



# CSE 417 Algorithms and Complexity

Autumn 2020

Lecture 27

Network Flow Applications

NP-Completeness

## Announcements

- Homework 9
- Exam practice problems on course homepage
- Final Exam: Monday, December 14
  - 24 hour take home exam
  - Target: 2 to 4 hours of work time

Wed, Dec 2	Net Flow Applications
Fri, Dec 4	Net Flow Applications + NP-Completeness
Mon, Dec 7	NP-Completeness
Wed, Dec 9	NP-Completeness
Fri, Dec 11	Beyond NP-Completeness
Mon, Dec 14	Final Exam

## Problem Reduction

- Reduce Problem A to Problem B
  - Convert an instance of Problem A to an instance of Problem B
  - Use a solution of Problem B to get a solution to Problem A
- Practical
  - Use a program for Problem B to solve Problem A
- Theoretical
  - Show that Problem B is at least as hard as Problem A

## Minimum Cut Applications

- Image Segmentation
- Open Pit Mining / Task Selection Problem
- Reduction to Min Cut problem

$S, T$  is a cut if  $S, T$  is a partition of the vertices with  $s$  in  $S$  and  $t$  in  $T$

The capacity of an  $S, T$  cut is the sum of the capacities of all edges going from  $S$  to  $T$

## Image Segmentation

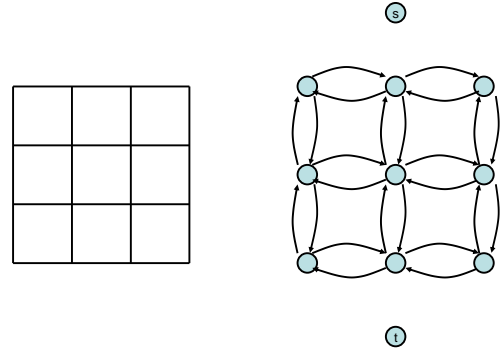
- Separate foreground from background



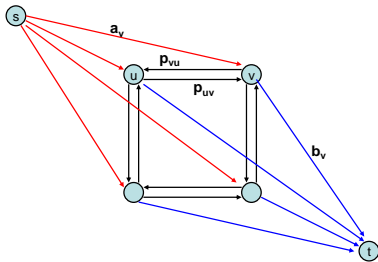
## Image analysis

- $a_i$ : value of assigning pixel  $i$  to the foreground
- $b_i$ : value of assigning pixel  $i$  to the background
- $p_{ij}$ : penalty for assigning  $i$  to the foreground,  $j$  to the background or vice versa
- $A$ : foreground,  $B$ : background
- $Q(A,B) = \sum_{(i \text{ in } A)} a_i + \sum_{(j \text{ in } B)} b_j - \sum_{((i,j) \text{ in } E, i \text{ in } A, j \text{ in } B)} p_{ij}$

## Pixel graph to flow graph



## Mincut Construction



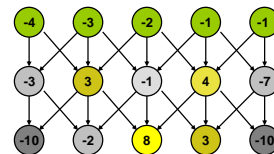
## Open Pit Mining (Task selection)



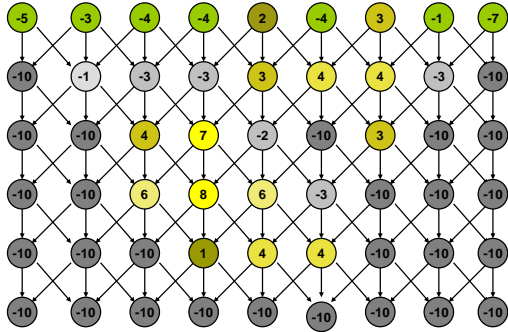
## Open Pit Mining

- Each unit of earth has a profit (possibly negative)
- Getting to the ore below the surface requires removing the dirt above
- Test drilling gives reasonable estimates of costs
- Plan an optimal mining operation

## Mine Graph

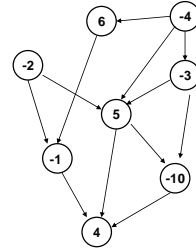


### Determine an optimal mine



### Generalization

- Precedence graph  $G=(V,E)$
- Each  $v$  in  $V$  has a profit  $p(v)$
- A set  $F$  is *feasible* if when  $w$  in  $F$ , and  $(v,w)$  in  $E$ , then  $v$  in  $F$ .
- Find a feasible set to maximize the profit

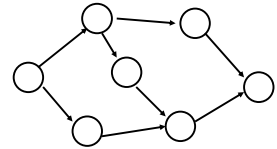


### Min cut algorithm for profit maximization

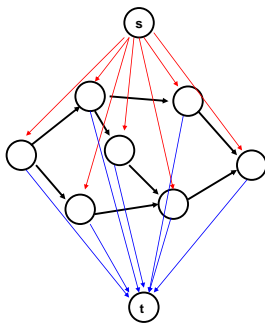
- Construct a flow graph where the minimum cut identifies a feasible set that maximizes profit

### Precedence graph construction

- Precedence graph  $G=(V,E)$
- Each edge in  $E$  has infinite capacity
- Add vertices  $s, t$
- Each vertex in  $V$  is attached to  $s$  and  $t$  with finite capacity edges



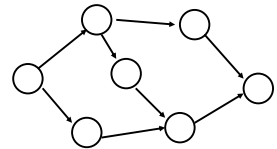
Find a **finite** value cut with at least two vertices on each side of the cut



→ Infinite  
→ Finite

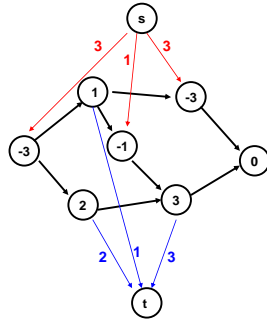
The sink side of a finite cut is a feasible set

- No edges permitted from  $S$  to  $T$
- If a vertex is in  $T$ , all of its ancestors are in  $T$

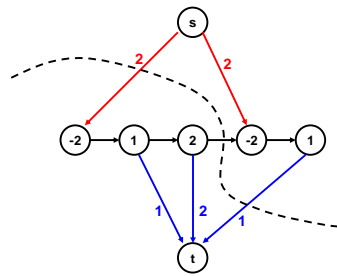


## Setting the costs

- If  $p(v) > 0$ ,
  - $\text{cap}(v,t) = p(v)$
  - $\text{cap}(s,v) = 0$
- If  $p(v) < 0$ 
  - $\text{cap}(s,v) = -p(v)$
  - $\text{cap}(v,t) = 0$
- If  $p(v) = 0$ 
  - $\text{cap}(s,v) = 0$
  - $\text{cap}(v,t) = 0$



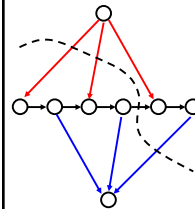
## Minimum cut gives optimal solution Why?



## Computing the Profit

- $\text{Cost}(W) = \sum_{\{w \text{ in } W; p(w) < 0\}} -p(w)$
- $\text{Benefit}(W) = \sum_{\{w \text{ in } W; p(w) > 0\}} p(w)$
- $\text{Profit}(W) = \text{Benefit}(W) - \text{Cost}(W)$
- Maximum cost and benefit
  - $C = \text{Cost}(V)$
  - $B = \text{Benefit}(V)$

## Express $\text{Cap}(S,T)$ in terms of $B$ , $C$ , $\text{Cost}(T)$ , $\text{Benefit}(T)$ , and $\text{Profit}(T)$

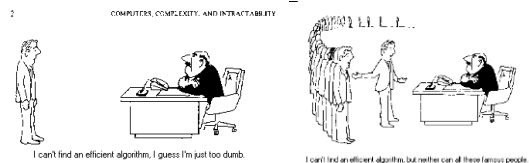


$$\begin{aligned} \text{Cap}(S,T) &= \text{Cost}(T) + \text{Ben}(S) = \text{Cost}(T) + \text{Ben}(S) + \text{Ben}(T) - \text{Ben}(T) \\ &= B + \text{Cost}(T) - \text{Ben}(T) = B - \text{Profit}(T) \end{aligned}$$

## NP-Completeness



## NP Completeness

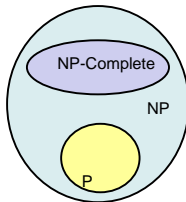


## Algorithms vs. Lower bounds

- Algorithmic Theory
  - What we can compute
    - I can solve problem X with resources R
  - Proofs are almost always to give an algorithm that meets the resource bounds
- Lower bounds
  - How do we show that something can't be done?

## Theory of NP Completeness

## The Universe



## Polynomial Time

- P: Class of problems that can be solved in polynomial time
  - Corresponds with problems that can be solved efficiently in practice
  - Right class to work with “theoretically”

## Decision Problems

- Theory developed in terms of yes/no problems
  - Independent set
    - Given a graph G and an integer K, does G have an independent set of size at least K
  - Network Flow
    - Given a graph G with edge capacities, a source vertex s, and sink vertex t, and an integer K, does the graph have flow function with value at least K

## Definition of P

Decision problems for which there is a polynomial time algorithm

Problem	Description	Algorithm	Yes	No
MULTIPLE	Is x a multiple of y?	Grade school division	51, 17	51, 16
RELPRIME	Are x and y relatively prime?	Euclid's algorithm	34, 39	34, 51
PRIMES	Is x prime?	Agrawal, Kayal, Saxena (2002)	53	51
EDIT-DISTANCE	Is the edit distance between x and y less than 5?	Dynamic programming	niether	acgggt ttttta
LSOLVE	Is there a vector x that satisfies $Ax = b$ ?	Gaussian elimination	$\begin{bmatrix} 0 & 1 & 1 & 4 \\ 2 & 4 & -2 & 2 \\ 0 & 3 & 15 & 36 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & 0 & 2 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}$

## What is NP?

- Problems solvable in non-deterministic polynomial time . . .
- Problems where “yes” instances have polynomial time checkable certificates

## Certificate examples

- Independent set of size K
  - The Independent Set
- Satisfiable formula
  - Truth assignment to the variables
- Hamiltonian Circuit Problem
  - A cycle including all of the vertices
- K-coloring a graph
  - Assignment of colors to the vertices

## Certifiers and Certificates: 3-Satisfiability

SAT: Does a given CNF formula have a satisfying formula

Certificate: An assignment of truth values to the n boolean variables

Certifier: Check that each clause has at least one true literal,

instance s

$$(\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_4) \wedge (\bar{x}_1 \vee \bar{x}_3 \vee \bar{x}_4)$$

certificate t

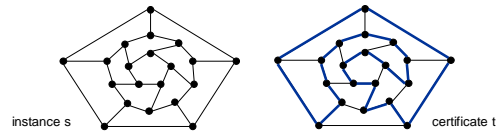
$$x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 1$$

## Certifiers and Certificates: Hamiltonian Cycle

HAM-CYCLE. Given an undirected graph  $G = (V, E)$ , does there exist a simple cycle  $C$  that visits every node?

Certificate. A permutation of the n nodes.

Certifier. Check that the permutation contains each node in  $V$  exactly once, and that there is an edge between each pair of adjacent nodes in the permutation.



## Polynomial time reductions

- Y is Polynomial Time Reducible to X
  - Solve problem Y with a polynomial number of computation steps and a polynomial number of calls to a black box that solves X
  - Notations:  $Y <_p X$

## Composability Lemma

- If  $X <_p Y$  and  $Y <_p Z$  then  $X <_p Z$



# P vs. NP Question

