

CSE 417: Algorithms and Computational Complexity

Winter 2005

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Lectures 13-17

Divide and Conquer Algorithms

The Divide and Conquer Paradigm

- Outline:
 - General Idea
 - Review of Merge Sort
 - Why does it work?
 - | Importance of balance
 - | Importance of super-linear growth
 - Two interesting applications
 - | Polynomial Multiplication
 - | Matrix Multiplication
 - Finding & Solving Recurrences

Algorithm Design Techniques

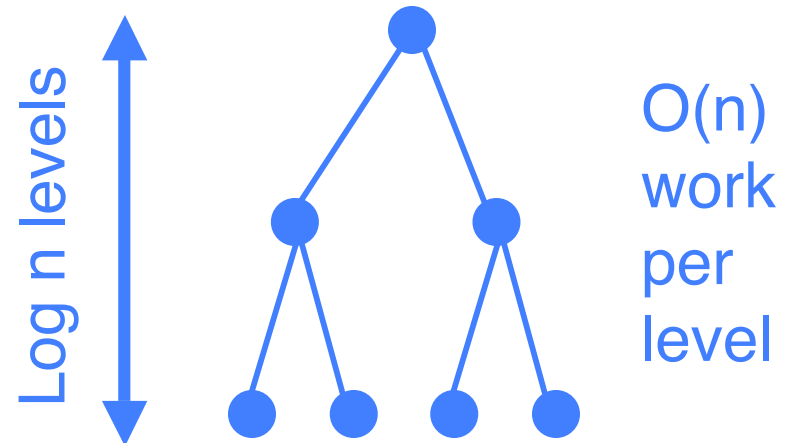
■ Divide & Conquer

- Reduce problem to one or more sub-problems of the same type
- Typically, each sub-problem is at most a constant fraction of the size of the original problem
 - e.g. Mergesort, Binary Search, Strassen's Algorithm, Quicksort (kind of)

Mergesort (review)

Mergesort: (recursively) sort 2 half-lists, then merge results.

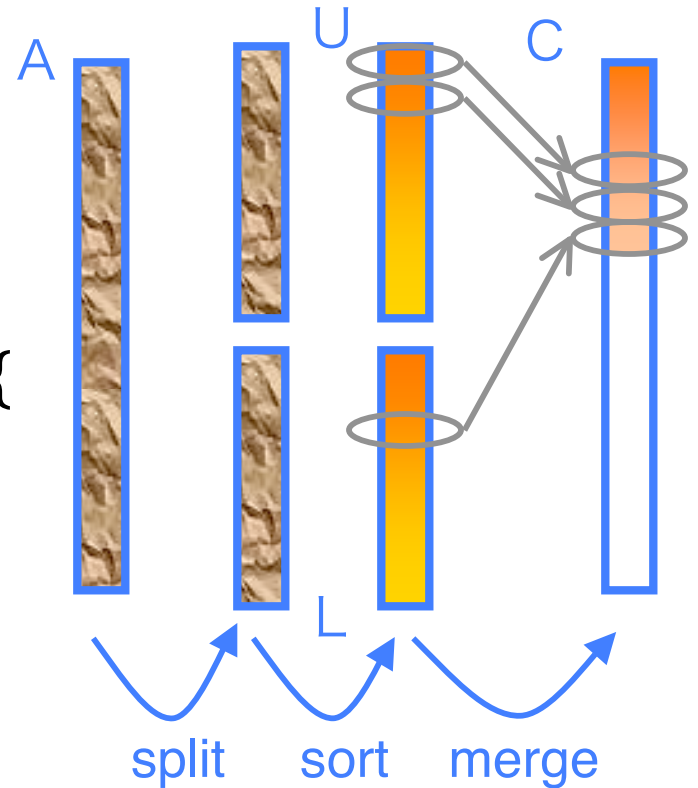
- $T(n) = 2T(n/2) + cn, n \geq 2$
- $T(1) = 0$
- Solution: $\Theta(n \log n)$



Merge Sort

```
MS(A: array[1..n]) returns array[1..n] {  
  If(n=1) return A[1];  
  New U:array[1:n/2] = MS(A[1..n/2]);  
  New L:array[1:n/2] = MS(A[n/2+1..n]);  
  Return(Merge(U,L));  
}
```

```
Merge(U,L: array[1..n]) {  
  New C: array[1..2n];  
  a=1; b=1;  
  For i = 1 to 2n  
    C[i] = "smaller of U[a], L[b] and correspondingly a++ or b++";  
  Return C;  
}
```



Going From Code to Recurrence

1. Carefully define what you're counting, and write it down!
“Let $C(n)$ be the number of comparisons between sort keys used by MergeSort when sorting a list of length $n \geq 1$ ”
2. In code, clearly separate **base case** from **recursive case**, highlight **recursive calls**, and **operations being counted**.
3. Write Recurrence(s)

Merge Sort

Base Case

MS(A: array[1..n]) returns array[1..n] {

If(n=1) return A[1];

New L:array[1:n/2] = MS(A[1..n/2]);

New R:array[1:n/2] = MS(A[n/2+1..n]);

Return(Merge(L,R));

}

Merge(A,B: array[1..n]) {

New C: array[1..2n];

a=1; b=1;

For i = 1 to 2n {

C[i] = smaller of A[a], B[b] and a++ or b++";

Return C;

}

Recursive calls

Recursive case

Operations being counted

The Recurrence

$$C(n) = \begin{cases} 0 & \text{if } n = 1 \\ 2C(n/2) + (n - 1) & \text{if } n > 1 \end{cases}$$

Base case

Recursive calls

One compare per element added to merged list, except the last.

Total time: proportional to $C(n)$

(loops, copying data, parameter passing, etc.)

Why Balanced Subdivision?

- Alternative "divide & conquer" algorithm:
 - Sort $n-1$
 - Sort last 1
 - Merge them
- $T(n) = T(n-1) + T(1) + 3n$ for $n \geq 2$
- $T(1) = 0$
- Solution: $3n + 3(n-1) + 3(n-2) \dots = \Theta(n^2)$

Another D&C Approach

- Suppose we've already invented DumbSort, taking time n^2
- Try *Just One Level* of divide & conquer:
 - DumbSort(first $n/2$ elements)
 - DumbSort(last $n/2$ elements)
 - Merge results
- Time: $(n/2)^2 + (n/2)^2 + n = n^2/2 + n$
 - Almost twice as fast!

Another D&C Approach, cont.

- Moral 1:

Two problems of half size are *better* than one full-size problem, even given the $O(n)$ overhead of recombining, since the base algorithm has *super-linear* complexity.

- Moral 2:

If a little's good, then more's better—two levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing. Best is usually full recursion down to some small constant size (balancing "work" vs "overhead").

Another D&C Approach, cont.

■ Moral 3: unbalanced division less good:

- $(.1n)^2 + (.9n)^2 + n = .82n^2 + n$

- The 18% savings compounds significantly if you carry recursion to more levels, actually giving $O(n \log n)$, but with a bigger constant. So worth doing if you can't get 50-50 split, but balanced is better if you can.
- This is intuitively why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.

- $(1)^2 + (n-1)^2 + n = n^2 - 2n + 2 + n$

- Little improvement here.

Another D&C Example: Multiplying Faster

- On the first HW you analyzed our usual algorithm for multiplying numbers
 - $\Theta(n^2)$ time
- We can do better!
 - We'll describe the basic ideas by multiplying polynomials rather than integers
 - Advantage is we don't get confused by worrying about carries at first

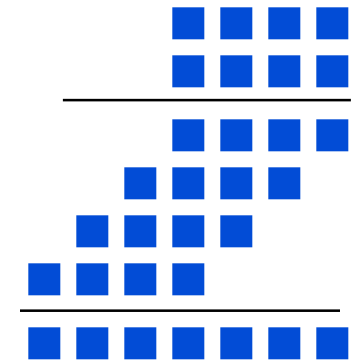
Notes on Polynomials

- These are just formal sequences of coefficients so when we show something multiplied by x^k it just means shifted k places to the left – basically no work

- Usual Polynomial Multiplication:

$$\begin{array}{r} 3x^2 + 2x + 2 \\ x^2 - 3x + 1 \\ \hline 3x^2 + 2x + 2 \\ -9x^3 - 6x^2 - 6x \\ \hline 3x^4 + 2x^3 + 2x^2 \\ \hline 3x^4 - 7x^3 - x^2 - 4x + 2 \end{array}$$

Polynomial Multiplication



■ Given:

- Degree $m-1$ polynomials P and Q

- $P = a_0 + a_1 x + a_2 x^2 + \dots + a_{m-2} x^{m-2} + a_{m-1} x^{m-1}$

- $Q = b_0 + b_1 x + b_2 x^2 + \dots + b_{m-2} x^{m-2} + b_{m-1} x^{m-1}$

■ Compute:

- Degree $2m-2$ Polynomial PQ

- $PQ = a_0 b_0 + (a_0 b_1 + a_1 b_0) x + (a_0 b_2 + a_1 b_1 + a_2 b_0) x^2$
 $+ \dots + (a_{m-2} b_{m-1} + a_{m-1} b_{m-2}) x^{2m-3} + a_{m-1} b_{m-1} x^{2m-2}$

■ Obvious Algorithm:

- Compute all $a_i b_j$ and collect terms
- $\Theta(m^2)$ time

Naive Divide and Conquer



■ Assume $m=2k$

$$\begin{aligned} \text{■ } P &= (a_0 + a_1 x + a_2 x^2 + \dots + a_{k-2} x^{k-2} + a_{k-1} x^{k-1}) + \\ &\quad (a_k + a_{k+1} x + \dots + a_{m-2} x^{k-2} + a_{m-1} x^{k-1}) x^k \\ &= P_0 + P_1 x^k \end{aligned}$$

$$\text{■ } Q = Q_0 + Q_1 x^k$$

$$\begin{aligned} \text{■ } P Q &= (P_0 + P_1 x^k)(Q_0 + Q_1 x^k) \\ &= P_0 Q_0 + (P_1 Q_0 + P_0 Q_1) x^k + P_1 Q_1 x^{2k} \end{aligned}$$

■ 4 sub-problems of size $k=m/2$ plus linear combining

$$\text{■ } T(m) = 4T(m/2) + cm$$

$$\text{■ } \text{Solution } T(m) = O(m^2)$$

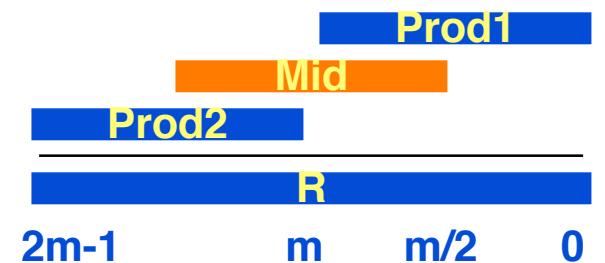
Karatsuba's Algorithm



- A better way to compute terms
 - Compute
 - | P_0Q_0
 - | P_1Q_1
 - | $(P_0+P_1)(Q_0+Q_1)$ which is $P_0Q_0 + \underline{P_1Q_0 + P_0Q_1} + P_1Q_1$
 - Then
 - | $P_0Q_1 + P_1Q_0 = (P_0+P_1)(Q_0+Q_1) - P_0Q_0 - P_1Q_1$
 - 3 sub-problems of size $m/2$ plus $O(m)$ work
 - | $T(m) = 3T(m/2) + cm$
 - | $T(m) = O(m^\alpha)$ where $\alpha = \log_2 3 = 1.59\dots$

Karatsuba: Details

$$P = \begin{matrix} \text{Pone} & \text{Pzero} \\ \text{Qone} & \text{Qzero} \end{matrix}$$



PolyMul(P, Q):

// P, Q are length $m = 2k$ vectors, with $P[i]$, $Q[i]$ being
 // the coefficient of x^i in polynomials P, Q respectively.

if ($m == 1$) return ($P[0] * Q[0]$);

Let Pzero be elements $0..k-1$ of P; Pone be elements $k..m-1$

Qzero, Qone : similar

Prod1 = PolyMul(Pzero, Qzero); // result is a $(2k-1)$ -vector

Prod2 = PolyMul(Pone, Qone); // ditto

Pzo = Pzero + Pone; // add corresponding elements

Qzo = Qzero + Qone; // ditto

Prod3 = polyMul(Pzo, Qzo); // another $(2k-1)$ -vector

Mid = Prod3 - Prod1 - Prod2; // subtract corr. elements

R = Prod1 + Shift(Mid, $m/2$) + Shift(Prod2, m) // a $(2m-1)$ -vector

Return(R);

Multiplication – The Bottom Line

■ Polynomials

- Naïve: $\Theta(n^2)$
- Karatsuba: $\Theta(n^{1.59\dots})$
- Best known: $\Theta(n \log n)$
 - "Fast Fourier Transform"

■ Integers

- Similar, but some ugly details re: carries, etc. gives $\Theta(n \log n \log \log n)$,
 - but mostly unused in practice

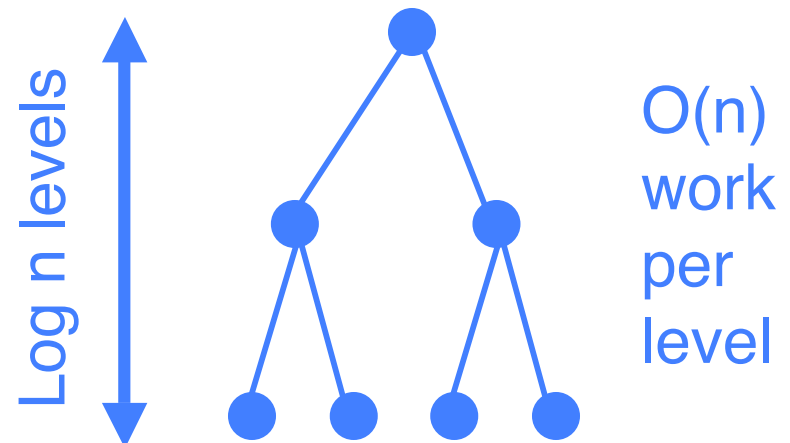
Recurrences

- Where they come from,
how to find them (above)
- Next: how to solve them

Mergesort (review)

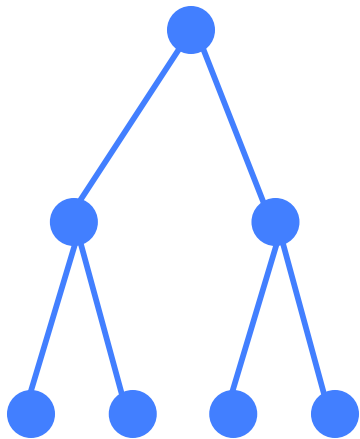
Mergesort: (recursively) sort 2 half-lists, then merge results.

- $T(n) = 2T(n/2) + cn, n \geq 2$
- $T(1) = 0$
- Solution: $\Theta(n \log n)$



Solve: $T(1) = c$

$$T(n) = 2 T(n/2) + cn$$



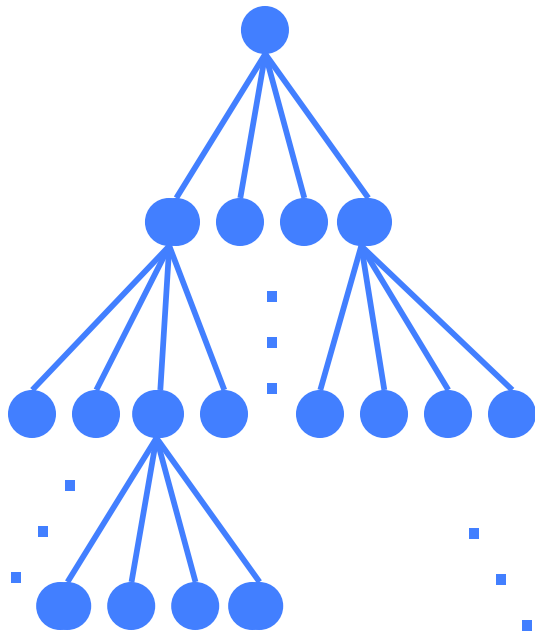
Level	Num	Size	Work
0	$1=2^0$	n	cn
1	$2=2^1$	n/2	2 c n/2
2	$4=2^2$	n/4	4 c n/4
...
i	2^i	n/2 ⁱ	2 ⁱ c n/2 ⁱ
...
k-1	2^{k-1}	n/2 ^{k-1}	2 ^{k-1} c n/2 ^{k-1}
k	2^k	n/2 ^k =1	2 ^k T(1)

Total work: add last col



Solve: $T(1) = c$

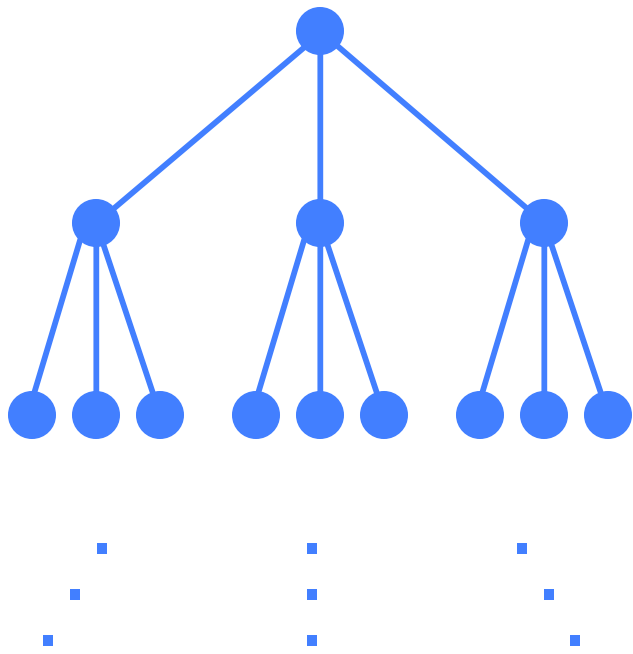
$$T(n) = 4 T(n/2) + cn$$



Level	Num	Size	Work
0	$1=4^0$	n	cn
1	$4=4^1$	$n/2$	$4 c n/2$
2	$16=4^2$	$n/4$	$16 c n/4$
...
i	4^i	$n/2^i$	$4^i c n/2^i$
...
$k-1$	4^{k-1}	$n/2^{k-1}$	$4^{k-1} c n/2^{k-1}$
k	4^k	$n/2^k=1$	$4^k T(1)$

Solve: $T(1) = c$

$T(n) = 3 T(n/2) + cn$



$n = 2^k ; k = \log_2 n$

Level	Num	Size	Work
0	$1=3^0$	n	cn
1	$3=3^1$	$n/2$	$3 c n/2$
2	$9=3^2$	$n/4$	$9 c n/4$
...
i	3^i	$n/2^i$	$3^i c n/2^i$
...
$k-1$	3^{k-1}	$n/2^{k-1}$	$3^{k-1} c n/2^{k-1}$
k	3^k	$n/2^k=1$	$3^k T(1)$

Total Work: $T(n) = \sum_{i=0}^k 3^i cn / 2^i$

Solve: $T(1) = c$

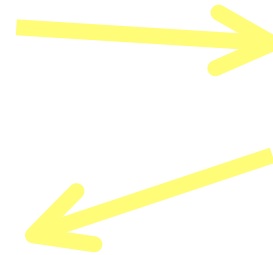
$T(n) = 3 T(n/2) + cn$ (cont.)

$$T(n) = \sum_{i=0}^k 3^i cn / 2^i$$

$$= cn \sum_{i=0}^k 3^i / 2^i$$

$$= cn \sum_{i=0}^k \left(\frac{3}{2}\right)^i$$

$$= cn \frac{\left(\frac{3}{2}\right)^{k+1} - 1}{\left(\frac{3}{2}\right) - 1}$$



$$\sum_{i=0}^k x^i = \frac{x^{k+1} - 1}{x - 1} \quad (x \neq 1)$$

Solve: $T(1) = c$

$$**T(n) = 3 T(n/2) + cn \quad (\text{cont.})**$$

$$= 2cn \left(\left(\frac{3}{2} \right)^{k+1} - 1 \right)$$

$$< 2cn \left(\frac{3}{2} \right)^{k+1}$$

$$= 3cn \left(\frac{3}{2} \right)^k$$

$$= 3cn \frac{3^k}{2^k}$$

Solve: $T(1) = c$

$$T(n) = 3 T(n/2) + cn \quad (\text{cont.})$$

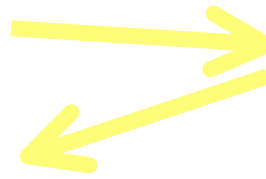
$$= 3cn \frac{3^{\log_2 n}}{2^{\log_2 n}}$$

$$= 3cn \frac{3^{\log_2 n}}{n}$$

$$= 3c 3^{\log_2 n}$$

$$= 3c \left(n^{\log_2 3} \right)$$

$$= O\left(n^{1.59\dots} \right)$$



$$a^{\log_b n}$$

$$= \left(b^{\log_b a} \right)^{\log_b n}$$

$$= \left(b^{\log_b n} \right)^{\log_b a}$$

$$= n^{\log_b a}$$

Master Divide and Conquer Recurrence

- If $T(n) = aT(n/b) + cn^k$ for $n > b$ then
 - if $a > b^k$ then $T(n)$ is $\Theta(n^{\log_b a})$
 - if $a < b^k$ then $T(n)$ is $\Theta(n^k)$
 - if $a = b^k$ then $T(n)$ is $\Theta(n^k \log n)$
- Works even if it is $\lceil n/b \rceil$ instead of n/b .

Another Example:

Matrix Multiplication –

Strassen's Method

Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

$$= \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\ a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44} \end{bmatrix}$$

■ n^3 multiplications, $n^3 - n^2$ additions

Simple Matrix Multiply

for i = 1 to n

 for j = 1 to n

 C[i,j] = 0

 for k = 1 to n

 C[i,j] = C[i,j] + A[i,k] * B[k,j]

n^3 multiplications, $n^3 - n^2$ additions

Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

$$= \begin{bmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} + a_{14}b_{41} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} + a_{14}b_{42} & a_{11}b_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b_{44} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} + a_{24}b_{41} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} + a_{24}b_{42} & a_{21}b_{14} + a_{22}b_{24} + a_{23}b_{34} + a_{24}b_{44} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} + a_{34}b_{41} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} + a_{34}b_{42} & a_{31}b_{14} + a_{32}b_{24} + a_{33}b_{34} + a_{34}b_{44} \\ a_{41}b_{11} + a_{42}b_{21} + a_{43}b_{31} + a_{44}b_{41} & a_{41}b_{12} + a_{42}b_{22} + a_{43}b_{32} + a_{44}b_{42} & a_{41}b_{14} + a_{42}b_{24} + a_{43}b_{34} + a_{44}b_{44} \end{bmatrix}$$

Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

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Multiplying Matrices

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} \cdot \begin{bmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{bmatrix}$$

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Multiplying Matrices

$$\begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix} = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

- Counting arithmetic operations:

$$T(n) = 8T(n/2) + 4(n/2)^2 = 8T(n/2) + n^2$$

Multiplying Matrices

$$T(n) = \begin{cases} 1 & \text{if } n = 1 \\ 8T(n/2) + n^2 & \text{if } n > 1 \end{cases}$$

■ By Master Recurrence, if

$T(n) = aT(n/b) + cn^k$ & $a > b^k$ then

$$T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_2 8}) = \Theta(n^3)$$

Strassen's algorithm

■ Strassen's algorithm

- Multiply **2x2** matrices using **7** instead of **8** multiplications (and lots more than 4 additions)
- $T(n) = 7 T(n/2) + cn^2$
 - $7 > 2^2$ so $T(n)$ is $\Theta(n^{\log_2 7})$ which is $O(n^{2.81})$
- Fastest algorithms theoretically use $O(n^{2.376})$ time
 - not practical but Strassen's is practical provided calculations are exact and we stop recursion when matrix has size about 100 (maybe 10)

The algorithm

$$P_1 = A_{12}(B_{11}+B_{21})$$

$$P_3 = (A_{11} - A_{12})B_{11}$$

$$P_5 = (A_{22} - A_{12})(B_{21} - B_{22})$$

$$P_6 = (A_{11} - A_{21})(B_{12} - B_{11})$$

$$P_7 = (A_{21} - A_{12})(B_{11}+B_{22})$$

$$C_{11} = P_1 + P_3$$

$$C_{21} = P_1 + P_4 + P_5 + P_7$$

$$P_2 = A_{21}(B_{12}+B_{22})$$

$$P_4 = (A_{22} - A_{21})B_{22}$$

$$C_{12} = P_2 + P_3 + P_6 - P_7$$

$$C_{22} = P_2 + P_4$$

Another D&C Example: Fast exponentiation

- Power(a,n)
 - **Input:** integer n and number a
 - **Output:** a^n
- Obvious algorithm
 - $n-1$ multiplications
- Observation:
 - if n is even, $n=2m$, then $a^n=a^m \cdot a^m$

Divide & Conquer Algorithm

```
■ Power(a,n)
    if n=0 then
        return(1)
    else
        x ← Power(a,⌊n/2⌋)
        if n is even then
            return(x·x)
        else
            return(a·x·x)
```


Analysis

- Worst-case recurrence

- $T(n) = T(\lfloor n/2 \rfloor) + 2$

- By master theorem

- $T(n) = O(\log n)$ $(a=1, b=2, k=0)$

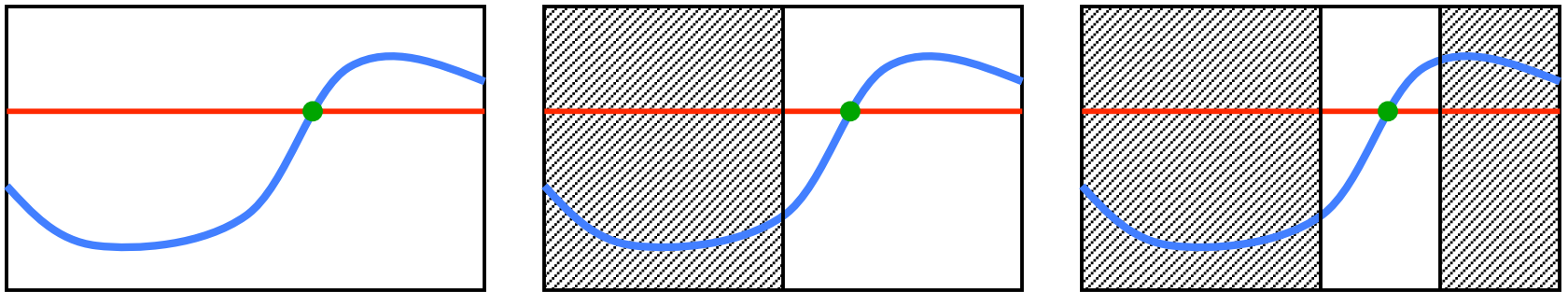
- More precise analysis:

- $T(n) = \lceil \log_2 n \rceil + \# \text{ of } 1\text{'s in } n\text{'s binary representation}$

A Practical Application- RSA

- Instead of a^n want $a^n \bmod N$
 - $a^{i+j} \bmod N = ((a^i \bmod N) \cdot (a^j \bmod N)) \bmod N$
 - same algorithm applies with each $x \cdot y$ replaced by
 - $((x \bmod N) \cdot (y \bmod N)) \bmod N$
- In RSA cryptosystem (widely used for security)
 - need $a^n \bmod N$ where a , n , N each typically have 1024 bits
 - Power: at most 2048 multiplies of 1024 bit numbers
 - relatively easy for modern machines
 - Naive algorithm: 2^{1024} multiplies

Another Example: Binary search for roots (bisection method)



■ Given:

■ continuous function f and two points $a < b$ with $f(a) < 0$ and $f(b) > 0$

■ Find:

■ approximation to c s.t. $f(c) = 0$ and $a < c < b$

Divide and Conquer Summary

- Powerful technique, when applicable
- Divide large problem into a few smaller problems of the same type
- Choosing subproblems of roughly equal size is usually critical
- Examples:
 - Merge sort, quicksort (sort of), polynomial multiplication, FFT, Strassen's matrix multiplication algorithm, powering, binary search, root finding by bisection, ...