

## CSE 421 Algorithms

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Winter 2009  
Lecture 1

## CSE 421 Course Introduction

- CSE 421, Introduction to Algorithms
  - MWF, 1:30-2:20 pm
  - EEB 037
- Instructor
  - Richard Anderson, [anderson@cs.washington.edu](mailto:anderson@cs.washington.edu)
  - Office hours:
    - CSE 582
    - Monday, 3:00-3:50 pm, Thursday, 11:00-11:50 am
- Teaching Assistant
  - Aeron Bryce, [paradoxa@cs.washington.edu](mailto:paradoxa@cs.washington.edu)
  - Office hours:
    - CSE 216
    - Monday, 12:30-1:20 pm, Tuesday, 12:30-1:20 pm

## Announcements

- It's on the web.
- Homework due Wednesdays
  - HW 1, Due January 14, 2009
  - It's on the web (or will be soon)
- Subscribe to the mailing list

## Text book

- Algorithm Design
- Jon Kleinberg, Eva Tardos
- Read Chapters 1 & 2
- Expected coverage:
  - Chapter 1 through 7



## Course Mechanics

- Homework
  - Due Wednesdays
  - About 5 problems + E.C.
  - Target: 1 week turnaround on grading
- Exams (In class)
  - Midterm, Monday, Feb 9 (probably)
  - Final, Monday, March 16, 2:30-4:20 pm
- Approximate grade weighting
  - HW: 50, MT: 15, Final: 35
- Course web
  - Slides, Handouts, Recorded Lectures from 2006

All of Computer Science is the  
Study of Algorithms

## How to study algorithms

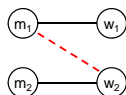
- Zoology
- Mine is faster than yours is
- Algorithmic ideas
  - Where algorithms apply
  - What makes an algorithm work
  - Algorithmic thinking

## Introductory Problem: Stable Matching

- Setting:
  - Assign TAs to Instructors
  - Avoid having TAs and Instructors wanting changes
    - E.g., Prof A. would rather have student X than her current TA, and student X would rather work for Prof A. than his current instructor.

## Formal notions

- Perfect matching
- Ranked preference lists
- Stability



## Example (1 of 3)

|                |             |             |
|----------------|-------------|-------------|
| $m_1: w_1 w_2$ | $m_1 \circ$ | $\circ w_1$ |
| $m_2: w_2 w_1$ |             |             |
| $w_1: m_1 m_2$ |             |             |
| $w_2: m_2 m_1$ | $m_2 \circ$ | $\circ w_2$ |

## Example (2 of 3)

|                |             |             |
|----------------|-------------|-------------|
| $m_1: w_1 w_2$ | $m_1 \circ$ | $\circ w_1$ |
| $m_2: w_1 w_2$ |             |             |
| $w_1: m_1 m_2$ |             |             |
| $w_2: m_1 m_2$ | $m_2 \circ$ | $\circ w_2$ |

## Example (3 of 3)

|                |             |             |
|----------------|-------------|-------------|
| $m_1: w_1 w_2$ | $m_1 \circ$ | $\circ w_1$ |
| $m_2: w_2 w_1$ |             |             |
| $w_1: m_2 m_1$ |             |             |
| $w_2: m_1 m_2$ | $m_2 \circ$ | $\circ w_2$ |

## Formal Problem

- Input
  - Preference lists for  $m_1, m_2, \dots, m_n$
  - Preference lists for  $w_1, w_2, \dots, w_n$
- Output
  - Perfect matching  $M$  satisfying stability property:

If  $(m', w') \in M$  and  $(m'', w'') \in M$  then  
 $(m'$  prefers  $w'$  to  $w'')$  or  $(w''$  prefers  $m''$  to  $m')$

## Idea for an Algorithm

$m$  proposes to  $w$

If  $w$  is unmatched,  $w$  accepts

If  $w$  is matched to  $m_2$

If  $w$  prefers  $m$  to  $m_2$   $w$  accepts  $m$ , dumping  $m_2$

If  $w$  prefers  $m_2$  to  $m$ ,  $w$  rejects  $m$

Unmatched  $m$  proposes to the highest  $w$  on its preference list **that it has not already proposed to**

## Algorithm

Initially all  $m$  in  $M$  and  $w$  in  $W$  are free

While there is a free  $m$

$w$  highest on  $m$ 's list that  $m$  has not proposed to

    if  $w$  is free, then match  $(m, w)$

    else

        suppose  $(m_2, w)$  is matched

        if  $w$  prefers  $m$  to  $m_2$

            unmatch  $(m_2, w)$

            match  $(m, w)$

## Example

$m_1: w_1 w_2 w_3$

$m_1 \circ$

$\circ w_1$

$m_2: w_1 w_3 w_2$

$m_2 \circ$

$\circ w_2$

$m_3: w_1 w_2 w_3$

$m_3 \circ$

$\circ w_3$

$w_1: m_2 m_3 m_1$

$w_2: m_3 m_1 m_2$

$w_3: m_3 m_1 m_2$

## Does this work?

- Does it terminate?
- Is the result a stable matching?
- Begin by identifying invariants and measures of progress
  - $m$ 's proposals get worse (have higher  $m$ -rank)
  - Once  $w$  is matched,  $w$  stays matched
  - $w$ 's partners get better (have lower  $w$ -rank)

Claim: The algorithm stops in at most  $n^2$  steps

When the algorithm halts, every  $w$  is matched

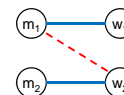
Why?

Hence, the algorithm finds a perfect matching

The resulting matching is stable

Suppose

$(m_1, w_1) \in M, (m_2, w_2) \in M$   
 $m_1$  prefers  $w_2$  to  $w_1$



How could this happen?

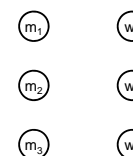
## Result

- Simple,  $O(n^2)$  algorithm to compute a stable matching
- Corollary
  - A stable matching always exists

## A closer look

Stable matchings are not necessarily fair

$m_1$ :  $w_1 \ w_2 \ w_3$   
 $m_2$ :  $w_2 \ w_3 \ w_1$   
 $m_3$ :  $w_3 \ w_1 \ w_2$   
  
 $w_1$ :  $m_2 \ m_3 \ m_1$   
 $w_2$ :  $m_3 \ m_1 \ m_2$   
 $w_3$ :  $m_1 \ m_2 \ m_3$



How many stable matchings can you find?

## Algorithm under specified

- Many different ways of picking  $m$ 's to propose
- Surprising result
  - All orderings of picking free  $m$ 's give the same result
- Proving this type of result
  - Reordering argument
  - Prove algorithm is computing something more specific
    - Show property of the solution – so it computes a specific stable matching

Proposal Algorithm finds the **best possible** solution for  $M$

Formalize the notion of best possible solution:

$(m, w)$  is **valid** if  $(m, w)$  is in some stable matching

**best( $m$ )**: the highest ranked  $w$  for  $m$  such that  $(m, w)$  is valid

$S^* = \{(m, \text{best}(m))\}$

Every execution of the proposal algorithm computes  $S^*$

## Proof

See the text book – pages 9 – 12

Related result: Proposal algorithm is the worst case for W

Algorithm is the M-optimal algorithm

Proposal algorithms where w's propose is W-Optimal

## Best choices for one side may be bad for the other

Design a configuration for problem of size 4:

M proposal algorithm:

All m's get first choice, all w's get last choice

W proposal algorithm:

All w's get first choice, all m's get last choice

$m_1$ :

$m_2$ :

$m_3$ :

$m_4$ :

$w_1$ :

$w_2$ :

$w_3$ :

$w_4$ :

## But there is a stable second choice

Design a configuration for problem of size 4:

M proposal algorithm:

All m's get first choice, all w's get last choice

W proposal algorithm:

All w's get first choice, all m's get last choice

There is a stable matching where everyone gets their second choice

$m_1$ :

$m_2$ :

$m_3$ :

$m_4$ :

$w_1$ :

$w_2$ :

$w_3$ :

$w_4$ :

## Key ideas

- Formalizing real world problem
  - Model: graph and preference lists
  - Mechanism: stability condition
- Specification of algorithm with a natural operation
  - Proposal
- Establishing termination of process through invariants and progress measure
- Under specification of algorithm
- Establishing uniqueness of solution