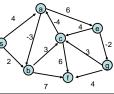
# CSE 421 Algorithms

Autumn 2015 Lecture 10 Minimum Spanning Trees

# Dijkstra's Algorithm Implementation and Runtime S = {}; | d[s] = 0; | d[v] = infinity for v! = s While S! = V Choose v in V-S with minimum d[v] Add v to S For each w in the neighborhood of v d[w] = min(d[w], d[v] + c(v, w)) HEAP OPERATIONS n Extract Mins m Heap Updates Edge costs are assumed to be non-negative

#### **Shortest Paths**

- Negative Cost Edges
  - Dijkstra's algorithm assumes positive cost edges
  - For some applications, negative cost edges make sense
  - Shortest path not well defined if a graph has a negative cost cycle



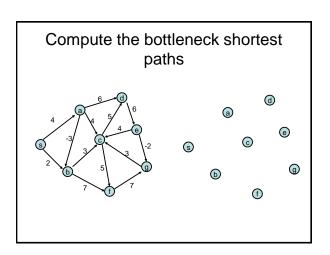
## **Negative Cost Edge Preview**

- Topological Sort can be used for solving the shortest path problem in directed acyclic graphs
- Bellman-Ford algorithm finds shortest paths in a graph with negative cost edges (or reports the existence of a negative cost cycle).

#### **Bottleneck Shortest Path**

 Define the bottleneck distance for a path to be the maximum cost edge along the path





# Dijkstra's Algorithm for Bottleneck Shortest Paths

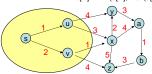
 $S = \{\}; \quad d[s] = negative \ infinity; \quad d[v] = infinity \ for \ v \ != s$  While  $S \ != \ V$ 

Choose v in V-S with minimum d[v]

Add v to S

For each  $\,w$  in the neighborhood of v

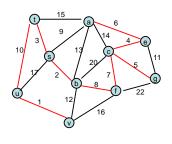
d[w] = min(d[w], max(d[v], c(v, w)))



# Minimum Spanning Tree

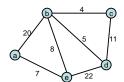
- · Introduce Problem
- Demonstrate three different greedy algorithms
- Provide proofs that the algorithms work

# Minimum Spanning Tree



# Greedy Algorithms for Minimum Spanning Tree

- Extend a tree by including the cheapest out going edge
- Add the cheapest edge that joins disjoint components
- Delete the most expensive edge that does not disconnect the graph

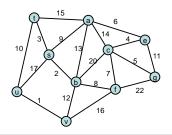


### Greedy Algorithm 1 Prim's Algorithm

 Extend a tree by including the cheapest out going edge

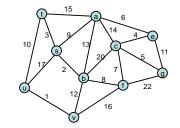
Construct the MST with Prim's algorithm starting from vertex a

Label the edges in order of insertion



#### Greedy Algorithm 2 Kruskal's Algorithm

Add the cheapest edge that joins disjoint components



Label the edges in order of insertion

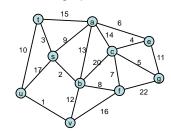
Construct the MST

with Kruskal's

algorithm

## Greedy Algorithm 3 Reverse-Delete Algorithm

 Delete the most expensive edge that does not disconnect the graph



Construct the MST with the reverse-delete algorithm

Label the edges in order of removal

# Greedy Algorithms for Minimum Spanning Tree

Dijkstra's Algorithm

for Minimum Spanning Trees

 $S = \{\}; d[s] = 0; d[v] = infinity for v != s$ 

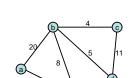
Add v to S

Choose v in V-S with minimum d[v]

For each w in the neighborhood of v d[w] = min(d[w], c(v, w))

While S != V

- [Prim] Extend a tree by including the cheapest out going edge
- [Kruskal] Add the cheapest edge that joins disjoint components
- [ReverseDelete] Delete the most expensive edge that does not disconnect the graph



# 

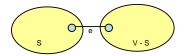
# Why do the greedy algorithms work?

For simplicity, assume all edge costs are distinct

#### Edge inclusion lemma

- Let S be a subset of V, and suppose e =

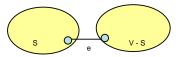
   (u, v) is the minimum cost edge of E, with u in S and v in V-S
- e is in every minimum spanning tree of G
   Or equivalently, if e is not in T, then T is not a minimum spanning tree



e is the minimum cost edge

#### Proof

- · Suppose T is a spanning tree that does not contain e
- · Add e to T, this creates a cycle
- The cycle must have some edge  $e_1$  =  $(u_1, v_1)$  with  $u_1$  in S and  $v_1$  in V-S



- $T_1 = T \{e_1\} + \{e\}$  is a spanning tree with lower cost
- · Hence, T is not a minimum spanning tree

## **Optimality Proofs**

- · Prim's Algorithm computes a MST
- Kruskal's Algorithm computes a MST
- · Show that when an edge is added to the MST by Prim or Kruskal, the edge is the minimum cost edge between S and V-S for some set S.

#### Prim's Algorithm

$$\begin{split} S = \{\}; &\quad T = \{\}; \\ \text{while S != V} \\ \text{choose the minimum cost edge} \\ \text{e} = (u,v), \text{ with } u \text{ in S, and v in V-S} \\ \text{add e to T} \\ \text{add v to S} \end{split}$$

#### Prove Prim's algorithm computes an MST

• Show an edge e is in the MST when it is added to T

# Kruskal's Algorithm

$$\begin{split} \text{Let } C &= \{\{v_1\}, \{v_2\}, \dots, \{v_n\}\}; \ T = \{ \ \} \\ \text{while } |C| > 1 \\ \text{Let } e &= (u, v) \text{ with } u \text{ in } C_i \text{ and } v \text{ in } C_j \text{ be the } \\ \text{minimum cost edge joining distinct sets in } C \\ \text{Replace } C_i \text{ and } C_j \text{ by } C_i \text{ U } C_j \\ \text{Add e to } T \end{split}$$

#### Prove Kruskal's algorithm computes an MST

• Show an edge e is in the MST when it is added to T

### Reverse-Delete Algorithm

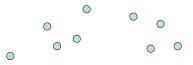
• Lemma: The most expensive edge on a cycle is never in a minimum spanning tree

# Dealing with the assumption of no equal weight edges

- · Force the edge weights to be distinct
  - Add small quantities to the weights
  - Give a tie breaking rule for equal weight edges

## Application: Clustering

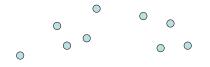
 Given a collection of points in an rdimensional space, and an integer K, divide the points into K sets that are closest together



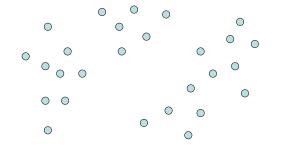
## Distance clustering

 Divide the data set into K subsets to maximize the distance between any pair of sets

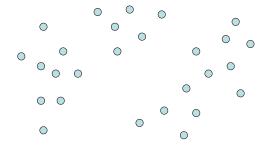
 $- \text{dist} (S_1, S_2) = \min \{ \text{dist}(x, y) \mid x \text{ in } S_1, y \text{ in } S_2 \}$ 



#### Divide into 2 clusters



#### Divide into 3 clusters



# Divide into 4 clusters

# Distance Clustering Algorithm

$$\label{eq:continuous} \begin{split} \text{while } |C| > K \\ \text{Let } e = (u, v) \text{ with } u \text{ in } C_i \text{ and } v \text{ in } C_j \text{ be the } \\ \text{minimum cost edge joining distinct sets in } C \\ \text{Replace } C_i \text{ and } C_j \text{ by } C_i \text{ U } C_j \end{split}$$

Let  $C = \{\{v_1\}, \, \{v_2\}, \dots, \, \{v_n\}\}; \ T = \{ \, \}$ 

