

## Lecture02

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# CSE 417 Algorithms and Computational Complexity

Richard Anderson  
Autumn 2024  
Lecture 2

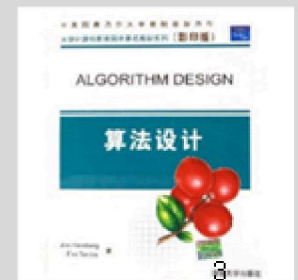
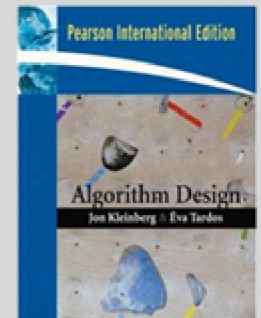
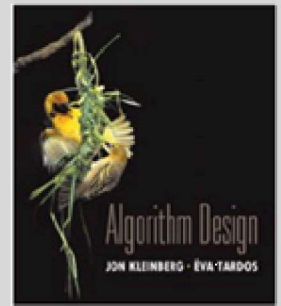
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# Announcements

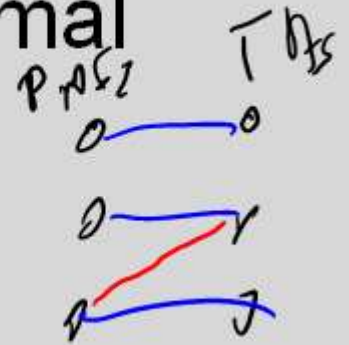
- **Course website**
  - <https://courses.cs.washington.edu/courses/cse417/24/au/>
- **Homework due Fridays**
  - HW 1, Due Friday, October 4, 11:59 pm
  - Submit solutions via gradescope
- **Class discussion through edstem discussion board**

# Course Mechanics

- Homework
  - Due Fridays
  - About 5 problems, sometimes programming
  - Programming – your choice of language
  - Target: 1 week turnaround on grading
- Exams – In class
  - Midterm – Friday, November 1
  - Final – Monday, December 9, 8:30-10:20 AM
- **Approximate** grade weighting
  - HW: 50, MT: 15, Final: 35
- Course web
  - Slides, Handouts
- Instructor Office hours (CSE2 344)
  - Monday 2-3 pm and Wednesday 3-4 pm



# Stable Matching: Formal Problem



- **Input**

- Preference lists for  $m_1, m_2, \dots, m_n$
- Preference lists for  $w_1, w_2, \dots, w_n$

- **Output**

- Perfect matching  $M$  satisfying stability property (e.g., no instabilities) :

For all  $m', m'', w', w''$

If  $(m', w') \in M$  and  $(m'', w'') \in M$  then

( $m'$  prefers  $w'$  to  $w''$ ) or ( $w''$  prefers  $m''$  to  $m'$ )

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# Idea for an Algorithm

$m$  proposes to  $w$

If  $w$  is unmatched,  $w$  accepts

If  $w$  is matched to  $m_2$

If  $w$  prefers  $m$  to  $m_2$ ,  $w$  accepts  $m$ , dumping  $m_2$

If  $w$  prefers  $m_2$  to  $m$ ,  $w$  rejects  $m$



Unmatched  $m$  proposes to the highest  $w$  on its preference list **that it has not already proposed to**

Queue  
Stack

# Algorithm



Initially all  $m$  in  $M$  and  $w$  in  $W$  are free

While there is a free  $m$

$w$  highest on  $m$ 's list that  $m$  has not proposed to  
if  $w$  is free, then match ( $m, w$ )

else

suppose ( $m_2, w$ ) is matched

if  $w$  prefers  $m$  to  $m_2$

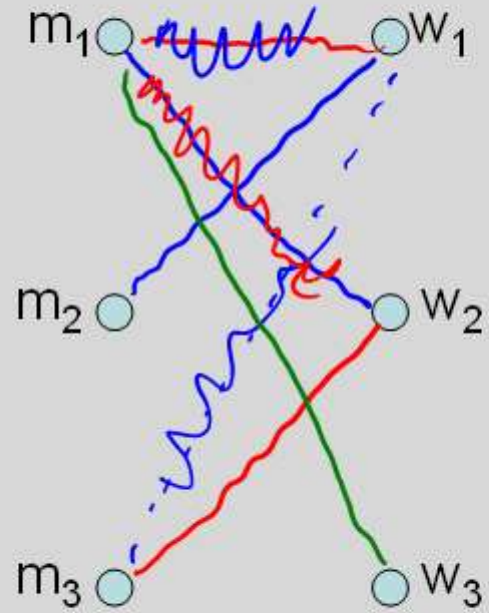
unmatch ( $m_2, w$ )

match ( $m, w$ )

# Example

$m_1$ :  $w_1$   $w_2$   $w_3$   
 $m_2$ :  $w_1$   $w_3$   $w_2$   
 $m_3$ :  $w_1$   $w_2$   $w_3$

$w_1$ :  $m_2$   $m_3$   $m_1$   
 $w_2$ :  $m_3$   $m_1$   $m_2$   
 $w_3$ :  $m_3$   $m_1$   $m_2$



# Example

$m_1: w_1 w_2 w_3$

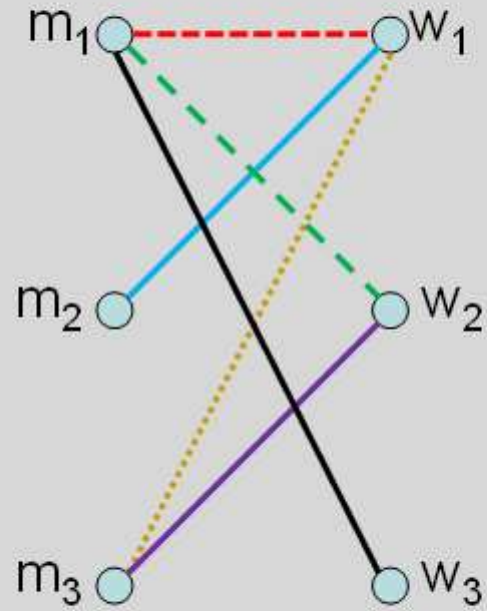
$m_2: w_1 w_3 w_2$

$m_3: w_1 w_2 w_3$

$w_1: m_2 m_3 m_1$

$w_2: m_3 m_1 m_2$

$w_3: m_3 m_1 m_2$



Order:  $m_1, m_2, m_3, m_1, m_3, m_1$



# Does this work?

- Does it terminate?
- Is the result a stable matching?
- **Begin by identifying invariants and measures of progress**
  - m's proposals get worse (have higher m-rank)
  - Once w is matched, w stays matched
  - w's partners get better (have lower w-rank)

**Claim: If an  $m$  reaches the end of its list, then all the  $w$ 's are matched**



**Claim: The algorithm stops in at most  $n^2$  steps**

When the algorithm halts, every  $w$   
is matched

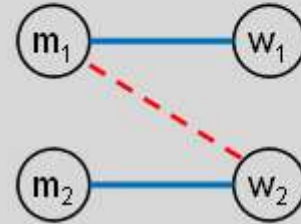
Hence, the algorithm finds a perfect  
matching

# The resulting matching is stable

Suppose

$(m_1, w_1) \in M, (m_2, w_2) \in M$

$m_1$  prefers  $w_2$  to  $w_1$



How could this happen?



# Result

- Simple,  $O(n^2)$  algorithm to compute a stable matching
- Corollary
  - A stable matching always exists

# A closer look

Stable matchings are not necessarily fair

$m_1$ :  $w_1$   $w_2$   $w_3$

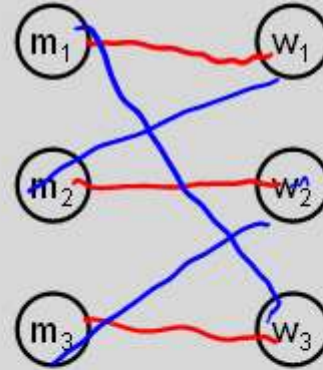
$m_2$ :  $w_2$   $w_3$   $w_1$

$m_3$ :  $w_3$   $w_1$   $w_2$

$w_1$ :  $m_2$   $m_3$   $m_1$

$w_2$ :  $m_3$   $m_1$   $m_2$

$w_3$ :  $m_1$   $m_2$   $m_3$



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How many stable matchings can you find?

# Algorithm under specified

- Many different ways of picking m's to propose
- Surprising result
  - All orderings of picking free m's give the same result
- Proving this type of result
  - Reordering argument
  - Prove algorithm is computing something more specific
    - Show property of the solution – so it computes a specific stable matching



# M-rank and W-rank of matching

- m-rank: position of matching  $w$  in preference list
- M-rank: sum of m-ranks
- w-rank: position of matching  $m$  in preference list
- W-rank: sum of w-ranks

$m_1: w_1 w_2 w_3$

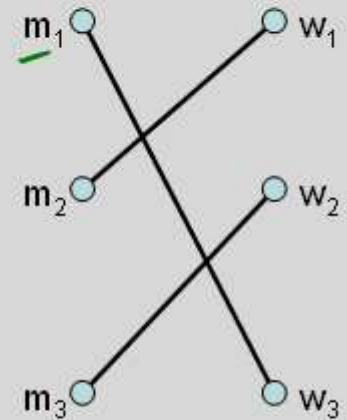
$m_2: w_1 w_3 w_2$

$m_3: w_1 w_2 w_3$

$w_1: m_2 m_3 m_1$

$w_2: m_3 m_1 m_2$

$w_3: m_3 m_1 m_2$



What is the M-rank?

$$3 + 1 + 2 = 6$$

What is the W-rank?

$$1 + 1 + 2 = 4$$

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# Suppose there are $n$ m's, and $n$ w's

- What is the minimum possible M-rank?
- What is the maximum possible M-rank?
- Suppose each m is matched with a random w, what is the expected M-rank?

 $n$  $n^2$  $\frac{n^2}{2}$

# Random Preferences

Suppose that the preferences are completely random

$m_1: w_8 w_3 w_1 w_5 w_9 w_2 w_4 w_6 w_7 w_{10}$

$m_2: w_7 w_{10} w_1 w_9 w_3 w_4 w_8 w_2 w_5 w_6$

...

$w_1: m_1 m_4 m_9 m_5 m_{10} m_3 m_2 m_6 m_8 m_7$

$w_2: m_5 m_8 m_1 m_3 m_2 m_7 m_9 m_{10} m_4 m_6$

...

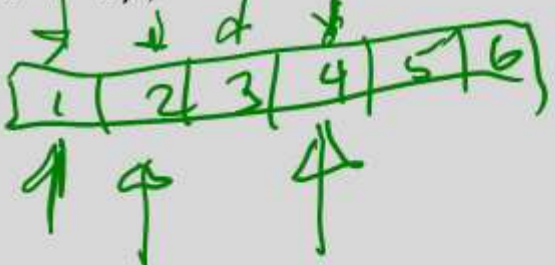
If there are  $n$   $m$ 's and  $n$   $w$ 's, what is the expected value of the  $M$ -rank and the  $W$ -rank when the proposal algorithm computes a stable matching?

# Stable Matching Algorithms

- **M Proposal Algorithm**
  - Iterate over all  $m$ 's until all are matched
- **W Proposal Algorithm**
  - Change the role of  $m$ 's and  $w$ 's
  - Iterate over all  $w$ 's until all are matched

# Generating a random permutation

```
public static int[] Permutation(int n, Random rand) {  
    int[] arr = IdentityPermutation(n);  
  
    for (int i = 1; i < n; i++) {  
        int j = rand.Next(0, i + 1);  
        int temp = arr[i];  
        arr[i] = arr[j];  
        arr[j] = temp;  
    }  
    return arr;  
}
```



# What is the run time of the Stable Matching Algorithm?

Initially all  $m$  in  $M$  and  $w$  in  $W$  are free

While there is a free  $m$  **Executed at most  $n^2$  times**

$w$  highest on  $m$ 's list that  $m$  has not proposed to  
    if  $w$  is free, then match ( $m, w$ )

    else

        suppose ( $m_2, w$ ) is matched

        if  $w$  prefers  $m$  to  $m_2$

            unmatch ( $m_2, w$ )

            match ( $m, w$ )

# $O(1)$ time per iteration

- Find free  $m$
- Find next available  $w$
- If  $w$  is matched, determine  $m_2$
- Test if  $w$  prefer  $m$  to  $m_2$
- Update matching

# What does it mean for an algorithm to be efficient?



# Key ideas

- Formalizing real world problem
  - Model: graph and preference lists
  - Mechanism: stability condition
- Specification of algorithm with a natural operation
  - Proposal
- Establishing termination of process through invariants and progress measure
- Under specification of algorithm
- Establishing uniqueness of solution