

Lemma binary tree  $T \rightarrow$  Huffman Tree  $H$  via inversion

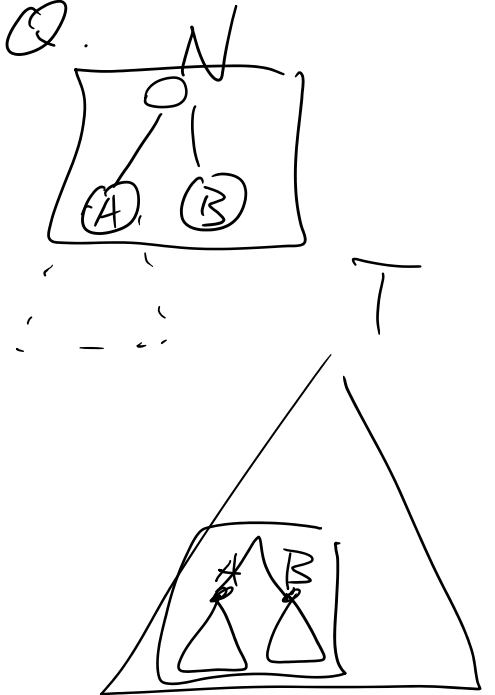
Proof by induction.

IH: At  $k$ th iteration of Huffman,  
 all nodes in the  $Q$  is a subtree of  $T$  (after inversions)

Base case: all nodes in  $Q$  are leaves of  $T$ .

IS: Huffman picks  $A, B$  from  $Q$ .  
 and form a new tree in  $Q$

By IH, we know,  $A$  and  $B$  are  
 subtree of  $T$ . (after...)



Case 1:  $A, B$  are siblings in  $T$ .

Then  $N$  is a subtree of  $T$ .

No swapping is needed ✓

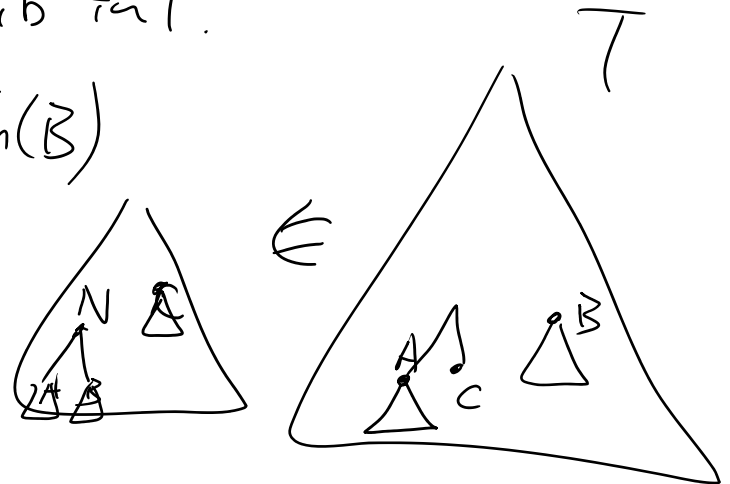
Case 2:  $A, B$  are not sib in  $T$ .

wLOG,  $\text{depth}(A) \geq \text{depth}(B)$

Let  $C$  be sib of  $A$

Want to swap  $C, B$ .

Note that



- $\text{freq}(C) \geq \text{freq}(B)$  (Huffman picks 2 min)

$$\text{depth}(C) - \text{depth}(A) > \text{depth}(B) - \text{depth}(A)$$

- $\text{Tree}(L) \supseteq \text{Tree}(R)$  (minimum picks  $< \infty$ )
- $\text{depth}(C) = \text{depth}(A) \geq \text{depth}(B)$

So, C, B are inversions.

after swap, N is a subtree of T ✓

