CSE 421
Algorithms:
Divide and Conquer

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Thanks to Paul Beame, Kevin Wayne for some slides

Outline:

- General Idea
- Review of Merge Sort
- Why does it work?
  - Importance of balance
  - Importance of super-linear growth
- Some interesting applications
  - Closest points
  - Integer Multiplication
- Finding & Solving Recurrences

Divide & Conquer

Reduce problem to one or more sub-problems of the same type

Typically, each sub-problem is at most a constant fraction of the size of the original problem

Subproblems typically disjoint

Often gives significant, usually polynomial, speedup

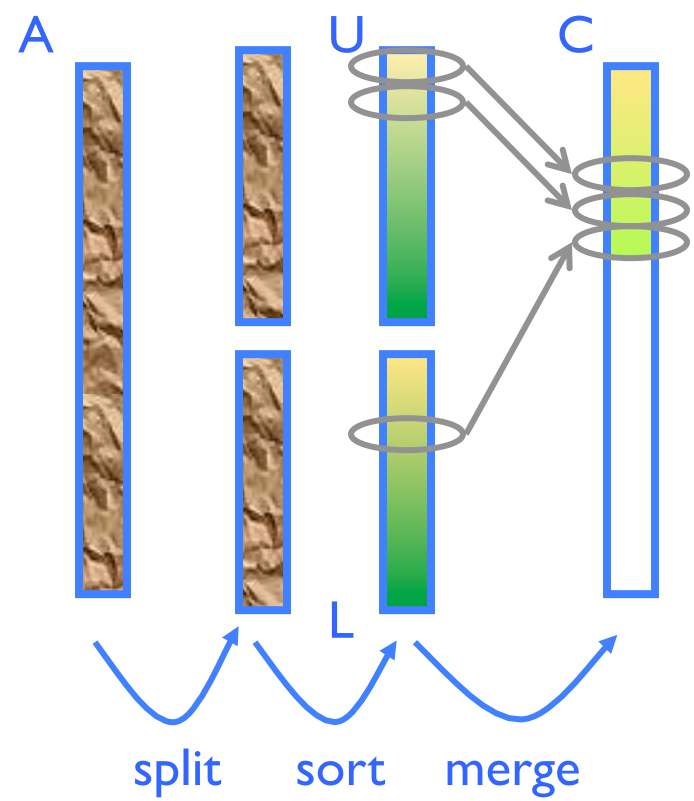
Examples:

Binary Search, Mergesort, Quicksort (roughly), Strassen's Algorithm, integer multiplication, powering, FFT, …

Motivating Example: Mergesort

```
MS(A: array[1..n]) returns array[1..n] {
    If(n=1) return A;
    New U:array[1:n/2] = MS(A[1..n/2]);
    New L:array[1:n/2] = MS(A[n/2+1..n]);
    Return(Merge(U,L));
    }

Merge(U,L: array[1..n]) {
    New C: array[1..2n];
    a=1; b=1;
    For i = 1 to 2n
        C[i] = "smaller of U[a], L[b] and correspondingly a++ or b++";
    Return C;
    }
```



Why does it work? Suppose we've already invented DumbSort, taking time n^2

Try *Just One Level* of divide & conquer:

DumbSort(first n/2 elements)

DumbSort(last n/2 elements)

Merge results

Time: $\boxed{2\,(n/2)^2 + n = n^2/2 + n \ll n^2}$

D&C in a nutshell

Almost twice as fast!

Moral 1: “two halves are better than a whole”

Two problems of half size are *better* than one full-size problem, even given O(n) overhead of recombining, since the base algorithm has *super-linear* complexity.

Moral 2: “If a little's good, then more's better”

Two levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing.
Best is usually full recursion down to some small constant size (balancing "work" vs "overhead").

In the limit: you’ve just rediscovered mergesort!

Moral 3: unbalanced division good, but less so:

$$(.1n)^2 + (.9n)^2 + n = .82n^2 + n$$

The 18% savings compounds significantly if you carry recursion to more levels, actually giving O(nlogn), but with a bigger constant. So worth doing if you can't get 50-50 split, but balanced is better if you can.

This is intuitively why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.

Moral 4: but consistent, completely unbalanced division doesn't help much:

$$(1)^2 + (n-1)^2 + n = n^2 - n + 2$$

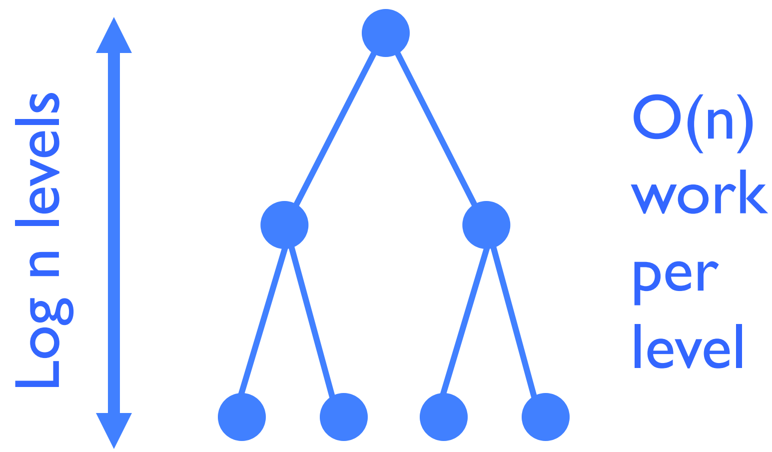
Little improvement here.

Mergesort: (recursively) sort 2 half-lists, then merge results.

$T(n) = 2T(n/2)+cn, \ \ n \geq 2$

$T(1) = 0$

Solution: $\Theta(n \log n)$
(details later)



Example:
Counting Inversions

Inversion Problem

Let $a_1, \ldots a_n$ be a permutation of $1 \ldots n$

(a_i, a_j) is an inversion if $i < j$ and $a_i > a_j$

4, 6, 1, 7, 3, 2, 5

Problem: given a permutation, count the number of inversions

This can be done easily in $O(n^2)$ time

- Can we do better?

Counting inversions can be use to measure closeness of ranked preferences

- People rank 20 movies, based on their rankings you cluster people who like the same types of movies

Can also be used to measure nonlinear correlation

Inversion Problem

Let $a_1, . . . a_n$ be a permutation of $1 . . n$

(a_i, a_j) is an inversion if $i < j$ and $a_i > a_j$

4, 6, 1, 7, 3, 2, 5

Problem: given a permutation, count the number of inversions

This can be done easily in $O(n^2)$ time

- Can we do better?

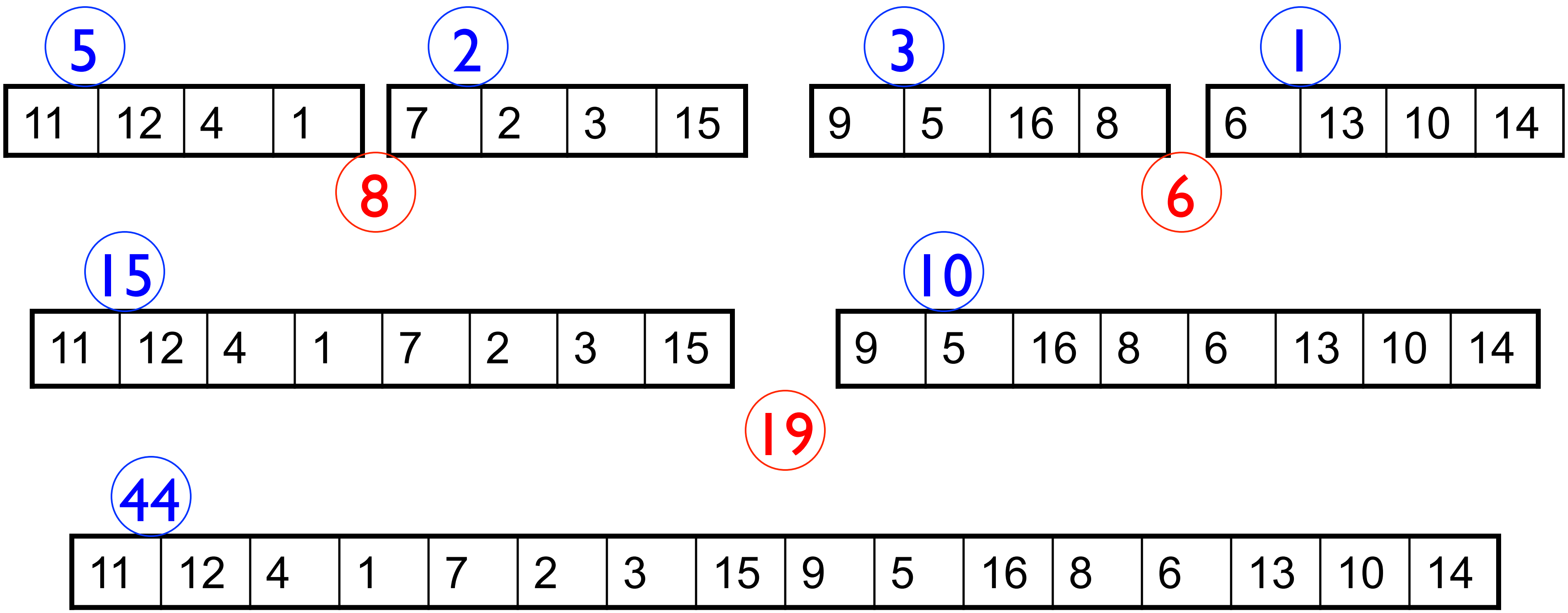
11	12	4	1	7	2	3	15	9	5	16	8	6	13	10	14

Count inversions on left half

Count inversions on right half

Count the inversions between the halves

Count the Inversions



Can we count inversions between sub-problems in O(n) time?

Yes – Count inversions while merging

1	2	3	4	7	11	12	15

5	6	8	9	10	13	14	16

Standard merge algorithm – add to inversion count when an element is moved from the right array to the solution. (Add how much? Why not left array?)

Counting inversions while merging

1	4	11	12

2	3	7	15

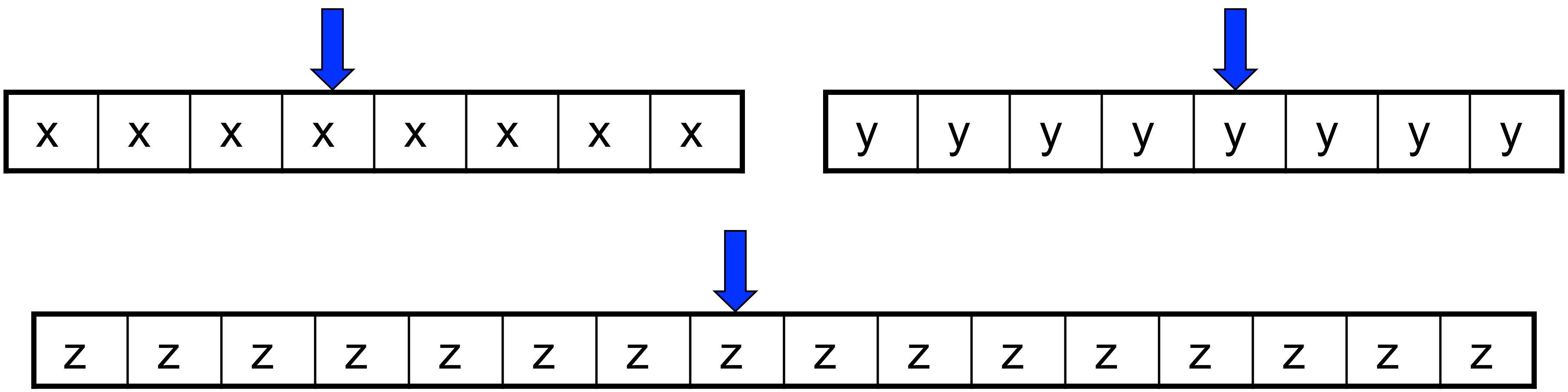
5	8	9	16

6	10	13	14

Indicate the number of inversions for each element detected when merging

Counting inversions between two sorted lists

O(1) per element to count inversions



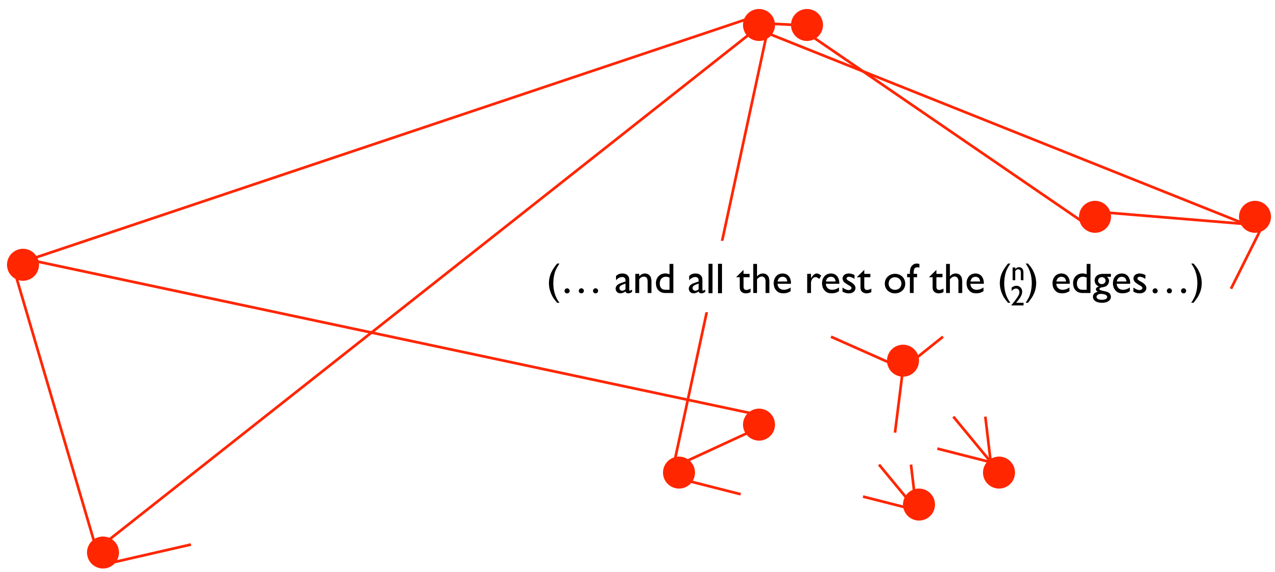
Algorithm summary

Satisfies the "Standard recurrence"

$T(n) = 2\,T(n/2) + cn$

A Divide & Conquer Example: Closest Pair of Points

Given n points and *arbitrary* distances between them, find the closest pair. (E.g., think of distance as airfare – definitely *not* Euclidean distance!)

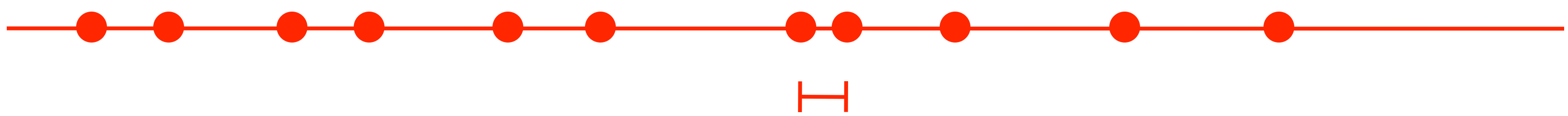


Must look at all n choose 2 pairwise distances, else any one you didn't check might be the shortest.

Also true for Euclidean distance in 1-2 dimensions?

closest pair of points: 1 dimensional version

Given n points on the real line, find the closest pair



Closest pair is *adjacent* in ordered list

Time O(n log n) to sort, if needed

Plus O(n) to scan adjacent pairs

Key point: do *not* need to calc distances between all pairs: exploit geometry + ordering

closest pair of points: 2 dimensional version

Closest pair. Given n points in the plane, find a pair with smallest Euclidean distance between them.

Fundamental geometric primitive.

- Graphics, computer vision, geographic information systems, molecular modeling, air traffic control.
- Special case of nearest neighbor, Euclidean MST, Voronoi.

fast closest pair inspired fast algorithms for these problems

Brute force. Check all pairs of points p and q with $\Theta(n^2)$ comparisons.

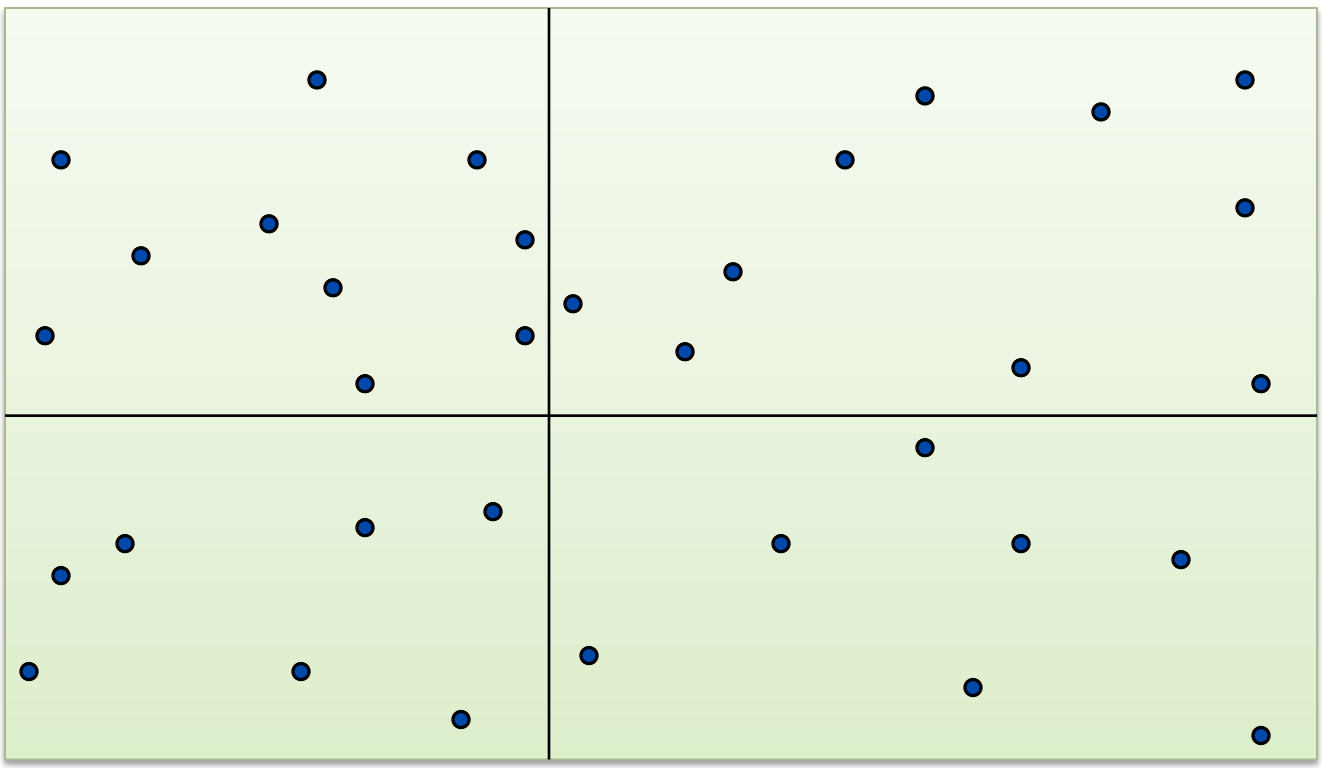
1-D version. O(n log n) easy if points are on a line.

Assumption. No two points have same x coordinate.

Just to simplify presentation

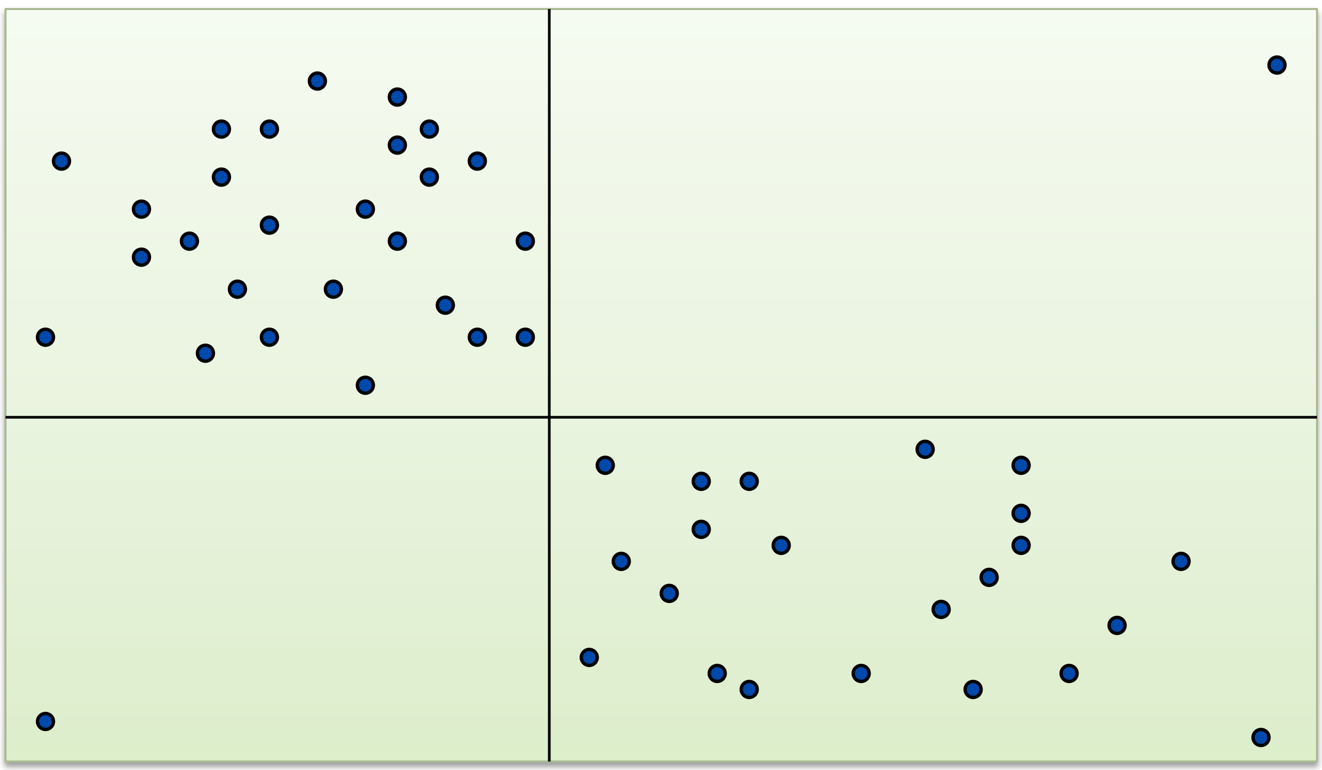
closest pair of points. 2d, Euclidean distance: 1^{st} try

Divide. Sub-divide region into 4 quadrants.



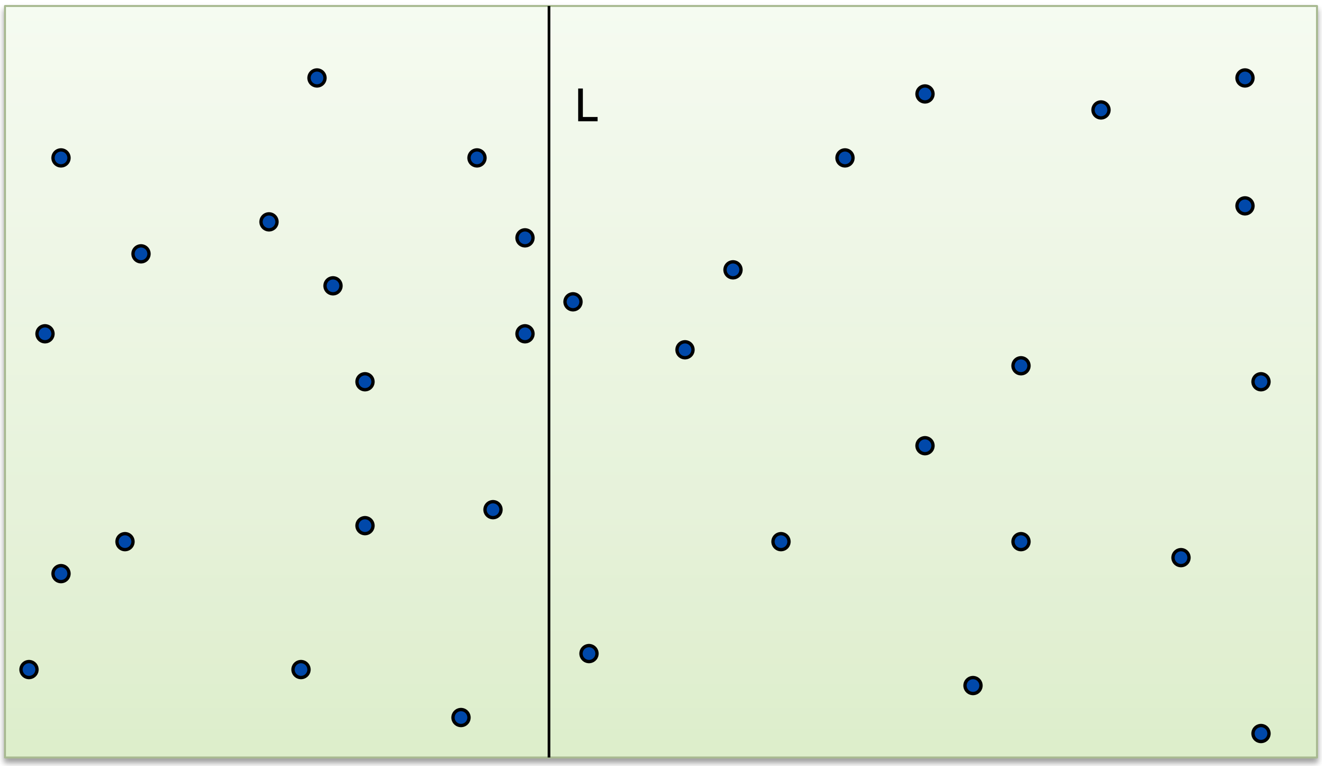
Divide. Sub-divide region into 4 quadrants.

Obstacle. Impossible to ensure n/4 points in each piece.



Algorithm.

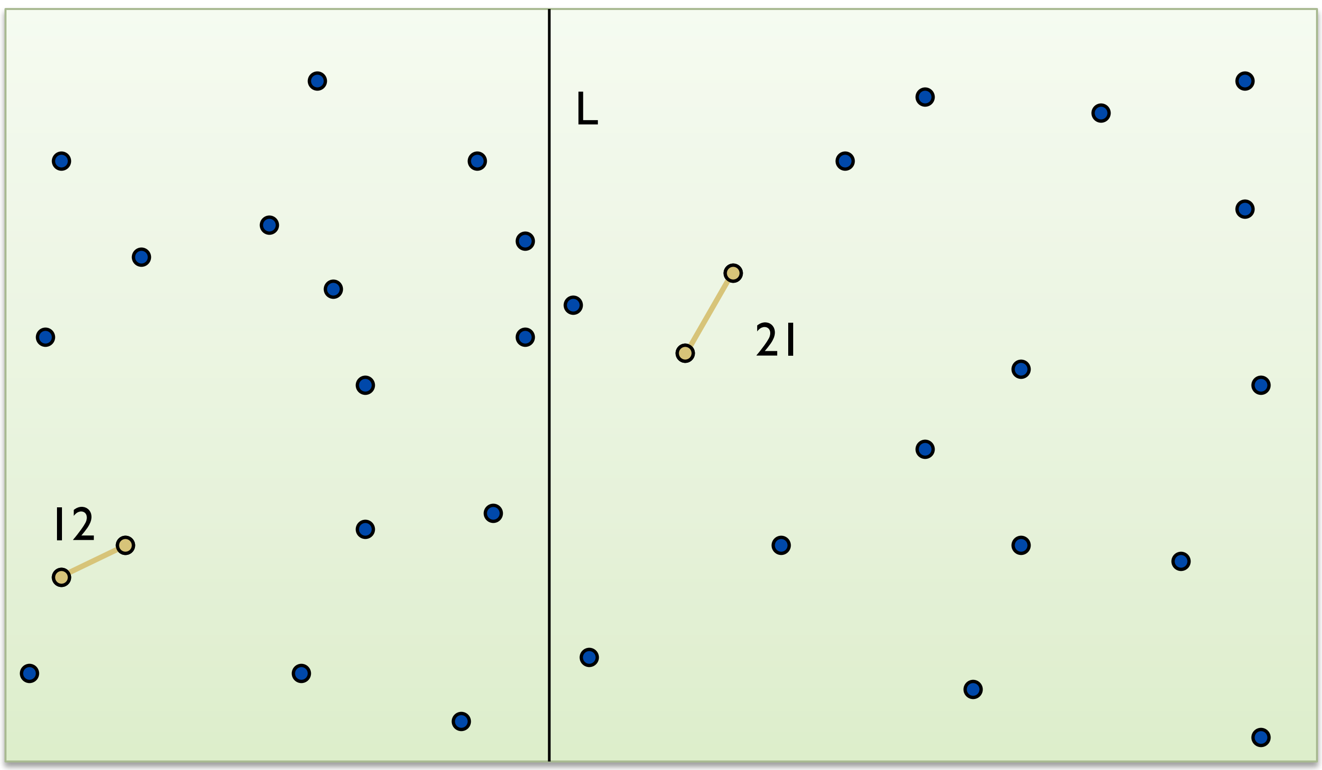
Divide: draw vertical line L with ≈ n/2 points on each side.



Algorithm.

Divide: draw vertical line L with ≈ n/2 points on each side.

Conquer: find closest pair on each side, recursively.



Algorithm.

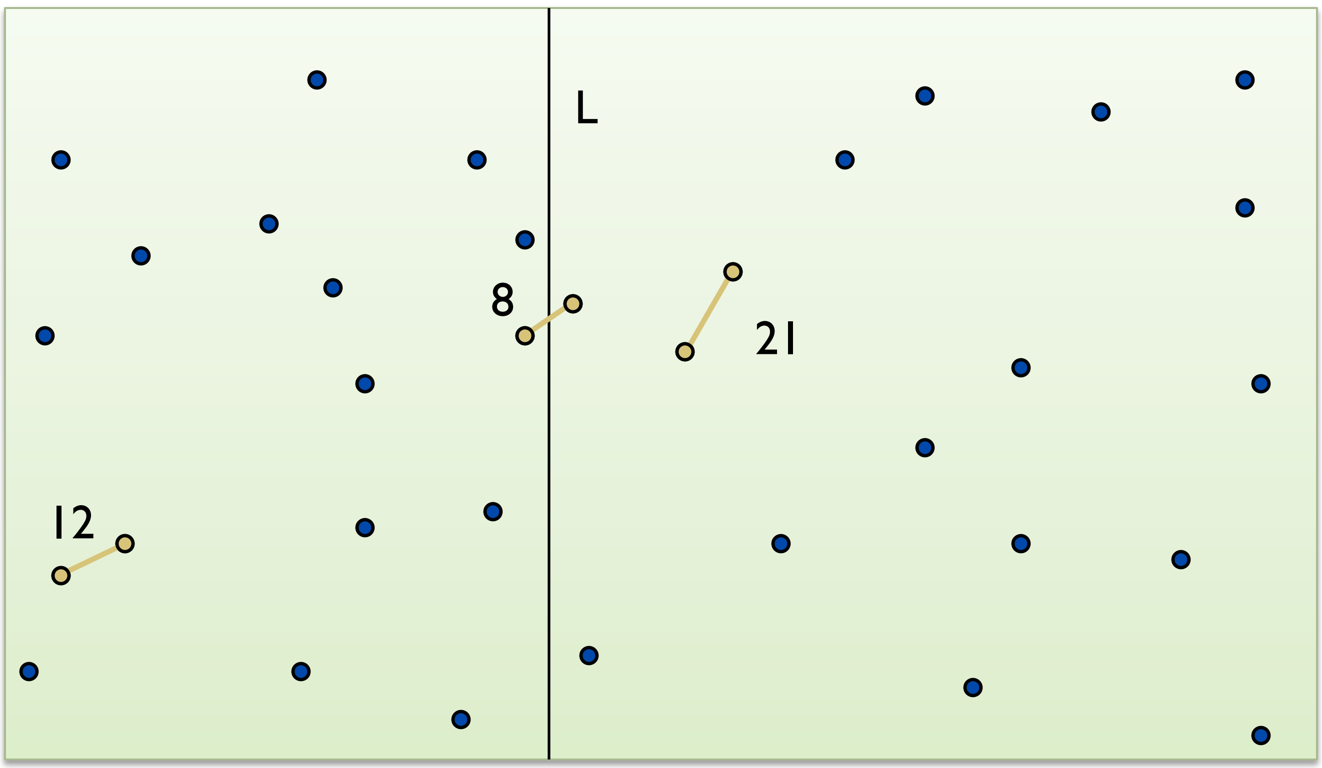
Divide: draw vertical line L with ≈ n/2 points on each side.

Conquer: find closest pair on each side, recursively.

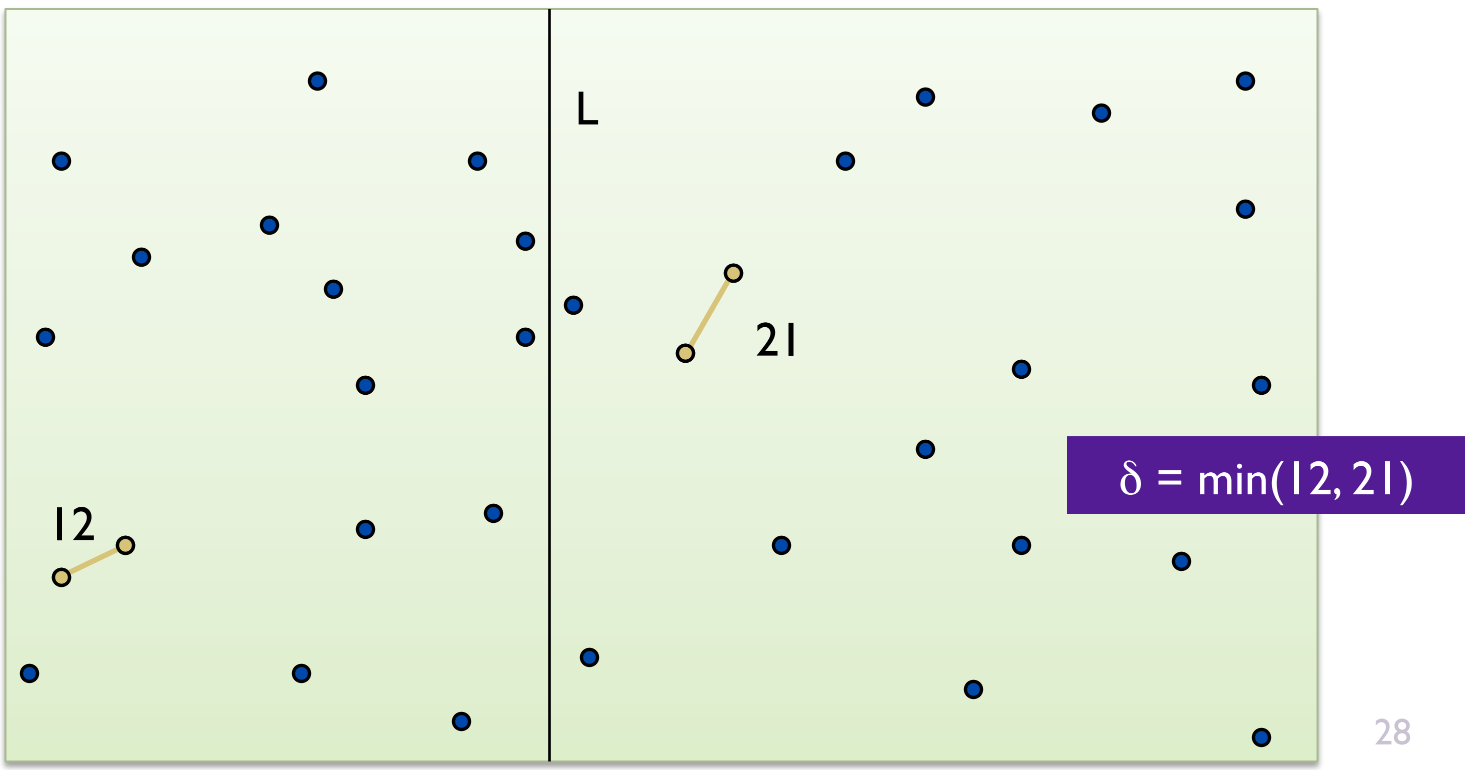
Combine: find closest pair with one point in each side.

seems like $\Theta(n^2)$?

Return best of 3 solutions.

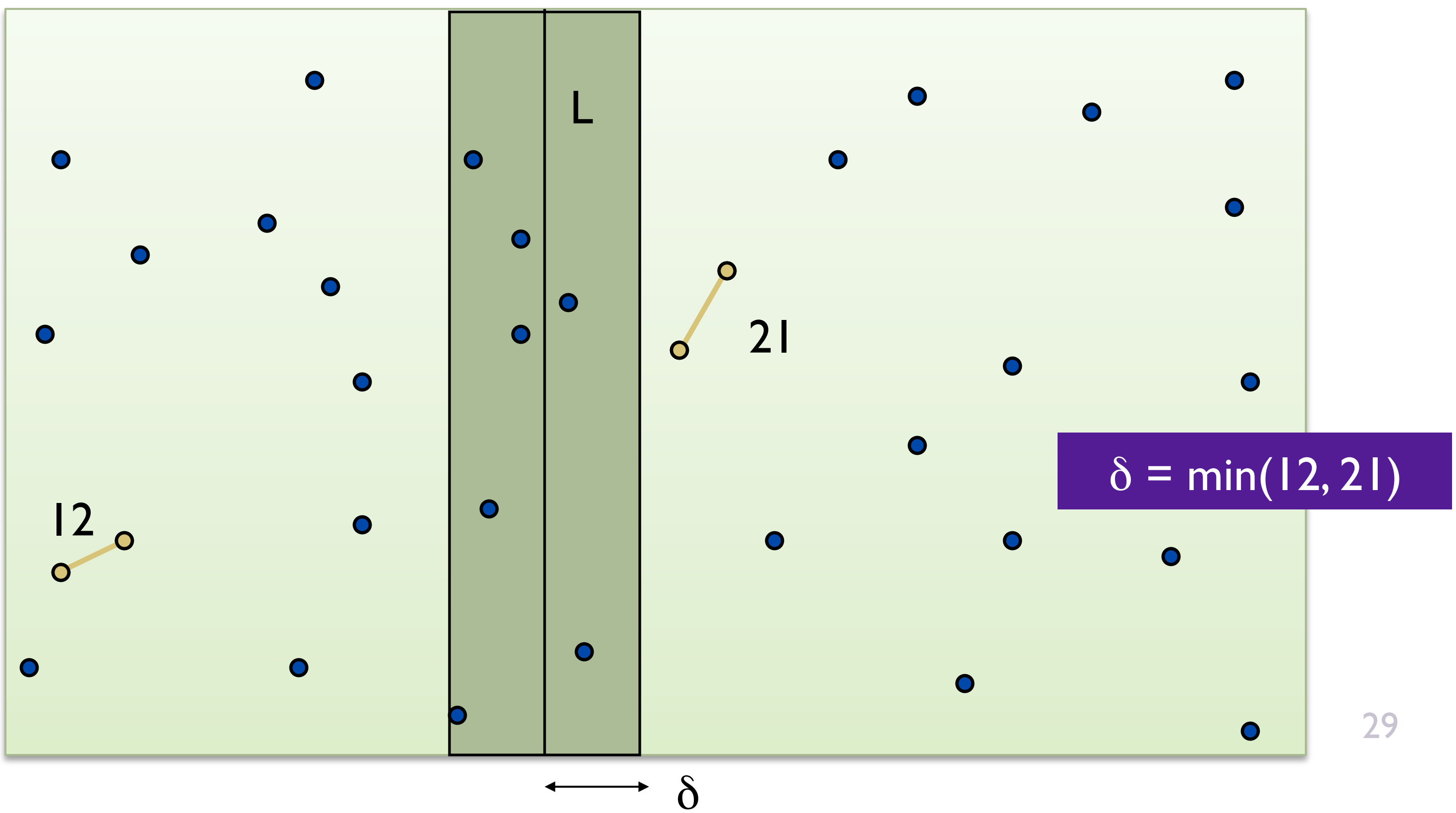


Find closest pair with one point in each side, *assuming* distance < δ.



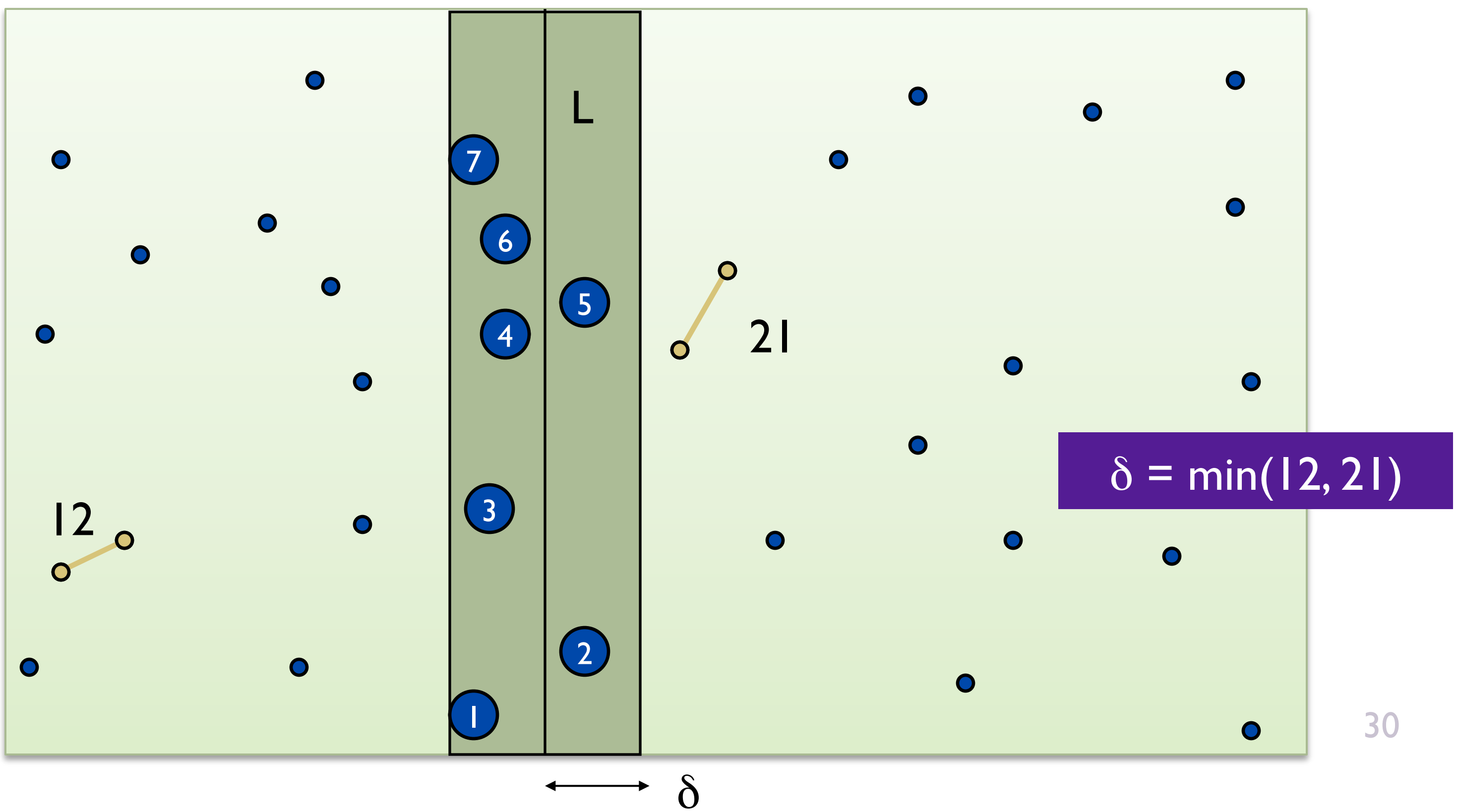
Find closest pair with one point in each side, *assuming distance < δ*.

Observation: suffices to consider points within δ of line L.



Find closest pair with one point in each side, *assuming* distance < δ.

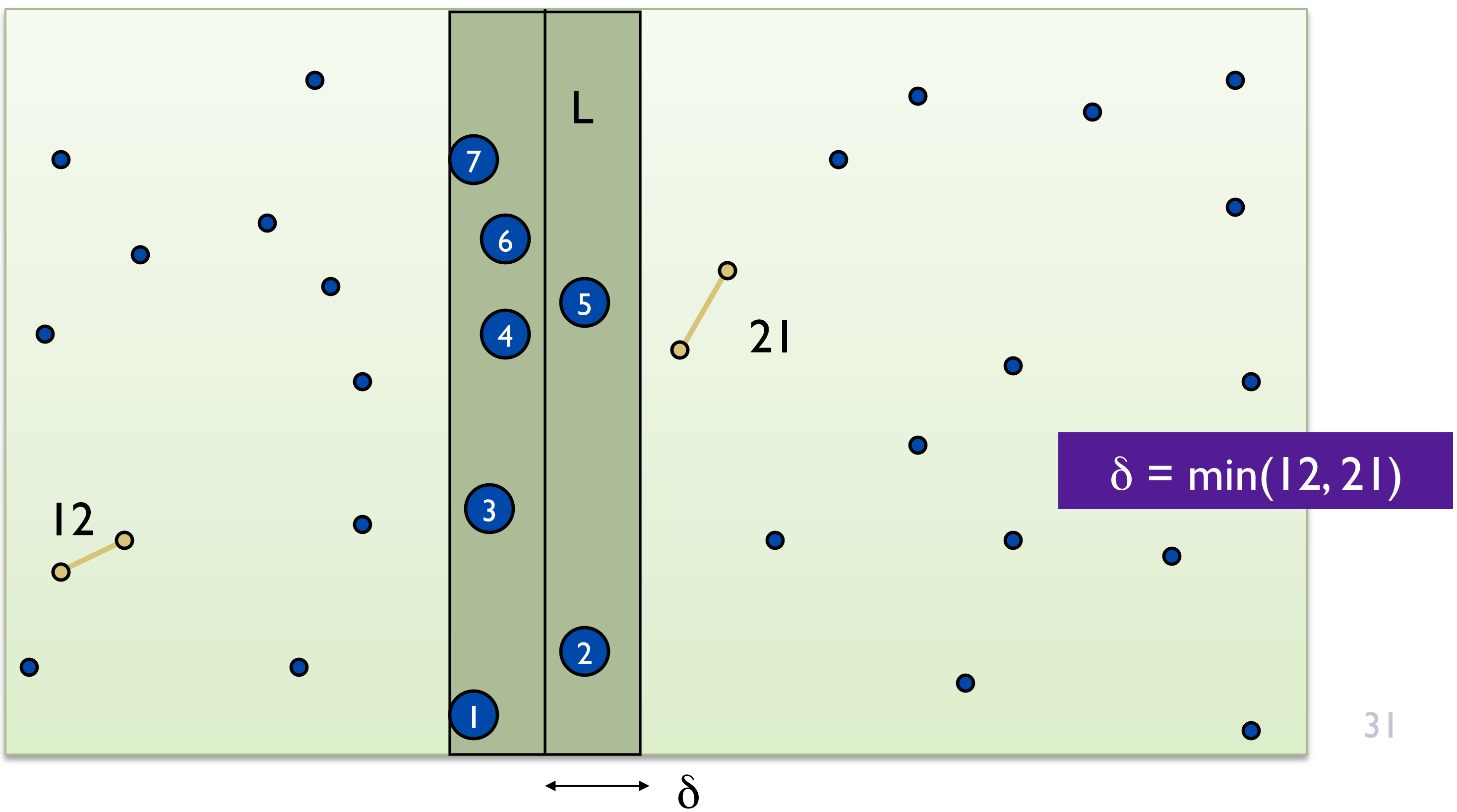
- Observation: suffices to consider points within δ of line L.
- Almost the one-D problem again: Sort points in 2δ-strip by their y coordinate.



Find closest pair with one point in each side, *assuming* distance < δ.

Observation: suffices to consider points within δ of line L.

Almost the one-D problem again: Sort points in 2δ-strip by their y coordinate. Only check pts within 8 in sorted list!



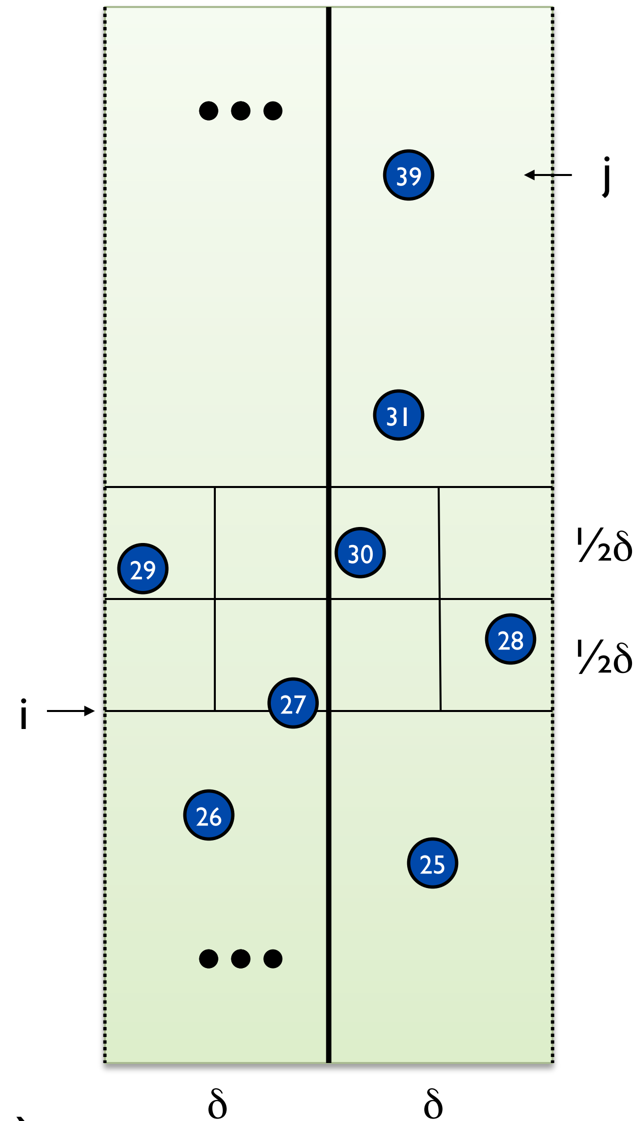
Def. Let s_i have the i^{th} smallest y-coordinate among points in the 2δ-width-strip.

Claim. If $|i - j| > 8$, then the distance between s_i and s_j is > δ.

Pf: No two points lie in the same ½δ-by-½δ box:

$$\sqrt{\left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2} = \sqrt{\frac{1}{2}} = \frac{\sqrt{2}}{2} \approx 0.7 < 1$$

so ≤ 8 boxes within +δ of $y(s_i)$.



```
Closest-Pair(p₁, …, pₙ) {
   if(n <= ??) return ??

   Compute separation line L such that half the points
   are on one side and half on the other side.

   δ₁ = Closest-Pair(left half)
   δ₂ = Closest-Pair(right half)
   δ  = min(δ₁, δ₂)

   Delete all points further than δ from separation line L

   Sort remaining points p[1]…p[m] by y-coordinate.

   for i = 1..m
      k = 1
      while i+k <= m && p[i+k].y < p[i].y + δ
        δ = min(δ, distance between p[i] and p[i+k]);
        k++;

   return δ.
}
```

Analysis, I: Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on n ≥ 1 points

$$D(n) \le \begin{Bmatrix} 0 & n = 1 \\ 2D(n/2) + 7n & n > 1 \end{Bmatrix} \Rightarrow D(n) = O(n \log n)$$

BUT – that's only the number of *distance calculations*

What if we counted comparisons?

Analysis, II: Let C(n) be the number of comparisons between coordinates/distances in the Closest-Pair Algorithm when run on n ≥ 1 points

$$C(n) \le \left\{ \begin{array}{cc} 0 & n=1 \\ 2C(n/2) + kn\log n & n>1 \end{array} \right\} \Rightarrow C(n) = O(n \log^2 n)$$

for some constant k

Q. Can we achieve O(n log n)?

A. Yes. Don't sort points from scratch each time.

Sort by x at top level only.

Each recursive call returns δ *and* list of all points sorted by y

Sort by merging two pre-sorted lists.

$$T(n) \le 2T(n/2) + O(n) \quad \Rightarrow \quad \mathrm{T}(n) = O(n \log n)$$

Code is longer & more complex

O(n log n) vs O(n^2) may hide 10x in constant?

How many points?

n	**Speedup:** n^2 / (10 n $\log_2$ n)
10	0.3
100	1.5
1,000	10
10,000	75
100,000	602
1,000,000	5,017
10,000,000	43,004

Going From Code to Recurrence

Carefully define what you're counting, and *write it down*!

"Let C(n) be the number of comparisons between sort keys used by MergeSort when sorting a list of length n ≥ 1"

In code, clearly separate *base case* from *recursive case,* highlight *recursive calls,* and *operations being counted.*

Write Recurrence(s)

Base Case

```
MS(A: array[1..n]) returns array[1..n] {
    If(n=1) return A;
    New L:array[1:n/2] = MS(A[1..n/2]);
    New R:array[1:n/2] = MS(A[n/2+1..n]);
    Return(Merge(L,R));
    }
Merge(A,B: array[1..n]) {
    New C: array[1..2n];
    a=1; b=1;
    For i = 1 to 2n {
        C[i] = "smaller of A[a], B[b] and a++ or b++";
    Return C;
    }
```

Recursive calls

One Recursive Level

Operations being counted

Base case

$$C(n) = \begin{cases} 0 & \text{if } n = 1 \\ 2C(n/2) + (n-1) & \text{if } n > 1 \end{cases}$$

Recursive calls

One compare per element added to merged list, except the last.

Total time: proportional to C(n)

(loops, copying data, parameter passing, etc.)

Carefully define what you're counting, and *write it down*!

"Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on n ≥ 1 points"

In code, clearly separate *base case* from *recursive case*, highlight *recursive calls,* and *operations being counted*.

Write Recurrence(s)

closest pair algorithm

Basic operations: distance calcs

```
Closest-Pair(p1, …, pn) {
   if(n <= 1) return ∞

   Compute separation line L such that half the points
   are on one side and half on the other side.

   δ1 = Closest-Pair(left half)
   δ2 = Closest-Pair(right half)
   δ  = min(δ1, δ2)

   Delete all points further than δ from separation line L

   Sort remaining points p[1]…p[m] by y-coordinate.

   for i = 1..m
      k = 1
      while i+k <= m && p[i+k].y < p[i].y + δ
        δ = min(δ, distance between p[i] and p[i+k]);
        k++;

   return δ.
}
```

Base Case

Recursive calls (2)

Basic operations at this recursive level

One recursive level

0

2D(n / 2)

7n

Analysis, I: Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on n ≥ 1 points

$$D(n) \le \begin{cases} 0 & n = 1 \\ 2D(n/2) + 7n & n > 1 \end{cases} \Rightarrow D(n) = O(n \log n)$$

BUT – that's only the number of *distance calculations*

What if we counted comparisons?

Carefully define what you're counting, and *write it down*!

> "Let D(n) be the number of comparisons between coordinates/distances in the Closest-Pair Algorithm when run on n ≥ 1 points"

In code, clearly separate *base case* from *recursive case,* highlight *recursive calls,* and *operations being counted*.

Write Recurrence(s)

closest pair algorithm

Basic operations: *comparisons*

```
Closest-Pair(p₁, …, pₙ) {
   if(n <= 1) return ∞

   Compute separation line L such that half the points
   are on one side and half on the other side.

   δ₁ = Closest-Pair(left half)
   δ₂ = Closest-Pair(right half)
   δ  = min(δ₁, δ₂)

   Delete all points further than δ from separation line L

   Sort remaining points p[1]…p[m] by y-coordinate.

   for i = 1..m
      k = 1
      while i+k <= m && p[i+k].y < p[i].y + δ
        δ = min(δ, distance between p[i] and p[i+k]);
        k++;

   return δ.
}
```

Base Case

Recursive calls (2)

Basic operations at this recursive level

One recursive level

0

$k_1 n \log n$

$2C(n / 2)$

1

$k_2 n$

$k_3 n \log n$

$7n$

Analysis, II: Let C(n) be the number of comparisons of coordinates/distances in the Closest-Pair Algorithm when run on n ≥ 1 points

$$C(n) \le \left\{ \begin{array}{cc} 0 & n = 1 \\ 2C\left(n/2\right) + k_4 n \log n & n > 1 \end{array} \right\} \Rightarrow C(n) = O(n \log^2 n)$$

for some $k_4 \le k_1 + k_2 + k_3 + 7$

Q. Can we achieve time O(n log n)?

A. Yes. Don't sort points from scratch each time.

Sort by x at top level only.

Each recursive call returns δ *and* list of all points sorted by y

Sort by merging two pre-sorted lists.

$$T(n) \le 2T\left(n/2\right) + O(n) \Rightarrow \mathrm{T}(n) = O(n \log n)$$

Integer Multiplication

Add. Given two n-bit integers a and b, compute a + b.

O(n) bit operations.

Add

1	1	1	1	1	1	0	1	
	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
1	0	1	0	1	0	0	1	0

Add. Given two n-bit integers a and b, compute a + b.

Add

1	1	1	1	1	1	0	1	
	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
1	0	1	0	1	0	0	1	0

O(n) bit operations.

Multiply. Given two n-bit integers a and b, compute a × b.
The "grade school" method:

Multiply

								1	1	0	1	0	1	0	1
							*	0	1	1	1	1	1	0	1
								1	1	0	1	0	1	0	1
							0	0	0	0	0	0	0	0	
						1	1	0	1	0	1	0	1		
					1	1	0	1	0	1	0	1			
				1	1	0	1	0	1	0	1				
			1	1	0	1	0	1	0	1					
		1	1	0	1	0	1	0	1						
	0	0	0	0	0	0	0	0							
0	1	1	0	1	0	0	0	0	0	0	0	0	0	0	1

$\Theta(n^2)$ bit operations.

divide & conquer multiplication: warmup

To multiply two 2-digit integers:

Multiply four 1-digit integers.

Add, shift some 2-digit integers to obtain result.

$$
\begin{aligned}
x &= 10\cdot x_1 + x_0 \\
y &= 10\cdot y_1 + y_0 \\
xy &= \left(10\cdot x_1 + x_0\right)\left(10\cdot y_1 + y_0\right) \\
&= 100\cdot x_1 y_1 + 10\cdot\left(x_1 y_0 + x_0 y_1\right) + x_0 y_0
\end{aligned}
$$

Same idea works for *long* integers – can split them into 4 half-sized ints ("10" becomes "10^k", k = length/2)

		4	5	$y_1\ y_0$
		3	2	$x_1\ x_0$
		1	0	$x_0 \cdot y_0$
	0	8		$x_0 \cdot y_1$
	1	5		$x_1 \cdot y_0$
1	2			$x_1 \cdot y_1$
1	4	4	0	

divide & conquer multiplication: warmup

To multiply two n-bit integers:

Multiply four ½n-bit integers.

Shift/add four n-bit integers to obtain result.

$$
\begin{aligned}
x &= 2^{n/2} \cdot x_1 + x_0 \\
y &= 2^{n/2} \cdot y_1 + y_0 \\
xy &= \left(2^{n/2} \cdot x_1 + x_0\right)\left(2^{n/2} \cdot y_1 + y_0\right) \\
&= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot \left(x_1 y_0 + x_0 y_1\right) + x_0 y_0
\end{aligned}
$$

$$
\mathrm{T}(n) = \underbrace{4T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, shift}} \Rightarrow \mathrm{T}(n) = \Theta(n^2)
$$

assumes n is a power of 2

		1 1 0 1	0 1 0 1	y_1 y_0
		* 0 1 1 1	1 1 0 1	x_1 x_0
		0 1 0 0	0 0 0 1	$x_0 \cdot y_0$
	1 0 1 0	1 0 0 1		$x_0 \cdot y_1$
	0 0 1 0	0 0 1 1		$x_1 \cdot y_0$
0 1 0 1	1 0 1 1			$x_1 \cdot y_1$
0 1 1 0	1 0 0 0	0 0 0 0	0 0 0 1	

$$\begin{aligned}
x &= 2^{n/2} \cdot x_1 + x_0 \\
y &= 2^{n/2} \cdot y_1 + y_0 \\
xy &= \left(2^{n/2} \cdot x_1 + x_0\right)\left(2^{n/2} \cdot y_1 + y_0\right) \\
&= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot \left(x_1 y_0 + x_0 y_1\right) + x_0 y_0
\end{aligned}$$

Well, ok, 4 for 3 is more accurate…

$$\begin{aligned}
\alpha &= x_1 + x_0 \\
\beta &= y_1 + y_0 \\
\alpha\beta &= \left(x_1 + x_0\right)\left(y_1 + y_0\right) \\
&= x_1 y_1 + \left(x_1 y_0 + x_0 y_1\right) + x_0 y_0 \\
\left(x_1 y_0 + x_0 y_1\right) &= \alpha\beta - x_1 y_1 - x_0 y_0
\end{aligned}$$

To multiply two n-bit integers:

- Add two pairs of ½n bit integers.
- Multiply three pairs of ½n-bit integers.
- Add, subtract, and shift n-bit integers to obtain result.

$$
\begin{aligned}
x &= 2^{n/2} \cdot x_1 + x_0 \\
y &= 2^{n/2} \cdot y_1 + y_0 \\
xy &= 2^{n} \cdot x_1 y_1 + 2^{n/2} \cdot \left(x_1 y_0 + x_0 y_1\right) + x_0 y_0 \\
&= 2^{n} \cdot \underset{\text{A}}{x_1 y_1} + 2^{n/2} \cdot \left(\underset{\text{B}}{(x_1 + x_0)(y_1 + y_0)} - \underset{\text{A}}{x_1 y_1} - \underset{\text{C}}{x_0 y_0} \right) + \underset{\text{C}}{x_0 y_0}
\end{aligned}
$$

Theorem. [Karatsuba-Ofman, 1962] Can multiply two n-digit integers in $O(n^{1.585})$ bit operations.

$$
\mathrm{T}(n) \le \underbrace{T\left(\lfloor n/2 \rfloor \right) + T\left(\lceil n/2 \rceil \right) + T\left(1 + \lceil n/2 \rceil \right)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}}
$$

$$
\textit{Sloppy version}: \; T(n) \le 3T(n/2) + O(n)
$$

$$
\Rightarrow \; \mathrm{T}(n) = O(n^{\log_2 3}) = O(n^{1.585})
$$

Theorem. [Karatsuba-Ofman, 1962] Can multiply two n-digit integers in $O(n^{1.585})$ bit operations.

$$\mathrm{T}(n) \le \underbrace{T\left(\lfloor n/2 \rfloor\right) + T\left(\lceil n/2 \rceil\right) + T\left(1+\lceil n/2 \rceil\right)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}}$$

$$\textit{Sloppy version}: \; T(n) \le 3T(n/2) + O(n)$$

$$\Rightarrow \mathrm{T}(n) = O(n^{\log_2 3}) = O(n^{1.585})$$

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$$\textit{Sloppy version}: \; T(n) \le 3T(n/2) + O(n)$$

$$\Rightarrow T(n) = O(n^{\log_2 3}) = O(n^{1.585})$$

$$n \to 2^{\lceil \log_2 n \rceil}$$

Theorem. [Karatsuba-Ofman, 1962] Can multiply two n-digit integers in $O(n^{1.585})$ bit operations.

$$T(n) \le \underbrace{T\left(\lfloor n/2 \rfloor\right) + T\left(\lceil n/2 \rceil\right) + T\left(1 + \lceil n/2 \rceil\right)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}}$$

Sloppy version : $T(n) \le 3T(n/2) + O(n)$

$$\Rightarrow T(n) = O(n^{\log_2 3}) = O(n^{1.585})$$

x 1 T(n/2)

y 0 + O(n)

0 0

x y

Naïve: $\Theta(n^2)$

Karatsuba: $\Theta(n^{1.59\ldots})$

Amusing exercise: generalize Karatsuba to do 5 size n/3 subproblems $\rightarrow \Theta(n^{1.46\ldots})$

Best known: $\Theta(n \log n \log\log n)$

"Fast Fourier Transform"

but mostly unused in practice (unless you need really big numbers - a billion digits of π, say)

High precision arithmetic *IS* important for crypto

Recurrences

Above: Where they come from, how to find them

Next: how to solve them

mergesort (review)

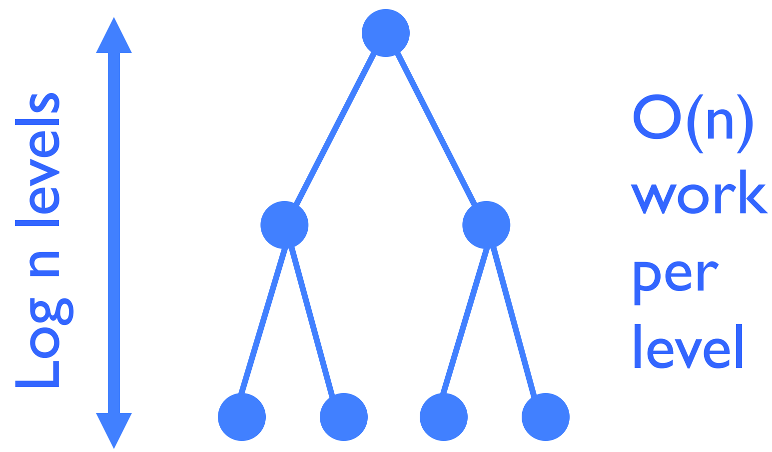
Mergesort: (recursively) sort 2 half-lists, then merge results.

$T(n) = 2T(n/2)+cn, \;\; n \geq 2$

$T(1) = 0$

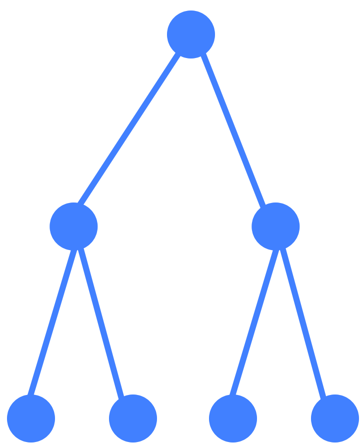
Solution: $\Theta(n \log n)$
(~~details~~ later)

now!



Solve: $T(1) = c$

$T(n) = 2\,T(n/2) + cn$



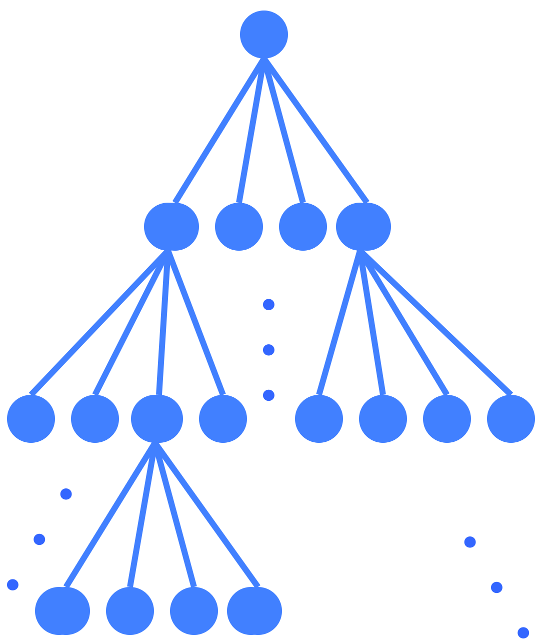
Level	Num	Size	Work
0	$1 = 2^0$	n	cn
1	$2 = 2^1$	$n/2$	$2cn/2$
2	$4 = 2^2$	$n/4$	$4cn/4$
…	…	…	…
i	2^i	$n/2^i$	$2^i\,c\,n/2^i$
…	…	…	…
k-1	2^{k-1}	$n/2^{k-1}$	$2^{k-1}\,c\,n/2^{k-1}$
k	2^k	$n/2^k = 1$	$2^k\,T(1)$

$n = 2^k$; $k = \log_2 n$

Total Work: $c\,n\,(1+\log_2 n)$ (add last col)

Solve: $T(1) = c$

$T(n) = 4\,T(n/2) + cn$



Level	Num	Size	Work
0	$1 = 4^0$	n	cn
1	$4 = 4^1$	$n/2$	$4cn/2$
2	$16 = 4^2$	$n/4$	$16cn/4$
…	…	…	…
i	4^i	$n/2^i$	$4^i\ c\ n/2^i$
…	…	…	…
$k-1$	4^{k-1}	$n/2^{k-1}$	$4^{k-1}\ c\ n/2^{k-1}$
k	4^k	$n/2^k = 1$	$4^k\,T(1)$

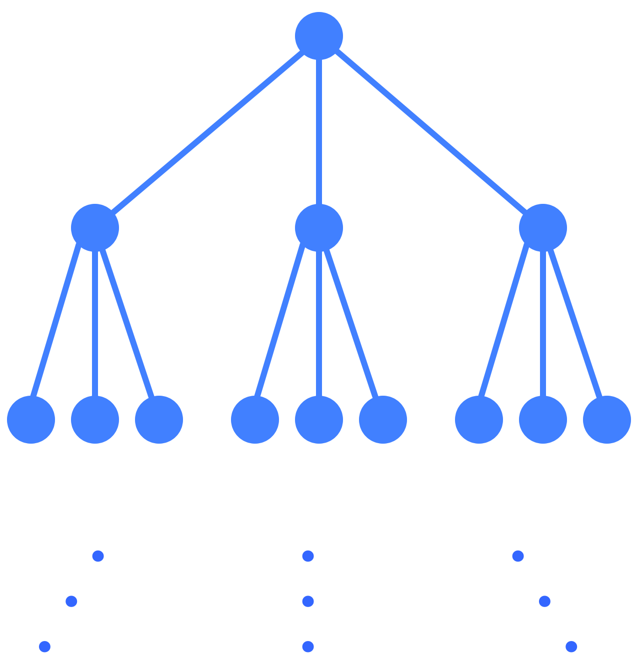
$n = 2^k$; $k = \log_2 n$

Total Work: $T(n) = \sum_{i=0}^{k} 4^i cn/2^i = O(n^2)$

$4^k = (2^2)^k = (2^k)^2 = n^2$

Solve: $T(1) = c$

$T(n) = 3\,T(n/2) + cn$



Level	Num	Size	Work
0	$1 = 3^0$	n	cn
1	$3 = 3^1$	$n/2$	$3cn/2$
2	$9 = 3^2$	$n/4$	$9cn/4$
…	…	…	…
i	3^i	$n/2^i$	$3^i\ c\ n/2^i$
…	…	…	…
$k-1$	3^{k-1}	$n/2^{k-1}$	$3^{k-1}\ c\ n/2^{k-1}$
k	3^k	$n/2^k = 1$	$3^k\,T(1)$

$n = 2^k$; $k = \log_2 n$

Total Work: $T(n) = \sum_{i=0}^{k} 3^i cn / 2^i$

Theorem:

$$1 + x + x^2 + x^3 + \ldots + x^k = (x^{k+1}-1)/(x-1)$$

proof:

$$y = 1 + x + x^2 + x^3 + \ldots + x^k$$

$$xy = x + x^2 + x^3 + \ldots + x^k + x^{k+1}$$

$$xy-y = x^{k+1} - 1$$

$$y(x-1) = x^{k+1} - 1$$

$$y = (x^{k+1}-1)/(x-1)$$

Solve: $T(1) = c$

$T(n) = 3\,T(n/2) + cn$ (cont.)

$$T(n) = \sum_{i=0}^{k} 3^i cn / 2^i$$

$$= cn \sum_{i=0}^{k} 3^i / 2^i$$

$$= cn \sum_{i=0}^{k} \left(\tfrac{3}{2}\right)^i$$

$$= cn \frac{\left(\tfrac{3}{2}\right)^{k+1} - 1}{\left(\tfrac{3}{2}\right) - 1}$$

$$\sum_{i=0}^{k} x^i = \frac{x^{k+1} - 1}{x - 1}$$

$$(x \neq 1)$$

Solve: $T(1) = c$

$T(n) = 3\,T(n/2) + cn$ (cont.)

$$cn\frac{\left(\frac{3}{2}\right)^{k+1}-1}{\left(\frac{3}{2}\right)-1} = 2cn\left(\left(\frac{3}{2}\right)^{k+1}-1\right)$$

$$< 2cn\left(\frac{3}{2}\right)^{k+1}$$

$$= 3cn\left(\frac{3}{2}\right)^{k}$$

$$= 3cn\frac{3^k}{2^k}$$

Solve: $T(1) = c$

$T(n) = 3\,T(n/2) + cn$ (cont.)

$$3cn\frac{3^k}{2^k} = 3cn\frac{3^{\log_2 n}}{2^{\log_2 n}}$$

$$= 3cn\frac{3^{\log_2 n}}{n}$$

$$= 3c3^{\log_2 n}$$

$$= 3c\left(n^{\log_2 3}\right)$$

$$\boxed{= O\left(n^{1.585\ldots}\right)}$$

$$a^{\log_b n}$$

$$= \left(b^{\log_b a}\right)^{\log_b n}$$

$$= \left(b^{\log_b n}\right)^{\log_b a}$$

$$= n^{\log_b a}$$

$T(n) = aT(n/b)+cn^k$ for $n > b$ then

$a > b^k \Rightarrow T(n) = \Theta(n^{\log_b a})$ [many subprobs → leaves dominate]

$a < b^k \Rightarrow T(n) = \Theta(n^k)$ [few subprobs → top level dominates]

$a = b^k \Rightarrow T(n) = \Theta(n^k \log n)$ [balanced → all log n levels contribute]

Fine print:

$a \geq 1$; $b > 1$; $c, d, k \geq 0$; $T(1) = d$; $n = b^t$ for some $t > 0$; a, b, k, t integers. True even if it is $\lceil n/b \rceil$ instead of n/b.

Expand recurrence as in earlier examples, to get

$T(n) = n^h \ (\ d + c \ S \ )$

where $h = \log_b(a)$ (and n^h = number of tree leaves) and $S = \sum_{j=1}^{\log_b n} x^j$,
where $x = b^k/a$.

If $c = 0$ the sum S is irrelevant, and $T(n) = O(n^h)$: all work happens in the base cases, of which there are n^h, one for each leaf in the recursion tree.

If $c > 0$, then the sum matters, and splits into 3 cases (like previous slide):

if $x < 1$, then $S < x/(1-x) = O(1)$.	[S is the first log n terms of the infinite series with that sum.]
if $x = 1$, then $S = \log_b(n) = O(\log n)$.	[All terms in the sum are 1 and there are that many terms.]
if $x > 1$, then $S = x \bullet (x^{1+\log_b(n)}-1)/(x-1)$.	[And after some algebra, $n^h * S = O(n^k)$.]

Example:

Matrix Multiplication –

Strassen’s Method

Multiplying Matrices

$$
\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \bullet \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}
$$

$$
= \begin{bmatrix} \boxed{a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41}} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & \circ & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & \circ & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & \circ & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\ a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & \circ & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44} \end{bmatrix}
$$

n^3 multiplications, n^3-n^2 additions

```
for i = 1 to n
    for j = 1 to n
        C[i,j] = 0
        for k = 1 to n
            C[i,j] = C[i,j] + A[i,k] * B[k,j]
```

n^3 multiplications, $n^3 - n^2$ additions

Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \bullet \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

$$= \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & \circ & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & \circ & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & \circ & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\ a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & \circ & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44} \end{bmatrix}$$

Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \bullet \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

$$= \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & \circ & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & \circ & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & \circ & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\ a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & \circ & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44} \end{bmatrix}$$

$$
\left[\begin{array}{cc|cc}
a_{11} & a_{12} & a_{13} & a_{14} \\
a_{21} & a_{22} & a_{23} & a_{24} \\
\hline
a_{31} & a_{32} & a_{33} & a_{34} \\
a_{41} & a_{42} & a_{43} & a_{44}
\end{array}\right]
\bullet
\left[\begin{array}{cc|cc}
b_{11} & b_{12} & b_{13} & b_{14} \\
b_{21} & b_{22} & b_{23} & b_{24} \\
\hline
b_{31} & b_{32} & b_{33} & b_{34} \\
b_{41} & b_{42} & b_{43} & b_{44}
\end{array}\right]
$$

$$
\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}
\bullet
\begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}
$$

$$
= \left[\begin{array}{cc|cc}
a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & \circ & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\
a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & \circ & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\
\hline
a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & \circ & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\
a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & \circ & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44}
\end{array}\right]
$$

$$
= \begin{bmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{bmatrix}
$$

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$= \begin{bmatrix} A_{11}B_{11}+A_{12}B_{21} & A_{11}B_{12}+A_{12}B_{22} \\ A_{21}B_{11}+A_{22}B_{21} & A_{21}B_{12}+A_{22}B_{22} \end{bmatrix}$$

Counting arithmetic operations:

$$T(n) = 8T(n/2) + 4(n/2)^2 = 8T(n/2) + n^2$$

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 8T(n/2) + n^2 & \text{if } n > 1 \end{cases}$$

By Master Recurrence, if

$T(n) = aT(n/b)+cn^k$ & $a > b^k$ then

$T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 8}) = \Theta(n^3)$

Strassen's algorithm

Multiply 2x2 matrices using **7** instead of **8** multiplications (and lots more than 4 additions)

$T(n)=7\,T(n/2)+cn^2$

$7>2^2$ so $T(n)$ is $\Theta(n^{\log_2 7})$ which is $O(n^{2.81})$

Asymptotically fastest know algorithm uses $O(n^{2.376})$ time

not practical but Strassen's may be practical provided calculations are exact and we stop recursion when matrix has size about 100 (maybe 10)

$P_1 = A_{12}(B_{11} + B_{21})$

$P_2 = A_{21}(B_{12} + B_{22})$

$P_3 = (A_{11} - A_{12})B_{11}$

$P_4 = (A_{22} - A_{21})B_{22}$

$P_5 = (A_{22} - A_{12})(B_{21} - B_{22})$

$P_6 = (A_{11} - A_{21})(B_{12} - B_{11})$

$P_7 = (A_{21} - A_{12})(B_{11} + B_{22})$

$C_{11} = P_1 + P_3$

$C_{12} = P_2 + P_3 + P_6 - P_7$

$C_{21} = P_1 + P_4 + P_5 + P_7$

$C_{22} = P_2 + P_4$

Another Example: Exponentiation

Power(a,n)

Input: integer n and number a

Output: a^n

Obvious algorithm

$n-1$ multiplications

Observation:

if n is even, $n = 2m$, then $a^n = a^m \bullet a^m$

```
Power(a,n)
   if n = 0 then return(1)
   if n = 1 then return(a)
   x ← Power(a,⌊n/2⌋)
   x ← x•x
   if n is odd then
        x ← a•x
   return(x)
```

Let M(n) be number of multiplies

Worst-case recurrence:

$$M(n) = \begin{cases} 0 & n \le 1 \\ M\left(\lfloor n/2 \rfloor\right) + 2 & n > 1 \end{cases}$$

By master theorem

$M(n) = O(\log n)$ (a=1, b=2, k=0)

More precise analysis:

$M(n) = \lfloor \log_2 n \rfloor$ + (# of 1's in n's binary representation) - 1

Time is O(M(n)) if numbers < word size, else also depends on length, multiply algorithm

Instead of a^n want a^n mod N

a^{i+j} mod N = ((a^i mod N) • (a^j mod N)) mod N

same algorithm applies with each $x \cdot y$ replaced by

((x mod N) • (y mod N)) mod N

In RSA cryptosystem (widely used for security)

need a^n mod N where a, n, N each typically have 1024 bits

Power: at most 2048 multiplies of 1024 bit numbers

relatively easy for modern machines

Naive algorithm: 2^{1024} multiplies

Idea:

- “Two halves are better than a whole”
  - if the base algorithm has super-linear complexity.
- “If a little's good, then more's better”
  - repeat above, recursively

Analysis: recursion tree or Master Recurrence

Applications: Many.

- Binary Search, Merge Sort, (Quicksort), Closest Points, Integer Multiply, Exponentiation,…