

# CSE 42I: Intro Algorithms

Summer 2007  
W. L. Ruzzo  
Dynamic Programming, I  
Fibonacci & Stamps

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# Dynamic Programming

## Outline:

- General Principles
- Easy Examples – Fibonacci, Licking Stamps
- Meatier examples
  - RNA Structure prediction
  - Weighted interval scheduling
  - Maybe others

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# Some Algorithm Design Techniques, I

## General overall idea

Reduce solving a problem to a smaller problem or problems of the same type

## Greedy algorithms

Used when one needs to build something a piece at a time

Repeatedly make the *greedy* choice - the one that looks the best right away

e.g. closest pair in TSP search

Usually fast if they work (but often don't)

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# Some Algorithm Design Techniques, II

## Divide & Conquer

Reduce problem to one or more sub-problems of the same type

Typically, each sub-problem is at most a constant fraction of the size of the original problem

e.g. Mergesort, Binary Search, Strassen's Algorithm, Quicksort (kind of)

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## Some Algorithm Design Techniques, III

### Dynamic Programming

Give a solution of a problem using smaller sub-problems, e.g. a recursive solution

Useful when the same sub-problems show up again and again in the solution

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## “Dynamic Programming”

Program — A plan or procedure for dealing with some matter

– Webster’s New World Dictionary

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### Dynamic Programming History

Bellman. Pioneered the systematic study of dynamic programming in the 1950s.

#### Etymology.

- Dynamic programming = planning over time.
- Secretary of Defense was hostile to mathematical research.
- Bellman sought an impressive name to avoid confrontation.
  - "it's impossible to use dynamic in a pejorative sense"
  - "something not even a Congressman could object to"

Reference: Bellman, R. E. *Eye of the Hurricane, An Autobiography*.

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## A very simple case: Computing Fibonacci Numbers

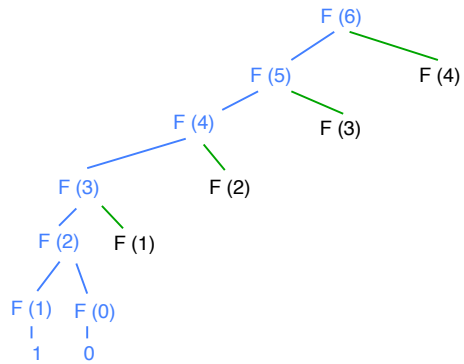
Recall  $F_n = F_{n-1} + F_{n-2}$  and  $F_0 = 0, F_1 = 1$

Recursive algorithm:

```
Fibo(n)
  if n=0 then return(0)
  else if n=1 then return(1)
  else return(Fibo(n-1)+Fibo(n-2))
```

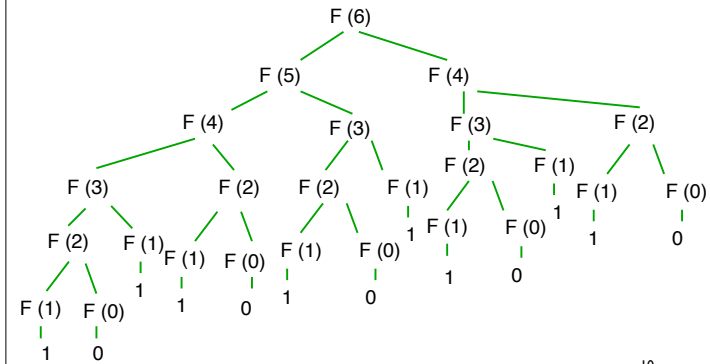
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## Call tree - start



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## Full call tree



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## Memo-ization (Caching)

Remember all values from previous recursive calls

Before recursive call, test to see if value has already been computed

Dynamic Programming

NOT memoized; instead, convert memoized alg from a recursive one to an iterative one (top-down → bottom-up)

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## Fibonacci - Memoized Version

initialize:  $F[i] \leftarrow$  undefined for all  $i$

$F[0] \leftarrow 0$

$F[1] \leftarrow 1$

FiboMemo(n):

if( $F[n]$  undefined) {

$F[n] \leftarrow$  FiboMemo(n-2)+FiboMemo(n-1)

}

return( $F[n]$ )

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## Fibonacci - Dynamic Programming Version

```
FiboDP(n):  
  F[0] ← 0  
  F[1] ← 1  
  for i=2 to n do  
    F[i] ← F[i-1]+F[i-2]  
  endfor  
  return(F[n])
```

For this problem,  
keeping only last  
2 entries instead  
of full array  
suffices, but about  
the same speed

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## Dynamic Programming

### Useful when

- Same recursive sub-problems occur repeatedly
- Parameters of these recursive calls anticipated
- The solution to whole problem can be solved without knowing the *internal* details of how the sub-problems are solved
- “principle of optimality”

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## Making change

### Given:

- Large supply of 1¢, 5¢, 10¢, 25¢, 50¢ coins
- An amount N

Problem: choose fewest coins totaling N

### Cashier's (greedy) algorithm works:

- Give as many as possible of the next biggest denomination

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## Licking Stamps

### Given:

- Large supply of 5¢, 4¢, and 1¢ stamps
- An amount N

Problem: choose fewest stamps totaling N

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## How to Lick 27¢

# of 5¢ stamps	# of 4¢ stamps	# of 1¢ stamps	total number
5	0	2	7
4	1	3	8
3	3	0	6

Morals: Greed doesn't pay; success of "cashier's alg" depends on coin denominations

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## A Simple Algorithm

At most N stamps needed, etc.

```

for a = 0, ..., N {
  for b = 0, ..., N {
    for c = 0, ..., N {
      if (5a+4b+c == N && a+b+c is new min)
        {retain (a,b,c);}}
    output retained triple;
  }
}
    
```

Time:  $O(N^3)$

(Not too hard to see some optimizations, but we're after bigger fish...)

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## Better Idea

**Theorem:** If last stamp in an opt sol has value  $v$ , then previous stamps are opt sol for  $N-v$ .

**Proof:** if not, we could improve the solution for  $N$  by using opt for  $N-v$ .

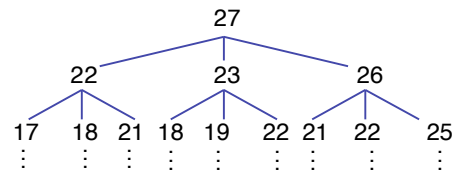
**Alg:** for  $i = 1$  to  $n$ :

$$M(i) = \min \begin{cases} 0 & i=0 \\ 1+M(i-5) & i \geq 5 \\ 1+M(i-4) & i \geq 4 \\ 1+M(i-1) & i \geq 1 \end{cases} \quad \text{where } M(i) = \text{min number of stamps totaling } i\text{¢}$$

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## New Idea: Recursion

$$M(i) = \min \begin{cases} 0 & i=0 \\ 1+M(i-5) & i \geq 5 \\ 1+M(i-4) & i \geq 4 \\ 1+M(i-1) & i \geq 1 \end{cases}$$



Time:  $> 3^{N/5}$

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## Another New Idea: Avoid Recomputation

Tabulate values of solved subproblems

Top-down: "memoization"

Bottom up:

$$\text{for } i = 0, \dots, N \text{ do } M[i] = \min \begin{cases} 0 & i=0 \\ 1+M[i-5] & i \geq 5 \\ 1+M[i-4] & i \geq 4 \\ 1+M[i-1] & i \geq 1 \end{cases};$$

Time:  $O(N)$

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## Finding How Many Stamps

i	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
M(i)	0	1	2	3	1	1	2	3	2						

$$1 + \text{Min}(3, 1, 3) = 2$$

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## Finding Which Stamps: Trace-Back

i	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
M(i)	0	1	2	3	1	1	2	3	2						

$$1 + \text{Min}(3, \underline{1}, 3) = \underline{2}$$

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## Trace-Back

Way 1: tabulate all

add data structure storing back-pointers indicating which predecessor gave the min. (more space, maybe less time)

Way 2: re-compute just what's needed

```
TraceBack(i):
  if i == 0 then return;
  for d in {1, 4, 5} do
    if M[i] == 1 + M[i - d] then break;
  print d;
  TraceBack(i - d);
```

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## Complexity Note

$O(N)$  is better than  $O(N^3)$  or  $O(3^{N/5})$

But still *exponential* in input size  
(log N bits)

(E.g., miserable if N is 64 bits –  $c \cdot 2^{64}$  steps &  $2^{64}$  memory.)

Note: can do in  $O(1)$  for 5¢, 4¢, and 1¢ but not in general. See “NP-Completeness” later.

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## Elements of Dynamic Programming

What feature did we use?

What should we look for to use again?

### “Optimal Substructure”

Optimal solution contains optimal subproblems  
A non-example: min (number of stamps mod 2)

### “Repeated Subproblems”

The same subproblems arise in various ways

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