

# Analysis

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# Defining Efficiency

- “Runs fast on typical real problem instances”
- Pro:
  - sensible, bottom-line-oriented
- Con:
  - moving target (diff computers, compilers, Moore’s law)
  - highly subjective (how fast is “fast”? what’s “typical”?)

# Efficiency

- Our correct TSP algorithm was incredibly slow
- Basically slow no matter what computer you have
- We want a general theory of “efficiency” that is
  - Simple
  - Objective
  - Relatively independent of changing technology
  - But still predictive - “theoretically bad” algorithms should be bad in practice and vice versa (usually)

# Measuring efficiency

- Time # of instructions executed in a simple programming language
  - only simple operations (+,\*,-,=,if,call,...)
  - each operation takes one time step
  - each memory access takes one time step
  - no fancy stuff (add these two matrices, copy this long string,...) built in; write it/charge for it as above
- No fixed bound on the memory size

# We left out things but...

- Things we've dropped

# Complexity analysis

- Problem size  $n$ 
  - Worst-case complexity: max # steps algorithm takes on any input of size  $n$
  - Best-case complexity: min # steps algorithm takes on any input of size  $n$
  - Average-case complexity: avg # steps algorithm takes on inputs of size  $n$

# Pros and cons:

- Best-case
- Average-case
- Worst-case

# Why Worst-Case Analysis?



# General Goals

- Characterize growth rate of (worst-case) run time as a function of problem size, up to a constant factor
- Why not try to be more precise?
  - Technological variations (computer, compiler, OS, ...) easily 10x or more
  - Being more precise is a ton of work
  - A key question is “scale up”: if I can afford to do it today, how much longer will it take when my business problems are twice as large? (E.g. today:  $cn^2$ , next year:  $c(2n)^2 = 4cn^2$  : 4 x longer.)

# Complexity

- The complexity of an algorithm associates a number  $T(n)$ , the worst-case time the algorithm takes, with each problem size  $n$ .

# O-notation etc

- Given two functions  $f$  and  $g : N \rightarrow R$ 
  - $f(n)$  is  $O(g(n))$  iff there is a constant  $c > 0$  so that  $f(n)$  is eventually always  $\leq c g(n)$
  - $f(n)$  is  $\Omega(g(n))$  iff there is a constant  $c > 0$  so that  $f(n)$  is eventually always  $\geq c g(n)$
  - $f(n)$  is  $\Theta(g(n))$  iff there are constants  $c_1, c_2 > 0$  so that eventually always  $c_1 g(n) \leq f(n) \leq c_2 g(n)$

# Examples

$$10n^2 - 16n + 100$$

# Properties

- Transitivity.
  - If  $f = O(g)$  and  $g = O(h)$  then  $f = O(h)$ .
  - If  $f = \Omega(g)$  and  $g = \Omega(h)$  then  $f = \Omega(h)$ .
  - If  $f = \Theta(g)$  and  $g = \Theta(h)$  then  $f = \Theta(h)$ .
- Additivity.
  - If  $f = O(h)$  and  $g = O(h)$  then  $f + g = O(h)$ .
  - If  $f = \Omega(h)$  and  $g = \Omega(h)$  then  $f + g = \Omega(h)$ .
  - If  $f = \Theta(h)$  and  $g = O(h)$  then  $f + g = \Theta(h)$ .

# Asymptotic Bounds for Some Common Functions

- Polynomials:

$a_0 + a_1n + \dots + a_dn^d$  is  $\Theta(n^d)$  if  $a_d > 0$

- Logarithms:

$O(\log_a n) = O(\log_b n)$  for any constants  $a, b > 0$

- Logarithms:

For all  $x > 0$ ,  $\log n = O(n^x)$

# “One-Way Equalities”

$2 + 2$  is 4

$2n^2 + 5n$  is  $O(n^3)$

$2 + 2 = 4$

$2n^2 + 5n = O(n^3)$

$4 = 2 + 2$

~~$O(n^3) = 2n^2 + 5n$~~

All dogs are mammals    ~~All mammals are dogs~~

- Bottom line:

- OK to put big-O in R.H.S. of equality, but not left.
- (Better, but uncommon, notation:  $2n^2 + 5n \in O(n^3)$ )

# Working with $O$ - $\Omega$ - $\Theta$ notation

- Claim: For any  $a$ , and any  $b > 0$ ,  $(n + a)^b$  is  $\Theta(n^b)$



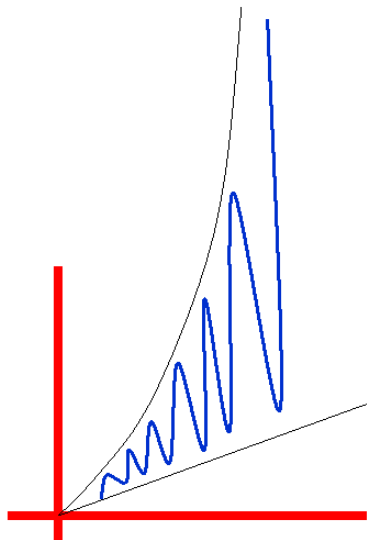
## Working with $O$ - $\Omega$ - $\Theta$ notation (2)

- Claim: For any  $a, b > 1$ ,  $\log_a n$  is  $\Theta(\log_b n)$

# Big-Theta, etc. not always “nice”

$$f(x) = \begin{cases} n^2 & \text{if } n \text{ even,} \\ n & \text{else} \end{cases}$$

- $f(n) \neq \Theta(n^a)$  for any  $a$ .
- Fortunately, this is rare

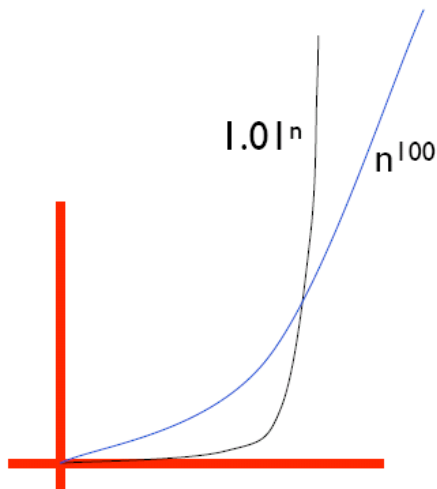


# A Possible Misunderstanding?

- We have looked at
  - type of complexity analysis
    - worst-, best-, average-case
  - types of function bounds
    - $O$ ,  $\Omega$ ,  $\Theta$
- These two considerations are independent of each other
  - one can do any type of function bound with any type of complexity analysis - measuring different things with same yardstick

# Asymptotic Bounds for Some Common Functions

- Exponentials. For all  $r > 1$  and all  $d > 0$ ,  $n^d = O(r^n)$ .



# Polynomial time

- Running time is  $O(n^d)$  for some constant  $d$  independent of the input size  $n$ .

# Why It Matters

**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

# Example: Studying Protein Interactions

- Yeast:  $\approx 6k$  proteins
  
  
  
  
  
  
  
  
  
  
- Human:  $\approx 25k$  proteins

# Key Facts



# Geek-speak Faux Pas du Jour

- “Any comparison-based sorting algorithm requires at least  $O(n \log n)$  comparisons.”
  - Statement doesn't "type-check."
  - Use  $\Omega$  for lower bounds.

# Summary

- Typical initial goal for algorithm analysis is to find a reasonably tight  $\Theta$  if possible)  
**asymptotic** (i.e.,  $O$  or  $\Theta$ )  
bound on (usually upper bound)  
**worst case** running time  
as a function of problem **size**
- This is rarely the last word, but often helps separate good algorithms from blatantly poor ones - so you can concentrate on the good ones!