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CSE 417  
Introduction to Algorithms  
Winter 2005

**NP-Completeness**  
(Chapter 6)

# Some Algebra Problems (Algorithmic)

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Given positive integers  $a$ ,  $b$ ,  $c$

Question 1: does there exist a positive integer  $x$  such that  $ax = c$  ?

Question 2: does there exist a positive integer  $x$  such that  $ax^2 + bx = c$  ?

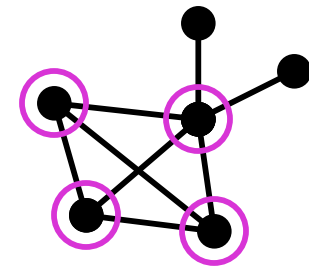
Question 3: do there exist positive integers  $x$  and  $y$  such that  $ax^2 + by = c$  ?

# The Clique Problem

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Given: a graph  $G=(V,E)$  and an integer  $k$

Question: is there a subset  $U$  of  $V$  with  $|U| \geq k$  such that **every pair** of vertices in  $U$  is joined by an edge.



# Solving The Clique Problem

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- A simple way:
  - Systematically list all possible sets of exactly  $k$  nodes
  - For each such set, check whether all pairs are neighbors
- A general approach for problems like this:  
Backtracking

# Backtracking (abstractly)

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- Want: a vector  $(a_1, a_2, \dots, a_q)$  satisfying some property  $P$ , e.g. “ $a_1..a_q$  is a  $q$ -clique”.

BT(A,j)

if A, j satisfies P, report it

else

$j = j+1$

    let  $S_j$  be the set of “candidates” for slot  $j$ ;

    for each  $a_j$  in  $S_j$

        BT(A .  $a_j$ , j)

Top Level: Call BT(empty,0); report “no solution” if it found none.

# Backtracking for k-Clique, I

$a_i$ 's are distinct vertices, in order.

- Want: a vector  $(a_1, a_2, \dots, a_q)$  satisfying some property  $P$ , e.g. " $a_1 \dots a_q$  is a  $q$ -clique".

BT(A,j)

if A, j satisfies P, report it

else

Test for  $j == k$   
and presence of  
all edges

$j = j+1$

let  $S_j$  be the set of "candidates" for slot  $j$

for each  $a_j$  in  $S_j$

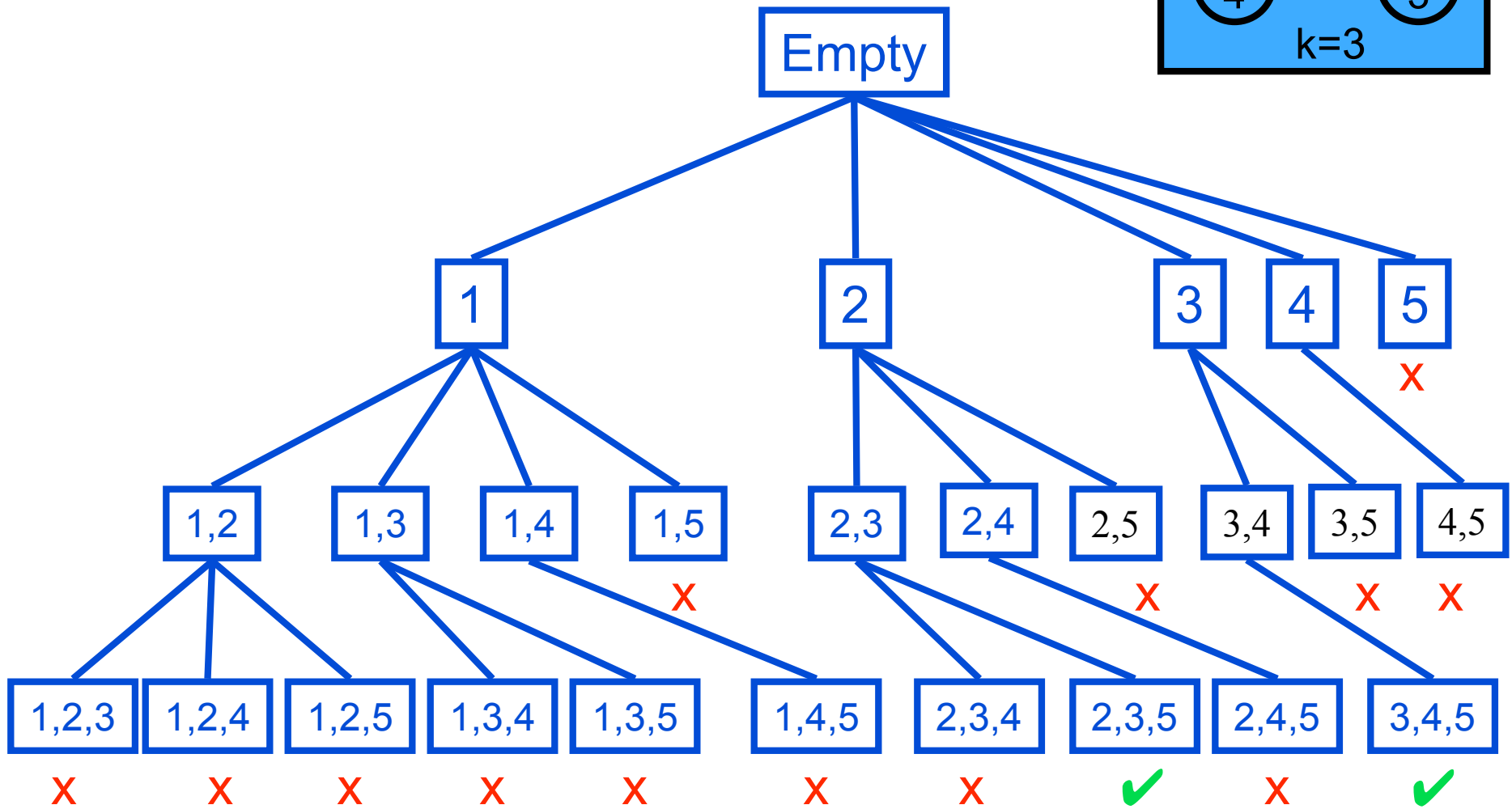
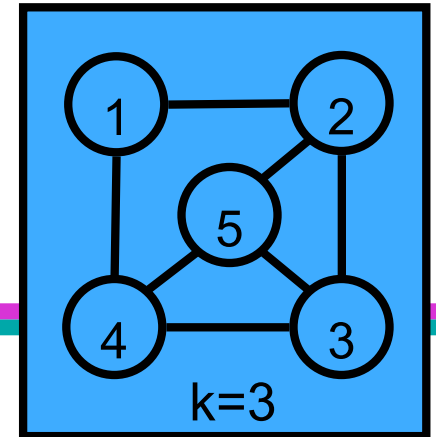
BT(A .  $a_j$ , j)

$S_j$  is empty if  $j \geq k$ , else the set of all  
vertex numbers greater than last in A

Top Level: Call BT(empty,0); report "no solution" if it found none.

Time:  $n * (n-1) * \dots * (n-k+1) * k^2$

# Backtracking for k-Clique, I



# Backtracking for k-Clique, II

$a_i$ 's are distinct vertices, in order, that are adjacent to each other

- Want: a vector  $(a_1, a_2, \dots, a_q)$  satisfying some property  $P$ , e.g. " $a_1 \dots a_q$  is a  $q$ -clique".

BT(A,j)

if A, j satisfies P, report it  
else

Just test for  $j == k$

$j = j+1$

let  $S_j$  be the set of "candidates" for slot  $j$ ;

for each  $a_j$  in  $S_j$

BT(A .  $a_j$ , j)

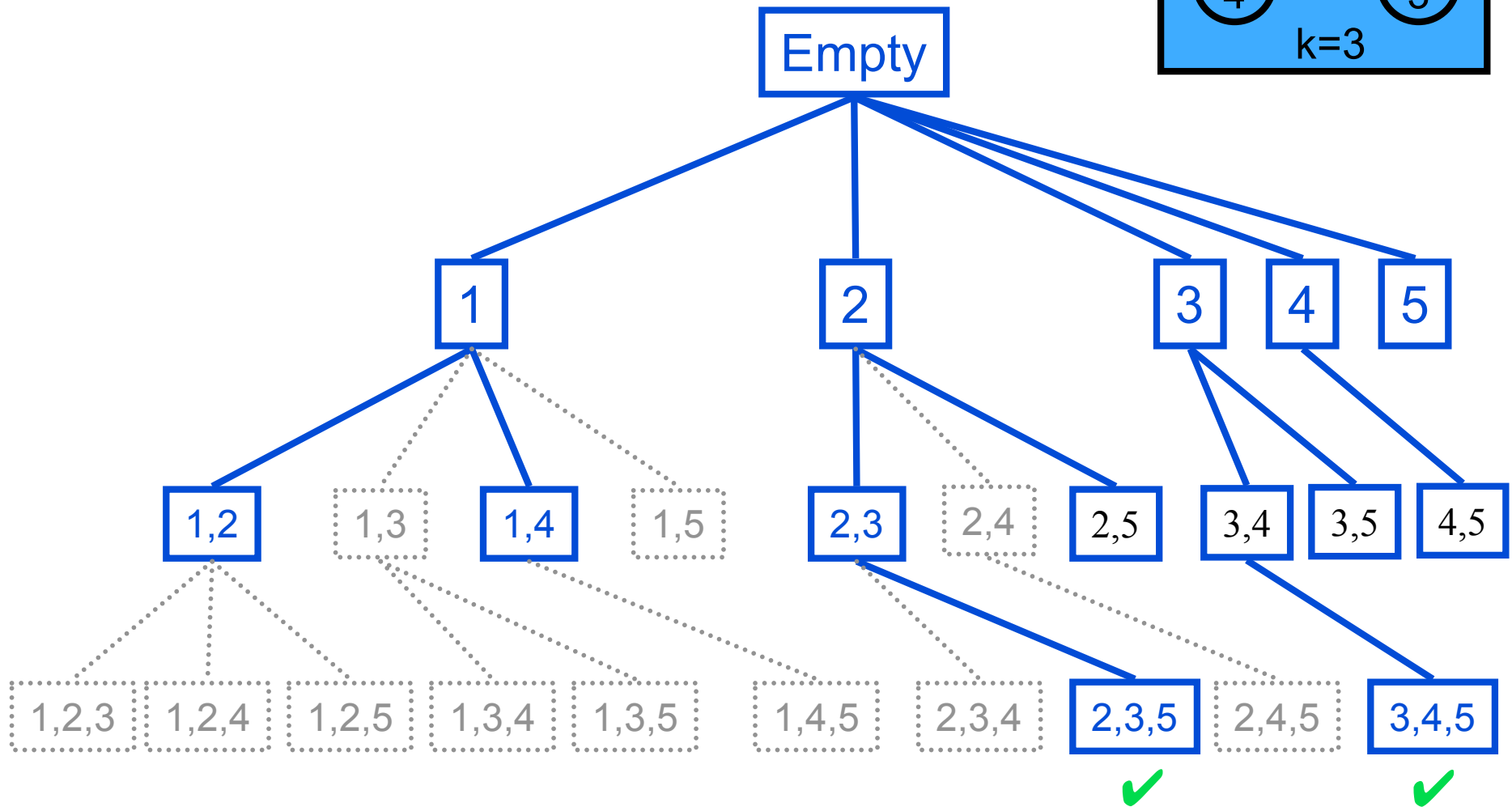
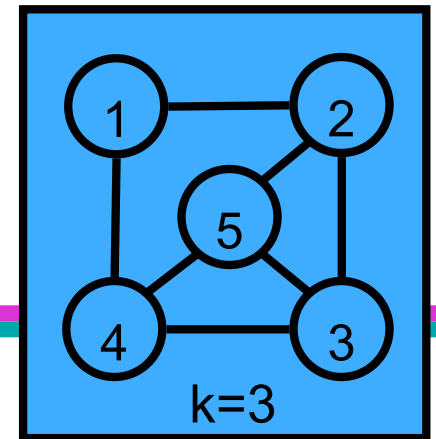
$S_j$  is empty if  $j \geq k$ , else set of all vertex numbers greater than last in A and adjacent to all in A

Top Level: Call BT(empty,0); report "no solution" if it found none.

Time: depends strongly on graph, but basically as bad in worst case.



# Backtracking for k-Clique, II



# A Brief History of Ideas

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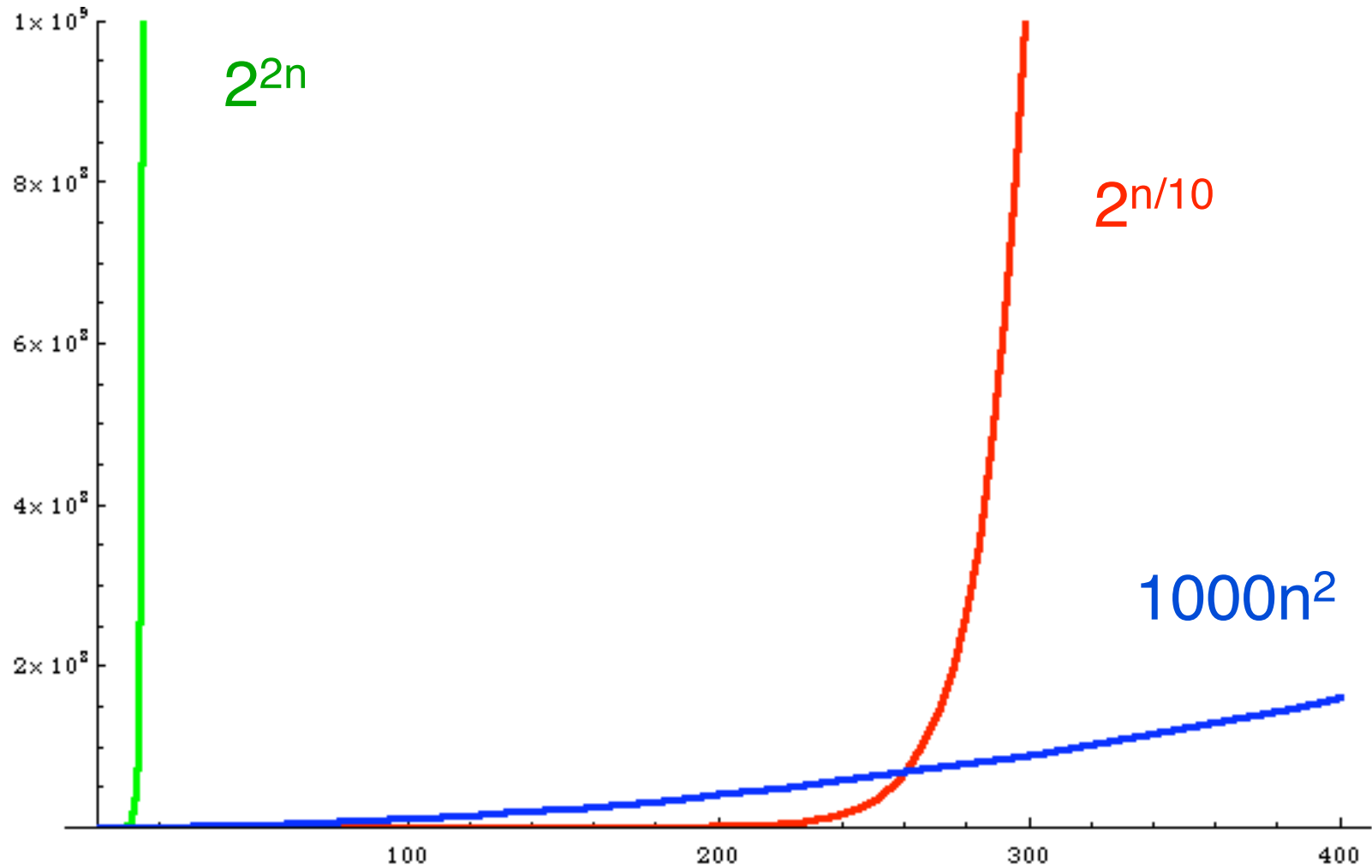
- From Classical Greece, if not earlier, "logical thought" held to be a somewhat mystical ability
- Mid 1800's: Boolean Algebra and foundations of mathematical logic created possible "mechanical" underpinnings
- 1900: David Hilbert's famous speech outlines program: mechanize all of mathematics?  
<http://mathworld.wolfram.com/HilbertsProblems.html>
- 1930's: Gödel, Church, Turing, et al. prove it's impossible

# More History

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- 1930/40's
  - What is (is not) computable
- 1960/70's
  - What is (is not) feasibly computable
  - Goal – a (largely) technology independent theory of time required by algorithms
  - Key modeling assumptions/approximations
    - Asymptotic (Big-O), worst case is revealing
    - Polynomial, exponential time – qualitatively different

# Polynomial vs Exponential Growth



# Another view of Poly vs Exp

Next year's computer will be 2x faster. If I can solve problem of size  $n_0$  today, how large a problem can I solve in the same time next year?

Complexity	Increase	E.g. $T=10^{12}$	
$O(n)$	$n_0 \rightarrow 2n_0$	$10^{12}$	$2 \times 10^{12}$
$O(n^2)$	$n_0 \rightarrow \sqrt{2} n_0$	$10^6$	$1.4 \times 10^6$
$O(n^3)$	$n_0 \rightarrow \sqrt[3]{2} n_0$	$10^4$	$1.25 \times 10^4$
$2^{n/10}$	$n_0 \rightarrow n_0 + 10$	400	410
$2^n$	$n_0 \rightarrow n_0 + 1$	40	41





# Polynomial versus exponential

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- We'll say any algorithm whose run-time is
  - polynomial is good
  - bigger than polynomial is *bad*
- Note – of course there are exceptions:
  - $n^{100}$  is bigger than  $(1.001)^n$  for most practical values of  $n$  but usually such run-times don't show up
  - There are algorithms that have run-times like  $O(2^{n/22})$  and these may be useful for small input sizes, but they're not too common either

# Some Convenient Technicalities

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- "Problem" – the general case
  - Ex: The Clique Problem: Given a graph  $G$  and an integer  $k$ , does  $G$  contain a  $k$ -clique?
- "Problem Instance" – the specific cases
  - Ex: Does  contain a 4-clique? (no)
  - Ex: Does  contain a 3-clique? (yes)
- Decision Problems – Just Yes/No answer
- Problems as Sets of "Yes" Instances
  - Ex:  $\text{CLIQUE} = \{ (G,k) \mid G \text{ contains a } k\text{-clique} \}$ 
    - E.g., (  , 4)  $\notin$  CLIQUE
    - E.g., (  , 3)  $\in$  CLIQUE

# Decision problems

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- Computational complexity usually analyzed using **decision problems**
  - answer is just **1** or **0** (**yes** or **no**).
- Why?
  - much simpler to deal with
  - *deciding* whether  $G$  has a  $k$ -clique, is certainly no harder than *finding* a  $k$ -clique in  $G$ , so a **lower** bound on deciding is also a lower bound on finding
  - Less important, but if you have a good decider, you can often use it to get a good finder. (Ex.: does  $G$  still have a  $k$ -clique after I remove this vertex?)



# The class P

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**Definition:** P = set of (decision) problems solvable by computers in polynomial time.

i.e.  $T(n) = O(n^k)$  for some fixed  $k$ .

- These problems are sometimes called **tractable** problems.

**Examples:** sorting, shortest path, MST, connectivity, biconnectivity, various dynamic programming – *all of 417 up to now except Knapsack/Change-Making*

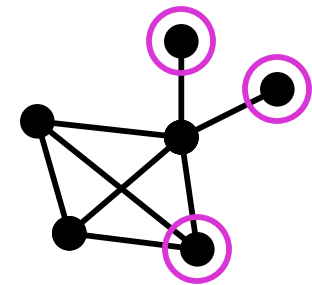
# Beyond P?

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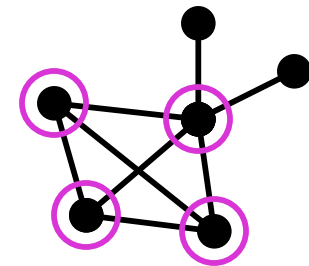
- There are many natural, practical problems for which we don't know any polynomial-time algorithms
- e.g. CLIQUE:
  - Given a weighted graph  $G$  and an integer  $k$ , does there exist a  $k$ -clique in  $G$ ?
- e.g. quadratic Diophantine equations:
  - Given  $a, b, c \in \mathbb{N}$ ,  $\exists x, y \in \mathbb{N}$  s.t.  $ax^2 + by = c$ ?

# Some Problems

- Independent-Set:
  - Given a graph  $G=(V,E)$  and an integer  $k$ , is there a subset  $U$  of  $V$  with  $|U| \geq k$  such that **no two** vertices in  $U$  are joined by an edge.



- Clique:
  - Given a graph  $G=(V,E)$  and an integer  $k$ , is there a subset  $U$  of  $V$  with  $|U| \geq k$  such that **every pair** of vertices in  $U$  is joined by an edge.



# Some More Problems

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- Euler Tour:
  - Given a graph  $G=(V,E)$  is there a cycle traversing each *edge* once.
- Hamilton Tour:
  - Given a graph  $G=(V,E)$  is there a simple cycle of length  $|V|$ , i.e., traversing each *vertex* once.
- TSP:
  - Given a weighted graph  $G=(V,E,w)$  and an integer  $k$ , is there a Hamilton tour of  $G$  with total weight  $\leq k$ .

# Satisfiability

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- Boolean variables  $x_1, \dots, x_n$ 
  - taking values in  $\{0, 1\}$ . 0=false, 1=true
- Literals
  - $x_i$  or  $\neg x_i$  for  $i=1, \dots, n$
- Clause
  - a logical OR of one or more literals
  - e.g.  $(x_1 \vee \neg x_3 \vee x_7 \vee x_{12})$
- CNF formula
  - a logical AND of a bunch of clauses

# Satisfiability

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- CNF formula example
  - $(x_1 \vee \neg x_3 \vee x_7 \vee x_{12}) \wedge (x_2 \vee \neg x_4 \vee x_7 \vee x_5)$
- If there is some assignment of 0's and 1's to the variables that makes it true then we say the formula is *satisfiable*
  - the one above is, the following isn't
  - $x_1 \wedge (\neg x_1 \vee x_2) \wedge (\neg x_2 \vee x_3) \wedge \neg x_3$
- Satisfiability: Given a CNF formula  $F$ , is it satisfiable?

# More History – As of 1970

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- Many of the above problems had been studied for decades
- All had real, practical applications
- *None* had poly time algorithms; exponential was best known
  
- But, it turns out they all have a very deep similarity under the skin

# Some Problem Pairs

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- Euler Tour
- 2-SAT
- Min Cut
- Shortest Path

- Hamilton Tour
- 3-SAT
- Max Cut
- Longest Path

← Similar pairs; seemingly different computationally →

↑ Superficially different; similar computationally ↓



# Common property of these problems

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- There is a special piece of information, a **short hint** or proof, that allows you to efficiently (in polynomial-time) verify that the YES answer is correct. This hint might be very hard to find
- e.g.
  - **TSP**: the tour itself,
  - **Independent-Set, Clique**: the set **U**
  - **Satisfiability**: an assignment that makes **F** true.
  - **Quadratic Diophantine eqns**: the numbers  $x$  &  $y$ .

# The complexity class NP

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NP consists of all decision problems where

- You can **verify** the YES answers efficiently (in polynomial time) given a short (polynomial-size) hint

And

- No hint can fool your polynomial time verifier into saying YES for a NO instance
- (implausible for all exponential time problems)

# More Precise Definition of NP

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- A decision problem is in NP iff there is a polynomial time procedure  $v(.,.)$ , and an integer  $k$  such that
  - for every YES problem instance  $x$  there is a hint  $h$  with  $|h| \leq |x|^k$  such that  $v(x,h) = \text{YES}$and
  - for every NO problem instance  $x$  there is *no* hint  $h$  with  $|h| \leq |x|^k$  such that  $v(x,h) = \text{YES}$
- “Hints” sometimes called “Certificates”

# Example: CLIQUE is in NP

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procedure  $v(x,h)$

if

$x$  is a well-formed representation of a graph  $G = (V, E)$  and an integer  $k$ ,

and

$h$  is a well-formed representation of a  $k$ -vertex subset  $U$  of  $V$ ,

and

$U$  is a clique in  $G$ ,

then output "YES"

else output "I'm unconvinced"

# Is it correct?

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- For every  $x = (G, k)$  such that  $G$  contains a  $k$ -clique, there is a hint  $h$  that will cause  $v(x, h)$  to say YES, namely  $h =$  a list of the vertices in such a  $k$ -clique

and

- No hint can fool  $v$  into saying yes if either  $x$  isn't well-formed (the uninteresting case) or if  $x = (G, k)$  but  $G$  does not have any cliques of size  $k$  (the interesting case)

# Another example: $SAT \in NP$

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- Hint: the satisfying assignment  $A$
- Verifier:  $v(F,A) = \text{syntax}(F,A) \ \&\& \ \text{satisfies}(F,A)$ 
  - Syntax: True iff  $F$  is a well-formed formula &  $A$  is a truth-assignment to its variables
  - Satisfies: plug  $A$  into  $F$  and evaluate
- Correctness:
  - If  $F$  is satisfiable, it has some satisfying assignment  $A$ , and we'll recognize it
  - If  $F$  is unsatisfiable, it doesn't, and we won't be fooled

# Keys to showing that a problem is in NP

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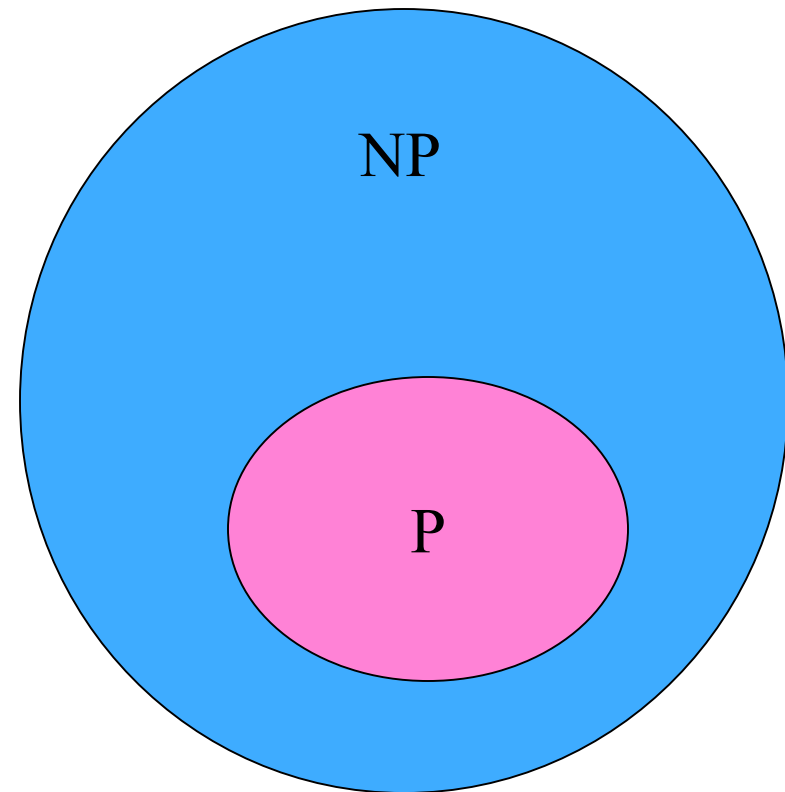
- What's the output? (must be YES/NO)
- What's the input? Which are YES?
- For every given YES input, is there a hint that would help? Is it polynomial length?
  - OK if some inputs need no hint
- For any given NO input, is there a hint that would trick you?

# Complexity Classes

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**NP** = Polynomial-time  
**verifiable**

**P** = Polynomial-time  
**solvable**





# Solving NP problems without hints

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- The only obvious algorithm for most of these problems is brute force:
  - try all possible hints and check each one to see if it works.
  - Exponential time:
    - $2^n$  truth assignments for  $n$  variables
    - $n!$  possible TSP tours of  $n$  vertices
    - $\binom{n}{k}$  possible  $k$  element subsets of  $n$  vertices
    - etc.
- ...and to date, even much less-obvious algs are slow, too

# Problems in P can also be verified in polynomial-time

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**Shortest Path**: Given a graph  $G$  with edge lengths, is there a path from  $s$  to  $t$  of length  $\leq k$ ?

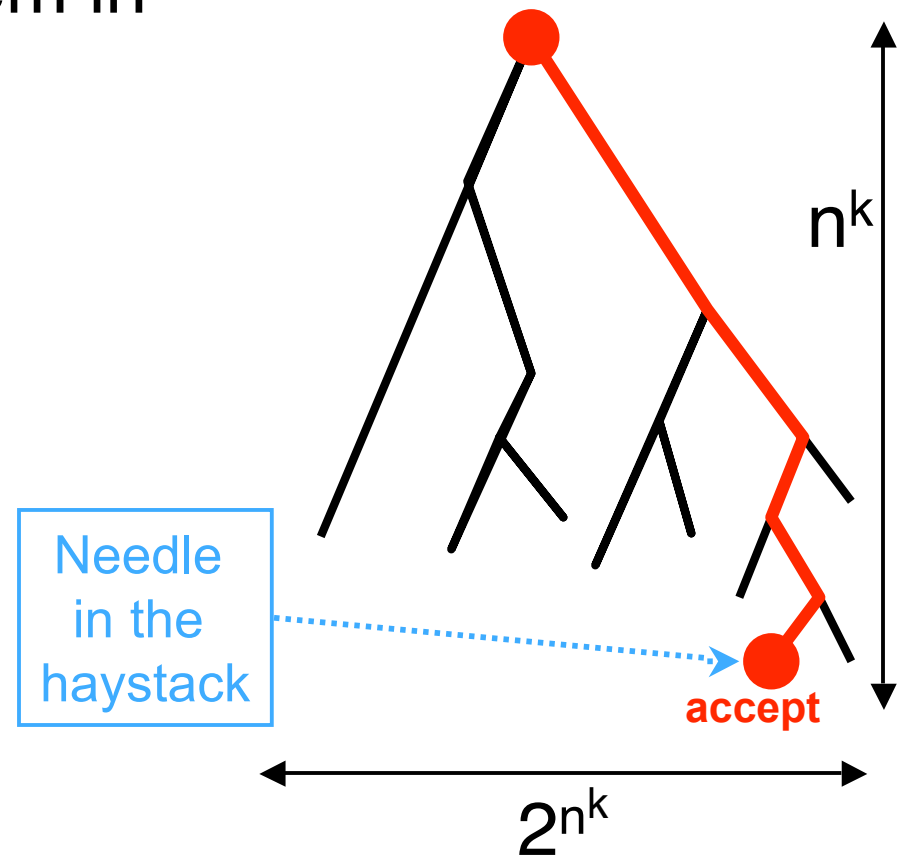
**Verify**: Given a purported path from  $s$  to  $t$ , is it a path, is its length  $\leq k$ ?

**Small Spanning Tree**: Given a weighted undirected graph  $G$ , is there a spanning tree of weight  $\leq k$ ?

**Verify**: Given a purported spanning tree, is it a spanning tree, is its weight  $\leq k$ ?

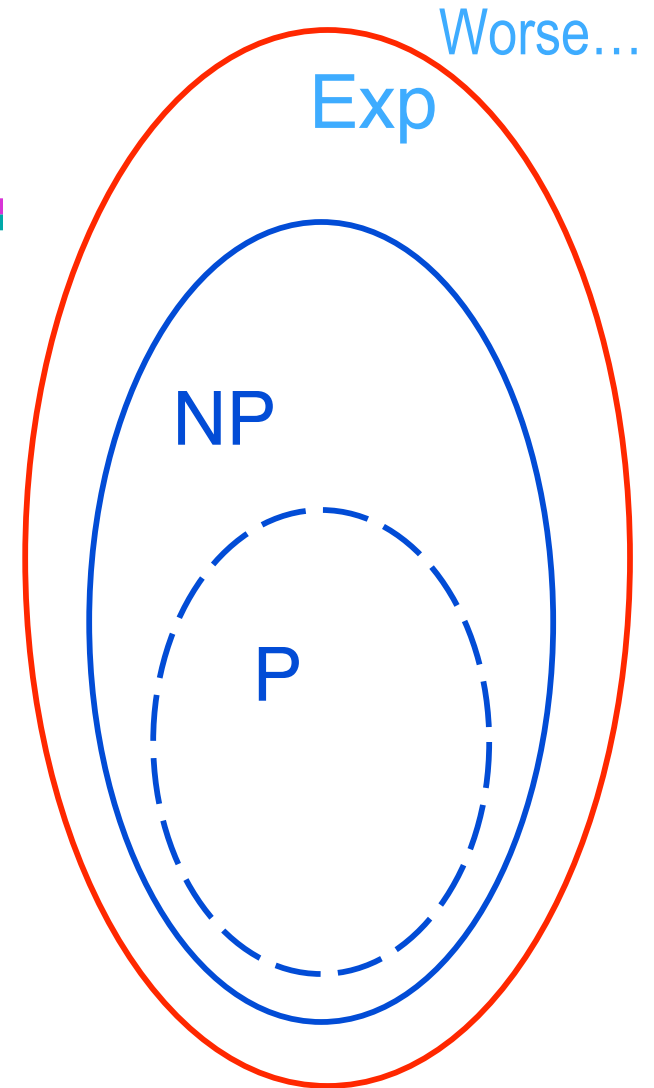
# P vs NP vs Exponential Time

- Theorem: Every problem in NP can be solved deterministically in exponential time
- Proof: “hints” are only  $n^k$  long; try all  $2^{n^k}$  possibilities, say by backtracking. If any succeed, say YES; if all fail, say NO.



# P and NP

- Every problem in **P** is in **NP**
  - one doesn't even need a hint for problems in **P** so just ignore any hint you are given
- Every problem in **NP** is in exponential time
- I.e.,  $P \subseteq NP \subseteq \text{Exp}$
- We know  $P \neq \text{Exp}$ , so either  $P \neq NP$ , or  $NP \neq \text{Exp}$  (most likely both)



# P vs NP

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- Theory
  - $P = NP$  ?
  - Open Problem!
  - I bet against it
- Practice
  - Many interesting, useful, natural, well-studied problems known to be NP-complete
  - With rare exceptions, no one routinely succeeds in finding exact solutions to large, arbitrary instances

# A problem NOT in NP; A bogus “proof” to the contrary

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- $EEXP = \{(p,x) \mid \text{program } p \text{ accepts input } x \text{ in } < 2^{2^{|x|}} \text{ steps}\}$

**NON** Theorem:  $EEXP$  in NP

- “Proof” 1: Hint = step-by-step trace of the computation of  $p$  on  $x$ ; verify step-by-step

# More Connections

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- Some Examples in NP
  - Satisfiability
  - Independent-Set
  - Clique
  - Vertex Cover
- All hard to solve; hints seem to help on all
- Very surprising fact:
  - Fast solution to *any* gives fast solution to *all!*

# The class NP-complete

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We are pretty sure that no problem in  $NP - P$  can be solved in polynomial time.

**Non-Definition:** NP-complete = the **hardest** problems in the class NP. (Formal definition later.)

**Interesting fact:** If any one NP-complete problem could be solved in polynomial time, then *all* NP problems could be solved in polynomial time.



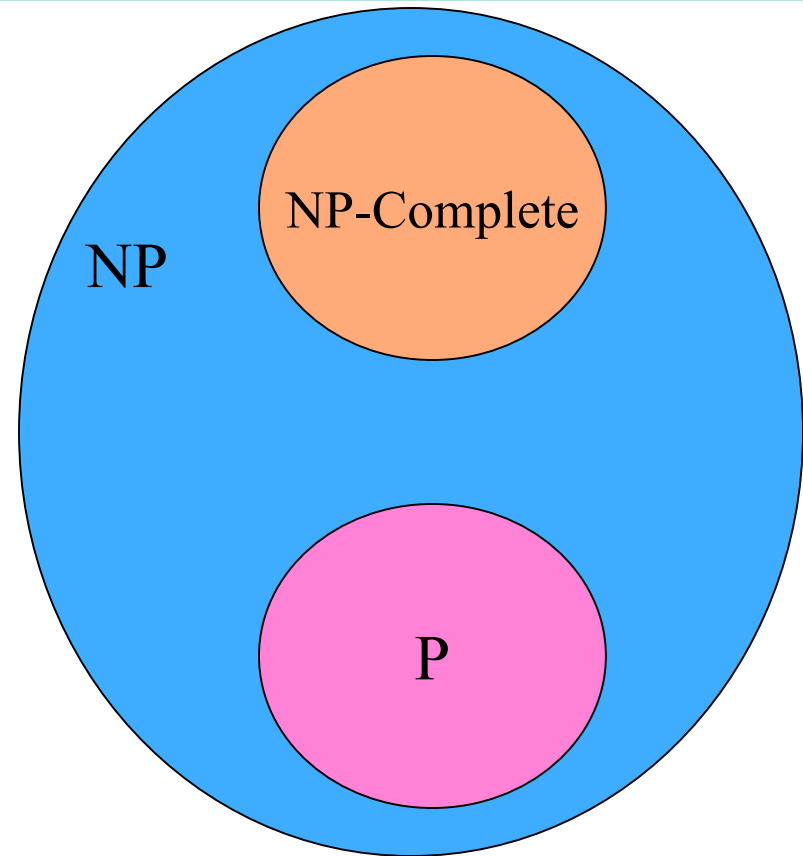
# Complexity Classes

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**NP** = Poly-time **verifiable**

**P** = Poly-time **solvable**

**NP-Complete** = “**Hardest**”  
problems in **NP**



# The class NP-complete (cont.)

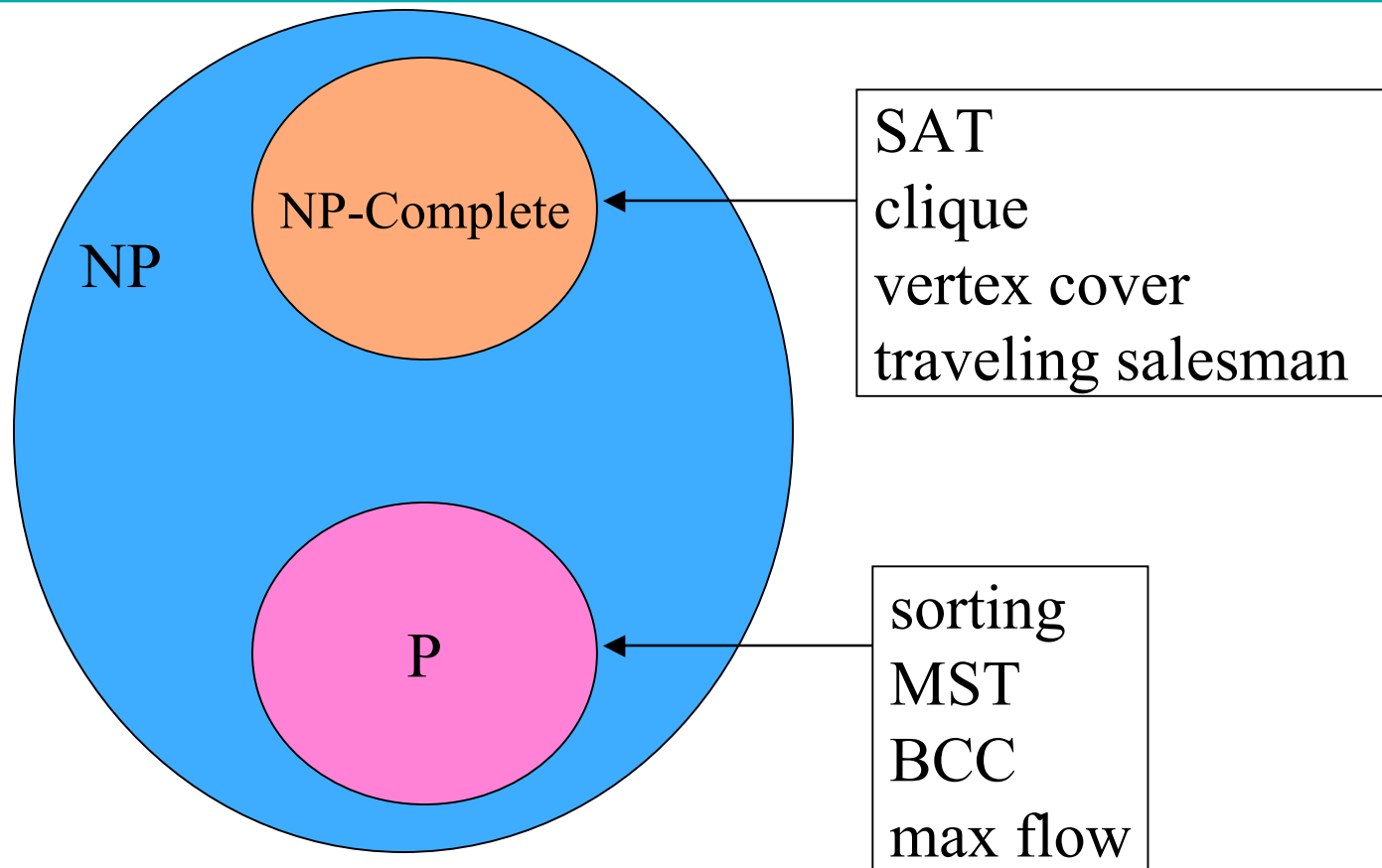
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Thousands of important problems have been shown to be NP-complete.

**Fact (Dogma):** The general belief is that there is no efficient algorithm for any **NP-complete** problem, but no proof of that belief is known.

**Examples:** SAT, clique, vertex cover, Hamiltonian cycle, TSP, bin packing.

# Complexity Classes of Problems



# Does $P = NP$ ?

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- This is an open question.
- To show that  $P = NP$ , we have to show that *every* problem that belongs to NP can be solved by a polynomial time deterministic algorithm.
- No one has shown this yet.
- (It seems unlikely to be true.)

# Is all of this useful for anything?

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Earlier in this class we learned techniques for solving problems in **P**.

**Question:** Do we just throw up our hands if we come across a problem we suspect **not to be in P**?

# Dealing with NP-complete Problems

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**What if I think my problem is not in P?**

Here is what you might do:

- 1) Prove your problem is **NP-hard** or **-complete**  
(a common, but not guaranteed outcome)
- 2) Come up with an algorithm to solve the problem **usually** or **approximately**.

# Reductions: a useful tool

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**Definition:** To **reduce** A to B means to solve A, given a subroutine solving B.

**Example:** reduce MEDIAN to SORT

Solution: sort, then select  $(n/2)^{\text{nd}}$

**Example:** reduce SORT to FIND\_MAX

Solution: FIND\_MAX, remove it, repeat

**Example:** reduce MEDIAN to FIND\_MAX

Solution: transitivity: compose solutions above.

# Reductions: Why useful

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**Definition:** To **reduce** A to B means to solve A, given a subroutine solving B.

Fast algorithm for B implies fast algorithm for A  
(nearly as fast; takes some time to set up call, etc.)

If *every* algorithm for A is slow, then *no* algorithm for B can be fast.

“complexity of A”  $\leq$  “complexity of B” + “complexity of reduction”



# The growth of the number of NP-complete problems

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- Steve Cook (1971) showed that SAT was NP-complete.
- Richard Karp (1972) found 24 more NP-complete problems.
- Today there are thousands of known NP-complete problems.
  - Garey and Johnson (1979) is an excellent source of NP-complete problems.

# SAT is NP-complete

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## Cook's theorem: SAT is NP-complete

### Satisfiability (SAT)

A Boolean formula in conjunctive normal form (CNF) is **satisfiable** if there exists a truth assignment of 0's and 1's to its variables such that the value of the expression is 1. Example:

$$S=(x+y+\neg z)\cdot(\neg x+y+z)\cdot(\neg x+\neg y+\neg z)$$

Example above is satisfiable. (We can see this by setting  $x=1$ ,  $y=1$  and  $z=0$ .)

# How do you prove problem $A$ is NP-complete?

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- 1) **Prove  $A$  is in NP:** show that given a solution, it can be verified in polynomial time.
- 2) **Prove that  $A$  is NP-hard:**
  - a) Select a **known NP-complete problem  $B$** .
  - b) Describe a polynomial time computable algorithm that computes a function  $f$ , **mapping every instance of  $B$  to an instance of  $A$** . (that is:  $B \leq_p A$ )
  - c) Prove that if  $b$  is a **yes**-instance of  $B$  then  $f(b)$  is a **yes**-instance of  $A$ . Conversely, if  $f(b)$  is a **yes**-instance of  $A$ , then  $b$  must be **yes**-instance of  $B$ .
  - d) Prove that the algorithm computing  **$f$  runs in polynomial time**.

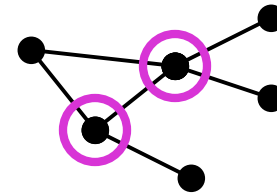
# NP-complete problem: Vertex Cover

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**Input:** Undirected graph  $G = (V, E)$ , integer  $k$ .

**Output:** True iff there is a subset  $C$  of  $V$  of size  $\leq k$  such that every edge in  $E$  is incident to at least one vertex in  $C$ .

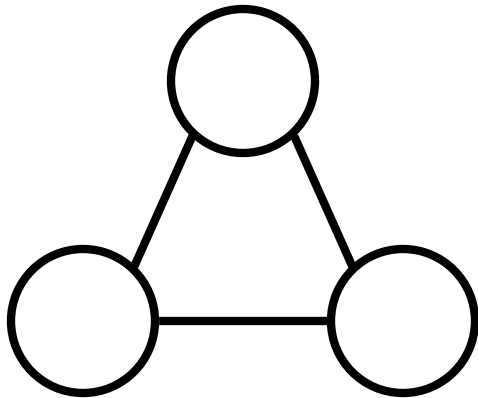
**Example:** Vertex cover of size  $\leq 2$ .



**In NP?** Exercise

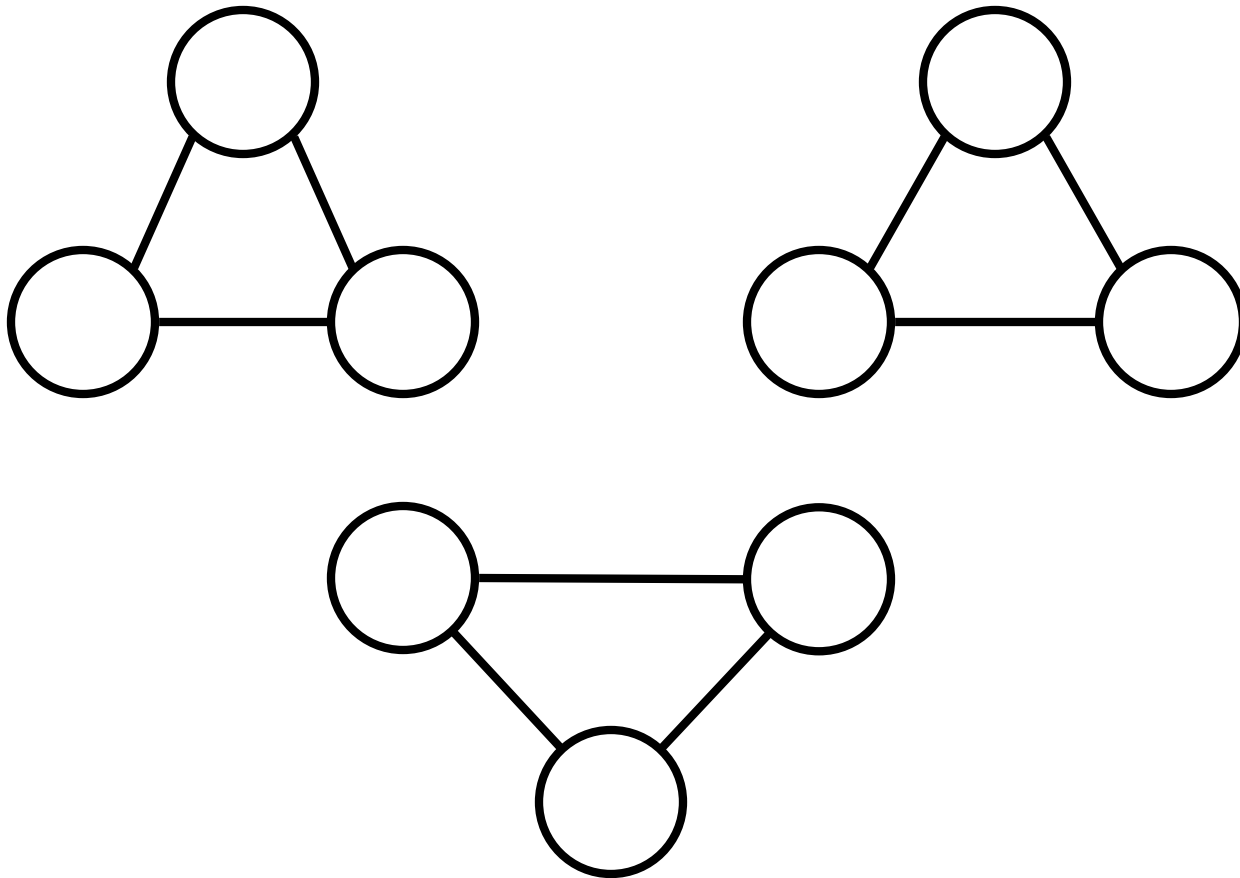
# 3SAT $\leq_p$ VertexCover

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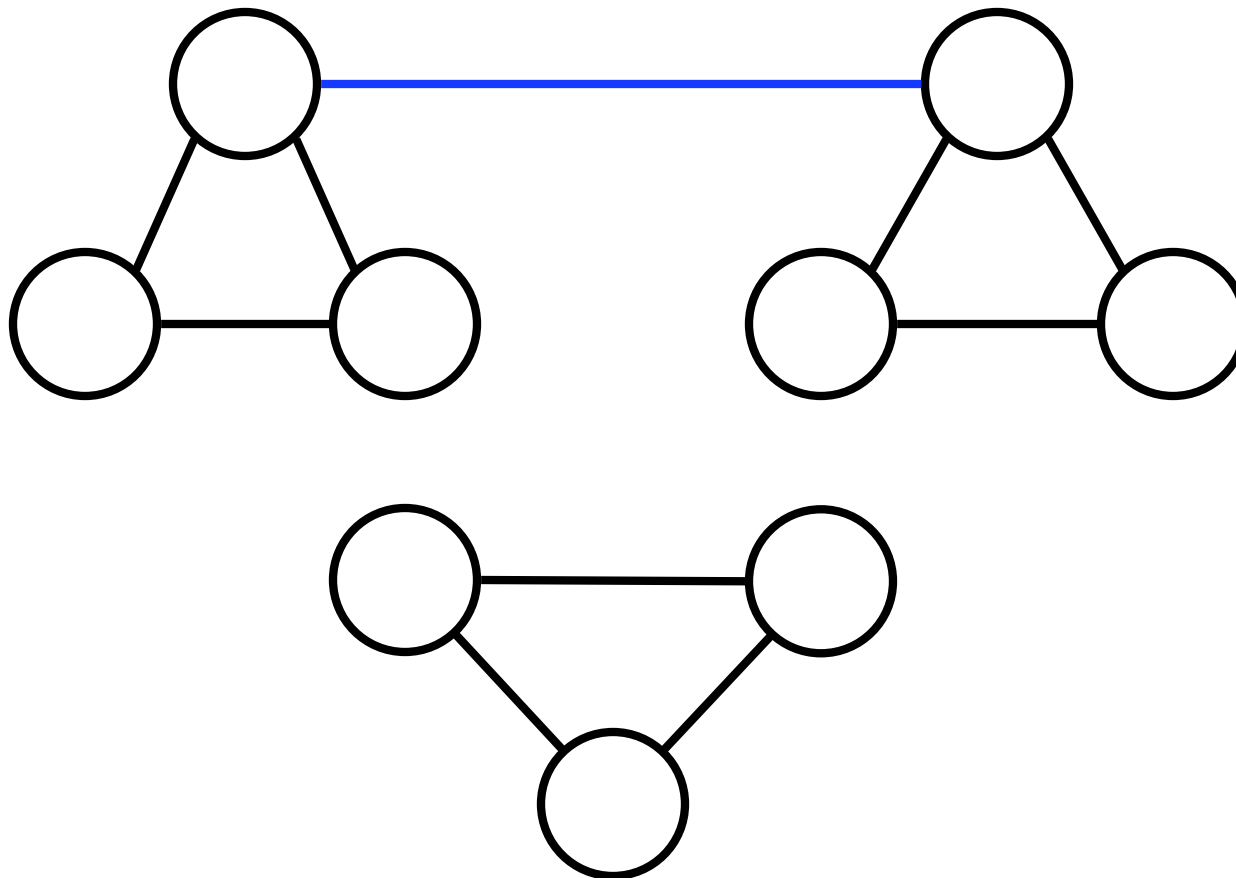
# 3SAT $\leq_p$ VertexCover

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# 3SAT $\leq_p$ VertexCover

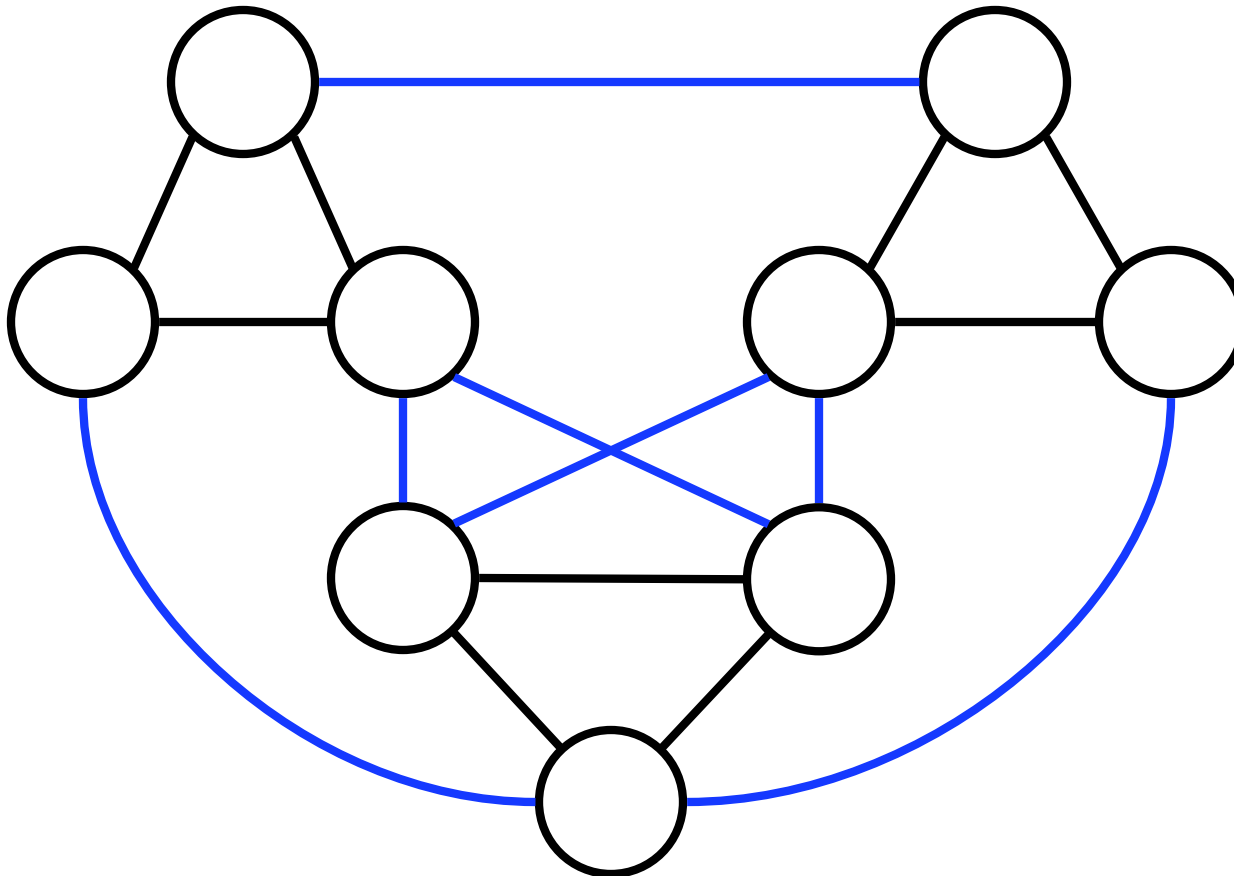
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# 3SAT $\leq_p$ VertexCover

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k=6

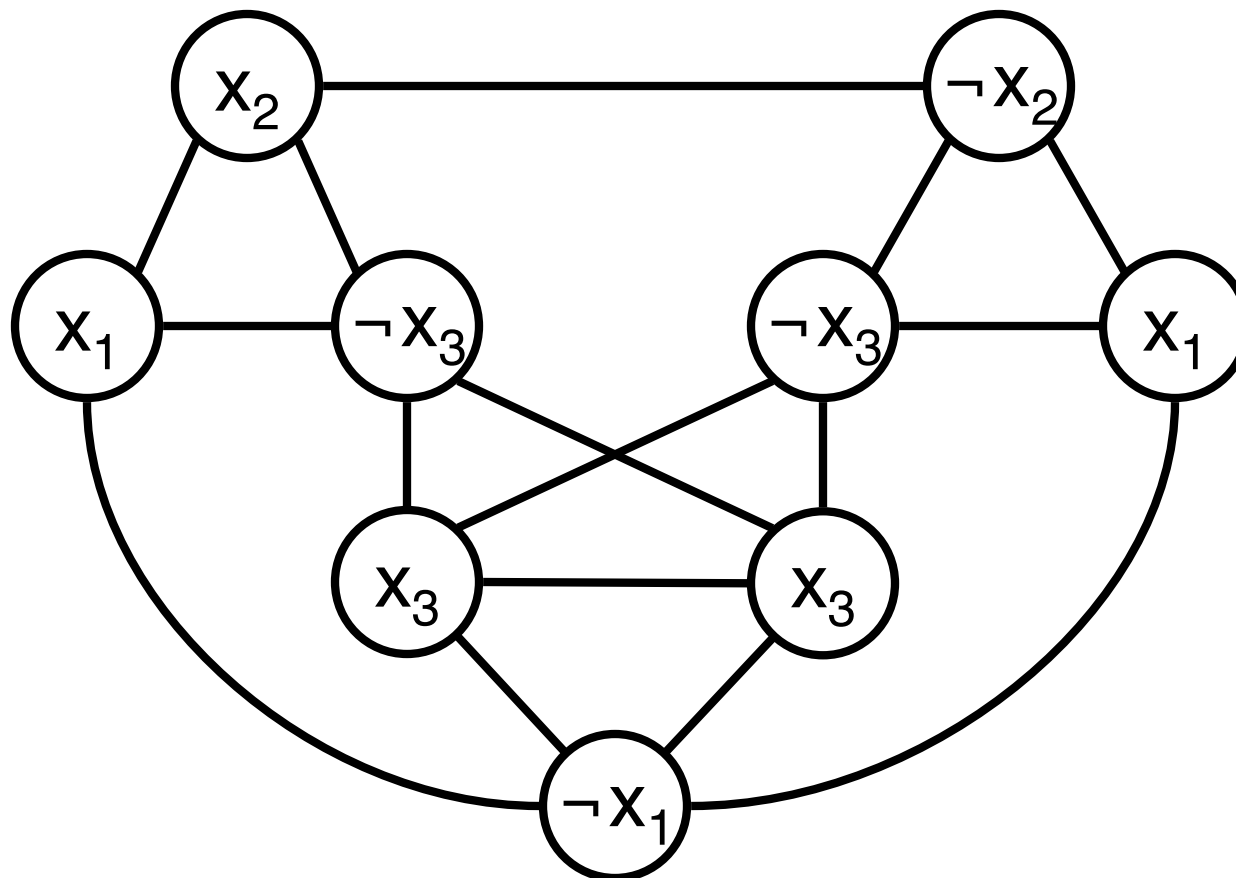




# 3SAT $\leq_p$ VertexCover

$$(X_1 \vee X_2 \vee \neg X_3) \wedge (X_1 \vee \neg X_2 \vee \neg X_3) \wedge (\neg X_1 \vee X_3)$$

k=6



# 3SAT $\leq_p$ VertexCover

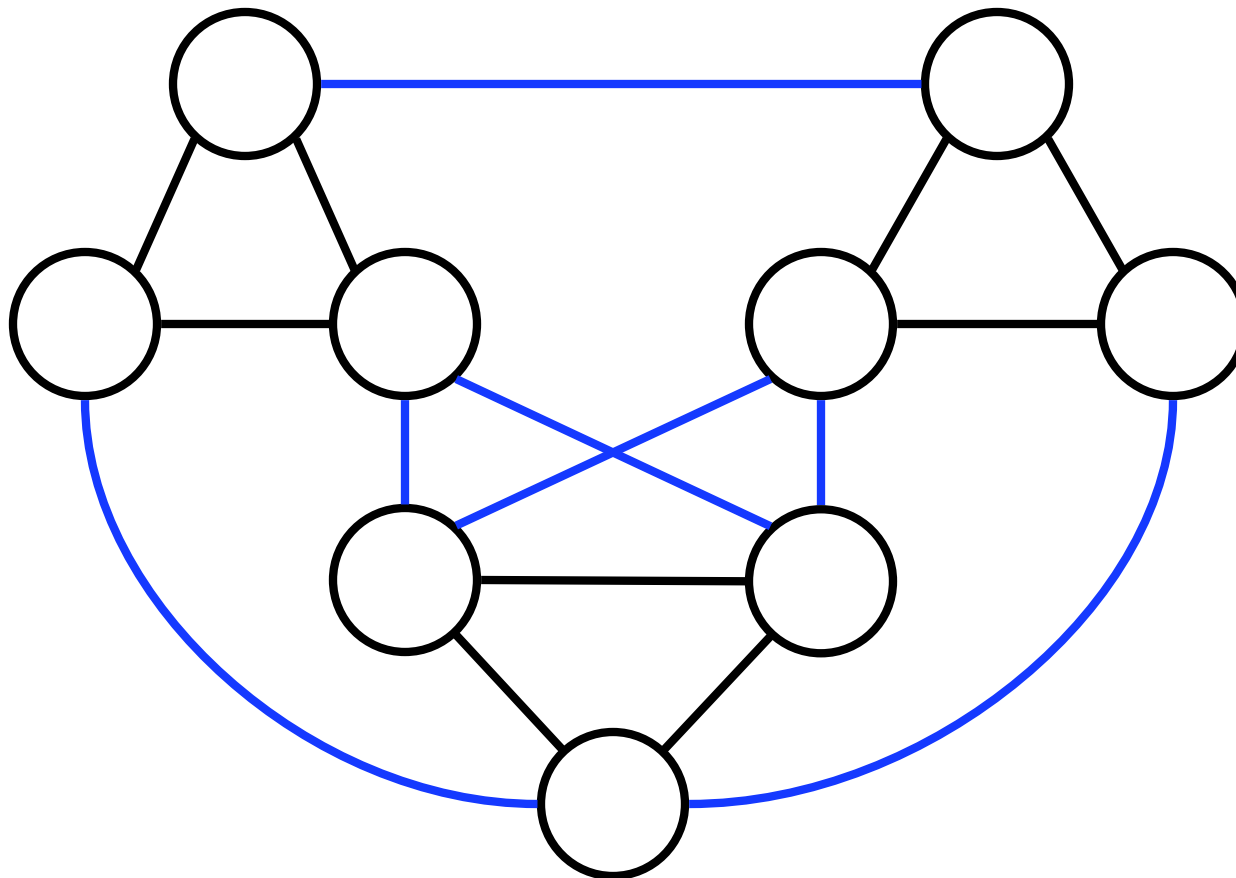
**f**  $\left( \begin{array}{l} \text{3-SAT Instance:} \\ - \text{Variables: } x_1, x_2, \dots \\ - \text{Literals: } y_{i,j}, 1 \leq i \leq q, 1 \leq j \leq 3 \\ - \text{Clauses: } c_l = y_{l1} \vee y_{l2} \vee y_{l3}, 1 \leq l \leq q \\ - \text{Formula: } c = c_1 \wedge c_2 \wedge \dots \wedge c_q \end{array} \right) =$

**VertexCover Instance:**

- $k = 2q$
- $G = (V, E)$
- $V = \{ [i,j] \mid 1 \leq i \leq q, 1 \leq j \leq 3 \}$
- $E = \{ ([i,j], [k,l]) \mid i = k \text{ or } y_{ij} = \neg y_{kl} \}$

# 3SAT $\leq_p$ VertexCover

k=6



# Correctness of “3-SAT $\leq_p$ VertexCover”

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Summary of reduction function  $f$ :

Given formula, make graph  $G$  with one group per clause, one node per literal. Connect each to all nodes in *same* group, *plus* complementary literals  $(x, \neg x)$ . Output graph  $G$  plus integer  $k = 2 * \text{number of clauses}$ .

*Note:*  $f$  does *not* know whether formula is satisfiable or not; does *not* know if  $G$  has  $k$ -cover; does *not* try to find satisfying assignment or cover.

Correctness:

1. Show  $f$  poly time computable: A key point is that graph size is polynomial in formula size; mapping basically straightforward.
2. Show  $c$  in 3-SAT iff  $f(c)=(G,k)$  in VertexCover:
  - ( $\Rightarrow$ ) Given an assignment satisfying  $c$ , pick one true literal per clause. Add *other* 2 nodes of each triangle to cover. Show it is a cover: 2 per triangle cover triangle edges; only true literals (but perhaps not all true literals) uncovered, so at least one end of every  $(x, \neg x)$  edge is covered.
  - ( $\Leftarrow$ ) Given a  $k$ -vertex cover in  $G$ , *uncovered* labels define a valid (perhaps partial) truth assignment since no  $(x, \neg x)$  pair uncovered. It satisfies  $c$  since there is one uncovered node in each clause triangle (else some other clause triangle has  $> 1$  uncovered node, hence an uncovered edge.)

# Utility of “3-SAT $\leq_p$ VertexCover”

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- *Suppose* we had a fast algorithm for VertexCover, then we could get a fast algorithm for 3SAT:
  - Given 3-CNF formula  $w$ , build VertexCover instance  $y = f(w)$  as above, run the fast VC alg on  $y$ ; say “YES,  $w$  is satisfiable” iff VC alg says “YES,  $y$  has a vertex cover of the given size”
- On the other hand, *suppose* no fast alg is possible for 3SAT, then we know none is possible for VertexCover either.

# “3-SAT $\leq_p$ VertexCover”

## Retrospective

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- Previous slide: two *suppositions*
- Somewhat clumsy to have to state things that way.
- Alternative: abstract out the key elements, give it a name (“polynomial time reduction”), then properties like the above always hold.

# Polynomial-Time Reductions

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**Definition:** Let **A** and **B** be two problems.

We say that **A** is **polynomially reducible** to **B** if there exists a polynomial-time algorithm **f** that converts each instance **x** of problem **A** to an instance **f(x)** of **B** such that

**x** is a YES instance of **A** iff **f(x)** is a YES instance of **B**.

$$\mathbf{x} \in \mathbf{A} \iff \mathbf{f(x)} \in \mathbf{B}$$

# Polynomial-Time Reductions (cont.)

**Define:  $A \leq_p B$**  “*A is polynomial-time reducible to B*”, iff there is a polynomial-time computable function  $f$  such that:  $x \in A \Leftrightarrow f(x) \in B$

Why the notation?

“complexity of  $A$ ”  $\leq$  “complexity of  $B$ ” + “complexity of  $f$ ”

polynomial

(1)  $A \leq_p B$  and  $B \in P \Rightarrow A \in P$

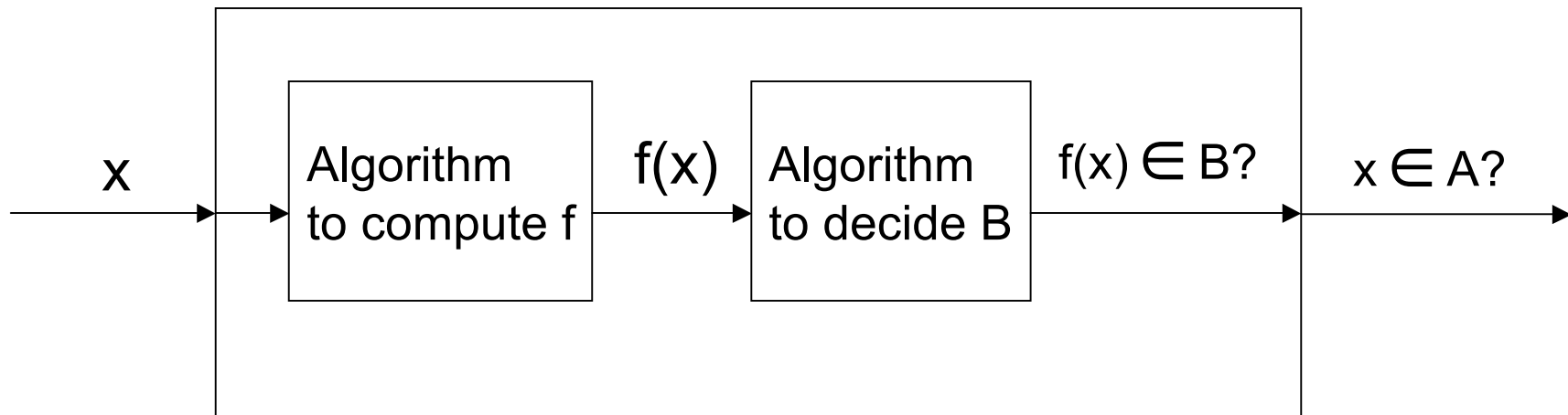
(2)  $A \leq_p B$  and  $A \notin P \Rightarrow B \notin P$

(3)  $A \leq_p B$  and  $B \leq_p C \Rightarrow A \leq_p C$  (transitivity)



# Using an Algorithm for $B$ to Decide $A$

Algorithm to decide  $A$



***“If  $A \leq_p B$ , and we can solve  $B$  in polynomial time, then we can solve  $A$  in polynomial time also.”***

Ex: suppose  $f$  takes  $O(n^3)$  and algorithm for  $B$  takes  $O(n^2)$ .  
How long does the above algorithm for  $A$  take?

# Definition of NP-Completeness

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**Definition:** Problem  $B$  is **NP-hard** if *every* problem in NP is polynomially reducible to  $B$ .

**Definition:** Problem  $B$  is **NP-complete** if:

- (1)  $B$  belongs to NP, and
- (2)  $B$  is NP-hard.

# Proving a problem is NP-complete

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- Technically, for condition (2) we have to show that **every** problem in NP is reducible to B. (yikes!) This sounds like a lot of work.
- For the **very first NP-complete problem** (SAT) this had to be proved directly.
- However, once we have one NP-complete problem, then we don't have to do this every time.
- Why? Transitivity.

# Re-stated Definition

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**Lemma:** Problem  $B$  is **NP-complete** if:

- (1)  $B$  belongs to NP, and
- (2')  $A$  is polynomial-time reducible to  $B$ , for some problem  $A$  that is NP-complete.

That is, to show (2') given a new problem  $B$ , it is sufficient to show that SAT or any other NP-complete problem is polynomial-time reducible to  $B$ .

# Usefulness of Transitivity

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Now we only have to show  $L' \leq_p L$ , for some problem  $L' \in \mathbf{NP-complete}$ , in order to show that  $L$  is NP-hard. Why is this equivalent?

1) Since  $L' \in \mathbf{NP-complete}$ , we know that  $L'$  is NP-hard. That is:

$$\forall L'' \in \mathbf{NP}, \text{ we have } L'' \leq_p L'$$

2) If we show  $L' \leq_p L$ , then by transitivity we know that:  $\forall L'' \in \mathbf{NP}$ , we have  $L'' \leq_p L$ .

**Thus  $L$  is NP-hard.**

# Ex: VertexCover is NP-complete

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- 3-SAT is NP-complete (shown by S. Cook)
- $3\text{-SAT} \leq_p \text{VertexCover}$
- VertexCover is in NP (we showed this earlier)
- Therefore VertexCover is also NP-complete
  
- So, poly-time algorithm for VertexCover would give poly-time algs for *everything* in NP

# Coping with NP-Completeness

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- Is your real problem a special subcase?
  - E.g. 3-SAT is NP-complete, but 2-SAT is not;
  - Ditto 3- vs 2-coloring
  - E.g. maybe you only need planar graphs, or degree 3 graphs, or ...
- Guaranteed approximation good enough?
  - E.g. Euclidean TSP within  $1.5 * \text{Opt}$  in poly time
- Clever exhaustive search may be fast enough in practice, e.g. Backtrack, Branch & Bound, pruning
- Heuristics – usually a good approximation and/or usually fast

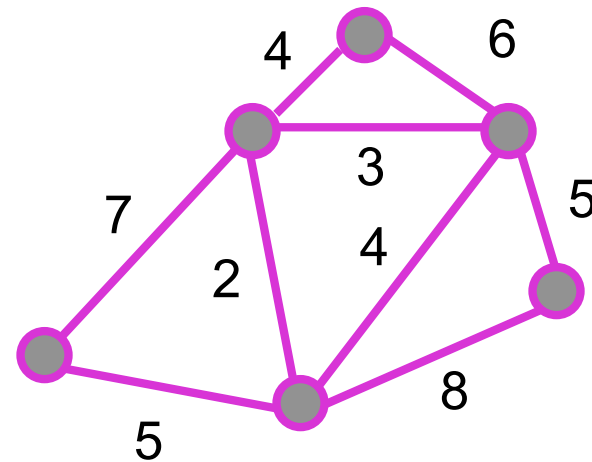
# NP-complete problem: TSP

**Input:** An undirected graph  $G=(V,E)$  with integer edge weights, and an integer  $b$ .

**Output:** YES iff there is a simple cycle in  $G$  passing through all vertices (once), with total cost  $\leq b$ .

**Example:**

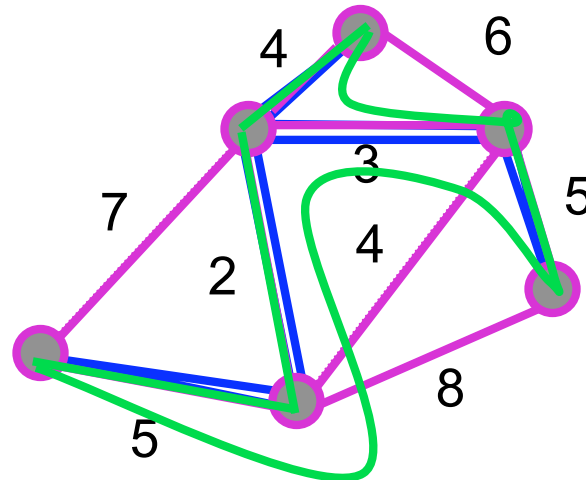
$$b = 34$$





# 2x Approximation to Euclidean TSP

- A TSP tour visits all vertices, so contains a spanning tree, so TSP cost is  $>$  cost of min spanning tree.
- Find MST
- Find “DFS” Tour
- Shortcut
- $TSP \leq \text{shortcut} < DFST = 2 * MST < 2 * TSP$



# Summary

- Big-O – good
- P – good
- Exp – bad
- Exp, but hints help? NP
- NP-hard, NP-complete – bad (I bet)
- To show NP-complete – reductions
- NP-complete = hopeless? – no, but you need to lower your expectations: heuristics & approximations.

