

# CSE 417: Algorithms and Computational Complexity

Winter 2001  
Lecture 22  
Instructor: Paul Beame

1

## Polynomial time

- Define  $P$  (polynomial-time) to be
  - the set of all **decision problems** solvable by algorithms whose worst-case running time is bounded by some polynomial in the input size.

$$P = \bigcup_{k \geq 0} \text{TIME}(n^k)$$

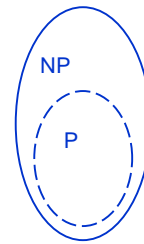
2

## The complexity class $NP$

- $NP$  consists of all decision problems where one can **verify** the YES answers efficiently (in polynomial time) given a short (polynomial-size) hint.
- Some problems in  $NP$  and their hints
  - DecisionTSP**: the tour itself,
  - Independent-Set, Clique**: the set  $U$
  - Satisfiability**: an assignment that makes  $F$  true.

3

## $P$ and $NP$



4

## NP-hardness & NP-completeness

- Some problems in  $NP$  seem hard
  - people have looked for efficient algorithms for them for hundreds of years without success
- However
  - nobody knows how to **prove** that they are really hard to solve, i.e.  $P \neq NP$

5

## NP-hardness & NP-completeness

- Alternative approach
  - show that they are at least as hard as any problem in  $NP$
- Rough definition:
  - A problem is **NP-hard** iff it is at least as hard as any problem in  $NP$
  - A problem is **NP-complete** iff it is both
    - NP-hard**
    - in  $NP$

6

## Showing one problem is at least as hard as another

### Reductions

- Used before in case of considering whether problems could be solve by programs
  - we allowed any old program  $T$  as the reduction

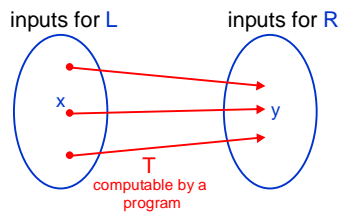
7

## Polynomial-time reductions

- We have a problem using our ordinary notion of reduction in complexity
  - We want that if  $L \leq R$  then, up to a small amount of slop,  $L$  is at least as easy as  $R$
  - But...
    - our reduction  $T$  might take a really, really long time even though it is computable by a program
  - Solution:
    - require that program  $T$  be efficient, too.

8

## Reduction $L \leq R$

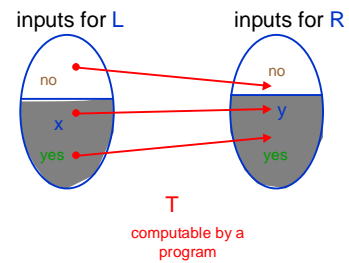


$$L(x) = R(T(x))$$

Intuition:  $L$  is at least as easy as  $R$  or, equivalently,  $R$  is at least as hard as  $L$

9

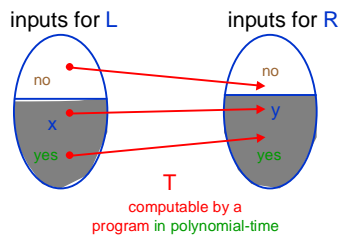
## Decision Problems: Reduction $L \leq R$



$L$  answers yes to  $x \Leftrightarrow R$  answers yes to  $T(x)$

10

## Polynomial-time reduction $L \leq^P R$



$L$  answers yes to  $x \Leftrightarrow R$  answers yes to  $T(x)$

11

## Polynomial-time reductions preserve polynomial time

- Theorem:** If  $L \leq^P R$  and  $R$  is in  $P$  then  $L$  is also in  $P$
- Proof:**
  - Let  $T$  be reduction showing  $L \leq^P R$  that runs in polynomial time, say  $cn^t$ .
  - Let  $A$  be the algorithm solving  $R$  that runs in polynomial time, say  $dn^r$
  - Our algorithm  $B$  for  $L$  first runs  $T$  and then runs  $A$  on the output of  $T$

12

## Running time of B

- Running T takes time at most  $cn^t$ .
  - The output of T has at most  $c'n^t$  bits for some constant  $c'$  because we can only create a constant number of output bits per output per step.
- Running A on T's output takes time at most  $d(c'n^t)^r$ .
  - The input of A is size at most  $c'n^t$ .
- Total run-time is  $O(n^{tr})$  which is polynomial

13

## NP-hardness & NP-completeness

- Definition:** A problem R is NP-hard iff every problem  $L \in NP$  satisfies  $L \leq^p R$
- Definition:** A problem R is NP-complete iff R is NP-hard and  $R \in NP$
- Not obvious that such problems even exist!

14

## e.g., Independent-Set $\leq^p$ Clique

- Independent-Set:**
  - Given a graph  $G=(V,E)$  and an integer  $k$ , is there a subset U of V with  $|U| \geq k$  such that no two vertices in U are joined by an edge.
- Clique:**
  - Given a graph  $G=(V,E)$  and an integer  $k$ , is there a subset U of V with  $|U| \geq k$  such that every pair of vertices in U is joined by an edge.
- What is the reduction T?

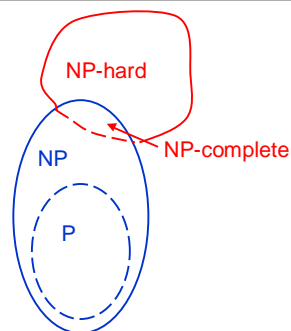
15

## Cook's Theorem

- Theorem (Cook 1971):** Satisfiability is NP-complete
- Proof idea:**
  - Given any problem L in NP
  - Look at the algorithm that verifies the hint for L
  - One can write a polynomial-size CNF formula  $F_{L,x}$  that that can be made true iff the verification algorithm for L, on input x and the hint, liked the hint for L
  - $F_{L,x}$  is satisfiable iff L answers YES on input x
  - The transformation from x to  $F_{L,x}$  can be computed efficiently

16

## P and NP



17