

# Minimum Cost Spanning Trees

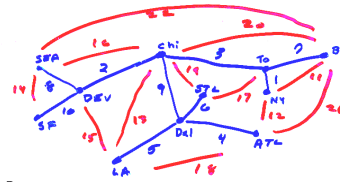
Kruskal's Algorithm:

Another Example of the Greedy Method

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# Min Cost Spanning Tree

- Given: Undirected graph  $G = (V, E)$  & positive edge cost/weight  $c(e) \in \mathbb{R}$  for each  $e \in E$ .
- Find: connected  $T \subseteq E$  minimizing  $\sum_{e \in T} c(e)$



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# Applications

- Broadcast tree in a network
- Building roads or power lines
- Routing power & ground on a PC board
- Clustering
- ...

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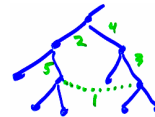
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# Lemma 1: Trees and Cycles



Adding an edge to a tree creates a cycle; deleting any cycle edge gives a tree

- Corollary 1: Solution to MST is a tree
- Corollary 2: Cheapest edge in  $E$  is in some MST  $T$
- Exercises:
  - 2<sup>nd</sup>-cheapest edge also in  $T$
  - 3<sup>rd</sup>-cheapest?



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# Kruskal's Algorithm

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sort edges
K := ∅
while |K| < n-1 do
    e := next cheapest edge
    if K ∪ {e} is acyclic
        then K := K ∪ {e}
    else discard e
    
```

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# Correctness




Theorem: Kruskal's algorithm builds an MST  
Proof:

- Suppose Kruskal picks the tree  $K$
- Suppose MST  $M$  maximizes  $|K \cap M|$  among all MSTs
- For sake of contradiction, suppose  $K \neq M$
- Let  $e$  be the cheapest edge in  $K - M$
- Then...

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## Claim



$M \cup \{e\}$  has a cycle containing an edge  $f$  s.t.


- (1)  $f \notin K$ , and
- (2)  $c(f) \geq c(e)$

Proof:

- (1) If all the cycle edges were in  $K$ , then  $K$  wouldn't be a tree.
- (2) If  $c(f) < c(e)$ , **greedy looked at  $f$  before  $e$** .  
But  $\{e' \in K \mid c(e') < c(e)\} \cup \{f\} \subseteq M$ ,  
hence **acyclic**, so  $f$  would have been picked, but it wasn't.

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## Correctness (cont.)



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Proof:

- Suppose Kruskal picks the tree  $K$
- Suppose MST  $M$  maximizes  $|K \cap M|$  among all MSTs
- For sake of contradiction, suppose  $K \neq M$
- Let  $e$  be the cheapest edge in  $K - M$
- From claim,  $\exists f$  in cycle s.t.  $f \notin K$ ,  $c(f) \geq c(e)$
- Let  $M' = (M \cup \{e\}) - \{f\}$
- Then  $M'$  is an MST with  $|K \cap M'| > |K \cap M|$ .  
Contradiction. QED

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## Implementation

Testing "if  $K \cup \{e\}$  is acyclic":

Union/Find problem

- Linear space
- Time  $n \alpha(n)$
- $\alpha(n) < 5$  for all  $n <$  age of universe

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