

# CSE 417

## Algorithms and Complexity

Autumn 2020

Lecture 27

Network Flow Applications

NP-Completeness

# Announcements

- Homework 9
- Exam practice problems on course homepage
- Final Exam: Monday, December 14
  - 24 hour take home exam
  - Target: 2 to 4 hours of work time

Wed, Dec 2	Net Flow Applications
Fri, Dec 4	Net Flow Applications + NP-Completeness
Mon, Dec 7	NP-Completeness
Wed, Dec 9	NP-Completeness
Fri, Dec 11	Beyond NP-Completeness
Mon, Dec 14	Final Exam

# Problem Reduction

- Reduce Problem A to Problem B
  - Convert an instance of Problem A to an instance of Problem B
  - Use a solution of Problem B to get a solution to Problem A
- Practical
  - Use a program for Problem B to solve Problem A
- Theoretical
  - Show that Problem B is at least as hard as Problem A

# Minimum Cut Applications

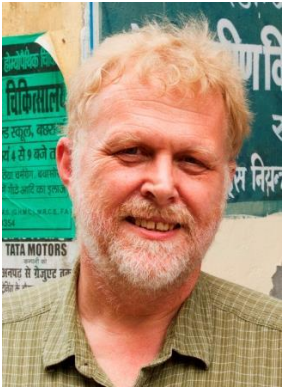
- Image Segmentation
- Open Pit Mining / Task Selection Problem
- Reduction to Min Cut problem

$S, T$  is a cut if  $S, T$  is a partition of the vertices with  $s$  in  $S$  and  $t$  in  $T$

The capacity of an  $S, T$  cut is the sum of the capacities of all edges going from  $S$  to  $T$

# Image Segmentation

- Separate foreground from background



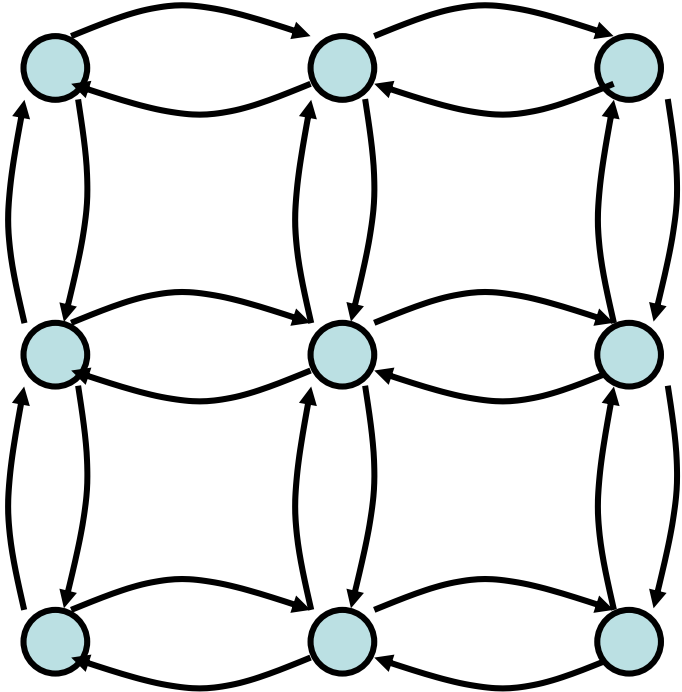
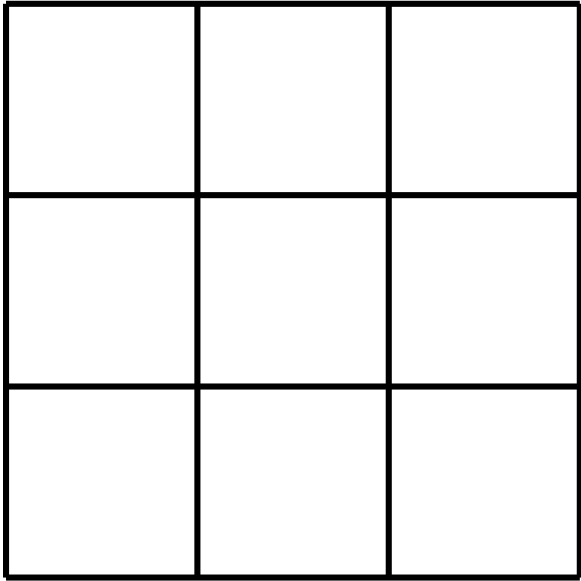


# Image analysis

- $a_i$ : value of assigning pixel  $i$  to the foreground
- $b_j$ : value of assigning pixel  $j$  to the background
- $p_{ij}$ : penalty for assigning  $i$  to the foreground,  $j$  to the background or vice versa
- $A$ : foreground,  $B$ : background
- $Q(A,B) = \sum_{\{i \text{ in } A\}} a_i + \sum_{\{j \text{ in } B\}} b_j - \sum_{\{(i,j) \text{ in } E, i \text{ in } A, j \text{ in } B\}} p_{ij}$

# Pixel graph to flow graph

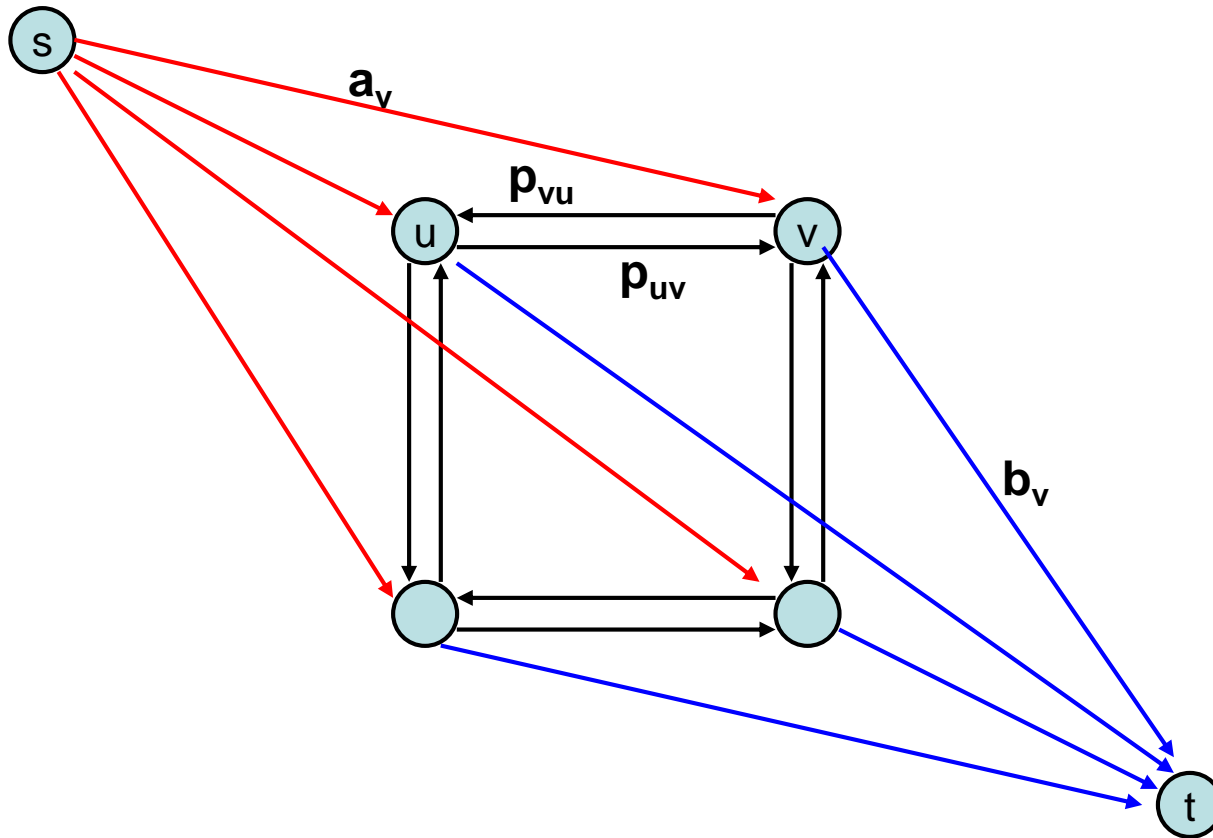
s



t



# Mincut Construction



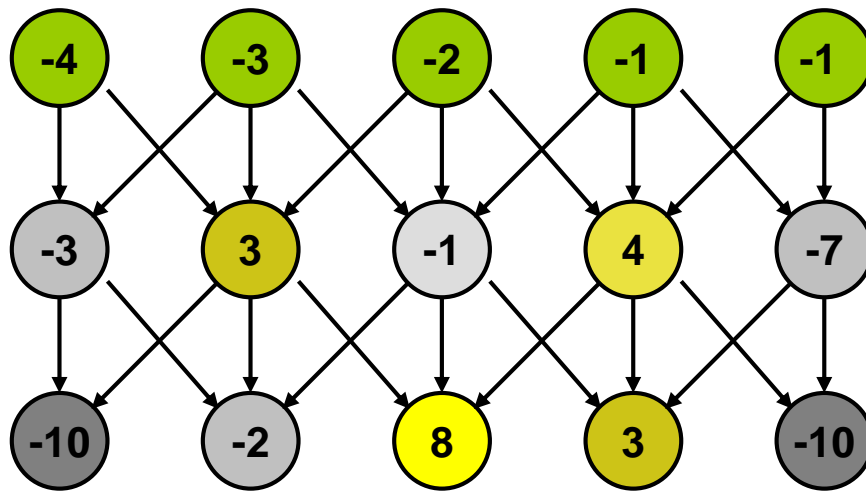
# Open Pit Mining (Task selection)



# Open Pit Mining

- Each unit of earth has a profit (possibly negative)
- Getting to the ore below the surface requires removing the dirt above
- Test drilling gives reasonable estimates of costs
- Plan an optimal mining operation

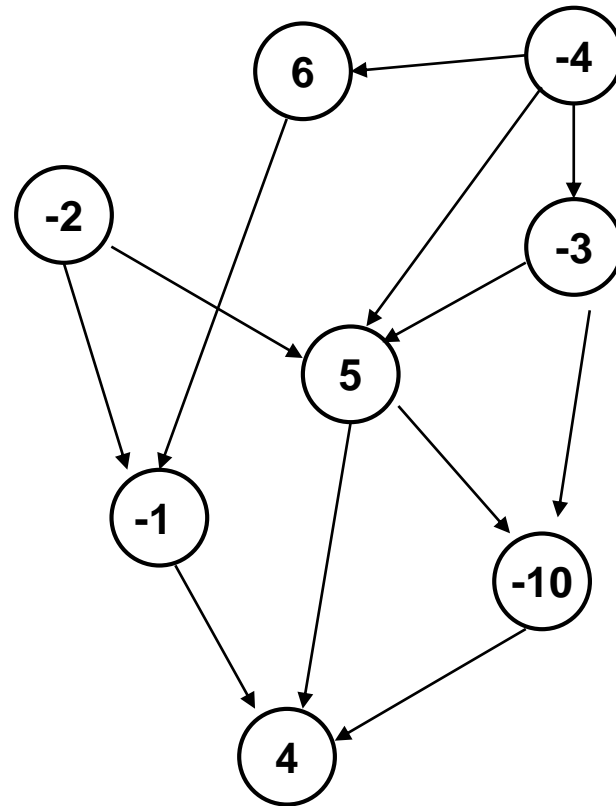
# Mine Graph





# Generalization

- Precedence graph  $G=(V,E)$
- Each  $v$  in  $V$  has a profit  $p(v)$
- A set  $F$  is *feasible* if when  $w$  in  $F$ , and  $(v,w)$  in  $E$ , then  $v$  in  $F$ .
- Find a feasible set to maximize the profit

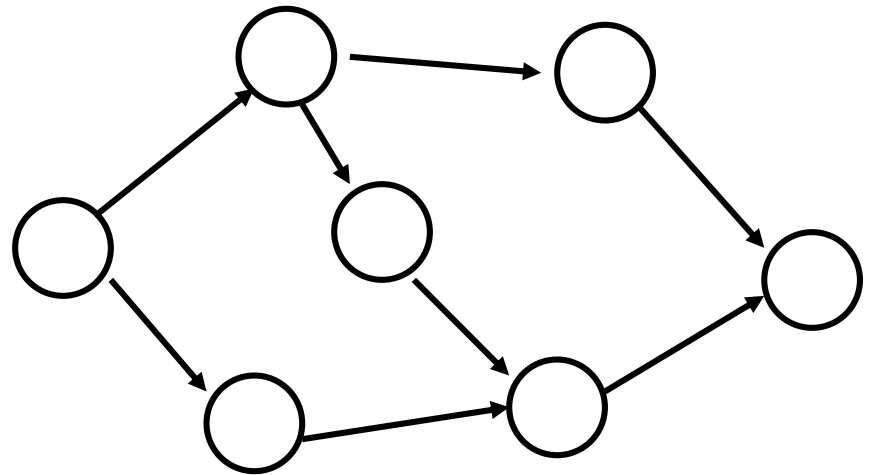


# Min cut algorithm for profit maximization

- Construct a flow graph where the minimum cut identifies a feasible set that maximizes profit

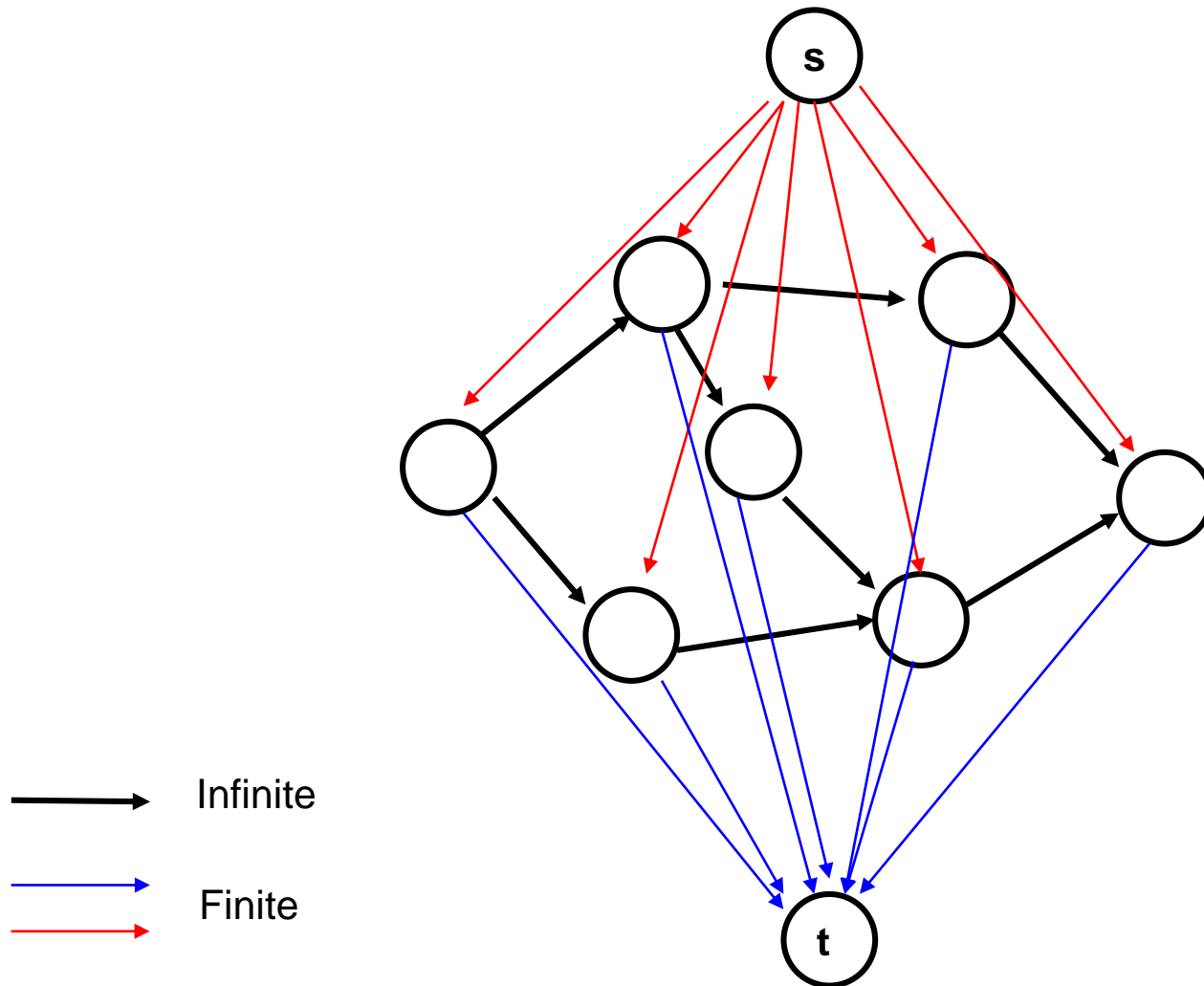
# Precedence graph construction

- Precedence graph  $G=(V,E)$
- Each edge in  $E$  has infinite capacity
- Add vertices  $s, t$
- Each vertex in  $V$  is attached to  $s$  and  $t$  with finite capacity edges



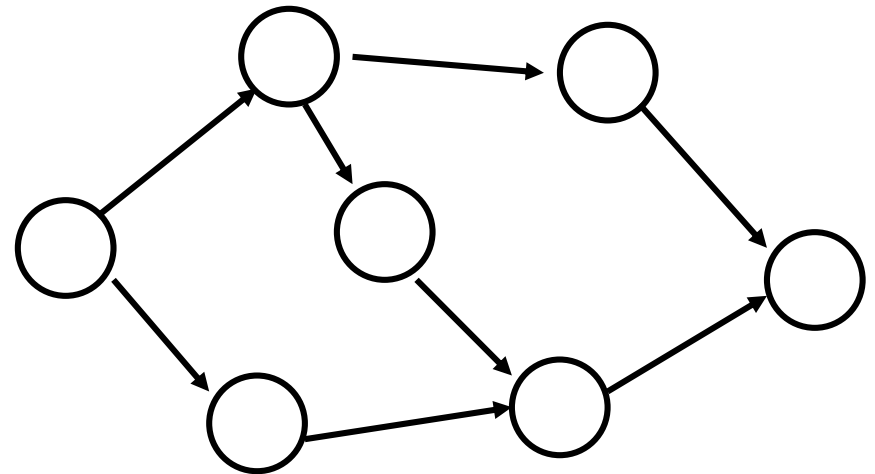


Find a **finite** value cut with at least two vertices on each side of the cut



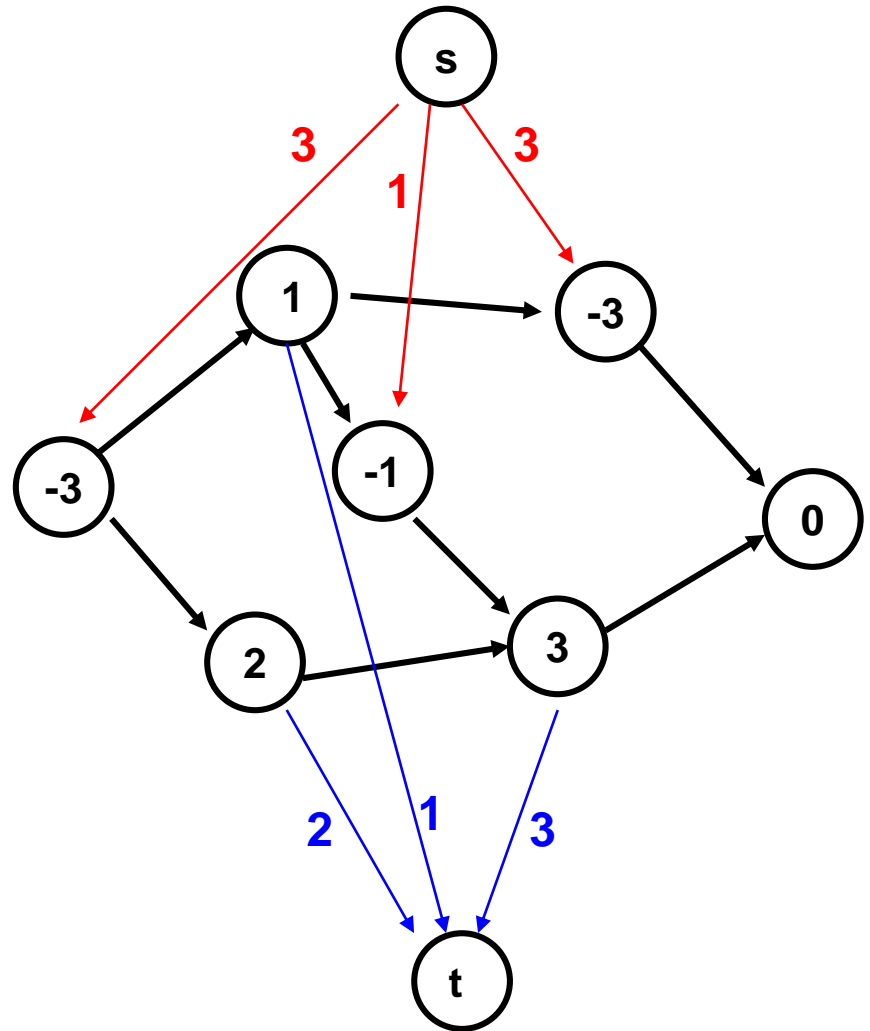
# The sink side of a finite cut is a feasible set

- No edges permitted from  $S$  to  $T$
- If a vertex is in  $T$ , all of its ancestors are in  $T$

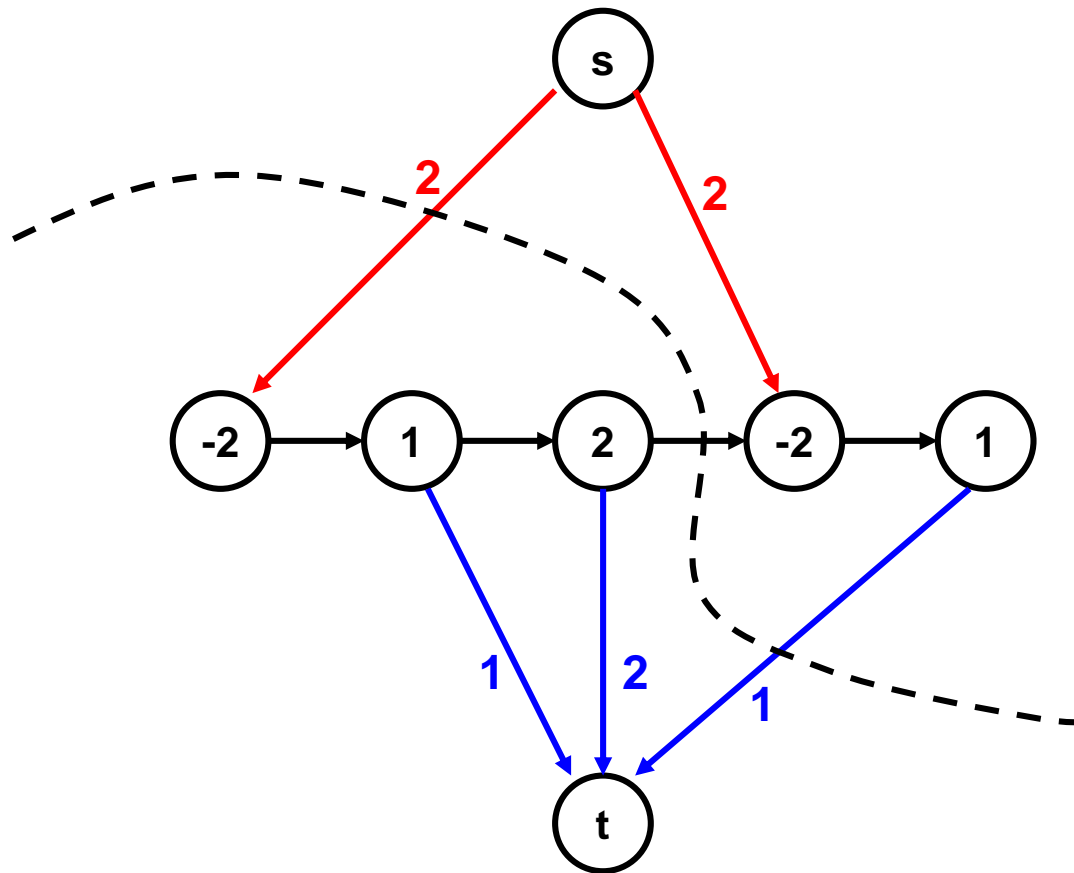


# Setting the costs

- If  $p(v) > 0$ ,
  - $\text{cap}(v,t) = p(v)$
  - $\text{cap}(s,v) = 0$
- If  $p(v) < 0$ 
  - $\text{cap}(s,v) = -p(v)$
  - $\text{cap}(v,t) = 0$
- If  $p(v) = 0$ 
  - $\text{cap}(s,v) = 0$
  - $\text{cap}(v,t) = 0$



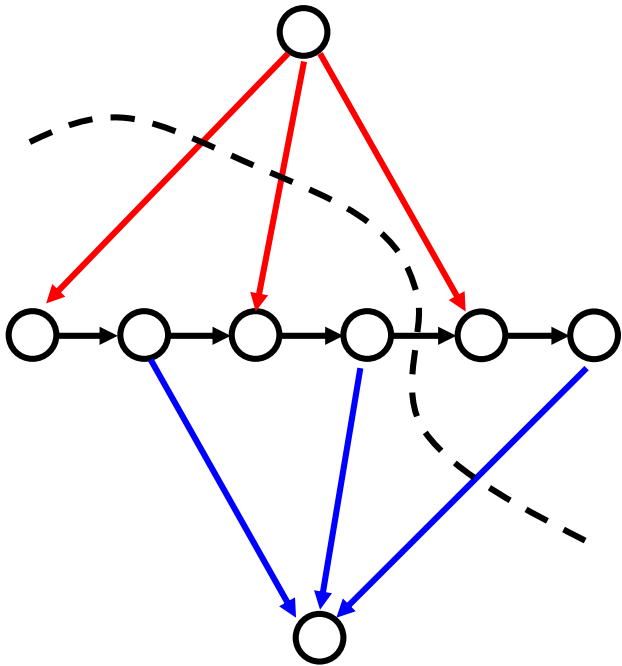
# Minimum cut gives optimal solution Why?



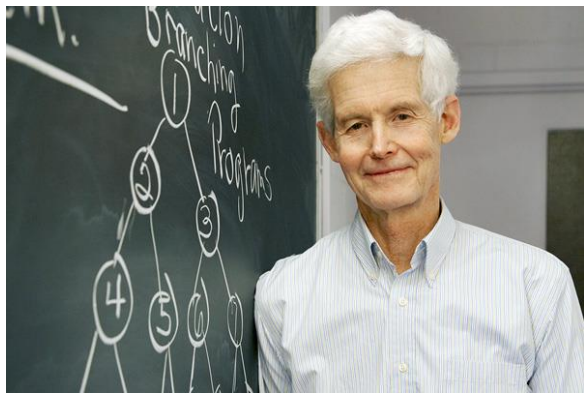
# Computing the Profit

- $\text{Cost}(W) = \sum_{\{w \text{ in } W; p(w) < 0\}} -p(w)$
- $\text{Benefit}(W) = \sum_{\{w \text{ in } W; p(w) > 0\}} p(w)$
- $\text{Profit}(W) = \text{Benefit}(W) - \text{Cost}(W)$
  
- Maximum cost and benefit
  - $C = \text{Cost}(V)$
  - $B = \text{Benefit}(V)$

Express  $\text{Cap}(S,T)$  in terms of  $B$ ,  $C$ ,  $\text{Cost}(T)$ ,  $\text{Benefit}(T)$ , and  $\text{Profit}(T)$



$$\begin{aligned}\text{Cap}(S,T) &= \text{Cost}(T) + \text{Ben}(S) = \text{Cost}(T) + \text{Ben}(S) + \text{Ben}(T) - \text{Ben}(T) \\ &= B + \text{Cost}(T) - \text{Ben}(T) = B - \text{Profit}(T)\end{aligned}$$



# NP-Completeness



# NP Completeness

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COMPUTERS, COMPLEXITY, AND INTRACTABILITY



I can't find an efficient algorithm, I guess I'm just too dumb.



I can't find an efficient algorithm, but neither can all these famous people.

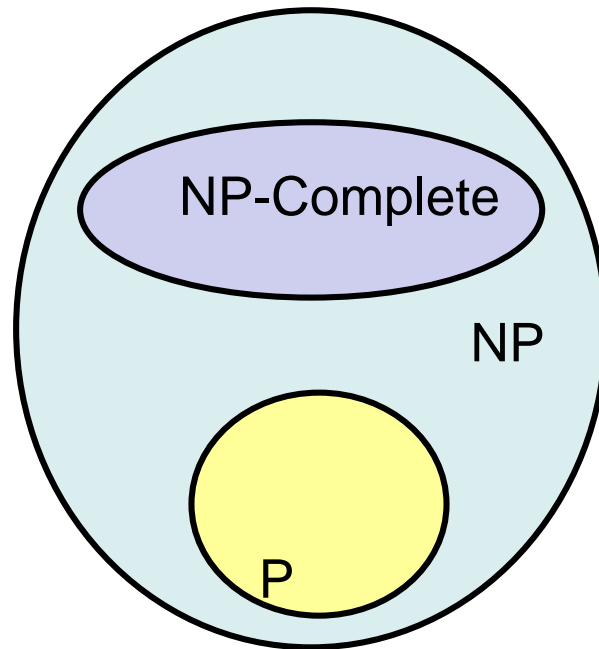


# Algorithms vs. Lower bounds

- Algorithmic Theory
  - What we can compute
    - I can solve problem  $X$  with resources  $R$
  - Proofs are almost always to give an algorithm that meets the resource bounds
- Lower bounds
  - How do we show that something can't be done?

# Theory of NP Completeness

# The Universe



# Polynomial Time

- P: Class of problems that can be solved in polynomial time
  - Corresponds with problems that can be solved efficiently in practice
  - Right class to work with “theoretically”

# Decision Problems

- Theory developed in terms of yes/no problems
  - Independent set
    - Given a graph  $G$  and an integer  $K$ , does  $G$  have an independent set of size at least  $K$
  - Network Flow
    - Given a graph  $G$  with edge capacities, a source vertex  $s$ , and sink vertex  $t$ , and an integer  $K$ , does the graph have flow function with value at least  $K$

# Definition of P

Decision problems for which there is a polynomial time algorithm

Problem	Description	Algorithm	Yes	No
MULTIPLE	Is x a multiple of y?	Grade school division	51, 17	51, 16
RELPRIME	Are x and y relatively prime?	Euclid's algorithm	34, 39	34, 51
PRIMES	Is x prime?	Agrawal, Kayal, Saxena (2002)	53	51
EDIT-DISTANCE	Is the edit distance between x and y less than 5?	Dynamic programming	niether neither	acgggt tttta
LSOLVE	Is there a vector x that satisfies $Ax = b$ ?	Gaussian elimination	$\left[ \begin{array}{ccc c} 0 & 1 & 1 & 4 \\ 2 & 4 & -2 & 2 \\ 0 & 3 & 15 & 36 \end{array} \right]$	$\left[ \begin{array}{ccc c} 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{array} \right]$

# What is NP?

- Problems solvable in non-deterministic polynomial time . . .
- Problems where “yes” instances have polynomial time checkable certificates

# Certificate examples

- Independent set of size  $K$ 
  - The Independent Set
- Satisfiable formula
  - Truth assignment to the variables
- Hamiltonian Circuit Problem
  - A cycle including all of the vertices
- $K$ -coloring a graph
  - Assignment of colors to the vertices



# Certifiers and Certificates: 3-Satisfiability

SAT: Does a given CNF formula have a satisfying formula

Certificate: An assignment of truth values to the n boolean variables

Certifier: Check that each clause has at least one true literal,

instance s

$$\left(\overline{x_1} \vee x_2 \vee x_3\right) \wedge \left(x_1 \vee \overline{x_2} \vee x_3\right) \wedge \left(x_1 \vee x_2 \vee x_4\right) \wedge \left(\overline{x_1} \vee \overline{x_3} \vee \overline{x_4}\right)$$

certificate t

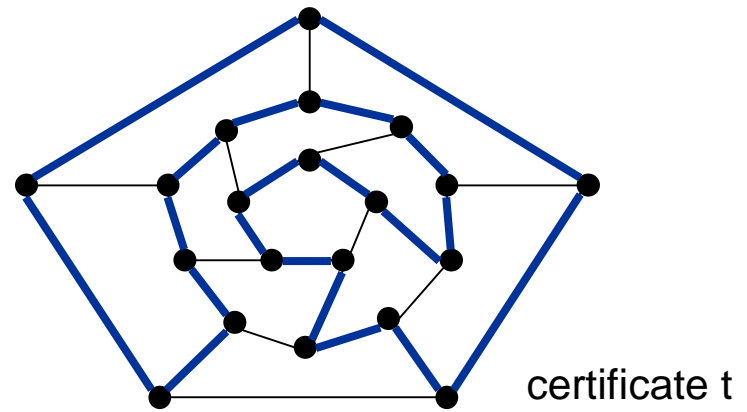
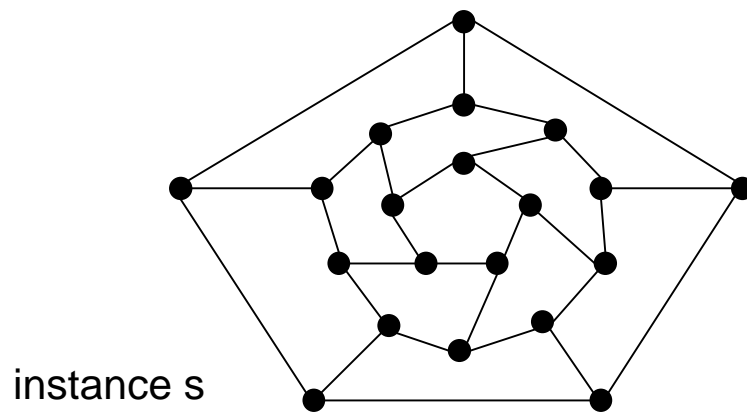
$$x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 1$$

# Certifiers and Certificates: Hamiltonian Cycle

HAM-CYCLE. Given an undirected graph  $G = (V, E)$ , does there exist a simple cycle  $C$  that visits every node?

Certificate. A permutation of the  $n$  nodes.

Certifier. Check that the permutation contains each node in  $V$  exactly once, and that there is an edge between each pair of adjacent nodes in the permutation.



# Polynomial time reductions

- Y is Polynomial Time Reducible to X
  - Solve problem Y with a polynomial number of computation steps and a polynomial number of calls to a black box that solves X
  - Notations:  $Y <_p X$

# Composability Lemma

- If  $X <_P Y$  and  $Y <_P Z$  then  $X <_P Z$

# Lemmas

- Suppose  $Y <_p X$ . If  $X$  can be solved in polynomial time, then  $Y$  can be solved in polynomial time.
- Suppose  $Y <_p X$ . If  $Y$  cannot be solved in polynomial time, then  $X$  cannot be solved in polynomial time.

# NP-Completeness

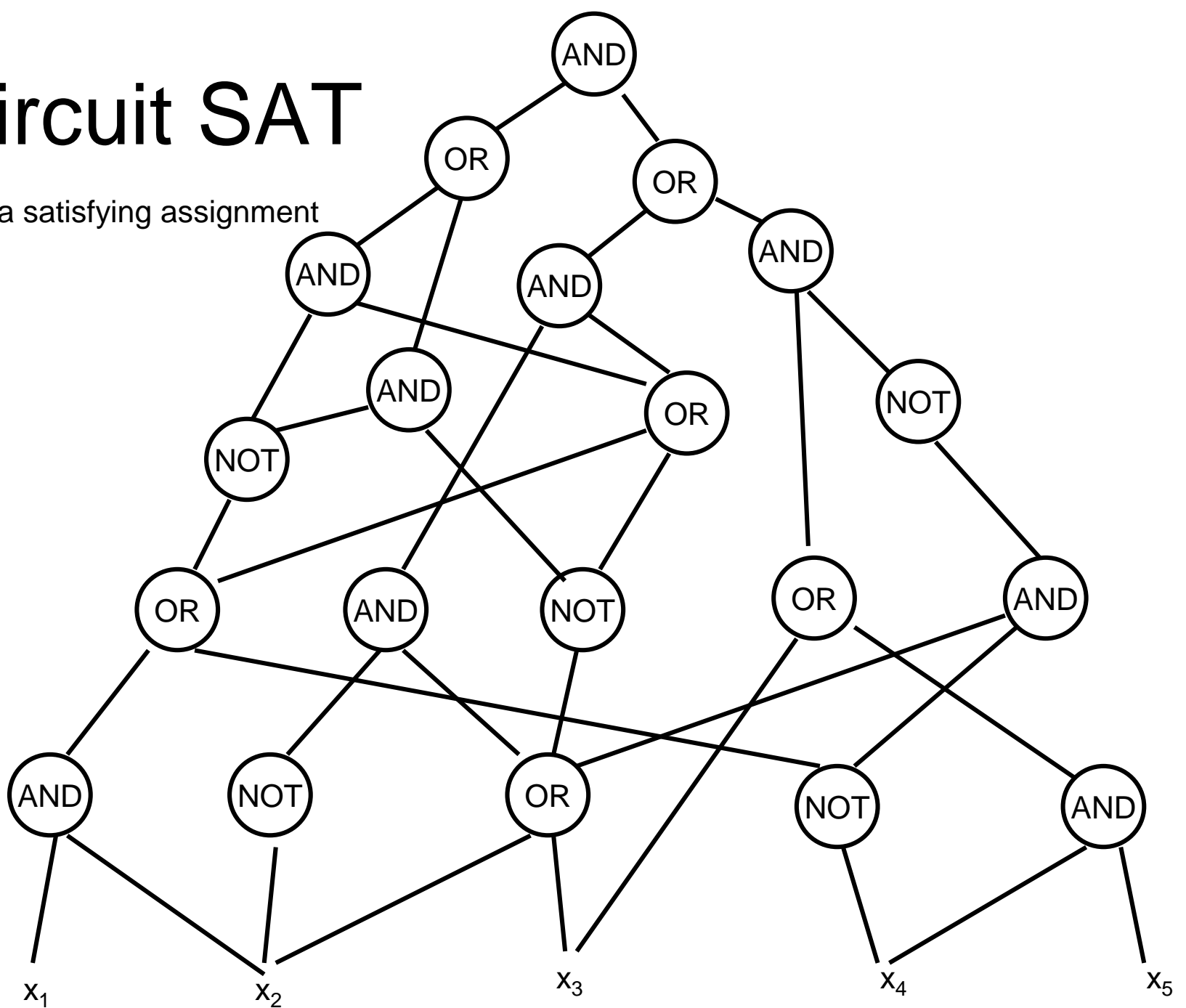
- A problem  $X$  is NP-complete if
  - $X$  is in NP
  - For every  $Y$  in NP,  $Y <_p X$
- $X$  is a “hardest” problem in NP
- If  $X$  is NP-Complete,  $Z$  is in NP and  $X <_p Z$ 
  - Then  $Z$  is NP-Complete

# Cook's Theorem

- The Circuit Satisfiability Problem is NP-Complete

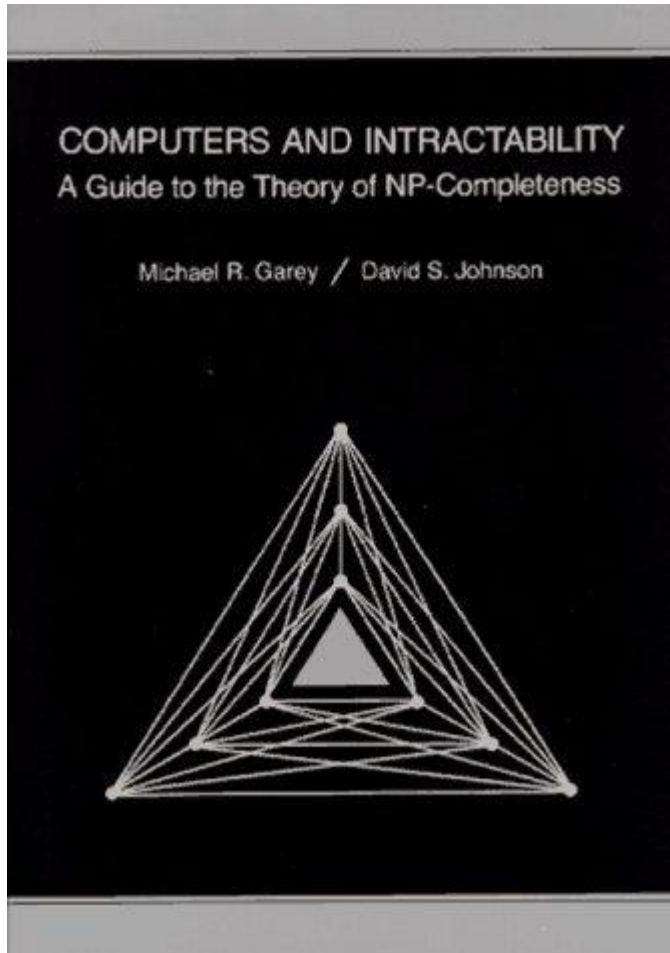
# Circuit SAT

Find a satisfying assignment





# Garey and Johnson



# History



Jack Edmonds

- Identified NP



Steve Cook

- Cook's Theorem – NP-Completeness



Dick Karp

- Identified the “standard” collection of NP-Complete Problems



Leonid Levin

- Independent discovery of NP-Completeness in USSR

# P vs. NP Question

