

# **CSE 421: Introduction to Algorithms**



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## **Complexity and Representative Problems**

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# Measuring efficiency: The RAM model

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- RAM = Random Access Machine
- Time  $\approx$  # of instructions executed in an ideal assembly language
  - each simple operation (+, \*, -, =, if, call) takes one time step
  - each memory access takes one time step



# Complexity analysis

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- Problem size  $N$ 
  - **Worst-case complexity:** **max** # steps algorithm takes on any input of size  $N$
  - **Best-case complexity:** **min** # steps algorithm takes on any input of size  $N$
  - **Average-case complexity:** **avg** # steps algorithm takes on inputs of size  $N$



# Stable Matching

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- Problem size
  - $N=2n^2$  words
    - $2n$  people each with a preference list of length  $n$
  - $2n^2 \log n$  bits
    - specifying an ordering for each preference list takes  $n \log n$  bits
- Brute force algorithm
  - Try all  $n!$  possible matchings
- Gale-Shapley Algorithm
  - $n^2$  iterations, each costing constant time
    - For each man an array listing the women in preference order
    - For each woman an array listing the preferences indexed by the names of the men
    - An array listing the current partner (if any) for each woman
    - An array listing the preference index of the last woman each man proposed to (if any)



# Complexity

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- The complexity of an algorithm associates a number  **$T(N)$** , the worst/average-case/best time the algorithm takes, with each problem size  **$N$** .
- Mathematically,
  - **$T$**  is a function that maps positive integers giving problem size to positive real numbers giving number of steps.

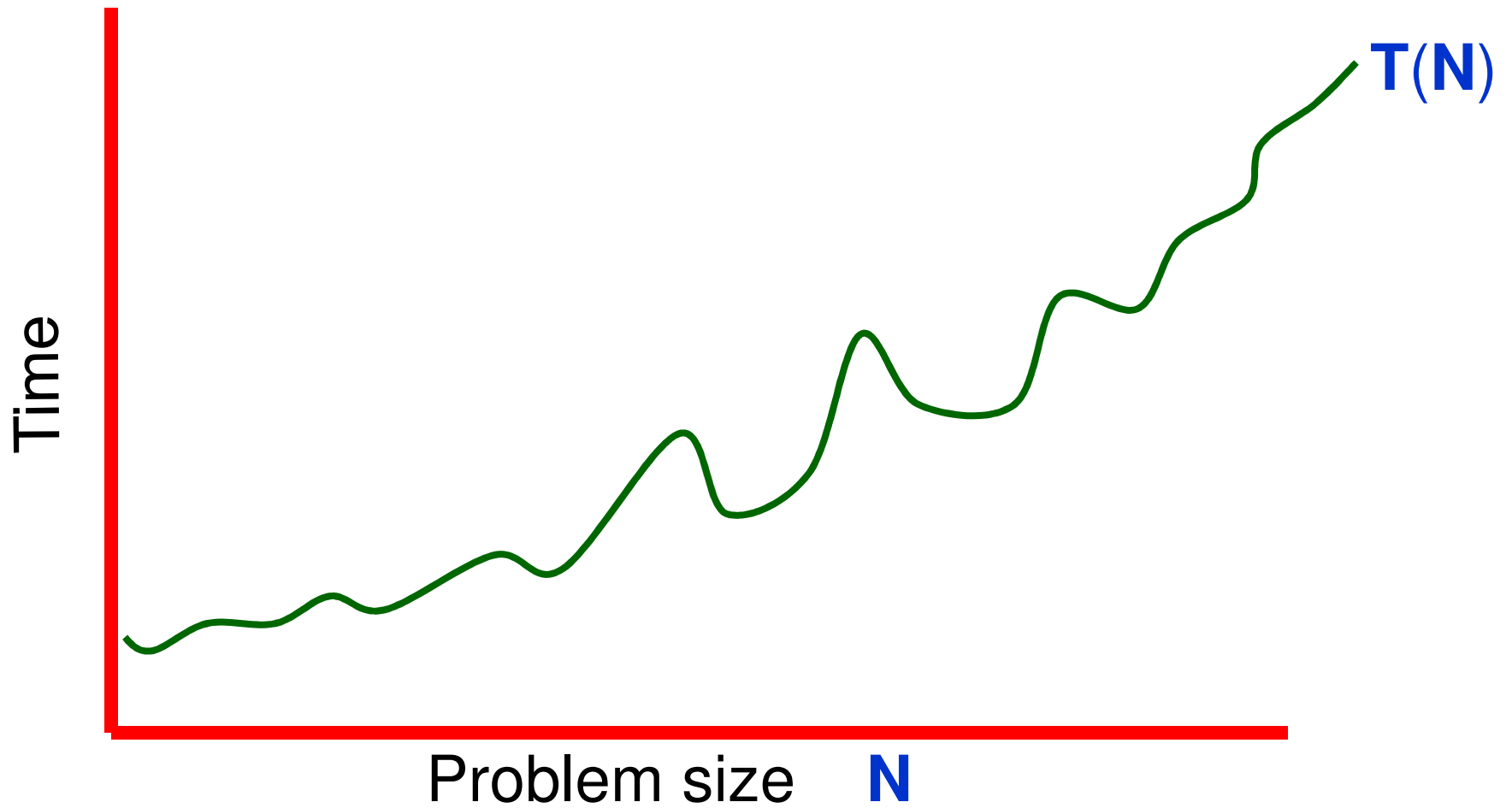


# Efficient = Polynomial Time

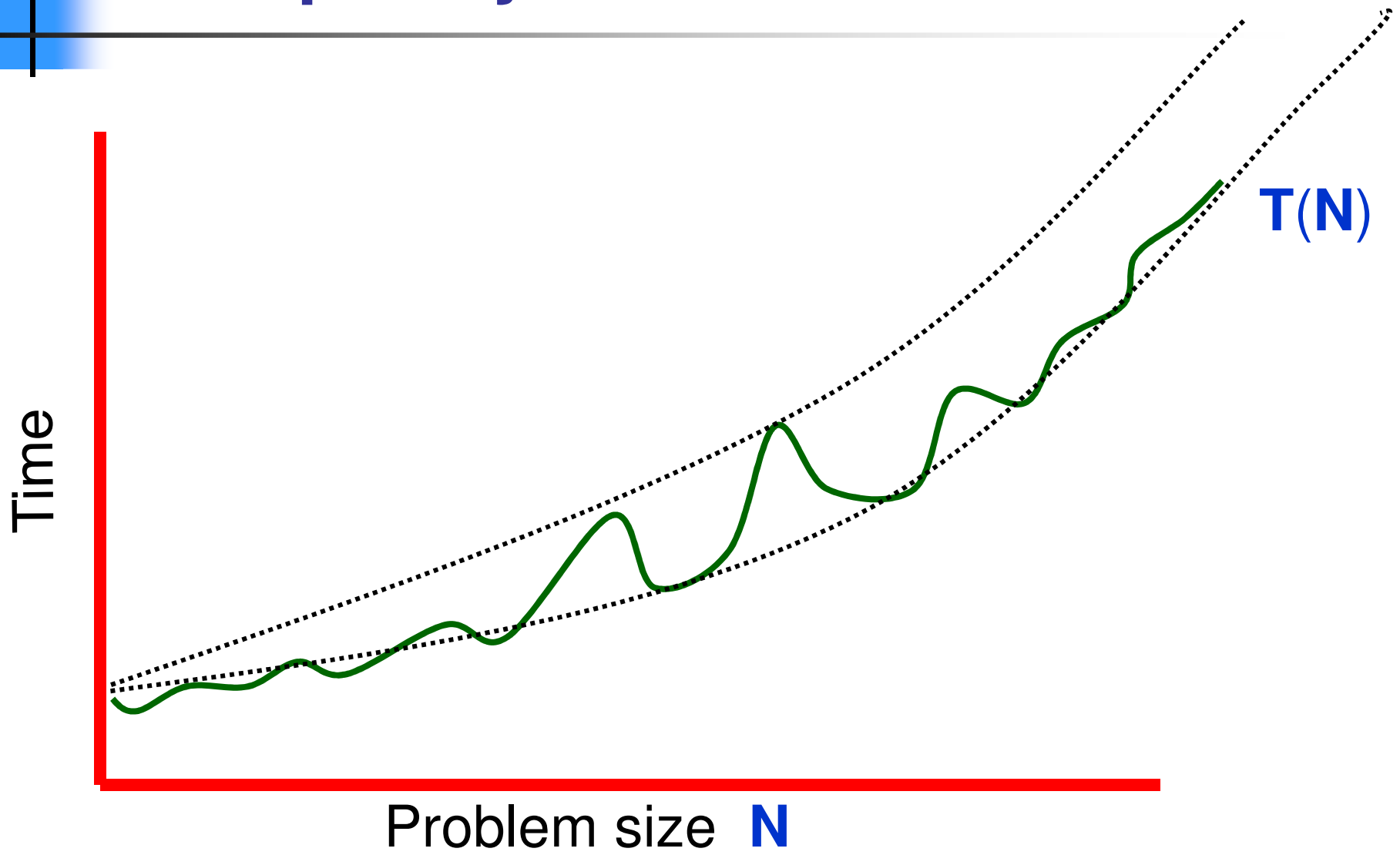
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- Polynomial time
  - Running time  $T(N) \leq cN^{k+d}$  for some  $c, d, k \geq 0$
- Why polynomial time?
  - If problem size grows by at most a constant factor then so does the running time
    - E.g.  $T(2N) \leq c(2N)^{k+d} \leq 2^k(cN^{k+d})$
    - Polynomial-time is exactly the set of running times that have this property
  - Typical running times are small degree polynomials, mostly less than  $N^3$ , at worst  $N^6$ , not  $N^{100}$

# Complexity



# Complexity







# O-notation etc

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- Given two positive functions **f** and **g**
  - **f(N)** is **O(g(N))** iff there is a constant **c > 0** so that **f(N)** is eventually always **≤ c g(N)**
  - **f(N)** is **o(g(N))** iff the ratio **f(N)/g(N)** goes to **0** as **N** gets large
  - **f(N)** is **Ω(g(N))** iff there is a constant **ε > 0** so that **f(N)** is **≥ ε g(N)** for infinitely many values of **N**
  - **f(N)** is **Θ(g(N))** iff **f(N)** is **O(g(N))** and **f(N)** is **Ω(g(N))**

Note: The definition of **Ω** is the same as “**f(N)** is **not o(g(N))**”



# Administrative

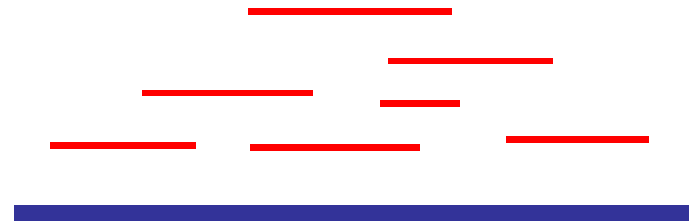
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- Reading
  - Chapter 2, start Chapter 3 by Wednesday
- Homework 1
  - Posted on website.
  - Due Friday at 4:00 on Canvas.
- Office hours:
  - Me: MW 5:20-5:50, W 3:30-4:20
  - TAs: M 2:30, Tu 11:00, W 12:00, 2:30,  
Th 10:30, 12:30, 2:00

# 5 Representative Problems

- Interval Scheduling

- Single resource



- Reservation requests

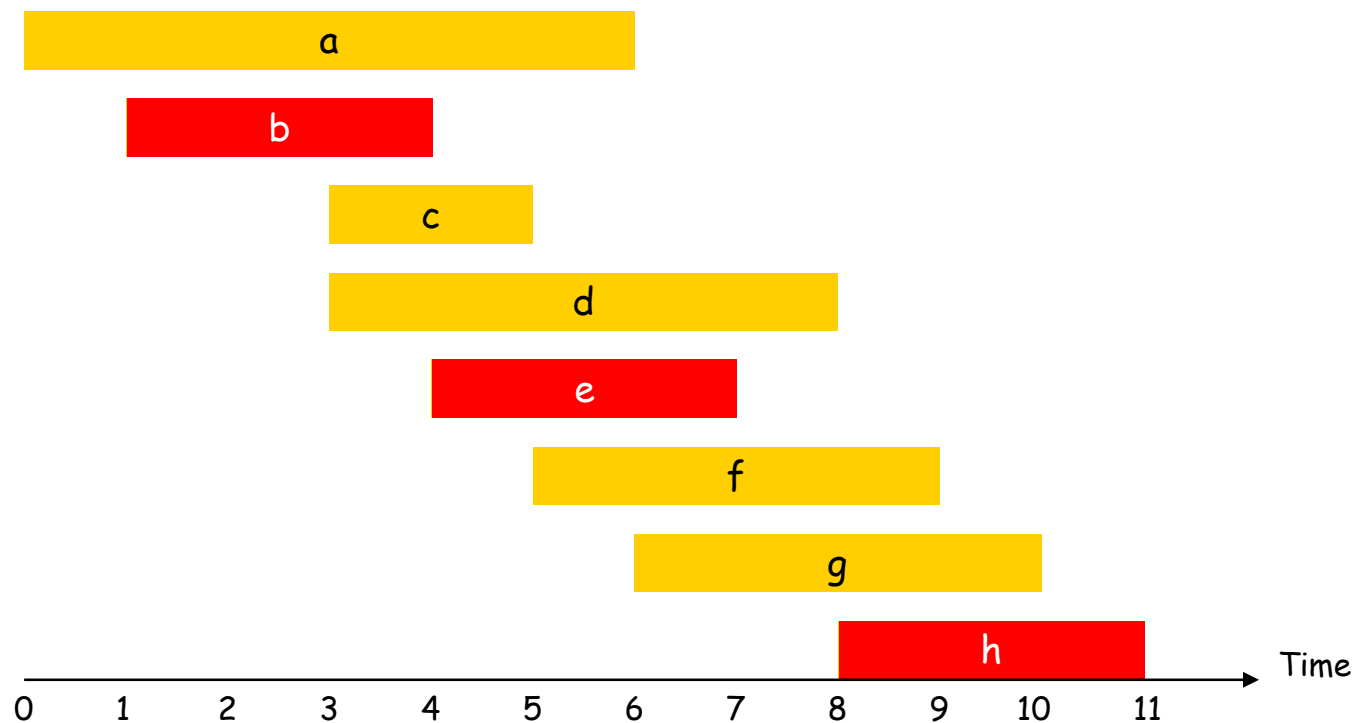
- Of form “Can I reserve it from start time **s** to finish time **f**?”

- $s < f$

# Interval Scheduling

- **Input.** Set of jobs with start times and finish times.
- **Goal.** Find **maximum cardinality** subset of mutually compatible jobs.

↑  
jobs don't overlap





# Interval scheduling

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- Formally
  - Requests  $1, 2, \dots, n$ 
    - request  $i$  has start time  $s_i$  and finish time  $f_i > s_i$
  - Requests  $i$  and  $j$  are **compatible** iff either
    - request  $i$  is for a time entirely before request  $j$ 
      - $f_i \leq s_j$
    - or, request  $j$  is for a time entirely before request  $i$ 
      - $f_j \leq s_i$
  - Set  $A$  of requests is **compatible** iff every pair of requests  $i, j \in A, i \neq j$  is compatible
  - **Goal:** Find maximum size subset  $A$  of compatible requests



# Interval Scheduling

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- We'll see that an optimal solution can be found using a “greedy algorithm”
  - Myopic kind of algorithm that seems to have no look-ahead
  - These algorithms only work when the problem has a special kind of structure
  - When they do work they are typically very efficient



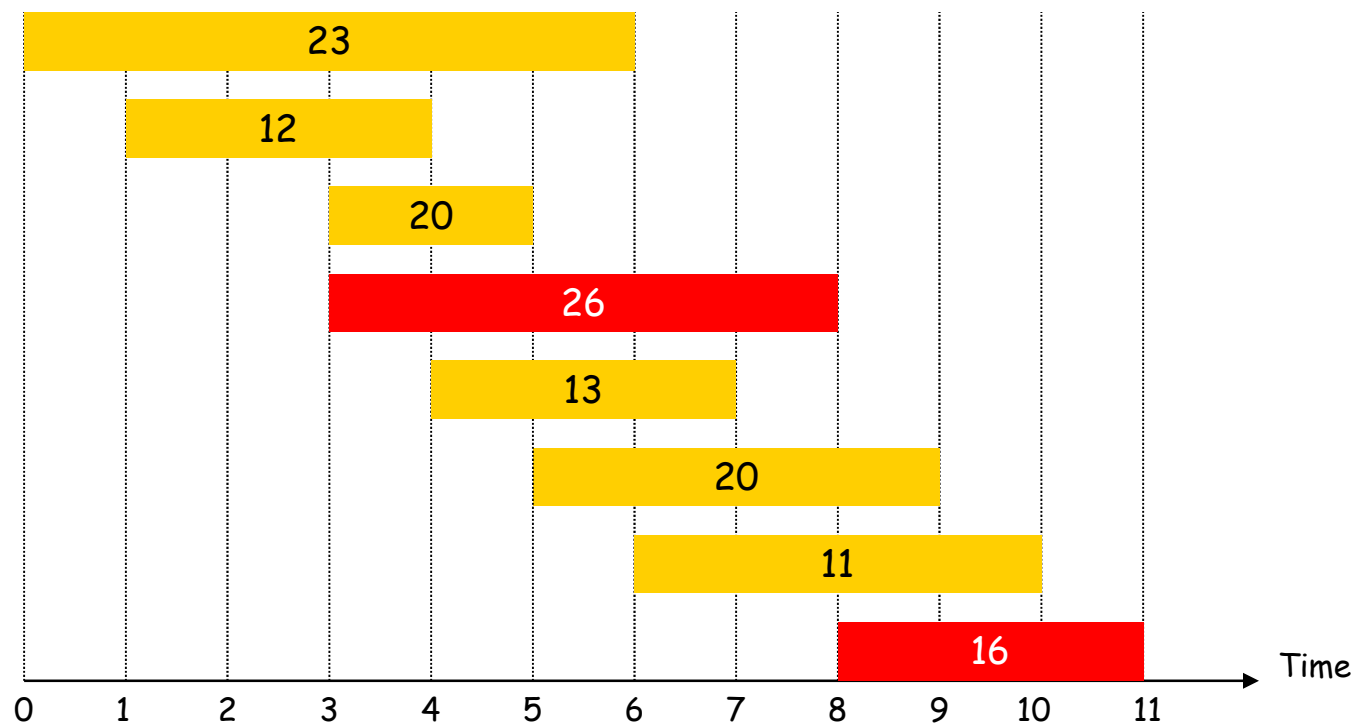
# Weighted Interval Scheduling

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- Same problem as interval scheduling except that each request  $i$  also has an associated **value** or **weight**  $w_i$ 
  - $w_i$  might be
    - amount of money we get from renting out the resource for that time period
    - amount of time the resource is being used

# Weighted Interval Scheduling

- **Input.** Set of jobs with start times, finish times, and weights.
- **Goal.** Find **maximum weight** subset of mutually compatible jobs.







# Weighted Interval Scheduling

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- Ordinary interval scheduling is a special case of this problem
  - Take all  $w_i = 1$
- Problem is quite different though
  - E.g. one weight might dwarf all others
- “Greedy algorithms” don’t work
- **Solution:** “Dynamic Programming”
  - builds up optimal solutions from smaller problems using a compact table to store them



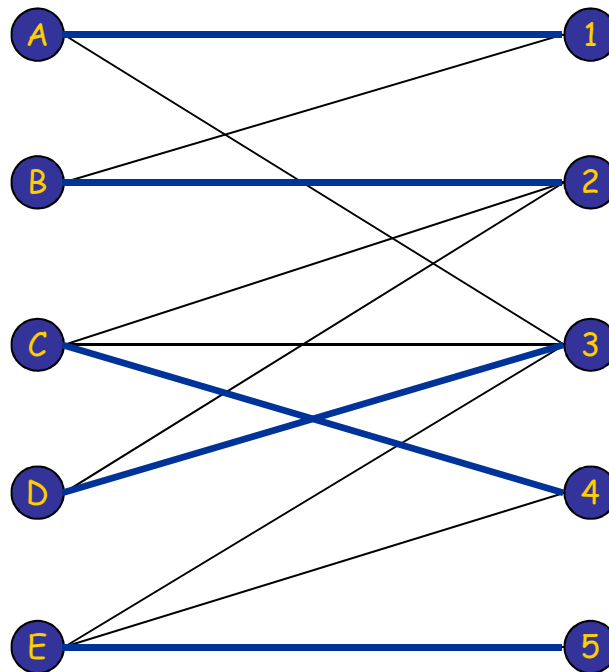
# Bipartite Matching

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- A graph  $G=(V,E)$  is **bipartite** iff
  - $V$  consists of two disjoint pieces  $X$  and  $Y$  such that every edge  $e$  in  $E$  is of the form  $(x,y)$  where  $x \in X$  and  $y \in Y$
  - Similar to stable matching situation but in that case all possible edges were present
- $M \subseteq E$  is a matching in  $G$  iff no two edges in  $M$  share a vertex
  - **Goal:** Find a matching  $M$  in  $G$  of maximum possible size

# Bipartite Matching

- **Input.** Bipartite graph.
- **Goal.** Find **maximum cardinality** matching.





# Bipartite Matching

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- Models assignment problems
  - **X** represents jobs, **Y** represents machines
  - **X** represents professors, **Y** represents courses
- If  $|X|=|Y|=n$ 
  - **G** has perfect matching iff maximum matching has size **n**
- **Solution:** polynomial-time algorithm using “augmentation” technique
  - also used for solving more general class of network flow problems



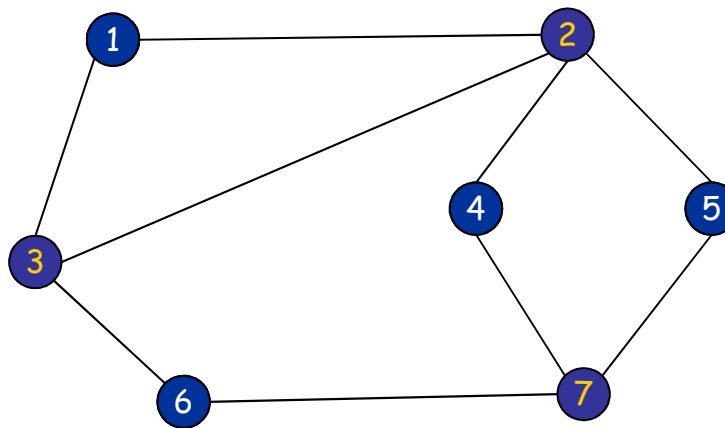
# Independent Set

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- Given a graph  $G=(V,E)$ 
  - A set  $I \subseteq V$  is **independent** iff no two nodes in  $I$  are joined by an edge
- **Goal:** Find an independent subset  $I$  in  $G$  of maximum possible size
- Models conflicts and mutual exclusion

# Independent Set

- **Input.** Graph.
- **Goal.** Find **maximum cardinality** independent set.



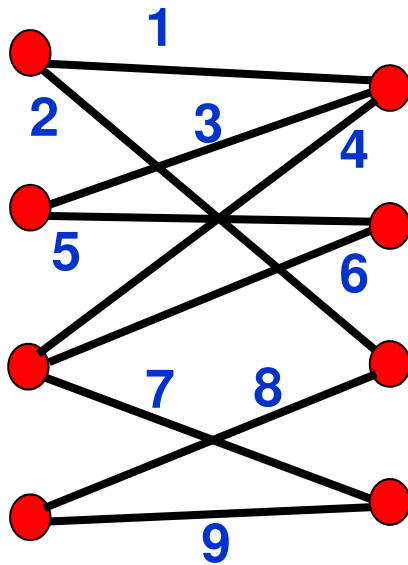


# Independent Set

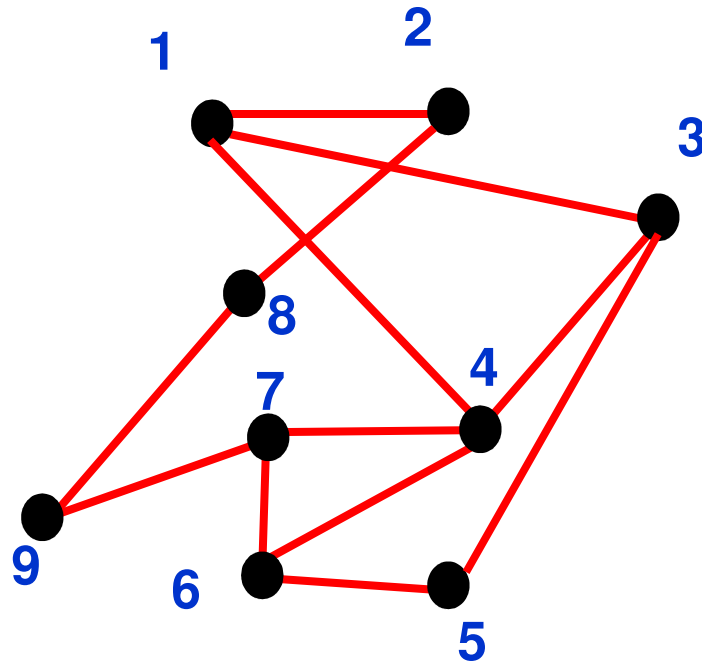
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- Generalizes
  - Interval Scheduling
    - Vertices in the graph are the requests
    - Vertices are joined by an edge if they are **not** compatible
  - Bipartite Matching
    - Given bipartite graph  $G=(V,E)$  create new graph  $G'=(V',E')$  where
      - $V'=E$
      - Two elements of  $V'$  (which are edges in  $G$ ) are joined if they share an endpoint in  $G$

# Bipartite Matching vs Independent Set



$$G=(U \cup V, E)$$



$$G'=(V', E')$$





# Independent Set

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- No polynomial-time algorithm is known
  - But to convince someone that there was a large independent set all you'd need to do is show it to them
    - they can easily convince themselves that the set is large enough and independent
  - Convincing someone that there isn't one seems much harder
- We will show that **Independent Set** is **NP-complete**
  - Class of all the hardest problems that have the property above



# Competitive Facility Location

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- Two players competing for market share in a geographic area
  - e.g. McDonald's, Burger King
- Rules:
  - Region is divided into **n zones**, **1, ..., n**
  - Each zone **i** has a **value  $b_i$** 
    - Revenue derived from opening franchise in that zone
  - No **adjacent** zones may contain a franchise
    - i.e., zoning regulations limit density
  - Players alternate opening franchises
- **Find:** Given a target total value **B** is there a strategy for the second player that always achieves  $\geq B$ ?



# Competitive Facility Location

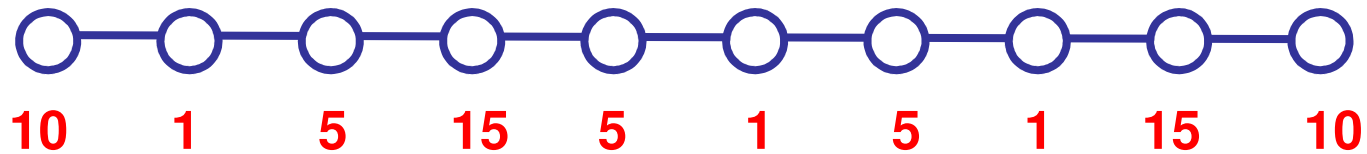
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- Model geography by
  - A graph  $G=(V,E)$  where
    - $V$  is the set  $\{1,\dots,n\}$  of zones
    - $E$  is the set of pairs  $(i,j)$  such that  $i$  and  $j$  are adjacent zones
- Observe:
  - The set of zones with franchises will form an independent set in  $G$



# Competitive Facility Location

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Target **B = 20** achievable ?

What about **B = 25** ?



# Competitive Facility Location

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- Checking that a strategy is good seems hard
  - You'd have to worry about all possible responses at each round!
    - a giant search tree of possibilities
- Problem is **PSPACE-complete**
  - Likely strictly harder than **NP-complete** problems
  - **PSPACE-complete** problems include
    - Game-playing problems such as  **$n \times n$**  chess and checkers
    - Logic problems such as whether quantified boolean expressions are always true
    - Verification problems for finite automata



# Five Representative Problems

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- Variations on a theme: independent set.
- Interval scheduling:  $O(n \log n)$  greedy algorithm.
- Weighted interval scheduling:  $O(n \log n)$  dynamic programming algorithm.
- Bipartite matching:  $O(n^k)$  max-flow based algorithm.
- Independent set: NP-complete.
- Competitive facility location: PSPACE-complete.