

Lecture 16 Approximation Algorithms

Lecturer: Shayan Oveis Gharan

Scribe:

The approximation ratio of an algorithm ALG is the ratio of the cost of the solution produced by the algorithm over the cost of the optimum solution in the *worst case*. In the field of approximation algorithms, we want to design a polynomial time algorithm with the smallest possible approximation ratio. In this lecture we will design approximation algorithms for two fundamental NP-hard problems using greedy strategy.

One of the main difficulties in analyzing approximation algorithms is to get a handle on the optimum solution. Normally, we do not know the optimum solution of a generic instance of our problem – if we did we would have just output it to begin with. So, instead we use *properties* of the optimum solution to compare its cost with the cost of the solution of our algorithm.

1 Vertex Cover

In the vertex cover problem, we are given an undirected graph. The goal is to find a set of vertices of smallest size, such that every edge of the graph touches one of the vertices in the set.

One option for a greedy algorithm is to pick the vertex of maximum degree, add it to the cover, delete its edges, and repeat. We call this algorithm Greedy1.

Consider the following example: a graph with a set of n vertices A , and sets of vertices B_1, B_2, \dots, B_n , where $|B_i| = \lfloor n/i \rfloor$. Each vertex of A is connected to exactly one vertex of B_i , for all i . The edges into B_i are uniformly distributed so that the degree of a vertex in B_i is approximately i . Then, note that the degree of the vertex in B_n is n and so are the degree of vertices in part A . So Greedy1 may pick the vertex in B_n first. After deleting the edges of the vertex in B_n , the degree of vertices in A goes down to $n - 1$, but the degree of the vertex in B_{n-1} is n . So Greedy1 picks B_{n-1} . This keeps happening until Greedy1 picks B_1 . In this way, it picks a cover of size roughly $n + n/2 + n/3 + \dots$ which is of size $\Omega(n \log n)$, while the set A is a vertex cover of size n . Therefore the approximation ratio of the Greedy1 can be as large as

$$\frac{n \log n}{n} = \log n$$

in the worst case.

Instead, there is a much simpler algorithm that gives an approximation algorithm with approximation ratio 2 for the vertex cover problem.

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Input: An undirected graph  $G$ 
Result: A vertex cover.
Let  $T = \emptyset$ ;
while Some edge  $\{u, v\}$  of  $G$  is uncovered do
  | Add both  $u$  and  $v$  to  $T$ ;
end
Output  $T$ .

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Algorithm 1: Greedy2

The analysis is very simple.

Claim 1. *The approximation ratio of Greedy2 is at most 2.*

Proof Let $\{e_1, \dots, e_k\}$ be the edges chosen by Greedy2 algorithm. By design, T is the set of endpoints of these edges, i.e., $|T| = 2k$ and no two of these edges touch each other.

To prove the claim, it is enough to show that $OPT \geq k$. Consider the graph H only made up of these k edges (so H has $2k$ vertices and k edges). The optimum solution is also a vertex cover for H . But it must have one endpoint of each of the edges, e_1, \dots, e_k because these edges do not share endpoints. So, Greedy2 has approximation ratio at most 2 in the worst case. ■