

# CSE 421: Introduction to Algorithms

## Complexity and Representative Problems

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1

## Measuring efficiency: The RAM model

- RAM = Random Access Machine
- Time  $\approx$  # of instructions executed in an ideal assembly language
  - each simple operation (+, \*, -, =, if, call) takes one time step
  - each memory access takes one time step

2

## Complexity analysis

- Problem size  $N$ 
  - Worst-case complexity:** max # steps algorithm takes on any input of size  $N$
  - Best-case complexity:** min # steps algorithm takes on any input of size  $N$
  - Average-case complexity:** avg # steps algorithm takes on inputs of size  $N$

3

## Stable Matching

- Problem size
  - $N=2n^2$  words
    - $2n$  people each with a preference list of length  $n$
  - $2n^2 \log n$  bits
    - specifying an ordering for each preference list takes  $n \log n$  bits
- Brute force algorithm
  - Try all  $n!$  possible matchings
- Gale-Shapley Algorithm
  - $n^2$  iterations, each costing constant time
    - For each man an array listing the women in preference order
    - For each woman an array listing the preferences indexed by the names of the men

4

## Complexity

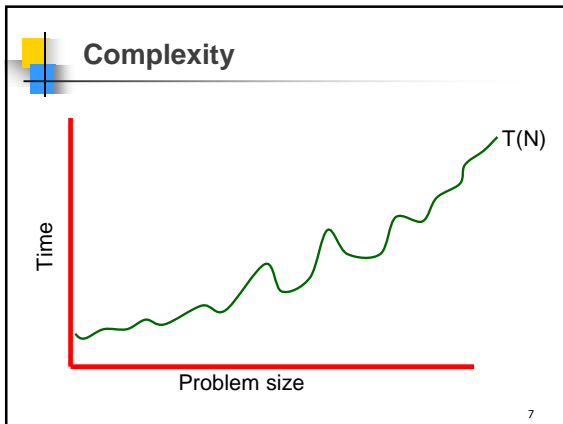
- The complexity of an algorithm associates a number  $T(N)$ , the best/worst/average-case time the algorithm takes, with each problem size  $N$ .
- Mathematically,
  - $T$  is a function that maps positive integers giving problem size to positive real numbers giving number of steps.

5

## Efficient = Polynomial Time

- Polynomial time
  - Running time  $T(N) \leq cN^k + d$  for some  $c, d, k > 0$
- Why polynomial time?
  - If problem size grows by at most a constant factor then so does the running time
    - E.g.  $T(2N) \leq c(2N)^k + d \leq 2^k(cN^k + d)$
    - Polynomial-time is exactly the set of running times that have this property
  - Typical running times are small degree polynomials, mostly less than  $N^3$ , at worst  $N^6$ , not  $N^{100}$

6

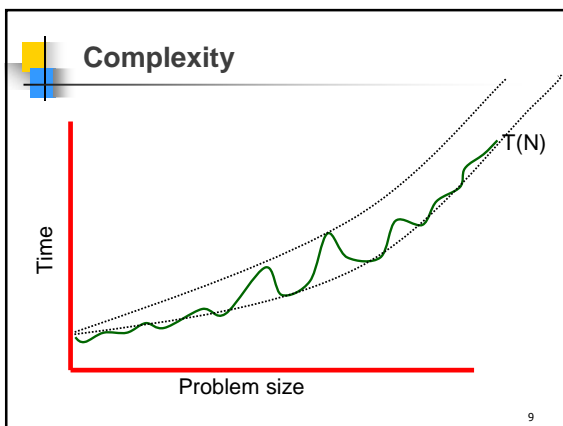


### O-notation etc

- Given two positive functions  $f$  and  $g$ 
  - $f(N)$  is  $O(g(N))$  iff there is a constant  $c > 0$  so that  $f(N)$  is eventually always  $\leq c g(N)$
  - $f(N)$  is  $o(g(N))$  iff the ratio  $f(N)/g(N)$  goes to 0 as  $N$  gets large
  - $f(N)$  is  $\Omega(g(N))$  iff there is a constant  $\epsilon > 0$  so that  $f(N)$  is  $\geq \epsilon g(N)$  for infinitely many values of  $N$
  - $f(N)$  is  $\Theta(g(N))$  iff  $f(N)$  is  $O(g(N))$  and  $f(N)$  is  $\Omega(g(N))$

Note: The definition of  $\Omega$  is the same as " $f(N)$  is **not**  $o(g(N))$ "

8



### 5 Representative Problems

- Interval Scheduling
  - Single resource
  - Reservation requests
    - Of form "Can I reserve it from start time  $s$  to finish time  $f$ ?"
    - $s < f$
    - Find: maximum number of requests that can be scheduled so that no two reservations have the resource at the same time

10

### Interval scheduling

- Formally
  - Requests  $1, 2, \dots, n$ 
    - request  $i$  has start time  $s_i$  and finish time  $f_i > s_i$
  - Requests  $i$  and  $j$  are compatible iff either
    - request  $i$  is for a time entirely before request  $j$ 
      - $f_i \leq s_j$
    - or, request  $j$  is for a time entirely before request  $i$ 
      - $f_j \leq s_i$
  - Set  $A$  of requests is compatible iff every pair of requests  $i, j \in A, i \neq j$  is compatible
  - Goal: Find maximum size subset  $A$  of compatible requests

11

### Interval Scheduling

- We shall see that an optimal solution can be found using a "greedy algorithm"
  - Myopic kind of algorithm that seems to have no look-ahead
  - These algorithms only work when the problem has a special kind of structure
  - When they do work they are typically very efficient

12

### Weighted Interval Scheduling

- Same problem as interval scheduling except that each request  $i$  also has an associated value or weight  $w_i$ 
  - $w_i$  might be
    - amount of money we get from renting out the resource for that time period
    - amount of time the resource is being used
- Goal: Find compatible subset  $A$  of requests with maximum total weight

13

### Weighted Interval Scheduling

- Ordinary interval scheduling is a special case of this problem
  - Take all  $w_i = 1$
- Problem is quite different though
  - E.g. one weight might dwarf all others
  - "Greedy algorithms" don't work
- Solution: "Dynamic Programming"
  - builds up optimal solutions from smaller problems using a compact table to store them

14

### Bipartite Matching

- A graph  $G=(V,E)$  is bipartite iff
  - $V$  consists of two disjoint pieces  $X$  and  $Y$  such that every edge  $e$  in  $E$  is of the form  $(x,y)$  where  $x \in X$  and  $y \in Y$
  - Similar to stable matching situation but in that case all possible edges were present
- $M \subseteq E$  is a matching in  $G$  iff no two edges in  $M$  share a vertex
- Goal: Find a matching  $M$  in  $G$  of maximum possible size

15

### Bipartite Matching

- Models assignment problems
  - $X$  represents jobs,  $Y$  represents machines
  - $X$  represents professors,  $Y$  represents courses
- If  $|X|=|Y|=n$ 
  - $G$  has perfect matching iff maximum matching has size  $n$
- Solution: polynomial-time algorithm using "augmentation" technique
  - also used for solving more general class of network flow problems

16

### Independent Set

- Given a graph  $G=(V,E)$ 
  - A set  $I \subseteq V$  is independent iff no two nodes in  $I$  are joined by an edge
- Goal: Find an independent subset  $I$  in  $G$  of maximum possible size
- Models conflicts and mutual exclusion

17

### Independent Set

- Generalizes
  - Interval Scheduling
    - Vertices in the graph are the requests
    - Vertices are joined by an edge if they are **not** compatible
  - Bipartite Matching
    - Given bipartite graph  $G=(V,E)$  create new graph  $G'=(V',E')$  where
      - $V'=E$
      - Two elements of  $V'$  (which are edges in  $G$ ) are joined if they share an endpoint in  $G$

18

### Bipartite Matching vs Independent Set

$G=(U\cup V,E)$

$G'=(V',E')$

19

### Independent Set

- ⌘ No polynomial-time algorithm is known
  - ⌘ But to convince someone that there was a large independent set all you'd need to do is show it to them
    - ⌘ they can easily convince themselves that the set is large enough and independent
  - ⌘ Convincing someone that there isn't one seems much harder
- ⌘ We will show that Independent Set is NP-complete
  - ⌘ Class of all the hardest problems that have the property above

20

### Competitive Facility Location

- ⌘ Two players competing for market share in a geographic area
  - ⌘ e.g. McDonald's, Burger King
- ⌘ Rules:
  - ⌘ Region is divided into  $n$  zones,  $1, \dots, n$
  - ⌘ Each zone  $i$  has a value  $b_i$ 
    - ⌘ Revenue derived from opening franchise in that zone
  - ⌘ No adjacent zones may contain a franchise
    - ⌘ i.e., zoning regulations limit density
  - ⌘ Players alternate opening franchises
- ⌘ Find: Given a target total value  $B$  is there a strategy for the second player that always achieves  $\geq B$ ?

21

### Competitive Facility Location

- ⌘ Model geography by
  - ⌘ A graph  $G=(V,E)$  where
    - ⌘  $V$  is the set  $\{1, \dots, n\}$  of zones
    - ⌘  $E$  is the set of pairs  $(i,j)$  such that  $i$  and  $j$  are adjacent zones
- ⌘ Observe:
  - ⌘ The set of zones with franchises will form an independent set in  $G$

22

### Competitive Facility Location

10 1 5 15 5 1 5 1 15 10

Target  $B = 20$  achievable ?

What about  $B = 25$  ?

23

### Competitive Facility Location

- ⌘ Checking that a strategy is good seems hard
  - ⌘ You'd have to worry about all possible responses at each round!
    - ⌘ a giant search tree of possibilities
- ⌘ Problem is PSPACE-complete
  - ⌘ Likely strictly harder than NP-complete problems
  - ⌘ PSPACE-complete problems include
    - ⌘ Game-playing problems such as  $n \times n$  chess and checkers
    - ⌘ Logic problems such as whether quantified boolean expressions are always true
    - ⌘ Verification problems for finite automata

24