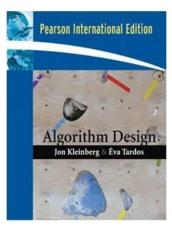
## CSE 421 Algorithms

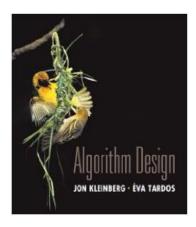
Richard Anderson
Autumn 2016
Lecture 2

#### Announcements

- Homework 1, due Wednesday Oct 5
  - in class, paper turn in
  - pay attention to making explanations clear and understandable
- Reading
  - Chapter 1, Sections 2.1, 2.2







#### Office Hours

- Richard Anderson
  - Monday, 2:30 pm 3:30 pm, CSE 582
  - Wednesday, 2:30 pm 3:30 pm, CSE 582
- Deepali Aneja
  - Monday, 5:30 pm 6:30 pm, CSE 220
- Max Horton
  - Monday, 4:30 pm 5:30 pm, CSE 220
  - Tuesday, 2:00 pm 3:00 pm, CSE 218
- Ben Jones
  - Tuesday, 1:00 pm 2:00 pm, CSE 218
  - Friday, 2:30 pm 3:30 pm, CSE 220

# Stable Matching: Formal Problem

- Input
  - Preference lists for m<sub>1</sub>, m<sub>2</sub>, ..., m<sub>n</sub>
  - Preference lists for w<sub>1</sub>, w<sub>2</sub>, ..., w<sub>n</sub>
- Output
  - Perfect matching M satisfying stability property (e.g., no instabilities):

```
For all m', m", w', w"  \text{If } (m', w') \in M \text{ and } (m", w") \in M \text{ then} \\  (m' \text{ prefers } w' \text{ to } w") \text{ or } (w" \text{ prefers } m" \text{ to } m')
```

## Idea for an Algorithm

```
m proposes to w

If w is unmatched, w accepts

If w is matched to m<sub>2</sub>

If w prefers m to m<sub>2</sub> w accepts m, dumping m<sub>2</sub>

If w prefers m<sub>2</sub> to m, w rejects m
```

Unmatched m proposes to the highest w on its preference list that it has not already proposed to

## Algorithm

```
Initially all m in M and w in W are free
While there is a free m
w highest on m's list that m has not proposed to
if w is free, then match (m, w)
else
suppose (m<sub>2</sub>, w) is matched
if w prefers m to m<sub>2</sub>
unmatch (m<sub>2</sub>, w)
match (m, w)
```

## Example

m<sub>1</sub>: w<sub>1</sub> w<sub>2</sub> w<sub>3</sub>

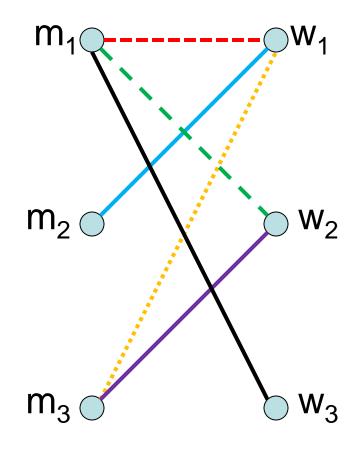
m<sub>2</sub>: w<sub>1</sub> w<sub>3</sub> w<sub>2</sub>

m<sub>3</sub>: w<sub>1</sub> w<sub>2</sub> w<sub>3</sub>

w<sub>1</sub>: m<sub>2</sub> m<sub>3</sub> m<sub>1</sub>

w<sub>2</sub>: m<sub>3</sub> m<sub>1</sub> m<sub>2</sub>

w<sub>3</sub>: m<sub>3</sub> m<sub>1</sub> m<sub>2</sub>



Order:  $m_1$ ,  $m_2$ ,  $m_3$ ,  $m_1$ ,  $m_3$ ,  $m_1$ 

#### Does this work?

- Does it terminate?
- Is the result a stable matching?

- Begin by identifying invariants and measures of progress
  - m's proposals get worse (have higher m-rank)
  - Once w is matched, w stays matched
  - w's partners get better (have lower w-rank)

Claim: If an m reaches the end of its list, then all the w's are matched

# Claim: The algorithm stops in at most n<sup>2</sup> steps

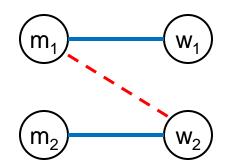
## When the algorithms halts, every w is matched

Hence, the algorithm finds a perfect matching

## The resulting matching is stable

#### Suppose

 $(m_1, w_1) \in M, (m_2, w_2) \in M$  $m_1$  prefers  $w_2$  to  $w_1$ 



How could this happen?

#### Result

- Simple, O(n²) algorithm to compute a stable matching
- Corollary
  - A stable matching always exists

#### A closer look

Stable matchings are not necessarily fair

 $m_1$ :  $W_1$   $W_2$   $W_3$ 

 $m_2$ :  $w_2$   $w_3$   $w_1$ 

 $m_3$ :  $W_3$   $W_1$   $W_2$ 

 $w_1$ :  $m_2$   $m_3$   $m_1$ 

 $w_2$ :  $m_3$   $m_1$   $m_2$ 

 $w_3$ :  $m_1$   $m_2$   $m_3$ 

 $m_1$ 

 $(W_1)$ 

 $m_2$ 

 $(w_2)$ 

 $m_3$ 

 $(W_3)$ 

How many stable matchings can you find?

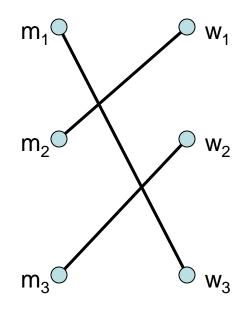
## Algorithm under specified

- Many different ways of picking m's to propose
- Surprising result
  - All orderings of picking free m's give the same result
- Proving this type of result
  - Reordering argument
  - Prove algorithm is computing something mores specific
    - Show property of the solution so it computes a specific stable matching

## M-rank and W-rank of matching

- m-rank: position of matching w in preference list
- M-rank: sum of mranks
- w-rank: position of matching m in preference list
- W-rank: sum of wranks

m<sub>1</sub>: w<sub>1</sub> w<sub>2</sub> w<sub>3</sub>
m<sub>2</sub>: w<sub>1</sub> w<sub>3</sub> w<sub>2</sub>
m<sub>3</sub>: w<sub>1</sub> w<sub>2</sub> w<sub>3</sub>
w<sub>1</sub>: m<sub>2</sub> m<sub>3</sub> m<sub>1</sub>
w<sub>2</sub>: m<sub>3</sub> m<sub>1</sub> m<sub>2</sub>
w<sub>3</sub>: m<sub>3</sub> m<sub>1</sub> m<sub>2</sub>



What is the M-rank?

What is the W-rank?

### Suppose there are n m's, and n w's

What is the minimum possible M-rank?

What is the maximum possible M-rank?

 Suppose each m is matched with a random w, what is the expected M-rank?

#### Random Preferences

Suppose that the preferences are completely random

```
m<sub>1</sub>: W<sub>8</sub> W<sub>3</sub> W<sub>1</sub> W<sub>5</sub> W<sub>9</sub> W<sub>2</sub> W<sub>4</sub> W<sub>6</sub> W<sub>7</sub> W<sub>10</sub>
m<sub>2</sub>: W<sub>7</sub> W<sub>10</sub> W<sub>1</sub> W<sub>9</sub> W<sub>3</sub> W<sub>4</sub> W<sub>8</sub> W<sub>2</sub> W<sub>5</sub> W<sub>6</sub>
...
W<sub>1</sub>: m<sub>1</sub> m<sub>4</sub> m<sub>9</sub> m<sub>5</sub> m<sub>10</sub> m<sub>3</sub> m<sub>2</sub> m<sub>6</sub> m<sub>8</sub> m<sub>7</sub>
w<sub>2</sub>: m<sub>5</sub> m<sub>8</sub> m<sub>1</sub> m<sub>3</sub> m<sub>2</sub> m<sub>7</sub> m<sub>9</sub> m<sub>10</sub> m<sub>4</sub> m<sub>6</sub>
```

If there are n m's and n w's, what is the expected value of the M-rank and the W-rank when the proposal algorithm computes a stable matching?

## Best choices for one side may be bad for the other

Design a configuration for problem of size 4:

 $m_1$ :

m<sub>2</sub>:

M proposal algorithm:

All m's get first choice, all w's

get last choice

 $m_3$ :

 $m_{a}$ :

W proposal algorithm:

All w's get first choice, all m's get last choice

 $W_1$ :

 $W_2$ :

 $W_3$ :

 $W_{4}$ :

#### But there is a stable second choice

Design a configuration for problem of size 4:

M proposal algorithm:

All m's get first choice, all w's get last choice

W proposal algorithm:

All w's get first choice, all m's get last choice

There is a stable matching where everyone gets their second choice

m<sub>1</sub>:

m<sub>2</sub>:

 $m_3$ :

 $m_4$ :

 $W_1$ :

W<sub>2</sub>:

 $W_3$ :

 $W_4$ :

# What is the run time of the Stable Matching Algorithm?

```
Initially all m in M and w in W are free

While there is a free m

w highest on m's list that m has not proposed to if w is free, then match (m, w) else

suppose (m<sub>2</sub>, w) is matched if w prefers m to m<sub>2</sub>

unmatch (m<sub>2</sub>, w)

match (m, w)
```

## O(1) time per iteration

- Find free m
- Find next available w
- If w is matched, determine m<sub>2</sub>
- Test if w prefer m to m<sub>2</sub>
- Update matching

## What does it mean for an algorithm to be efficient?

## Key ideas

- Formalizing real world problem
  - Model: graph and preference lists
  - Mechanism: stability condition
- Specification of algorithm with a natural operation
  - Proposal
- Establishing termination of process through invariants and progress measure
- Under specification of algorithm
- Establishing uniqueness of solution