CSE 421 Algorithms: Divide and Conquer

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Thanks to Paul Beame, Kevin Wayne for some slides

algorithm design paradigms: divide and conquer

Outline:

General Idea **Review of Merge Sort** Why does it work? Importance of balance Importance of super-linear growth Some interesting applications **Closest** points Integer Multiplication Finding & Solving Recurrences

Divide & Conquer

Reduce problem to one or more sub-problems of the same type

Typically, each sub-problem is at most a constant fraction of the size of the original problem

Subproblems typically disjoint

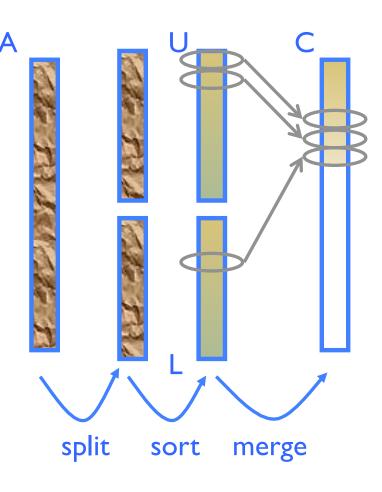
Often gives significant, usually polynomial, speedup Examples:

Mergesort, Binary Search, Strassen's Algorithm, Quicksort (roughly)

merge sort

```
MS(A: array[1..n]) returns array[1..n] {
    If(n=1) return A;
    New U:array[1:n/2] = MS(A[1..n/2]);
    New L:array[1:n/2] = MS(A[n/2+1..n]);
    Return(Merge(U,L));
    }
```

```
Merge(U,L: array[1..n]) {
    New C: array[1..2n];
    a=1; b=1;
    For i = 1 to 2n
        C[i] = "smaller of U[a], L
        Return C;
```



C[i] = "smaller of U[a], L[b] and correspondingly a++ or b++"; Return C;

}

Alternative "divide & conquer" algorithm: Sort n-I Sort last I Merge them

T(n) = T(n-1)+T(1)+3n for n ≥ 2 T(1) = 0Solution: 3n + 3(n-1) + 3(n-2) ... = Θ(n²) divide & conquer – the key idea

Suppose we've already invented DumbSort, taking time n²

Try Just One Level of divide & conquer:

DumbSort(first n/2 elements)

DumbSort(last n/2 elements)

Merge results

Time:
$$2 (n/2)^2 + n = n^2/2 + n \ll n^2$$

Almost twice as fast!

D&C in a

nutshell

Moral I: "two halves are better than a whole"

Two problems of half size are better than one full-size problem, even given O(n) overhead of recombining, since the base algorithm has super-linear complexity.

Moral 2: "If a little's good, then more's better"

Two levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing. Best is usually full recursion down to some small constant size (balancing "work" vs "overhead").

In the limit: you've just rediscovered mergesort!

d&c approach, cont.

Moral 3: unbalanced division less good:

 $(.\ln)^2 + (.9n)^2 + n = .82n^2 + n$

The 18% savings compounds significantly if you carry recursion to more levels, actually giving O(nlogn), but with a bigger constant. So worth doing if you can't get 50-50 split, but balanced is better if you can.

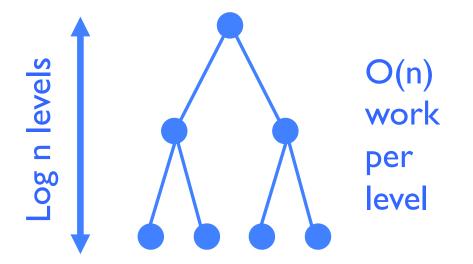
This is intuitively why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.

$$(I)^{2} + (n-I)^{2} + n = n^{2} - 2n + 2 + n$$

Little improvement here.

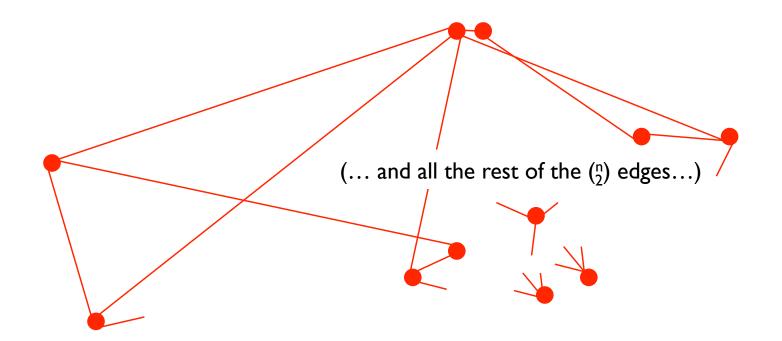
Mergesort: (recursively) sort 2 half-lists, then merge results.

```
T(n) = 2T(n/2)+cn, n \ge 2
T(I) = 0
Solution: \Theta(n \log n)
(details later)
```



A Divide & Conquer Example: Closest Pair of Points closest pair of points: non-geometric version

Given n points and *arbitrary* distances between them, find the closest pair. (E.g., think of distance as airfare – definitely not Euclidean distance!)



Must look at all n choose 2 pairwise distances, else any one you didn't check might be the shortest.

Also true for Euclidean distance in 1-2 dimensions?

closest pair of points: 1 dimensional version

Given n points on the real line, find the closest pair



Closest pair is *adjacent* in ordered list Time O(n log n) to sort, if needed Plus O(n) to scan adjacent pairs Key point: do *not* need to calc distances between all pairs: exploit geometry + ordering closest pair of points: 2 dimensional version

Closest pair. Given n points in the plane, find a pair with smallest Euclidean distance between them.

Fundamental geometric primitive.

Graphics, computer vision, geographic information systems, molecular modeling, air traffic control.

Special case of nearest neighbor, Euclidean MST, Voronoi.

fast closest pair inspired fast algorithms for these problems

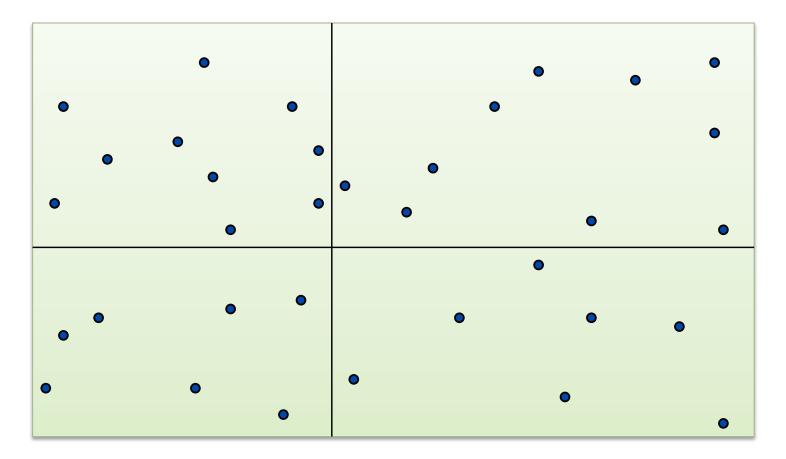
Brute force. Check all pairs of points p and q with $\Theta(n^2)$ comparisons.

I-D version. O(n log n) easy if points are on a line.

Assumption. No two points have same x coordinate.

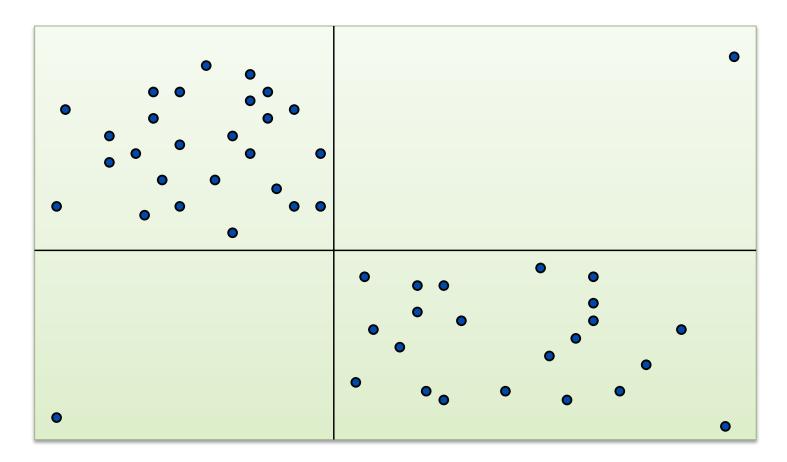
closest pair of points. 2d, Euclidean distance: 1st try

Divide. Sub-divide region into 4 quadrants.



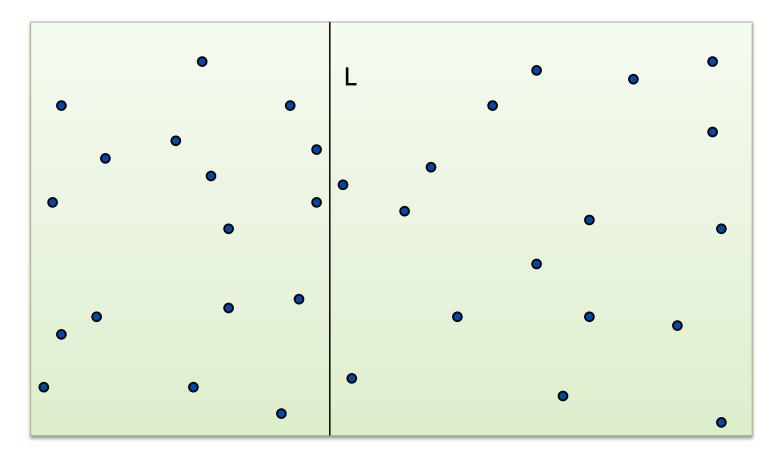
closest pair of points: 1st try

Divide. Sub-divide region into 4 quadrants.Obstacle. Impossible to ensure n/4 points in each piece.



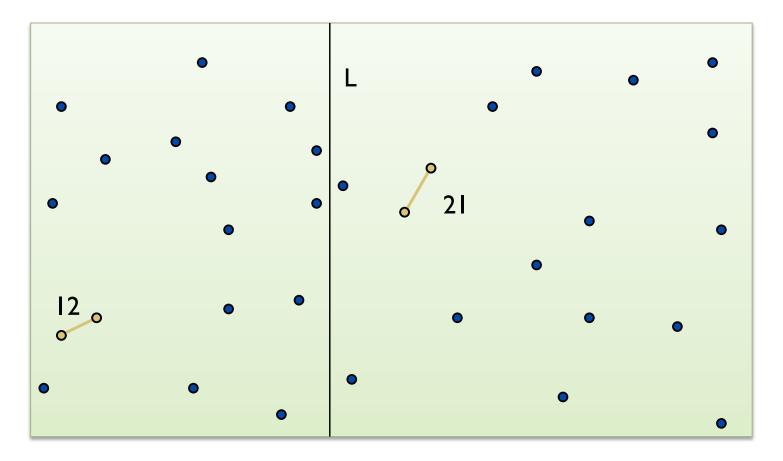
Algorithm.

Divide: draw vertical line L with $\approx n/2$ points on each side.



Algorithm.

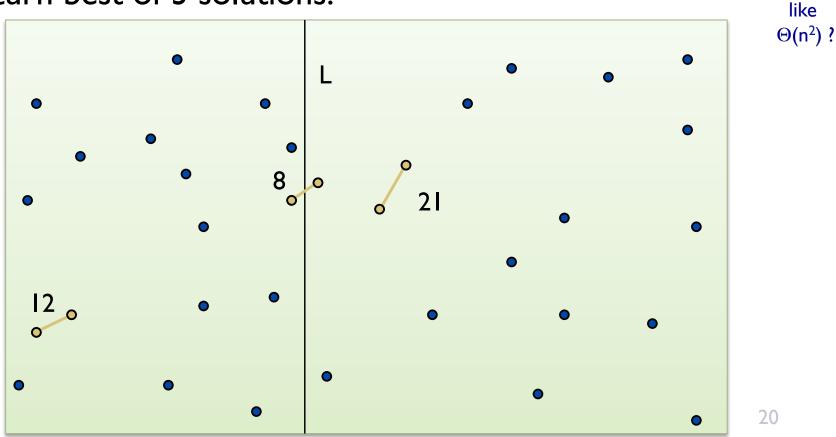
Divide: draw vertical line L with \approx n/2 points on each side. Conquer: find closest pair on each side, recursively.



seems

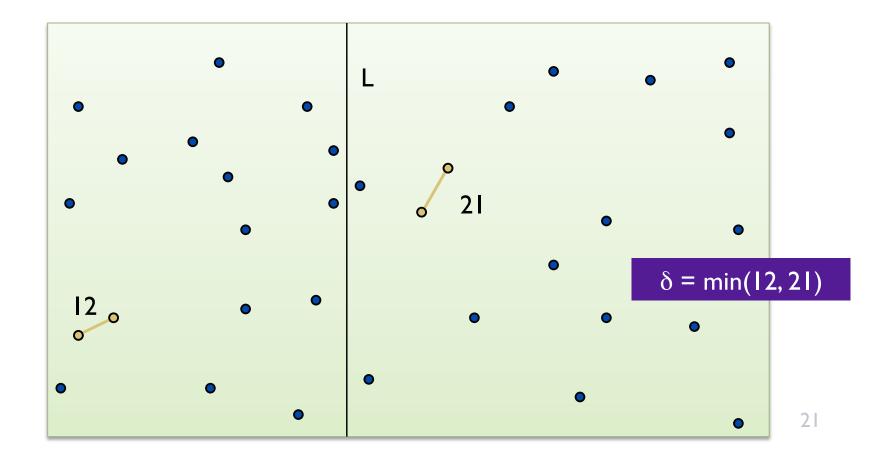
Algorithm.

- Divide: draw vertical line L with $\approx n/2$ points on each side.
- Conquer: find closest pair on each side, recursively.
- Combine: find closest pair with one point in each side. \leftarrow
- Return best of 3 solutions.



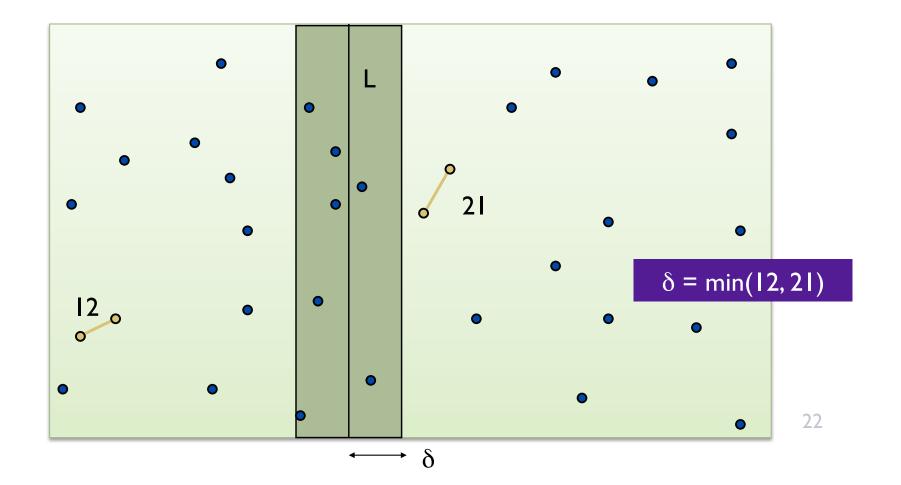
closest pair of points

Find closest pair with one point in each side, assuming distance $< \delta$.



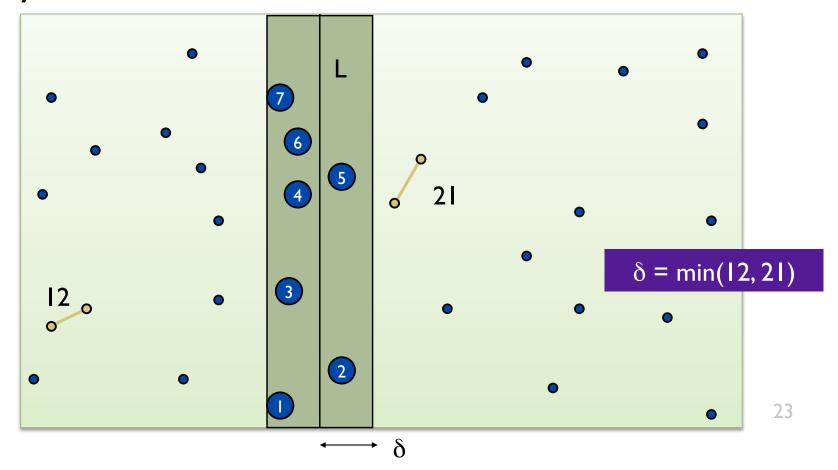
Find closest pair with one point in each side, assuming distance $< \delta$.

Observation: suffices to consider points within δ of line L.



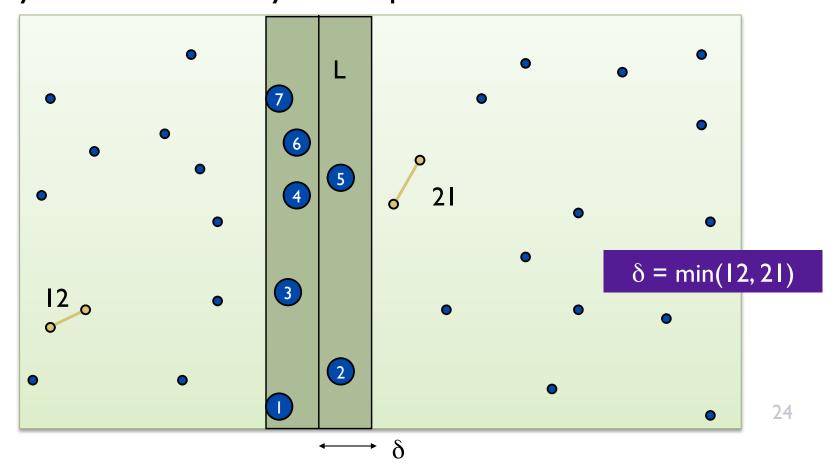
Find closest pair with one point in each side, assuming distance $< \delta$.

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Find closest pair with one point in each side, assuming distance $< \delta$.

Observation: suffices to consider points within δ of line L. Almost the one-D problem again: Sort points in 2δ -strip by their y coordinate. Only check pts within 8 in sorted list!

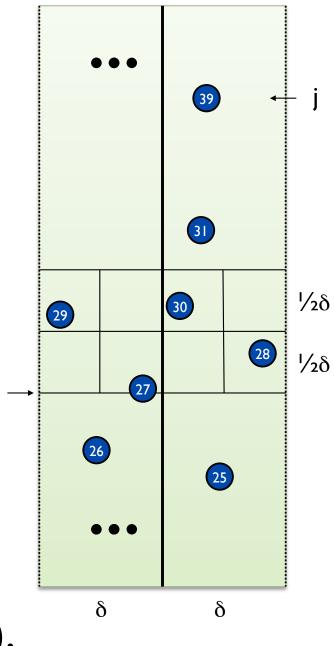


closest pair of points

- Def. Let s_i have the ith smallest y-coordinate among points in the 2δ -width-strip.
- Claim. If |i j| > 8, then the distance between s_i and s_j is $> \delta$.
- Pf: No two points lie in the same $\frac{1}{2}\delta$ -by- $\frac{1}{2}\delta$ box:

$$\sqrt{\left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2} = \sqrt{\frac{1}{2}} = \frac{\sqrt{2}}{2} \approx 0.7 < 1$$

so \leq 8 boxes within + δ of y(s_i).



closest pair algorithm

```
Closest-Pair(p_1, ..., p_n) {
   if(n <= ??) return ??
   Compute separation line L such that half the points
   are on one side and half on the other side.
   \delta_1 = Closest-Pair(left half)
   \delta_2 = Closest-Pair(right half)
   \delta = \min(\delta_1, \delta_2)
   Delete all points further than \delta from separation line L
   Sort remaining points p[1]...p[m] by y-coordinate.
   for i = 1..m
       k = 1
       while i+k \leq m \& p[i+k].y < p[i].y + \delta
         \delta = \min(\delta, \text{ distance between } p[i] \text{ and } p[i+k]);
         k++;
   return \delta.
```

}

closest pair of points: analysis

Analysis, I: Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on $n \ge 1$ points

$$D(n) \leq \begin{cases} 0 & n=1 \\ 2D(n/2) + 7n & n>1 \end{cases} \implies D(n) = O(n \log n)$$

BUT – that's only the number of distance calculations

What if we counted comparisons?

closest pair of points: analysis

Analysis, II: Let C(n) be the number of comparisons between coordinates/distances in the Closest-Pair Algorithm when run on $n \ge 1$ points

$$C(n) \leq \begin{cases} 0 & n=1 \\ 2C(n/2) + O(n\log n) & n>1 \end{cases} \implies C(n) = O(n\log^2 n)$$

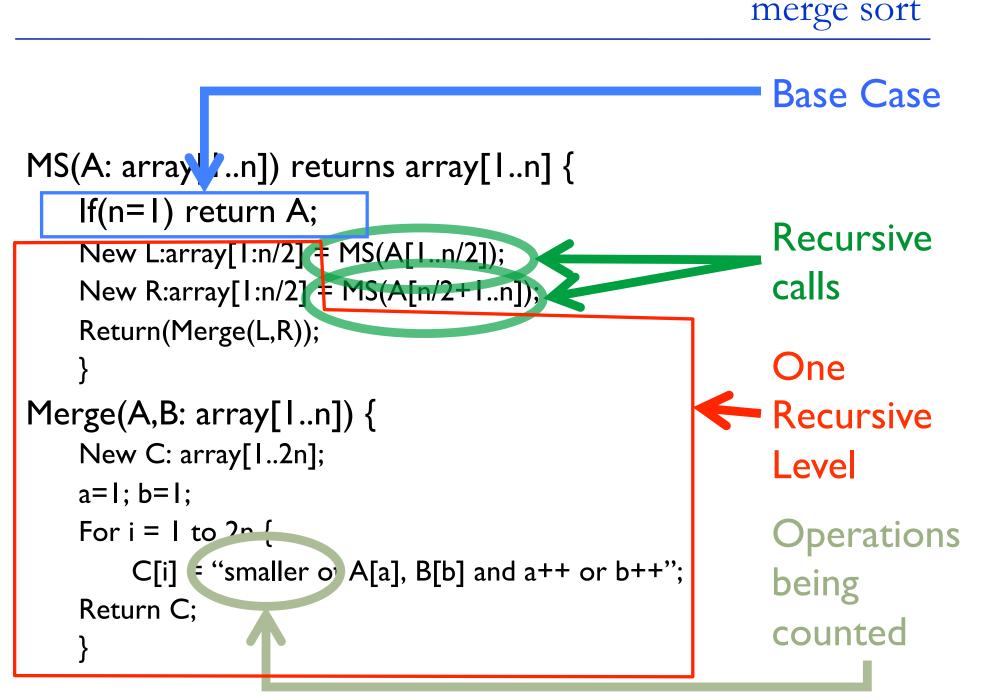
- Q. Can we achieve $O(n \log n)$?
- A. Yes. Don't sort points from scratch each time.
 Sort by x at top level only.
 Each recursive call returns δ *and* list of all points sorted by y
 Sort by merging two pre-sorted lists.

 $T(n) \le 2T(n/2) + O(n) \implies T(n) = O(n \log n)$

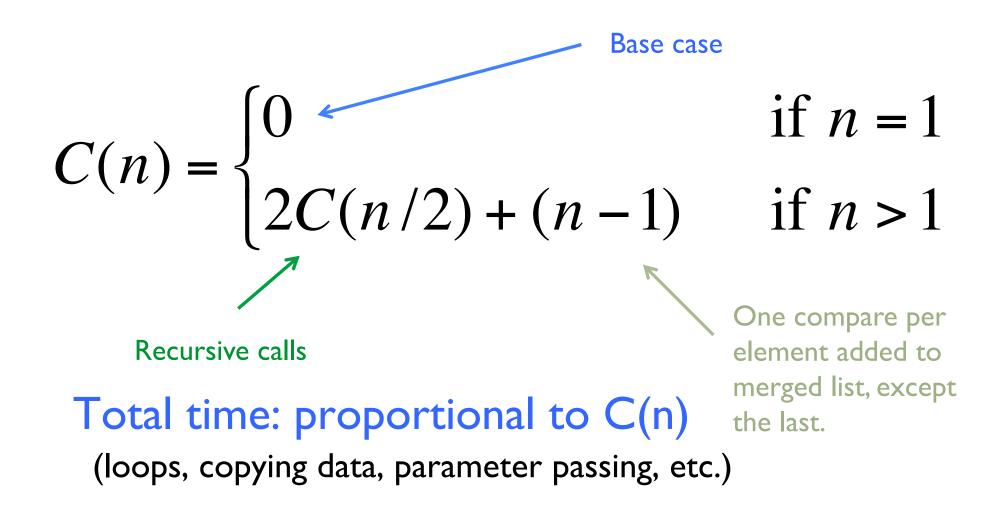
Going From Code to Recurrence

Carefully define what you're counting, and write it down!

"Let C(n) be the number of comparisons between sort keys used by MergeSort when sorting a list of length n ≥ 1"
In code, clearly separate base case from recursive case, highlight recursive calls, and operations being counted.
Write Recurrence(s)

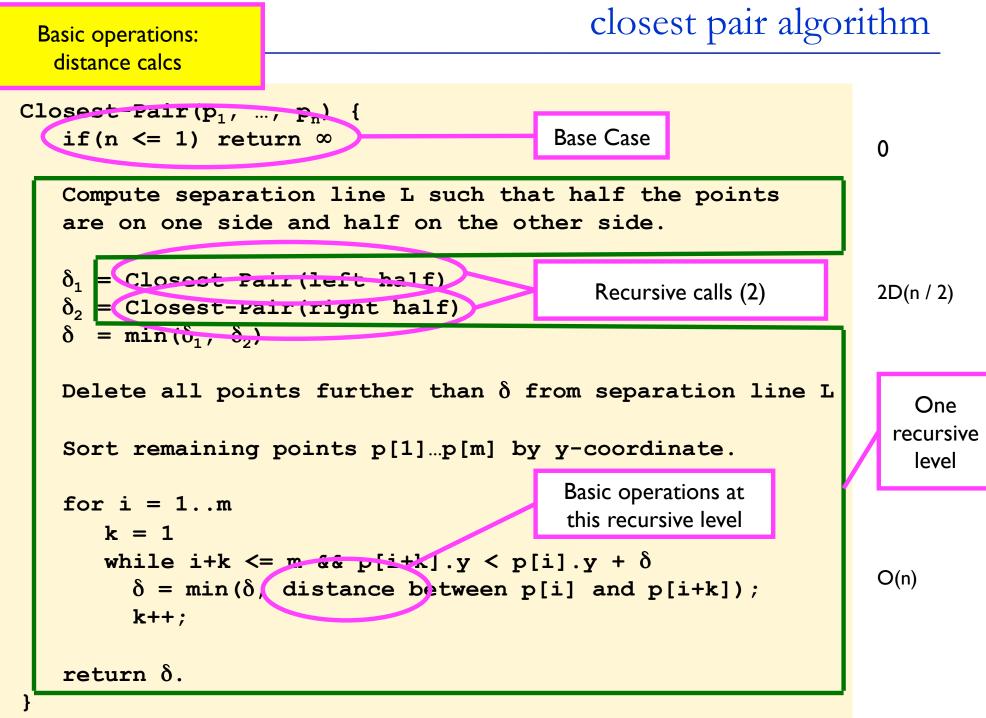


the recurrence



Carefully define what you're counting, and write it down!

"Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on n ≥ 1 points"
In code, clearly separate base case from recursive case, highlight recursive calls, and operations being counted.
Write Recurrence(s)



closest pair of points: analysis

Analysis, I: Let D(n) be the number of pairwise distance calculations in the Closest-Pair Algorithm when run on $n \ge 1$ points

$$D(n) \leq \begin{cases} 0 & n=1 \\ 2D(n/2) + 7n & n>1 \end{cases} \implies D(n) = O(n \log n)$$

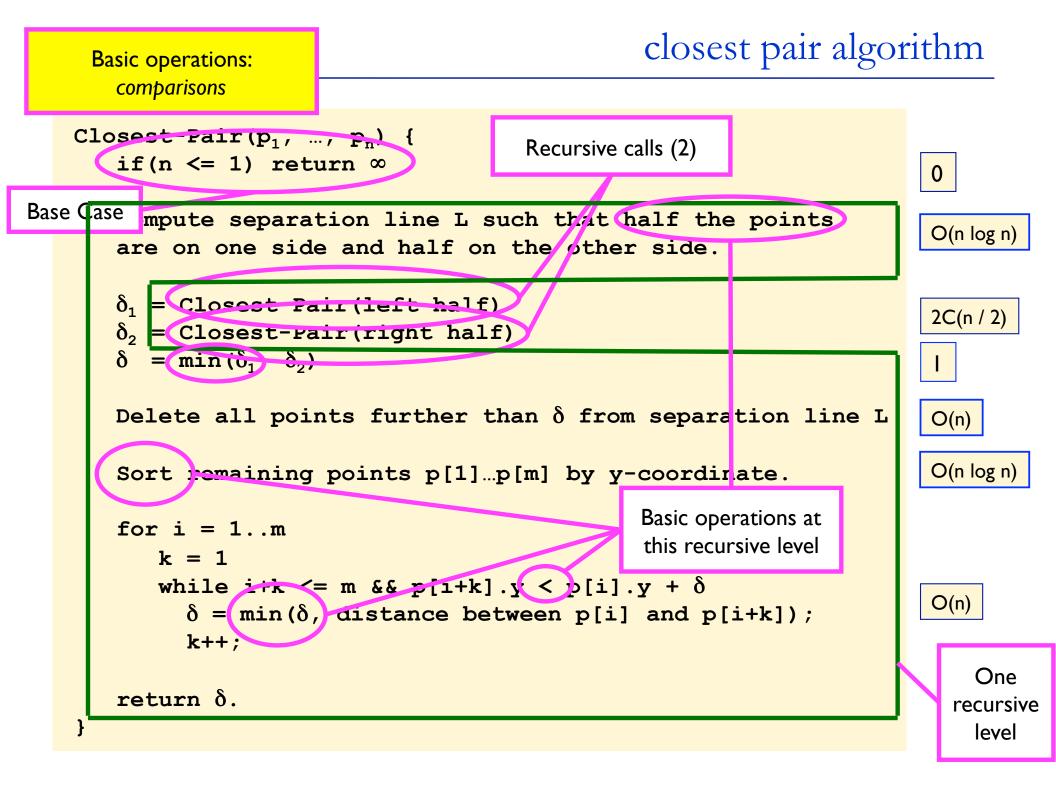
BUT – that's only the number of distance calculations

What if we counted comparisons?

Carefully define what you're counting, and write it down!

"Let D(n) be the number of comparisons between coordinates/distances in the Closest-Pair Algorithm when run on $n \ge 1$ points"

In code, clearly separate base case from recursive case, highlight recursive calls, and operations being counted. Write Recurrence(s)



closest pair of points: analysis

Analysis, II: Let C(n) be the number of comparisons of coordinates/distances in the Closest-Pair Algorithm when run on $n \ge 1$ points

$$C(n) \leq \begin{cases} 0 & n=1 \\ 2C(n/2) + O(n\log n) & n>1 \end{cases} \implies C(n) = O(n\log^2 n)$$

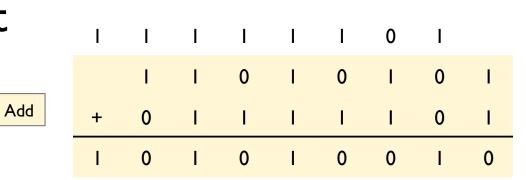
- Q. Can we achieve time $O(n \log n)$?
- A. Yes. Don't sort points from scratch each time.
 Sort by x at top level only.
 Each recursive call returns δ and list of all points sorted by y
 Sort by merging two pre-sorted lists.

 $T(n) \le 2T(n/2) + O(n) \implies T(n) = O(n \log n)$

Integer Multiplication

integer arithmetic

Add. Given two n-bit integers a and b, compute a + b.



O(n) bit operations.

integer arithmetic

0

0

I

0

L

0

L

+

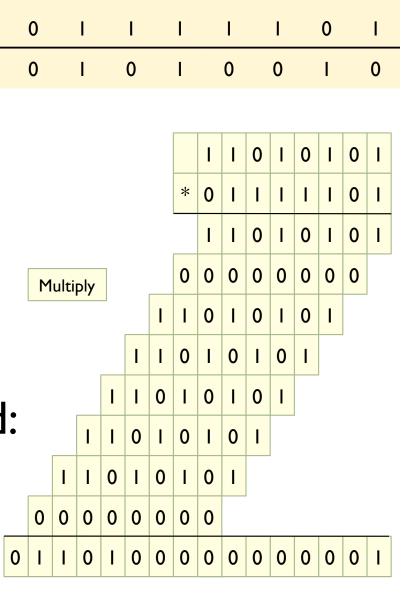
Т

Add. Given two n-bit integers a and b, Add

O(n) bit operations.

Multiply. Given two n-bit integers a and b, compute a × b. The "grade school" method:

 $\Theta(n^2)$ bit operations.

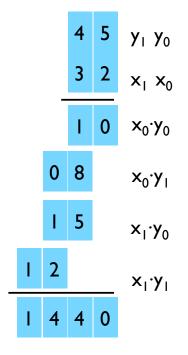


divide & conquer multiplication: warmup

To multiply two 2-digit integers: Multiply four I-digit integers. Add, shift some 2-digit integers to obtain result.

$$\begin{aligned} x &= 10 \cdot x_1 + x_0 \\ y &= 10 \cdot y_1 + y_0 \\ xy &= (10 \cdot x_1 + x_0) (10 \cdot y_1 + y_0) \\ &= 100 \cdot x_1 y_1 + 10 \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0 \end{aligned}$$

Same idea works for *long* integers – can split them into 4 half-sized ints



divide & conquer multiplication: warmup

To multiply two n-bit integers:

Multiply four $\frac{1}{2}$ n-bit integers.

Add two $\frac{1}{2}$ n-bit integers, and shift to obtain result.

$$\begin{array}{rcl} x & = & 2^{n/2} \cdot x_1 + x_0 \\ y & = & 2^{n/2} \cdot y_1 + y_0 \\ xy & = & \left(2^{n/2} \cdot x_1 + x_0\right) \left(2^{n/2} \cdot y_1 + y_0\right) \\ & = & 2^n \cdot x_1 y_1 + 2^{n/2} \cdot \left(x_1 y_0 + x_0 y_1\right) + x_0 y_0 \end{array} \begin{array}{c} 1 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ & * & 0 & 1 & 1 & 1 & 1 & 0 & 1 \\ & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ & & & & & & & & \\ \end{array}$$

assumes n is a power of 2

key trick: 2 multiplies for the price of 1:

$$x = 2^{n/2} \cdot x_1 + x_0$$

$$y = 2^{n/2} \cdot y_1 + y_0$$

$$xy = (2^{n/2} \cdot x_1 + x_0) (2^{n/2} \cdot y_1 + y_0)$$

$$= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0$$

Well, ok, 4 for 3 is
more accurate...

$$\beta = x_1 + x_0$$

$$\beta = y_1 + y_0$$

$$\alpha\beta = (x_1 + x_0) (y_1 + y_0)$$

$$= x_1 y_1 + (x_1 y_0 + x_0 y_1) + x_0 y_0$$

$$(x_1 y_0 + x_0 y_1) = \alpha\beta - x_1 y_1 - x_0 y_0$$

Karatsuba multiplication

To multiply two n-bit integers:

Add two $\frac{1}{2}$ n bit integers.

Multiply three $\frac{1}{2}n$ -bit integers.

Add, subtract, and shift 1/2n-bit integers to obtain result.

Theorem. [Karatsuba-Ofman, 1962] Can multiply two n-digit integers in $O(n^{1.585})$ bit operations.

$$T(n) \leq \underbrace{T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + T(1 + \lceil n/2 \rceil)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}}$$

Sloppy version : $T(n) \leq 3T(n/2) + O(n)$
 $\Rightarrow T(n) = O(n^{\log_2 3}) = O(n^{1.585})$

Naïve: $\Theta(n^2)$ Karatsuba: $\Theta(n^{1.59...})$

Amusing exercise: generalize Karatsuba to do 5 size n/3 subproblems $\rightarrow \Theta(n^{1.46...})$

Best known: $\Theta(n \log n \log \log n)$

"Fast Fourier Transform"

but mostly unused in practice (unless you need really big numbers - a billion digits of π , say)

High precision arithmetic IS important for crypto

Idea:

"Two halves are better than a whole"

- if the base algorithm has super-linear complexity.
- "If a little's good, then more's better" repeat above, recursively

Applications: Many.

Binary Search, Merge Sort, (Quicksort), Closest points, Integer multiply,...

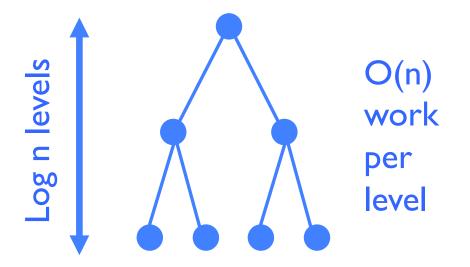
Recurrences

Above: Where they come from, how to find them

Next: how to solve them

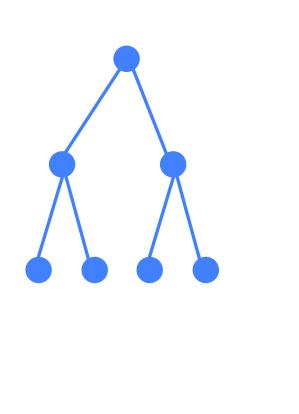
Mergesort: (recursively) sort 2 half-lists, then merge results.

```
T(n) = 2T(n/2)+cn, n \ge 2
T(I) = 0
Solution: \Theta(n \log n)
(details later)
```



now

Solve: T(1) = cT(n) = 2 T(n/2) + cn



 $n = 2^k; k = log_2 n$

Total Work: c n (I+log₂n)

(add last col) -

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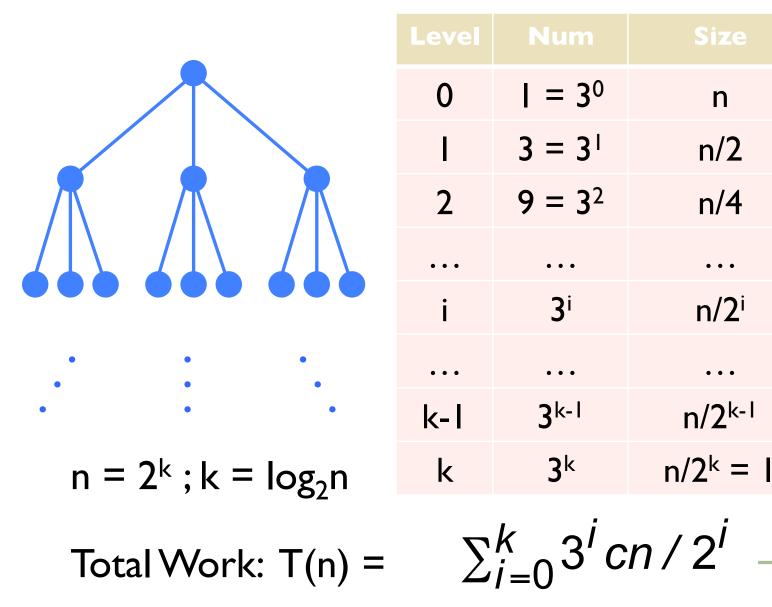
Level	Num	Size	Work
0	$I = 2^{0}$	n	cn
I	2 = 21	n/2	2cn/2
2	4 = 2 ²	n/4	4cn/4
• • •	•••	• • •	• • •
i	2 ⁱ	n/2 ⁱ	2 ⁱ c n/2 ⁱ
• • •	•••	• • •	• • •
k-l	2 ^{k-1}	n/2 ^{k-1}	2 ^{k-1} c n/2 ^{k-1}
k	2 ^k	$n/2^{k} = 1$	$2^{k}T(1)$
			1

Solve: T(1) = cT(n) = 4 T(n/2) + cn

 $n = 2^k; k = log_2 n$

	Level	Num	Size	Work
	0	= 4 ⁰	n	cn
	I	4 = 41	n/2	4cn/2
	2	$16 = 4^2$	n/4	16cn/4
	•••	• • •	• • •	• • •
	i	4 ⁱ	n/2 ⁱ	4 ⁱ c n/2 ⁱ
	•••	• • •	• • •	• • •
	k-l	4 ^{k-1}	n/2 ^{k-1}	4 ^{k-1} c n/2 ^{k-1}
$n = 2^{k}; k = \log_{2} n$	k	4 ^k	$n/2^{k} = 1$	$4^{k}T(1)$
Total Work: T(n) =	$\sum_{i=0}^{k} 2^{k}$	$4^i cn/2^i =$	$= O(n^2)$	$4^{k} = (2^{2})^{k} = (2^{k})^{2} = n^{2}$

Solve: T(1) = cT(n) = 3 T(n/2) + cn



n

n/2

n/4

cn

3cn/2

9cn/4

3ⁱ c n/2ⁱ

3^{k-1} c n/2^{k-1}

 $3^{k}T(1)$

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a useful identity

Theorem: $| + x + x^2 + x^3 + ... + x^k = (x^{k+1} - 1)/(x - 1)$ proof: $y = | + x + x^2 + x^3 + ... + x^k$ $xy = x + x^2 + x^3 + ... + x^k + x^{k+1}$ $xy-y = x^{k+1} - 1$ $y(x-1) = x^{k+1} - 1$ $y = (x^{k+1}-1)/(x-1)$

Solve: T(1) = cT(n) = 3 T(n/2) + cn (cont.)

$$\begin{aligned} f(n) &= \sum_{i=0}^{k} 3^{i} cn / 2^{i} \\ &= cn \sum_{i=0}^{k} 3^{i} / 2^{i} \\ &= cn \sum_{i=0}^{k} \left(\frac{3}{2}\right)^{i} \\ &= cn \frac{\left(\frac{3}{2}\right)^{k+1} - 1}{\left(\frac{3}{2}\right) - 1} \end{aligned}$$

 $\sum_{i=0}^{k} x^{i} =$ $\frac{x^{k+1}-1}{x-1}$

 $(x \neq 1)$

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Solve: T(1) = cT(n) = 3 T(n/2) + cn (cont.)

$$cn\frac{\left(\frac{3}{2}\right)^{k+1} - 1}{\left(\frac{3}{2}\right) - 1} = 2cn\left(\left(\frac{3}{2}\right)^{k+1} - 1\right)$$

$$< 2cn\left(\frac{3}{2}\right)^{k+1}$$

$$=3cn\left(\frac{3}{2}\right)^k$$

$$=3cn\frac{3^k}{2^k}$$

Solve: T(1) = cT(n) = 3 T(n/2) + cn (cont.)

$$3cn \frac{3^{k}}{2^{k}} = 3cn \frac{3^{\log_{2} n}}{2^{\log_{2} n}}$$

$$= 3cn \frac{3^{\log_{2} n}}{n}$$

$$= 3c3^{\log_{2} n}$$

$$= 3c(n^{\log_{2} 3})$$

$$= O(n^{1.59...})$$

$$a^{\log_{b} n}$$

$$= n^{\log_{b} n}$$

n

a

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 $T(n) = aT(n/b)+cn^{k}$ for n > b then

 $a > b^k \implies T(n) = \Theta(n^{\log_b a})$ [many subprobs \rightarrow leaves dominate]

 $a < b^k \implies T(n) = \Theta(n^k)$ [few subprobs \rightarrow top level dominates]

 $a = b^k \implies T(n) = \Theta(n^k \log n)$ [balanced \rightarrow all log n levels contribute]

Fine print:

a \geq I; b > I; c, d, k \geq 0; T(I) = d; n = b^t for some t > 0; a, b, k, t integers. True even if it is [n/b] instead of n/b. Expanding recurrence as in earlier examples, to get

 $T(n) = n^{g} (d + c S)$

where $g = \log_b(a)$ and $S = \sum_{j=1}^{\log_b n} x^j$, where $x = b^k/a$. If c = 0 the sum S is irrelevant, and $T(n) = O(n^g)$: all the work happens in the base cases, of which there are n^g , one for each leaf in the recursion tree.

If c > 0, then the sum matters, and splits into 3 cases (like previous slide):

- if x < 1, then S < x/(1-x) = O(1). [S is just the first log n terms of the infinite series with that sum].
- if x = I, then $S = \log_b(n) = O(\log n)$. [all terms in the sum are I and there are that many terms].

if
$$x > I$$
, then $S = x * (x^{1 + \log_b(n)} - I)/(x - I)$. After some algebra,
 $n^g * S = O(n^k)$

another d&c example: fast exponentiation

Power(a,n) Input: integer *n* and number *a* Output: *a*ⁿ

Obvious algorithm *n-1* multiplications

Observation:

if *n* is even, n = 2m, then $a^n = a^m \cdot a^m$

divide & conquer algorithm

Power(a,n) if n = 0 then return(1) if n = 1 then return(a) $x \leftarrow Power(a, \lfloor n/2 \rfloor)$ $x \leftarrow x \cdot x$ if n is odd then $x \leftarrow a \cdot x$ return(x) Let M(n) be number of multiplies Worst-case $M(n) = \begin{cases} 0 & n \le 1\\ M(\lfloor n/2 \rfloor) + 2 & n > 1 \end{cases}$

By master theorem

 $M(n) = O(\log n)$ (a=1, b=2, k=0)

More precise analysis:

 $M(n) = \lfloor \log_2 n \rfloor + (\# \text{ of } I's \text{ in } n's \text{ binary representation}) - I$ Time is O(M(n)) if numbers < word size, else also depends on length, multiply algorithm

a practical application - RSA

Instead of a^n want $a^n \mod N$

 $a^{i+j} \mod N = ((a^i \mod N) \cdot (a^j \mod N)) \mod N$ same algorithm applies with each $x \cdot y$ replaced by $((x \mod N) \cdot (y \mod N)) \mod N$

In RSA cryptosystem (widely used for security) need aⁿ mod N where a, n, N each typically have 1024 bits Power: at most 2048 multiplies of 1024 bit numbers relatively easy for modern machines Naive algorithm: 2¹⁰²⁴ multiplies

Idea:

"Two halves are better than a whole"

if the base algorithm has super-linear complexity.

"If a little's good, then more's better" repeat above, recursively

Analysis: recursion tree or Master Recurrence

Applications: Many.

Binary Search, Merge Sort, (Quicksort), Closest points, Integer multiply, exponentiation,...