkstra's Algorithm for Minimum Spanning Trees

$S = \{ \}; \quad d[s] = 0; \quad d[v] = \text{infinity for } v \neq s$

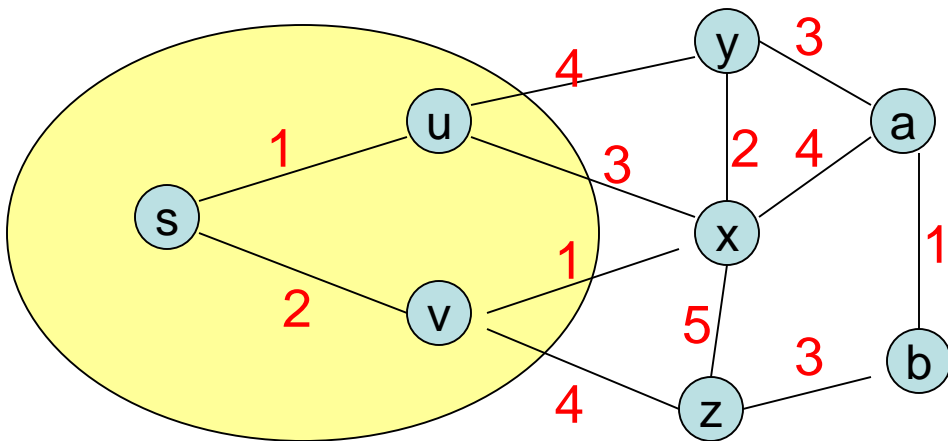
While  $S \neq V$

    Choose  $v$  in  $V-S$  with minimum  $d[v]$

    Add  $v$  to  $S$

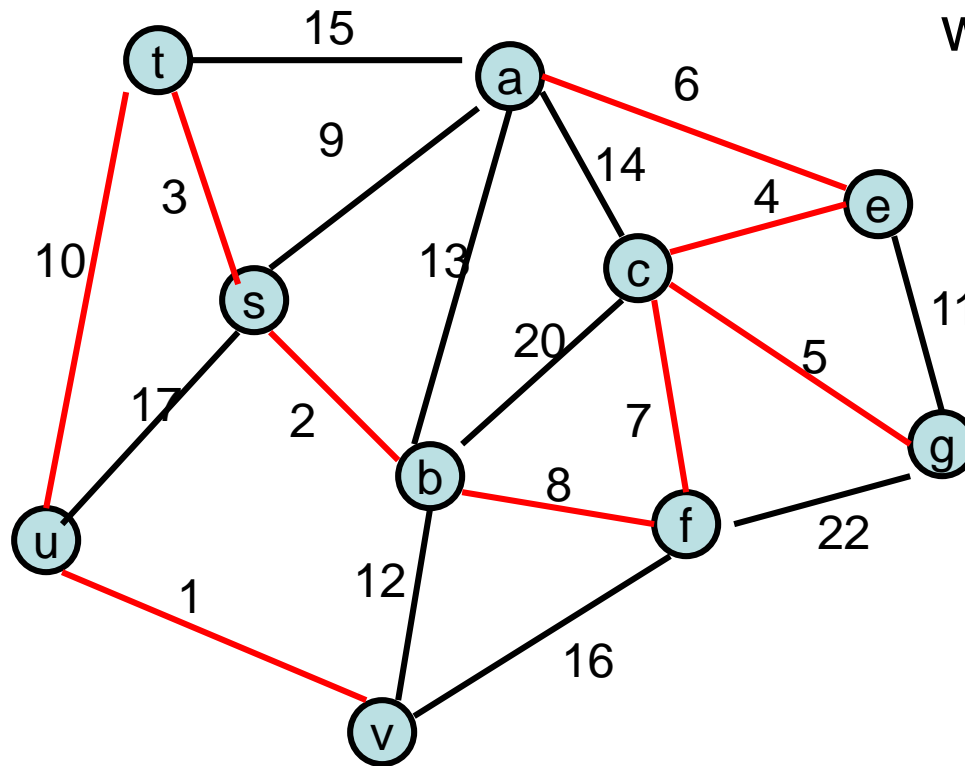
    For each  $w$  in the neighborhood of  $v$

$$d[w] = \min(d[w], c(v, w))$$



# Minimum Spanning Tree

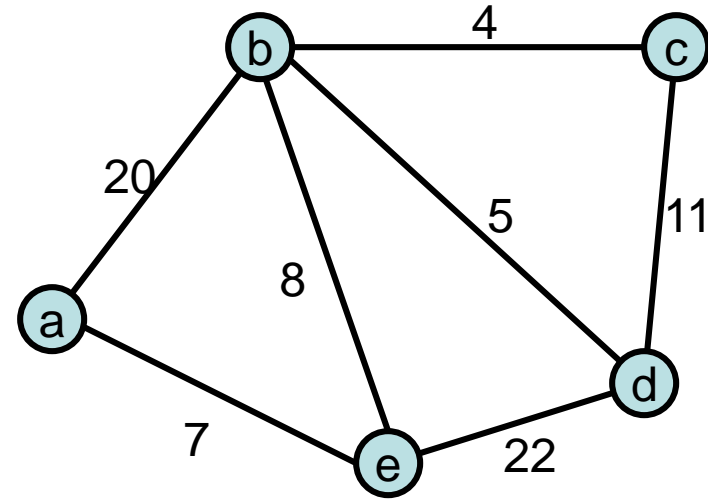
Undirected Graph  
 $G=(V,E)$  with edge  
weights





# Greedy Algorithms for Minimum Spanning Tree

- **[Prim]** Extend a tree by including the cheapest out going edge
- **[Kruskal]** Add the cheapest edge that joins disjoint components
- **[ReverseDelete]** Delete the most expensive edge that does not disconnect the graph

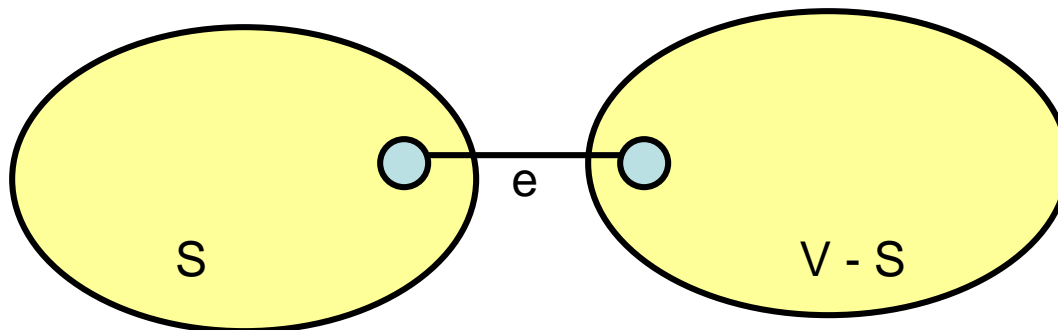


# Why do the greedy algorithms work?

- For simplicity, assume all edge costs are distinct

# Edge inclusion lemma

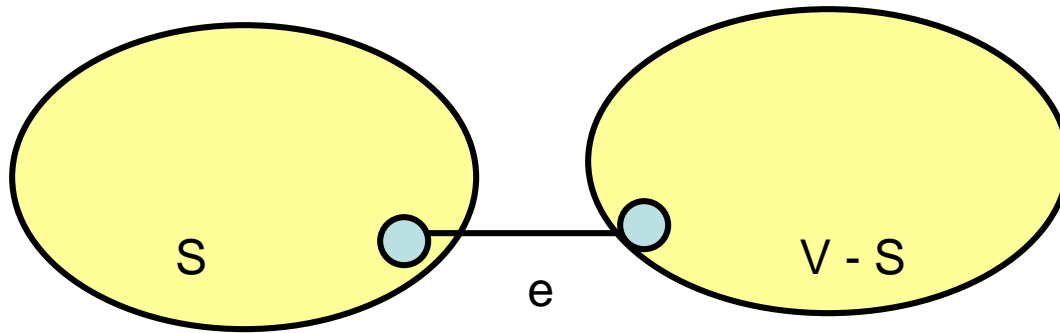
- Let  $S$  be a subset of  $V$ , and suppose  $e = (u, v)$  is the minimum cost edge of  $E$ , with  $u$  in  $S$  and  $v$  in  $V-S$
- $e$  is in every minimum spanning tree of  $G$ 
  - Or equivalently, if  $e$  is not in  $T$ , then  $T$  is not a minimum spanning tree



$e$  is the minimum cost edge  
between  $S$  and  $V-S$

# Proof

- Suppose  $T$  is a spanning tree that does not contain  $e$
- Add  $e$  to  $T$ , this creates a cycle
- The cycle must have some edge  $e_1 = (u_1, v_1)$  with  $u_1$  in  $S$  and  $v_1$  in  $V-S$



- $T_1 = T - \{e_1\} + \{e\}$  is a spanning tree with lower cost
- Hence,  $T$  is not a minimum spanning tree