

Lecture17

CSE 417

Algorithms and Complexity

Autumn 2024
Lecture 17
Divide and Conquer

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Announcements

- HW 6 available, due Friday
- Schedule
 - Today – sorting and divide and conquer
 - Wednesday – more divide and conquer
 - Friday – dynamic programming

Divide and Conquer for Sorting

- Merge Sort

```
Array MSort(Array a, int n){
```

```
    if (n ≤ 1) return a;
```

```
    return Merge(MSort(a[0 .. n/2], n/2), MSort(a[n/2+1 .. n-1], n/2);
```

```
}
```

- $T(n) = 2T(n/2) + n; T(1) = 1;$

Quicksort [Tony Hoare, 1959]

QuickSort(S):

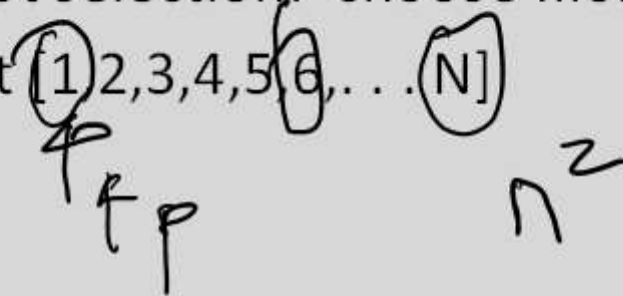
1. Pick an element v in S . This is the *pivot* value.
2. Partition $S - \{v\}$ into two disjoint subsets, S_1 and S_2 such that:
 - elements in S_1 are all $< v$
 - elements in S_2 are all $> v$
3. Return concatenation of QuickSort(S_1), v , QuickSort(S_2)

Recursion ends if Quicksort() receives an array of length 0 or 1.

Quicksort – worst case

- Pivot selection: choose first element

• Sort $[1, 2, 3, 4, 5, 6, \dots, N]$



Quicksort - pragmatics

- Pivot selection rules
 - Median of first, middle, and last
 - Choose random element
- In place implementation
- Algorithm engineering for partitioning
- Recursion cutoff for small problems

Average case analysis for Quicksort

- All inputs equally likely
 - Or random elements used for pivot
 - Or input is randomly shuffled
- $QS(n)$ = average number of comparisons for Quicksort on input of size n .

Building a recurrence

Pivot chosen at random. The chance of having i elements less than the pivot is $1/n$.

$$T(n) = (n - 1) + \frac{1}{n} \sum_{i=0}^{n-1} (T(i) + T(n - i - 1)).$$

$$T(n) = (n - 1) + \frac{2}{n} \sum_{i=1}^{n-1} T(i)$$

Solution: $T(N) \approx 2 n \ln n$

Computing the Median

- Given n numbers, find the number of rank $n/2$
- One approach is sorting
 - Sort the elements, and choose the middle one
 - Can you do better?

Problem generalization

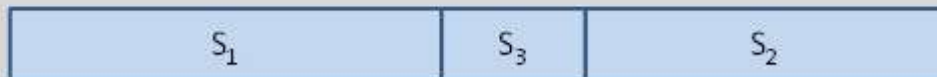
- *Selection*, given n numbers and an integer k , find the k -th largest

Select(A, k)

```

Select(A, k){
  Choose element  $x$  from A
   $S_1 = \{y \text{ in } A \mid y \leq x\}$ 
   $S_2 = \{y \text{ in } A \mid y > x\}$ 
   $S_3 = \{y \text{ in } A \mid y = x\}$ 
  if ( $|S_2| \geq k$ )
    return Select( $S_2$ , k)
  else if ( $|S_2| + |S_3| \geq k$ )
    return x
  else
    return Select( $S_1$ ,  $k - |S_2| - |S_3|$ )
}

```



Randomized Selection

- Choose the element at random
- Analysis can show that the algorithm has expected run time $O(n)$

Deterministic Selection

- What is the run time of select if we can guarantee that choose finds an x such that $|S_1| < 3n/4$ and $|S_2| < 3n/4$ in $O(n)$ time

$$\begin{aligned}
 T(n) &= T\left(\frac{3}{4}n\right) + n = T\left(\frac{3}{4}n\right) + \frac{3}{4}n + n \\
 &= \sum_{i=1}^k \left(\frac{3}{4}\right)^i \leq n \sum_{i=1}^{\infty} \left(\frac{3}{4}\right)^i = \frac{4n}{1}
 \end{aligned}$$

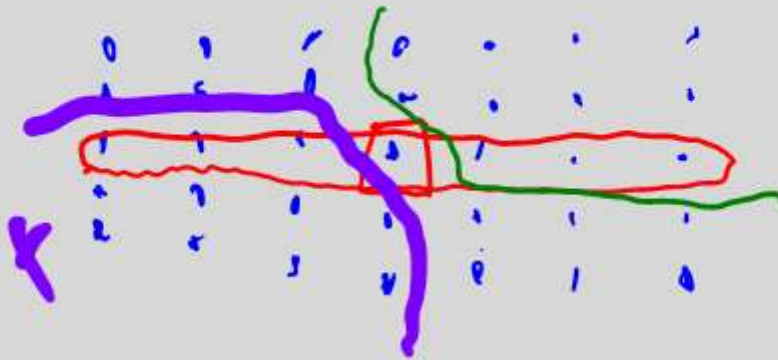
BFPRT Algorithm

- A very clever choose algorithm . . .

Split into $n/5$ sets of size 5

M be the set of medians of these sets

Let x be the median of M



1986



1995



1978



2002



2002

BFPRT runtime

$$|S_1| < 3n/4, |S_2| < 3n/4$$

Split into $n/5$ sets of size 5

M be the set of medians of these sets

x be the median of M

Construct S_1 and S_2

Recursive call in S_1 or S_2

$$T(n) \leq T\left(\frac{3}{4}n\right) + T\left(\frac{n}{5}\right) + cn$$

$O(n)$

$O(n)$

$T\left(\frac{n}{5}\right)$

$O(n)$

$T\left(\frac{3}{4}n\right)$

BFPRT Recurrence

$$T(n) \leq T(3n/4) + T(n/5) + c n$$

Suppose $T(k) \leq 2ck$ for $k \leq n$

$$\begin{aligned} T(n) &\leq 20c \frac{3n}{4} + 20c \frac{n}{5} + cn \\ &= 15cn + 4cn + cn \\ &= 20cn \end{aligned}$$

Prove that $T(n) \leq 20 c n$

Inversion Problem

- Let a_1, \dots, a_n be a permutation of $1 \dots n$
- (a_i, a_j) is an inversion if $i < j$ and $a_i > a_j$

4, 6, 1, 7, 3, 2, 5

- Problem: given a permutation, count the number of inversions
- This can be done easily in $O(n^2)$ time
 - Can we do better?

Application

- Counting inversions can be use to measure how close ranked preferences are
 - People rank 20 movies, based on their rankings you cluster people who like that same type of movie

Counting Inversions

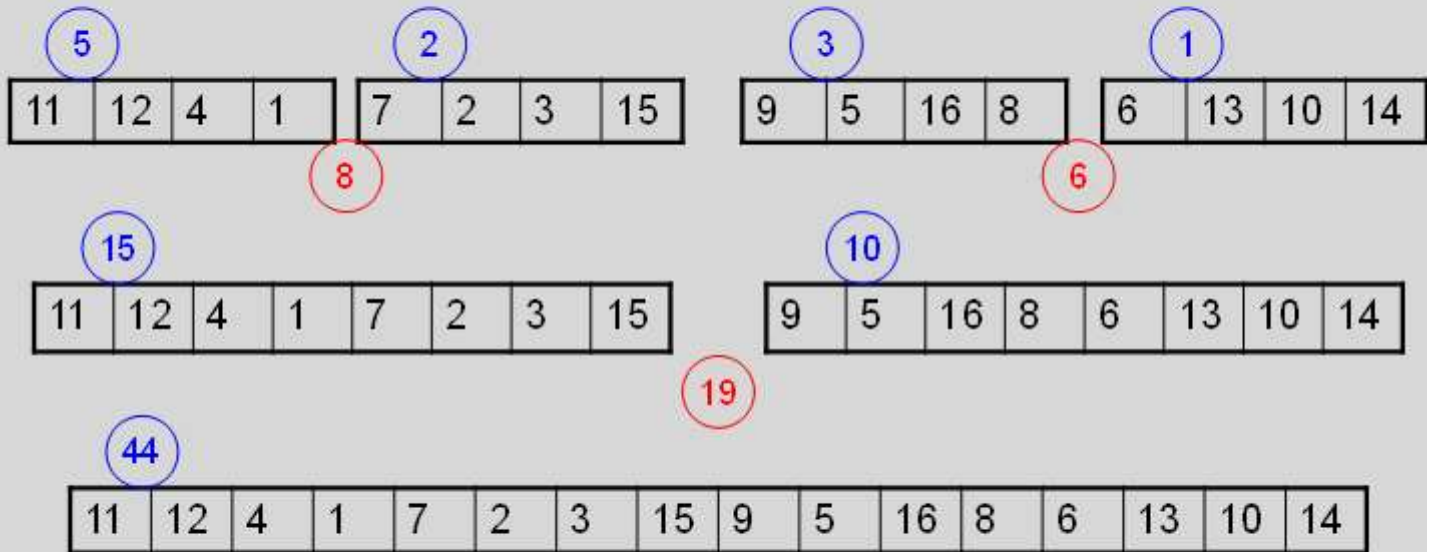
11	12	4	1	7	2	3	15	9	5	16	8	6	13	10	14
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Count inversions on lower half

Count inversions on upper half

Count the inversions between the halves

Count the Inversions



Problem – how do we count inversions between sub problems in $O(n)$ time?

- Solution – Count inversions while merging

1	2	3	4	7	11	12	15
---	---	---	---	---	----	----	----

5	6	8	9	10	13	14	16
---	---	---	---	----	----	----	----

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Standard merge algorithm – add to inversion count when an element is moved from the upper array to the solution

Use the merge algorithm to count inversions

1	4	11	12
---	---	----	----

2	3	7	15
---	---	---	----

--	--	--	--	--	--	--	--

5	8	9	16
---	---	---	----

6	10	13	14
---	----	----	----

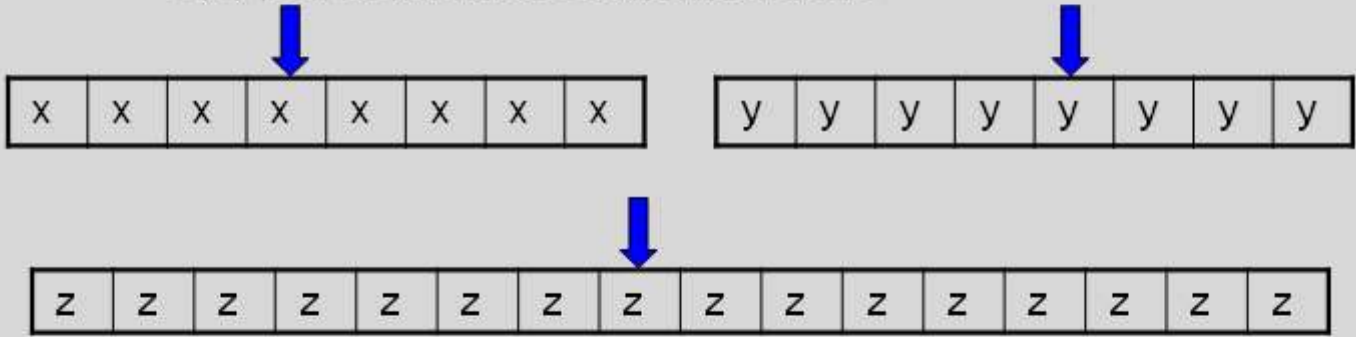
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Indicate the number of inversions for each element detected when merging

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Inversions

- Counting inversions between two sorted lists
 - $O(1)$ per element to count inversions



- Algorithm summary
 - Satisfies the “Standard recurrence”
 - $T(n) = 2 T(n/2) + cn$