## CSE 417

# Introduction to Algorithms Winter 2006 

## NP-Completeness <br> (Chapter 8)

## Some Algebra Problems (Algorithmic)

Given positive integers a, b, c
Question 1: does there exist a positive integer x such that $\mathrm{ax}=\mathrm{c}$ ?

Question 2: does there exist a positive integer x such that $a x^{2}+b x=c$ ?

Question 3: do there exist positive integers $x$ and $y$ such that $a x^{2}+b y=c$ ?

## Some Problems

- Independent-Set:
- Given a graph $G=(V, E)$ and an integer $k$, is there a subset $U$ of $V$ with IUI $\geq k$ such that no two vertices in $U$ are joined by an edge.
- Clique:
- Given a graph $G=(V, E)$ and an integer $k$, is there a subset $U$ of $V$ with IUI $\geq k$ such that every pair of vertices in $U$ is joined by an edge.



## A Brief History of Ideas

- From Classical Greece, if not earlier, "logical thought" held to be a somewhat mystical ability
- Mid 1800's: Boolean Algebra and foundations of mathematical logic created possible "mechanical" underpinnings
- 1900: David Hilbert's famous speech outlines program: mechanize all of mathematics?
http://mathworld.wolfram.com/HilbertsProblems.html
- 1930's: Gödel, Church, Turing, et al. prove it's impossible


## More History

- 1930/40's
- What is (is not) computable
- 1960/70's
- What is (is not) feasibly computable
- Goal - a (largely) technology independent theory of time required by algorithms
- Key modeling assumptions/approximations
- Asymptotic (Big-O), worst case is revealing
- Polynomial, exponential time - qualitatively different


## Polynomial vs Exponential Growth



## Another view of Poly vs Exp

Next year's computer will be $2 x$ faster. If I can solve problem of size $\mathrm{n}_{0}$ today, how large a problem can I solve in the same time next year?

| Complexity | Increase | E.g. T=1012 |  |
| :--- | :--- | ---: | ---: |
| $\mathrm{O}(\mathrm{n})$ | $\mathrm{n}_{0} \rightarrow 2 \mathrm{n}_{0}$ | $10^{12}$ | $2 \times 10^{12}$ |
| $\mathrm{O}\left(\mathrm{n}^{2}\right)$ | $\mathrm{n}_{0} \rightarrow \sqrt{ } 2 \mathrm{n}_{0}$ | $10^{6}$ | $1.4 \times 10^{6}$ |
| $\mathrm{O}\left(\mathrm{n}^{3}\right)$ | $\mathrm{n}_{0} \rightarrow \sqrt[3]{ } 2 \mathrm{n}_{0}$ | $10^{4}$ | $1.25 \times 10^{4}$ |
| $2^{\mathrm{n} / 10}$ | $\mathrm{n}_{0} \rightarrow \mathrm{n}_{0}+10$ | 400 | 410 |
| $2^{n}$ | $n_{0} \rightarrow \mathrm{n}_{0}+1$ | 40 | 41 |

## Polynomial versus exponential

- We'll say any algorithm whose run-time is
- polynomial is good
- bigger than polynomial is bad
- Note - of course there are exceptions:
$-\mathrm{n}^{100}$ is bigger than $(1.001)^{\mathrm{n}}$ for most practical values of $n$ but usually such run-times don't show up
- There are algorithms that have run-times like $O\left(2^{n / 22}\right)$ and these may be useful for small input sizes, but they're not too common either


## Some Convenient Technicalities

- "Problem" - the general case
- Ex: The Clique Problem: Given a graph G and an integer k , does G contain a k-clique?
- "Problem Instance" - the specific cases
- Ex: Does contain a 4-clique? (no)
- Ex: Does contain a 3-clique? (yes)
- Decision Problems - Just Yes/No answer
- Problems as Sets of "Yes" Instances
- Ex: CLIQUE $=\{(G, k) \mid G$ contains a k-clique $\}$
- E.g., ( $\sim, 4) \notin$ CLIQUE
- E.g., ( $\sim, 3) \in$ CLIQUE


## Decision problems

- Computational complexity usually analyzed using decision problems
- answer is just 1 or 0 (yes or no).
- Why?
- much simpler to deal with
- deciding whether $G$ has a k-clique, is certainly no harder than finding a k-clique in G, so a lower bound on deciding is also a lower bound on finding
- Less important, but if you have a good decider, you can often use it to get a good finder. (Ex.: does G still have a kclique after I remove this vertex?)


## The class P

Definition: $P=$ set of (decision) problems solvable by computers in polynomial time.
i.e. $T(n)=O\left(n^{k}\right)$ for some fixed $k$.

These problems are sometimes called tractable problems.

Examples: sorting, shortest path, MST, connectivity, various dynamic programming - all of 417 up to now except Change-Making/Stamp problem

## Beyond $\mathbf{P}$ ?

- There are many natural, practical problems for which we don't know any polynomial-time algorithms
- e.g. CLIQUE:
- Given a weighted graph $G$ and an integer $k$, does there exist a k-clique in $G$ ?
- e.g. quadratic Diophantine equations:
- Given $a, b, c \in N, \exists x, y \in N$ s.t. $a x^{2}+b y=c$ ?


## Some Problems

- Independent-Set:
- Given a graph $G=(V, E)$ and an integer $k$, is there a subset $U$ of $V$ with IUI $\geq k$ such that no two vertices in $U$ are joined by an edge.
- Clique:
- Given a graph $G=(V, E)$ and an integer $k$, is there a subset $U$ of $V$ with IUI $\geq k$ such that every pair of vertices in $U$ is joined by an edge.



## Some More Problems

- Euler Tour:
- Given a graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is there a cycle traversing each edge once.
- Hamilton Tour:
- Given a graph $G=(V, E)$ is there a simple cycle of length IVI, i.e., traversing each vertex once.
- TSP:
- Given a weighted graph $G=(V, E, w)$ and an integer $k$, is there a Hamilton tour of $G$ with total weight $\leq k$.


## Satisfiability

- Boolean variables $x_{1}, \ldots, x_{n}$
- taking values in $\{0,1\}$. $0=$ false, $1=$ true
- Literals
- $x_{i}$ or $\neg x_{i}$ for $i=1, \ldots, n$
- Clause
- a logical OR of one or more literals
- e.g. ( $\mathrm{x}_{1} \vee \neg \mathrm{x}_{3} \vee \mathrm{x}_{7} \vee \mathrm{x}_{12}$ )
- CNF formula
- a logical AND of a bunch of clauses


## Satisfiability

- CNF formula example
- ( $\mathrm{x} 1 \vee \neg \mathrm{x} 3 \vee \mathrm{x} 7$ ) $\wedge(\neg \mathrm{x} 1 \vee \neg \mathrm{x} 4 \vee \mathrm{x} 5 \vee \neg \mathrm{x} 7)$
- If there is some assignment of 0 's and 1 's to the variables that makes it true then we say the formula is satisfiable
- the one above is, the following isn't
- $\mathrm{x} 1 \wedge(\neg \mathrm{x} 1 \vee \mathrm{x} 2) \wedge(\neg \mathrm{x} 2 \vee \mathrm{x} 3) \wedge \neg \mathrm{x} 3$
- Satisfiability: Given a CNF formula $F$, is it satisfiable?


## Satisfiable?

$(x \quad \vee \quad y \quad \vee \quad z) \wedge(\neg x \quad \vee \quad y \vee \neg z) \wedge$ $(x \vee \vee \neg \vee z) \wedge(\neg x \vee \neg y \vee \quad z) \wedge$ $(\neg x \vee \neg y \vee \neg z) \wedge(x \vee y \vee z) \wedge$ $(x \vee \neg y \vee z) \wedge(x \vee y \vee \neg)$
$(x \quad \vee \quad y \quad \vee \quad z) \wedge(\neg x \quad \vee \quad y \vee \neg z) \wedge$ $(x \quad \vee \neg y \vee \neg z) \wedge(\neg x \quad \vee \neg y \vee \quad z) \wedge$ $(\neg x \vee \vee y \vee \neg z) \wedge(\neg x \vee y \vee z) \wedge$ $(x \quad \vee \neg y \vee z) \wedge(x \vee y \vee \neg)$

## More History - As of 1970

- Many of the above problems had been studied for decades
- All had real, practical applications
- None had poly time algorithms; exponential was best known
- But, it turns out they all have a very deep similarity under the skin


## Some Problem Pairs

- Euler Tour
- 2-SAT
- Min Cut
- Shortest Path
- Hamilton Tour
- 3-SAT
- Max Cut
- Longest Path



## Common property of these problems

- There is a special piece of information, a short hint or proof, that allows you to efficiently (in polynomialtime) verify that the YES answer is correct. This hint might be very hard to find
- e.g.
- TSP: the tour itself,
- Independent-Set, Clique: the set U
- Satisfiability: an assignment that makes F true.
- Quadratic Diophantine eqns: the numbers x \& y.


## The complexity class NP

NP consists of all decision problems where

- You can verify the YES answers efficiently (in polynomial time) given a short (polynomial-size) hint

And

- No hint can fool your polynomial time verifier into saying YES for a NO instance
- (implausible for all exponential time problems)


## More Precise Definition of NP

- A decision problem is in NP iff there is a polynomial time procedure $\mathrm{v}(.,$.$) , and an$ integer k such that
- for every YES problem instance $x$ there is a hint $h$ with $\mathrm{lh}\left|\leq|x|^{k}\right.$ such that $v(x, h)=$ YES
and
- for every NO problem instance $x$ there is no hint $h$ with $\mathrm{lh}\left|\leq|x|^{k}\right.$ such that $\mathrm{v}(\mathrm{x}, \mathrm{h})=$ YES
- "Hints" sometimes called "Certificates"


## Example: CLIQUE is in NP

procedure $\mathrm{v}(\mathrm{x}, \mathrm{h})$
if
$x$ is a well-formed representation of a graph $G=$
(V, E) and an integer $k$,
and
h is a well-formed representation of a k-vertex subset U of V ,
and
U is a clique in G ,
then output "YES"
else output "I'm unconvinced"

## Is it correct?

- For every $x=(G, k)$ such that $G$ contains a $k$ clique, there is a hint $h$ that will cause $v(x, h)$ to say YES, namely $h=a$ list of the vertices in such a k-clique
and
- No hint can fool v into saying yes if either x isn't well-formed (the uninteresting case) or if $x=(G, k)$ but $G$ does not have any cliques of size $k$ (the interesting case)


## Another example: $\mathrm{SAT} \in \mathrm{NP}$

- Hint: the satisfying assignment $A$
- Verifier: $\mathrm{v}(\mathrm{F}, \mathrm{A})=\operatorname{syntax}(\mathrm{F}, \mathrm{A})$ \& \& satisfies $(\mathrm{F}, \mathrm{A})$
- Syntax: True iff $F$ is a well-formed formula \& $A$ is a truth-assignment to its variables
- Satisfies: plug A into F and evaluate
- Correctness:
- If $F$ is satisfiable, it has some satisfying assignment A, and we'll recognize it
- If $F$ is unsatisfiable, it doesn't, and we won't be fooled


## Keys to showing that a problem is in NP

- What's the output? (must be YES/NO)
- What's the input? Which are YES?
- For every given YES input, is there a hint that would help? Is it polynomial length?
- OK if some inputs need no hint
- For any given NO input, is there a hint that would trick you?


## Complexity Classes

## NP = Polynomial-time verifiable

P = Polynomial-time solvable


## Solving NP problems without hints

- The only obvious algorithm for most of these problems is brute force:
- try all possible hints and check each one to see if it works.
- Exponential time:
- $2^{n}$ truth assignments for $n$ variables
- $n$ ! possible TSP tours of $n$ vertices
- $\binom{n}{k}$ possible $k$ element subsets of n vertices
- etc.
- ...and to date, every alg, even much less-obvious ones, are slow, too


## Problems in P can also be verified in polynomial-time

Shortest Path: Given a graph $G$ with edge lengths, is there a path from $s$ to $t$ of length $\leq k$ ?
Verify: Given a purported path from $s$ to $t$, is it a path, is its length $\leq k$ ?

Small Spanning Tree: Given a weighted undirected graph $G$, is there a spanning tree of weight $\leq k$ ?
Verify: Given a purported spanning tree, is it a spanning tree, is its weight $\leq k$ ?
(But the hints aren't really needed in these cases...)

## P vs NP vs Exponential Time

- Theorem: Every problem in NP can be solved deterministically in exponential time
- Proof: "hints" are only $n^{\mathrm{k}}$ long; try all $2^{\mathrm{n}^{\mathrm{k}}}$ possibilities, say by backtracking. If any succeed, say YES; if all fail, say NO.



## P and NP

- Every problem in P is in NP
- one doesn't even need a hint for problems in P so just ignore any hint you are given
- Every problem in NP is in exponential time
- l.e., $\mathrm{P} \subseteq \mathrm{NP} \subseteq \operatorname{Exp}$
- We know $P \neq E x p$, so either $P \neq N P$,

- Theory
$-\mathrm{P}=\mathrm{NP}$ ?
- Open Problem!
- I bet against it
- Practice
- Many interesting, useful, natural, well-studied problems known to be NPcomplete
- With rare exceptions, no one routinely succeeds in finding exact solutions to large, arbitrary instances


## A problem NOT in NP; A bogus "proof" to the contrary

- EEXP $=\{(p, x) \mid$ program $p$ accepts input $x$ in $<2^{2^{|x|}}$ steps $\}$

NON Theorem: EEXP in NP

- "Proof" 1: Hint = step-by-step trace of the computation of $p$ on $x$; verify step-by-step


## More Connections

- Some Examples in NP
- Satisfiability
- Independent-Set
- Clique
- Vertex Cover
- All hard to solve; hints seem to help on all
- Very surprising fact:
- Fast solution to any gives fast solution to all!


## The class NP-complete

We are pretty sure that no problem in NP - P can be solved in polynomial time.
Non-Definition: NP-complete = the hardest problems in the class NP. (Formal definition later.)
Interesting fact: If any one NP-complete problem could be solved in polynomial time, then all NP problems could be solved in polynomial time.

## Complexity Classes

NP = Poly-time verifiable
$\mathbf{P}=$ Poly-time solvable

NP-Complete = "Hardest" problems in NP


## The class NP-complete (cont.)

Thousands of important problems have been shown to be NP-complete.

Fact (Dogma): The general belief is that there is no efficient algorithm for any NP-complete problem, but no proof of that belief is known.

Examples: SAT, clique, vertex cover, Hamiltonian cycle, TSP, bin packing.

## Complexity Classes of Problems



## Does $\mathrm{P}=\mathrm{NP}$ ?

- This is an open question.
- To show that $\mathbf{P}=\mathbf{N P}$, we have to show that every problem that belongs to NP can be solved by a polynomial time deterministic algorithm.
- No one has shown this yet.
- (It seems unlikely to be true.)


## Is all of this useful for anything?

Earlier in this class we learned techniques for solving problems in $\mathbf{P}$.

Question: Do we just throw up our hands if we come across a problem we suspect not to be in $\mathbf{P}$ ?

## Dealing with NP-complete Problems

## What if I think my problem is not in $P$ ?

Here is what you might do:

1) Prove your problem is NP-hard or -complete
(a common, but not guaranteed outcome)
2) Come up with an algorithm to solve the problem usually or approximately.

## Reductions: a useful tool

Definition: To reduce $A$ to $B$ means to solve $A$, given a subroutine solving $B$.

Example: reduce MEDIAN to SORT
Solution: sort, then select ( $\mathrm{n} / 2)^{\text {nd }}$
Example: reduce SORT to FIND_MAX
Solution: FIND_MAX, remove it, repeat
Example: reduce MEDIAN to FIND_MAX
Solution: transitivity: compose solutions above.

## Reductions: Why useful

Definition: To reduce $A$ to $B$ means to solve A, given a subroutine solving $B$.

Fast algorithm for B implies fast algorithm for A (nearly as fast; takes some time to set up call, etc.)

If every algorithm for A is slow, then no algorithm for B can be fast.

$$
\text { "complexity of A" } \leq \text { "complexity of B" + "complexity of reduction" }
$$

## SAT is NP-complete

## Cook's theorem: SAT is NP-complete

## Satisfiability (SAT)

A Boolean formula in conjunctive normal form (CNF) is satisfiable if there exists a truth assignment of 0 's and 1's to its variables such that the value of the expression is 1. Example:

$$
S=(x+y+\neg z) \cdot(\neg x+y+z) \cdot(\neg x+\neg y+\neg z)
$$

Example above is satisfiable. (We can see this by setting $x=1, y=1$ and $z=0$.)

## NP-complete problem: Vertex Cover

Input: Undirected graph $G=(V, E)$, integer $k$.
Output: True iff there is a subset $C$ of $V$ of size $\leq k$ such that every edge in $E$ is incident to at least one vertex in $C$.

Example: Vertex cover of size $\leq 2$.

## In NP? Exercise



$$
\frac{\text { ans }}{20}
$$

$$
\frac{80}{80}
$$

$$
\frac{8}{80}
$$

$3 S A T \leq p$ VertexCover


## 3 SAT $\leq_{p}$ VertexCover

$$
\left(x_{1} \vee x_{2} \vee \neg x_{3}\right) \wedge\left(x_{1} \vee \neg x_{2} \vee \neg x_{3}\right) \wedge\left(\neg x_{1} \vee x_{3}\right)
$$



## 3 SAT $\leq_{p}$ VertexCover

## 3-SAT Instance:

- Variables: $\mathrm{x}_{1}, \mathrm{x}_{2}, \ldots$
- Literals: $y_{i, j}, 1 \leq i \leq q, 1 \leq j \leq 3$
- Clauses: $c_{i}=y_{i 1} \vee y_{i 2} \vee y_{i 3}, 1 \leq \mathrm{l} \leq \mathrm{q}$
- Formula: $c=c_{1} \wedge c_{2} \wedge \ldots \wedge c_{q}$

VertexCover Instance:
$-k=2 q$
$-G=(V, E)$
$-V=\{[i, j] \mid 1 \leq i \leq q, 1 \leq j \leq 3\}$
$-E=\left\{([i, j],[k, I]) \mid i=k\right.$ or $\left.y_{i j}=\neg y_{k l}\right\}$
$3 S A T \leq p$ VertexCover


## Correctness of " 3 -SAT $\mathbf{s p}_{\mathrm{p}}$ VertexCover"

Summary of reduction function f:
Given formula, make graph $G$ with one group per clause, one node per literal. Connect each to all nodes in same group, plus complementary literals $(x, \neg x)$. Output graph G plus integer $k=2$ * number of clauses.
Note: $f$ does not know whether formula is satisfiable or not; does not know if $G$ has $k$-cover; does not try to find satisfying assignment or cover.
Correctness:

1. Show f poly time computable: A key point is that graph size is polynomial in formula size; mapping basically straightforward.
2. Show c in 3-SAT iff $\mathrm{f}(\mathrm{c})=(\mathrm{G}, \mathrm{k})$ in VertexCover: $(\Rightarrow)$ Given an assignment satisfying c, pick one true literal per clause. Add other 2 nodes of each triangle to cover. Show it is a cover: 2 per triangle cover triangle edges; only true literals (but perhaps not all true literals) uncovered, so at least one end of every ( $x, \neg x$ ) edge is covered. $(\Leftarrow)$ Given a k-vertex cover in G, uncovered labels define a valid (perhaps partial) truth assignment since no ( $x, \neg x$ ) pair uncovered. It satisfies $c$ since there is one uncovered node in each clause triangle (else some other clause triangle has $>1$ uncovered node, hence an uncovered edge.)

## Utility of " 3 -SAT $\leq_{p}$ VertexCover"

- Suppose we had a fast algorithm for VertexCover, then we could get a fast algorithm for 3SAT:
- Given 3-CNF formula w, build Vertex


Cover instance $y=f(w)$ as above, run the fast VC alg on $y$; say "YES, w is satisfiable" iff VC alg says "YES, y has a vertex cover of the given size"

- On the other hand, suppose no fast alg is possible for 3SAT, then we know none is possible for VertexCover either.


## " 3 -SAT $\leq$ p VertexCover" Retrospective

- Previous slide: two suppositions
- Somewhat clumsy to have to state things that way.
- Alternative: abstract out the key elements, give it a name ("polynomial time reduction"), then properties like the above always hold.


## Polynomial-Time Reductions

Definition: Let $\boldsymbol{A}$ and $\boldsymbol{B}$ be two problems. We say that $\boldsymbol{A}$ is polynomially reducible to $\boldsymbol{B}$ if there exists a polynomial-time algorithm $\boldsymbol{f}$ that converts each instance $x$ of problem $\boldsymbol{A}$ to an instance $f(x)$ of $\mathbf{B}$ such that
$x$ is a YES instance of $\boldsymbol{A}$ iff $f(x)$ is a YES instance of $\boldsymbol{B}$.

$$
x \in A \Leftrightarrow f(x) \in B
$$

## Polynomial-Time Reductions (cont.)

Define: $\boldsymbol{A} \leq \mathrm{p} \boldsymbol{B}$ " $A$ is polynomial-time reducible to $B$ ", iff there is a polynomial-time computable fynction $f$ such that: $x \in A \Leftrightarrow f(x) \in B$
"complexity of A" $\leq$ "complexity of B" + "complexity of f"
(1) $\boldsymbol{A} \leq p \boldsymbol{B}$ and $\boldsymbol{B} \in \boldsymbol{P} \Rightarrow \boldsymbol{A} \in P$
polynomial
(2) $\boldsymbol{A} \leq \mathrm{p} \boldsymbol{B}$ and $\boldsymbol{A} \notin \boldsymbol{P} \Rightarrow \boldsymbol{B} \notin \boldsymbol{P}$
(3) $\boldsymbol{A} \leq_{\mathrm{p}} \boldsymbol{B}$ and $\boldsymbol{B} \leq_{\mathrm{p}} \boldsymbol{C} \Rightarrow \boldsymbol{A} \leq_{\mathrm{p}} \boldsymbol{C}$ (transitivity)

## Using an Algorithm for $\boldsymbol{B}$ to Solve $\boldsymbol{A}$

Algorithm to solve A

"If $A \leq p B$, and we can solve $B$ in polynomial time, then we can solve $A$ in polynomial time also."

Ex: suppose $f$ takes $O\left(\mathrm{n}^{3}\right)$ and algorithm for B takes $\mathrm{O}\left(\mathrm{n}^{2}\right)$. How long does the above algorithm for A take?

## Definition of NP-Completeness

Definition: Problem $B$ is NP-hard if every problem in NP is polynomially reducible to $B$.

Definition: Problem $B$ is NP-complete if:
(1) $B$ belongs to NP, and
(2) $B$ is NP-hard.

## Proving a problem is NP-complete

- Technically,for condition (2) we have to show that every problem in NP is reducible to $B$. (yikes!) This sounds like a lot of work.
- For the very first NP-complete problem (SAT) this had to be proved directly.
- However, once we have one NP-complete problem, then we don't have to do this every time.
- Why? Transitivity.


## Re-stated Definition

## Lemma: Problem $B$ is NP-complete if:

(1) $B$ belongs to NP, and (2') $A$ is polynomial-time reducible to $B$, for some problem $A$ that is NP-complete.

That is, to show ( $2^{\prime}$ ) given a new problem $B$, it is sufficient to show that SAT or any other NP-complete problem is polynomial-time reducible to $B$.

## Usefulness of Transitivity

Now we only have to show $L$ ' $\leq p$, for some NP-complete problem $L^{\prime}$, in order to show that $L$ is NP-hard. Why is this equivalent?

1) Since $L^{\prime}$ is $N P$-complete, we know that $L^{\prime}$ is $N P$-hard. That is:

$$
\forall L^{\prime \prime} \in N P, \text { we have } L^{\prime \prime} \leq_{p} L^{\prime}
$$

2) If we show $L^{\prime} \leq p$, , then by transitivity we know that: $\forall L " \in N P$, we have $L " \leq p L$.
Thus L is NP-hard.

## Ex: VertexCover is NP-complete

- 3-SAT is NP-complete (shown by S. Cook)
- 3-SAT $\leq p$ VertexCover
- VertexCover is in NP (we showed this earlier)
- Therefore VertexCover is also NP-complete
- So, poly-time algorithm for VertexCover would give poly-time algs for everything in NP


## NP-complete problem: 3-Coloring

Input: An undirected graph $\mathrm{G}=(\mathrm{V}, \mathrm{E})$.
Output: True iff there is an assignment of at most 3 colors to the vertices in $G$ such that no two adjacent vertices have the same color.

Example:

In NP? Exercise


## A 3-Coloring Gadget:

## In what ways can this be 3-colored?



## A 3-Coloring Gadget: "Sort of an OR gate"

(1) if any input is T , the output can be T
(2) if output is $T$, some input must be $T$

Exercise: find all colorings of 5 nodes

inputs

## 3 SAT $\leq$ p 3 Color



> 3Color Instance:
> $\quad-\mathrm{G}=(\mathrm{V}, \mathrm{E})$
> $-6 \mathrm{q}+2 \mathrm{n}+3$ vertices
> $-13 \mathrm{q}+3 \mathrm{n}+3$ edges
> - (See Example for details)

3SAT $\leq$ p 3Color Example


## Correctness of " 3 -SAT $\leq$ p 3 Coloring"

Summary of reduction function f:
Given formula, make $G$ with T-F-N triangle, 1 pair of literal nodes per variable, 2 "or" gadgets per clause, connected as in example.
Note: again, f does not know or construct satisfying assignment or coloring.
Correctness:

1. Show f poly time computable: A key point is that graph size is polynomial in formula size; graph looks messy, but pattern is basically straightforward.
2. Show $c$ in 3-SAT iff $f(c)$ is 3-colorable:
$(\Rightarrow)$ Given an assignment satisfying c, color literals T/F as per assignment;
can color "or" gadgets so output nodes are T since each clause is satisfied. $(\Leftarrow)$ Given a 3 -coloring of $f(\mathrm{c})$, name colors T-N-F as in example. All square nodes are $T$ or $F$ (since all adjacent to $N$ ). Each variable pair ( $\mathrm{x}_{\mathrm{i}}, \neg \mathrm{x}_{\mathrm{i}}$ ) must have complementary labels since they're adjacent. Define assignment based on colors of $x_{i}$ 's. Clause "output" nodes must be colored T since they're adjacent to both N \& F. By fact noted earlier, output can be T only if at least one input is T , hence it is a satisfying assignment.

## Planar 3-Coloring is also NP-Complete



## Common Errors in

## NP-completeness Proofs

- Backwards reductions

Bipartiteness $\leq$ p SAT is true, but not so useful. ( $\mathrm{XYZ} \leq$ p SAT shows XYZ in NP, does not show it's hard.)

- Sloooow Reductions
"Find a satisfying assignment, then output..."
- Half Reductions

Delete dashed edges in 3Color reduction. It's still true that "c satisfiable $\Rightarrow \mathrm{G}$ is 3 colorable", but 3-colorings don't necessarily give good assignments.

## Coping with NP-Completeness

- Is your real problem a special subcase?
- E.g. 3-SAT is NP-complete, but 2-SAT is not;
- Ditto 3-vs 2-coloring
- E.g. maybe you only need planar graphs, or degree 3 graphs, or ...
- Guaranteed approximation good enough?
- E.g. Euclidean TSP within 1.5 * Opt in poly time
- Clever exhaustive search may be fast enough in practice, e.g. Backtrack, Branch \& Bound, pruning
- Heuristics - usually a good approximation and/or usually fast


## NP-complete problem: TSP

Input: An undirected graph $G=(V, E)$ with integer edge weights, and an integer b.

Output: YES iff there is a simple cycle in G passing through all vertices (once), with

Example:
b $=34$
 total cost $\leq \mathrm{b}$.

## 2x Approximation to EuclideanTSP

- A TSP tour visits all vertices, so contains a spanning tree, so TSP cost is > cost of min spanning tree.
- Find MST
- Find "DFS" Tour
- Shortcut

- TSP $\leq$ shortcut $<$ DFST $=2$ * MST < 2 * TSP


## Summary

- Big-O - good
- P - good
- Exp - bad
- Exp, but hints help? NP

- NP-hard, NP-complete - bad (I bet)
- To show NP-complete - reductions
- NP-complete = hopeless? - no, but you need to lower your expectations: heuristics \& approximations.

